

Tuesday  
October 22, 1985

# Federal Register

**Briefings on How To Use the Federal Register—**  
For information on briefings in Atlanta, GA, see  
announcement on the inside cover of this issue.

## Selected Subjects

**Administrative Practice and Procedure**  
Federal Trade Commission

**Aviation Safety**  
Federal Aviation Administration

**Cable Television**  
Federal Communications Commission

**Communications Common Carriers**  
Federal Communications Commission

**Income Taxes**  
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**Navigation (Water)**  
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**Supplemental Security Income (SSI)**  
Social Security Administration

**Uniform System of Accounts**  
Transportation Department

**Vocational Rehabilitation**  
Veterans Administration



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Questions and requests for specific information may be directed to the telephone numbers listed under INFORMATION AND ASSISTANCE in the READER AIDS section of this issue.

**How To Cite This Publication:** Use the volume number and the page number. Example: 50 FR 12345.

#### THE FEDERAL REGISTER: WHAT IT IS AND HOW TO USE IT

**FOR:** Any person who uses the Federal Register and Code of Federal Regulations.

**WHO:** The Office of the Federal Register.

**WHAT:** Free public briefings (approximately 2 1/2 hours) to present:

1. The regulatory process, with a focus on the Federal Register system and the public's role in the development of regulations.
2. The relationship between the Federal Register and Code of Federal Regulations.
3. The important elements of typical Federal Register documents.
4. An introduction to the finding aids of the FR/CFR system.

**WHY:** To provide the public with access to information necessary to research Federal agency regulations which directly affect them. There will be no discussion of specific agency regulations.

#### ATLANTA, GA

**WHEN:** Nov. 21; at 1 pm.  
Nov. 22; at 9 am. (identical session)

**WHERE:** Room LP-7,  
Richard B. Russell Federal Building,  
75 Spring Street, SW., Atlanta, GA.

**RESERVATIONS:** Deborah Hogan,  
Atlanta Federal Information Center.  
Before Nov. 12: 404-221-2170  
On or after Nov. 12: 404-331-2170

**FUTURE WORKSHOPS:** Additional workshops are scheduled bimonthly in Washington and on an annual basis in Federal regional cities. The January 1986 Washington, D.C. workshop will include facilities for the hearing impaired. Dates and locations will be announced later.



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Additional information, including a list of public laws, telephone numbers, and finding aids, appears in the Reader Aids section at the end of this issue.



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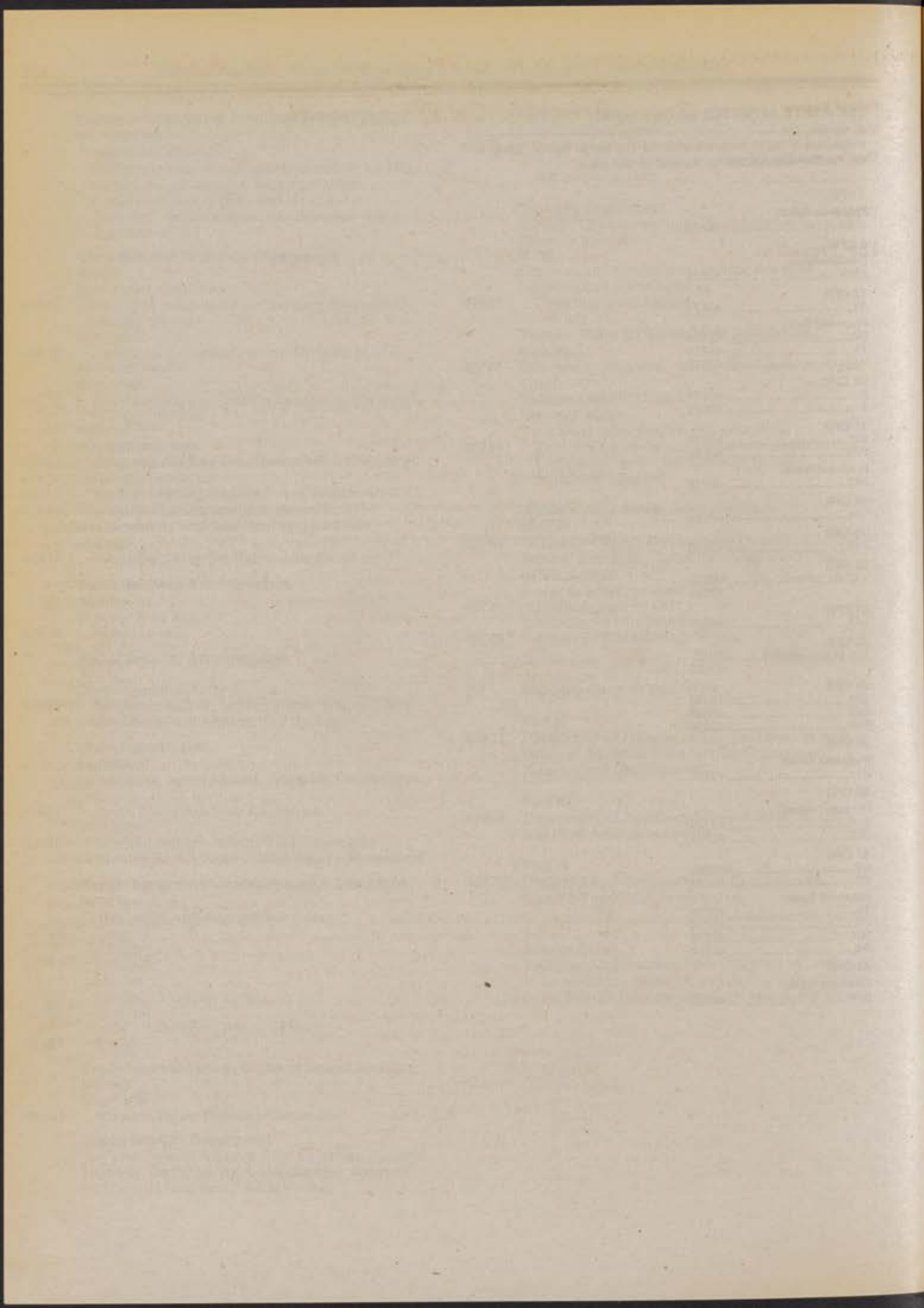
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# Presidential Documents

Title 3—

Proclamation 5395 of October 18, 1985

The President

National CPR Awareness Week, 1985

By the President of the United States of America

## A Proclamation

Heart attack is the number one cause of sudden death in the United States. More than a million and a half Americans will experience heart attacks this year, of which over a half million will be fatal. We are making progress: Mortality from heart attacks has declined significantly over the past decade. But since heart attacks remain by far the leading cause of death in America, much remains to be done.

Heart attacks sometimes cause the heart to stop pumping, and cardiopulmonary resuscitation (CPR) then becomes a critical and potentially life-saving first-aid procedure. Trained individuals applying CPR can often preserve the life of a heart attack victim until proper medical care can be obtained. Tens of thousands of Americans who have had heart attacks are leading productive lives today only because someone trained in CPR quickly and effectively applied this life-saving technique.

Cardiopulmonary resuscitation may also be life-saving first aid for other conditions that cause sudden cessation of the heartbeat or cut off the delivery of oxygen into the lungs. Medical authorities are in agreement that a person adequately trained in CPR can make all the difference between life and death in many emergencies. But they stress that CPR is effective only when employed by people who are properly trained.

Because of the effectiveness of CPR, the number of sudden deaths from heart attacks and other emergencies could be reduced still further if more Americans were trained in this procedure. Facilities for CPR training are widespread, and I am pleased to acknowledge the contribution by those who train others. I urge all qualified Americans to take advantage of this training and to become certified in the use of CPR. This could be a life-saving decision.

To reinforce this message and to increase awareness among all Americans that people trained in CPR can be an effective means of reducing mortality from heart attacks, the Congress, by Senate Joint Resolution 175, has designated the week beginning October 20 through October 26, 1985, as "National CPR Awareness Week" and authorized and requested the President to issue a proclamation in observance of this event.

NOW, THEREFORE, I, RONALD REAGAN, President of the United States of America, do hereby proclaim the week of October 20 through October 26, 1985, as National CPR Awareness Week. I invite the Governors of the States, the Commonwealth of Puerto Rico, the officials of other areas subject to the jurisdiction of the United States, and the American people to join with me in acknowledging the benefits of this valuable life-saving technique and to undergo training in its use.

IN WITNESS WHEREOF, I have hereunto set my hand this eighteenth day of October, in the year of our Lord nineteen hundred and eighty-five, and of the Independence of the United States of America the two hundred and tenth.

Ronald Reagan

[FR Doc. 85-25292

Filed 10-18-85; 4:10 pm]

Billing code 3195-01-M



# Rules and Regulations

Federal Register

Vol. 50, No. 204

Tuesday, October 22, 1985

This section of the FEDERAL REGISTER contains regulatory documents having general applicability and legal effect, most of which are keyed to and codified in the Code of Federal Regulations, which is published under 50 titles pursuant to 44 U.S.C. 1510. The Code of Federal Regulations is sold by the Superintendent of Documents. Prices of new books are listed in the first FEDERAL REGISTER issue of each week.

## DEPARTMENT OF TRANSPORTATION

### Federal Aviation Administration

#### 14 CFR Part 93

[Docket No. 24807; Amdt. 93-47]

#### Locations At Which Special VFR Weather Minimums Do Not Apply

**AGENCY:** Federal Aviation Administration (FAA), DOT.

**ACTION:** Final rule.

**SUMMARY:** This action updates and corrects the official names of locations at which special visual flight rules (SVFR) weather minimums do not apply. These updates are editorial and do not amend the applicability of the existing rule.

**EFFECTIVE DATE:** November 29, 1985.

**FOR FURTHER INFORMATION CONTACT:** Mr. Brent A. Fernald, Airspace and Air Traffic Rules Branch, ATO-230, Airspace Rules and Aeronautical Information Division, Air Traffic Operations Service, Office of the Associate Administrator for Air Traffic, Federal Aviation Administration, 800 Independence Avenue, SW., Washington, D.C. 20591; telephone (202) 426-8626.

#### SUPPLEMENTARY INFORMATION:

##### The Rule

Part 93, Subpart I, of the Federal Aviation Regulations (FAR), prescribes 33 locations at which SVFR weather minimums do not apply. Since that regulation was promulgated, the names of some of the locations have been formally amended due to reasons such as a change to "international" status and dedication in honor of prominent persons. Therefore, the names of locations as they appear in FAR 93.113 do not reflect their official names. The Federal Aviation Regulations need to contain the official names of the 33

locations at which SVFR weather minimums do not apply. This action editorially updates and corrects the list of locations to properly reflect their official names.

Because this amendment is editorial in nature and imposes no additional burden on airspace users or aircraft operators, I find that notice and public procedure is unnecessary; and that the amendment may be made effective less than 30 days after publication. This document involves a rulemaking action which is not a major rule under Executive Order 12291 and is not a significant rule under Department of Transportation Regulatory Policy and Procedures (44 FR 11034, February 26, 1979). In addition, the FAA has determined that the expected economic impact of this amendment is so minimal that it does not require regulatory evaluation.

#### List of Subjects in 14 CFR Part 93

Airport traffic area, Aviation safety, Traffic patterns.

#### PART 93—SPECIAL AIR TRAFFIC RULES AND AIRPORT TRAFFIC PATTERNS

##### The Amendment

Accordingly, Part 93, Subpart I, of the Federal Aviation Regulations (14 CFR 93), is amended as follows:

1. The authority citation for Part 93 is revised to read as follows:

Authority: 49 U.S.C. 1303, 1348, 1351(a), 1421(a), 1424, 2402, and 2424; 49 U.S.C. 106(g) (Revised Pub. L. 97-449, January 12, 1983).

2. The following listings in § 93.113 are revised to read as follows:

§ 93.113 Control zones within which special VFR weather minimums are not authorized.

1. Atlanta, Ga. (The William B. Hartsfield Atlanta International Airport).

2. Baltimore, Md. (Baltimore/Washington International Airport).

3. Boston, Mass. (General Edward Lawrence Logan International Airport).

5. Chicago, Ill. (Chicago-O'Hare International Airport).

7. Columbus, Ohio (Port Columbus International Airport).

8. Covington, Ky. (Greater Cincinnati International Airport).

10. Denver, Colo. (Stapleton International Airport).

13. Houston, Tex. (Houston Intercontinental Airport).

14. Indianapolis, Ind. (Indianapolis International Airport).

18. Memphis, Tenn. (Memphis International Airport).

21. Newark, N.J. (Newark International Airport).

27. Pittsburgh, Pa. (Greater Pittsburgh International Airport).

31. St. Louis, Mo. (Lambert-St. Louis International Airport).

Issued in Washington, D.C., on October 16, 1985.

Donald D. Engen,  
Administrator.

[FR Doc. 85-25058 Filed 10-21-85; 8:45 am]

BILLING CODE 4910-13-M

## FEDERAL TRADE COMMISSION

### 16 CFR Parts 2 and 3

#### Requirements for Motions in Commission Investigations and Adjudications

**AGENCY:** Federal Trade Commission.

**ACTION:** Final rule.

**SUMMARY:** The Commission has amended its rules governing investigations and adjudications. These amendments will require anyone seeking to quash an investigational subpoena or civil investigative demand, or disputing, seeking to compel or seeking to enforce discovery in an adjudication to make a good faith effort to resolve disputes before filing a formal petition or motion. The petition or motion must include a statement attesting to these efforts. These amendments have been implemented in order to encourage counsel to resolve disputed issues before filing petitions and motions, and to prevent the filing of unnecessary petitions and motions.

**EFFECTIVE DATE:** October 22, 1985.

**FOR FURTHER INFORMATION CONTACT:** Lawrence DeMille-Wagman, Federal Trade Commission, Washington, DC 20580, (202) 523-3800.



**SUPPLEMENTARY INFORMATION:** On August 5, 1985, at 50 FR 31610, the Commission published for comment proposed amendments to its Rules of Practice and Procedure designed to require anyone seeking to quash an investigational subpoena or civil investigative demand, or disputing, seeking to compel or seeking to enforce discovery in and adjudication to make a good faith effort to resolve disputes before filing a petition or motion. One comment was received. This comment, from the Commission's chief administrative law judge Ernest G. Barnes, referred only to the proposed adjudicative rule amendments, which it supported. This comment raised no new issues. Accordingly, the Commission has decided to promulgate the amendments set forth below.

#### List of Subjects

##### 16 CFR Part 2

Administrative practice and procedure, Claims, Equal access to justice.

##### 16 CFR Part 3

Administrative practice and procedure, Investigations.

In consideration of the foregoing, the Commission amends its rules of practice as follows:

1. The authority for Parts 2 and 3 continues to read as follows:

Authority: 15 U.S.C. 46 (g).

#### PART 2—[AMENDED]

2. By redesignating paragraphs (d)(2) and (d)(3) of § 2.7 as paragraphs (d)(3) and (d)(4) and by adding a new paragraph (d)(2) to § 2.7 to read as follows:

##### § 2.7 Compulsory process in investigations.

(d) \* \* \*

(2) *Statement.*—Each petition shall be accompanied by a signed statement representing that counsel for the petitioner has conferred with counsel for the Commission in an effort in good faith to resolve by agreement the issues raised by the petition and has been unable to reach such an agreement. If some of the matters in controversy have been resolved by agreement, the statement shall specify the matters so resolved and the matters remaining unresolved. The statement shall recite the date, time, and place of each such conference between counsel, and the names of all parties participating in each such conference.

#### PART 3—[AMENDED]

3. By adding a new paragraph (f) to § 3.22 to read as follows:

##### § 3.22 Motions.

(f) *Statement.*—Each motion to quash filed pursuant to Rule 3.34(c) or 3.37(b), each motion to compel or to determine sufficiency pursuant to Rule 3.38(a), each motion for sanctions pursuant to Rule 3.38(b), and each motion for enforcement pursuant to Rule 3.38(c), shall be accompanied by a signed statement representing that counsel for the moving party has conferred with opposing counsel in an effort in good faith to resolve by agreement the issues raised by the motion and has been unable to reach such an agreement. If some of the matters in controversy have been resolved by agreement, the statement shall specify the matters so resolved and the matters remaining unresolved. The statement shall recite the date, time, and place of each such conference between counsel, and the names of all parties participating in each such conference. Unless otherwise ordered by the administrative law judge, the statement required by this rule must be filed only with the first motion concerning compliance with the discovery demand at issue.

4. In § 3.34, paragraph (c) is revised to read as follows:

##### § 3.34 Subpoenas.

(c) *Motions to quash.* Any motion by the subject of a subpoena to limit or quash the subpoena shall be filed within the earlier of ten (10) days after service thereof or the time for compliance therewith. Such motions shall set forth all assertions of privilege or other factual and legal objections to the subpoena, including all appropriate arguments, affidavits and other supporting documentation, and shall include the statement required by Rule 3.22(f).

5. In § 3.37, paragraph (b) is revised to read as follows:

##### § 3.37 Access for inspection and other purposes.

(b) *Motion to quash.* Any motion by the subject of an order to limit or quash the order shall be filed within the earlier of ten (10) days after service thereof or the time for compliance therewith. Such motion shall set forth all assertions of privilege or other factual and legal objections to the order, including all appropriate arguments, affidavits and other supporting documentation, and

shall include the statement required by Rule 3.22(f).

By direction of the Commission.

Emily H. Rock,

Secretary.

[FR Doc. 85-25199 Filed 10-21-85; 8:45 am]

BILLING CODE 6750-01-M

## SECURITIES AND EXCHANGE COMMISSION

### 17 CFR Part 240

[Release No. 34-22533; IC-14755; File No. S7-13-85]

### Facilitating Shareholder Communications

**AGENCY:** Securities and Exchange Commission.

**ACTION:** Final rules.

**SUMMARY:** The Securities and Exchange Commission ("Commission") today announced the adoption of amendments to its shareholder communications rules which govern the process by which registrants communicate with the beneficial owners of securities registered in the name of a broker, dealer or other nominee. The amendments are intended to allow for the most advantageous implementation of the system of direct communication provided under those rules.

**EFFECTIVE DATE:** New Rule 14a-13 and amended Rules 14b-1 and 14c-7 are effective January 1, 1986.

**FOR FURTHER INFORMATION CONTACT:** Prior to the effective date, contact Sarah A. Miller, (202) 272-2589, Office of Disclosure Policy, Division of Corporation Finance, Securities and Exchange Commission 450 Fifth Street, NW., Washington, DC 20549. After the effective date, contact Cecilia D. Blye, (202) 272-2573, Office of Chief Counsel, Division of Corporation Finance, Securities and Exchange Commission, 450 Fifth Street, NW., Washington, DC 20549.

**SUPPLEMENTARY INFORMATION:** The Commission today announced the adoption of certain rule amendments to Rule 14b-1<sup>1</sup> and 14c-7<sup>2</sup> and the adoption of Rule 14a-13.<sup>3</sup>

#### I. Executive Summary

In March 1985, the Commission proposed certain amendments to refine its shareholder communications rules.<sup>4</sup>

<sup>1</sup> 17 CFR 240.14b-1.

<sup>2</sup> 17 CFR 240.14c-7.

<sup>3</sup> 17 CFR 240.14a-13.

<sup>4</sup> Release No. 34-21901 (March 28, 1985) [50 FR 13612].



These amendments delineated, in two separate rules, the respective obligations of brokers and registrants. In addition, the proposed amendments provided, among other things, that: (1) If a registrant requests the list of non-objecting security holders, it must request the list from all brokers having customers who are beneficial owners of the registrant's securities; (2) a broker must provide the beneficial owner lists to registrants as often as they request the information, rather than only once a year; and (3) a registrant may mail its annual report to security holders directly to its beneficial owners so long as the registrant notifies the broker at the time it submits a search card or requests beneficial owner information by some other means.<sup>5</sup> These amendments resulted from the one-year deferral of the effective date (from January 1, 1985 to January 1, 1986) of Rule 14b-1(c) which was agreed to by representatives of the securities industry and registrant community and authorized by the Commission in August 1984.<sup>6</sup> The deferral was intended to provide more time for the determination of reasonable costs and the implementation of a system to provide registrants with security holder information in an efficient, timely, and effective manner. At the time it authorized the deferral, the Commission agreed to undertake certain steps to clarify the respective functions of brokers and dealers<sup>7</sup> (hereinafter collectively referred to as "brokers") and registrants to ensure the effective implementation of the system of direct communication.

These proposals generated 41 comment letters.<sup>8</sup> Commentators,

representing the legal and registrant communities and the securities industry, generally supported the proposals to improve the system of direct communications between registrants and beneficial owners. Accordingly, the Commission is adopting the amendments substantially as proposed.

The proposing release recognized the importance of an intermediary to the effective implementation of the shareholder communications rules. Commentators urged the Commission to recognize explicitly in the rules the role of an intermediary in the shareholder communications system. Also commentators suggested, in response to an inquiry in the proposing release, that the Commission provide for a specified response time in which brokers are to provide registrants with lists of beneficial owners who do not object to disclosure of their names, addresses, and securities positions. In light of these comments, the Commission modified the rules to recognize that a broker may employ an intermediary to act as its designated agent in performing the obligations imposed under the shareholder communications rules and to provide a specified response time in which brokers are to forward to registrants non-objecting beneficial owner lists. Certain other technical clarifying revisions also have been made. The Commission will monitor the workability of its shareholder communications rules to determine whether any further refinements to the rules are necessary and appropriate.

This release discusses the background to the shareholder communications rules and the revisions to those rules. The release also provides an overview regarding implementation of these rules. Persons interested in further information are directed to the text of the amendments and the proposing release.

## II. Background

Rule 14b-1 was revised substantially in 1983 pursuant to recommendations of the Advisory Committee on Shareholder Communications, contained in its report, *Improving Communications Between Issuers and Beneficial Owners of Nominee Held Securities*. Paragraph (c) of the rule was adopted to provide a means of direct communication between registrants and their beneficial owners by requiring brokers to provide requesting registrants with the names, addresses, and securities positions of their customers who are beneficial owners of the registrant's securities and who have not objected to such

disclosure.<sup>9</sup> In August 1984, the Commission deferred the original effective date of paragraph (c) from January 1, 1985 to January 1, 1986. The deferral provided additional time to ensure the most effective implementation of the shareholder communications system.<sup>10</sup> Representatives of the securities industry and the registrant community agreed that during this deferral period they would develop and establish both an efficient means of furnishing beneficial owner information to registrants and an appropriate schedule of reimbursement.

In September 1984, the New York Stock Exchange ("the NYSE") appointed the Ad Hoc Committee on Identification of Beneficial Owners. The Ad Hoc Committee, composed of members of both the securities industry and registrant community, was formed to resolve the cost issues and to develop a workable and effective system that would be of maximum use to registrants and not burdensome to brokers. The Ad Hoc Committee now largely has resolved the problems which initially led to the deferral of the effective date of Rule 14b-1(c). The reimbursement of start-up costs issue has been resolved through self-regulatory organization ("SRO") rule changes that permit brokers to assess a \$.20 per proxy surcharge for the 1985 annual meeting proxy solicitation. This surcharge, together with an additional surcharge for the next annual meeting proxy solicitation, will fund the start-up costs associated with furnishing the beneficial owner information to registrants. The second surcharge will fund the balance of the costs not funded by the first \$.20 surcharge and will be the subject of separate SRO rule changes.<sup>11</sup> The other cost issue—determination of reasonable costs for maintaining beneficial owner lists—is being addressed by the Ad Hoc Committee and also will be the subject of a separate SRO rule change.

In August 1985, the Ad Hoc Committee drafted a model letter to aid brokers in communicating with their customers in order to ascertain whether or not they object to disclosure of their names, addresses, and securities

<sup>5</sup> In addition to the specific rule amendments, the narrative portion of the proposing release addressed, in connection with the discussion on employment of an intermediary (see discussion *infra* pp. 8, 13, 15), a fourth issue, namely the confidentiality of the source of the beneficial owner lists. See Release No. 34-21901, *supra* note 4, 50 FR at 13613.

<sup>6</sup> Release No. 34-21339 (September 21, 1984) [49 FR 36096].

<sup>7</sup> In its proposing release, the Commission clearly intended to require all record holders within its jurisdiction to come within the direct communication system. Accordingly, the term "broker," which as used in the industry usually includes the term "dealer," was used to denote those securities industry personnel who hold securities in nominee name. Because the terms "broker" and "dealer" are separately defined under the Securities Exchange Act, see sections 3(a) (4) and (5) thereof, the Commission believes it is appropriate to revise the rules to clarify that they apply to both brokers and dealers.

<sup>8</sup> The letters of comment, as well as a copy of the summary of the comment letters prepared by the staff, are available for public inspection and copying at the Commission's Public Reference Room (See File No. S7-13-85).

<sup>9</sup> Release No. 34-20021 (July 28, 1983) [48 FR 35082].

<sup>10</sup> Release No. 34-21339 (September 21, 1984) [49 FR 36096].

<sup>11</sup> The \$.20 surcharge rule change to the NYSE rules was approved by the Commission on March 28, 1985. Release No. 34-21900 (March 28, 1985) [50 FR 13297]. The Commission approved similar surcharges as part of the rules of the American Stock Exchange and National Association of Securities Dealers. Release No. 34-21915 (April 1, 1985) [50 FR 14069].



positions. The NYSE forwarded that letter to brokers and to the American Stock Exchange and the National Association of Securities Dealers.

To make the system work and to ensure that registrants find the beneficial owner lists useful and meaningful, the Ad Hoc Committee also determined that an intermediary was necessary. By employing an intermediary to compile and to supply beneficial owner lists, registrants will be assured that the lists are compiled in a standardized manner. Moreover, brokers will be assured that the source of the lists will be kept confidential. In addition, economies of scale will be realized by permitting them to delegate this function to an intermediary which will maximize cost savings while minimizing burdens on brokers. The Ad Hoc Committee requested proposals and selected Independent Election Corporation of America ("IECA") to serve as the intermediary between registrants and brokers in supplying lists of beneficial owners. In this function, IECA will be governed by a user board consisting of registrants, brokers, and other industry representatives.

At the time of the deferral of the effective date of Rule 14b-1(c), the Commission agreed to clarify certain aspects of the shareholder communications rules and to take certain additional steps which are the subject of this release.

While the amendments pertain to brokers, the Commission believes that enactment of legislation authorizing the Commission to regulate the proxy processing activities of banks, associations, and other fiduciary entities will realize the full potential of the shareholder communications rules. On July 22, 1985, the House of Representatives passed, by voice vote, legislation entitled the Shareholder Communications Act of 1985 (H.R. 1603). That legislation has been referred to the Securities Subcommittee of the Senate Committee on Banking, Housing, and Urban Affairs. A companion bill (S. 918) was introduced in the Senate on April 16, 1985.

### III. Overview of Shareholder Communications Rules

New Rule 14a-13 sets forth two different procedures relating to registrants' obligations in communicating with their beneficial owners, while amended Rule 14b-1 pertains to brokers' obligations in connection with communicating corporate information to beneficial owners. Under the first procedure, registrants are required, pursuant to new Rule 14a-13(a) (formerly Rule 14a-3(d))

to inquire, by means of a search card or otherwise, of their record holders the number of proxies and other proxy soliciting material or annual reports to security holders needed by record holders to forward the material to beneficial owners. The registrant must request this information at least 20 calendar days prior to the record date of the annual meeting and the broker is required, under Rule 14b-1(a), to respond to this request within seven business days of receipt of the request. Upon receipt of the proxy, proxy soliciting material or annual report, the broker is required under Rule 14b-1(b), to forward these materials within five business days of receipt to its customers who are beneficial owners.

Rule 14a-13(b) sets forth the requirements for those registrants who wish to communicate directly with their beneficial owners. If a registrant requests a list of beneficial owners who do not object to disclosure of their names, addresses, and securities positions, it must make that request of all brokers having customers who are beneficial owners of the registrant's securities. Further, a registrant is permitted to request a list of non-objecting beneficial owners more often than once a year and the broker will be required to comply with any such request. These lists would be compiled as of the record date for the registrant's latest annual or special meeting. If no meeting is scheduled and the registrant requests a list of its beneficial owners, that list is to be compiled as of a date to be selected by the registrant that is no less than five business days after the broker receives the request. The broker must forward the beneficial owner information to the registrant no later than five business days after the compilation date of the list, e.g., the record date or other date. For example, if no annual or special meeting is scheduled and the broker receives a registrant's request for the list of beneficial owners on October 15, 1985, the list would be compiled as of a date selected by the registrant that is no earlier than October 22, 1985. The broker, in turn, would be required to forward the beneficial owner list to the registrant by October 29, 1985.

The amendments further provide that, if it chooses, the registrant may mail annual reports directly to non-objecting beneficial owners so long as the registrant notifies the broker when making its initial request for beneficial owner information that the registrant intends to mail the annual report directly to its non-objecting beneficial owners. The registrant would notify the broker of its intention at the time it

submits a search card requesting the beneficial owner information. If so notified by the registrant, a broker would have no obligation in connection with that mailing to forward the annual report to non-objecting beneficial owners but would have, of course, the obligation to forward reports to those beneficial owners who objected to the disclosure of their identities.

The amendments also would provide that, without assurances of reimbursement of reasonable expenses associated with satisfying its obligations with respect to communications with beneficial owners, a broker has no obligation to perform its obligations under Rule 14b-1(b) and (c). The registrant has a corresponding obligation to pay a broker's reasonable expenses associated with providing beneficial owner information.

If a broker has designated an agent or intermediary to act on its behalf in performing its obligations under Rule 14b-1(c), the registrant must make its request for a list of non-objecting beneficial owners to that designated agent. If the broker has designated such an agent, the registrant will learn the agent's identity when it submits, pursuant to Rule 14a-13(a), the search card requesting the number of proxy cards, proxy soliciting material, and annual reports needed by the broker to forward to beneficial owners. The broker, in turn, is required, under Rule 14b-1(a), to identify its agent, if one has been designated. After receiving the registrant's request for beneficial owner information, the agent will notify all brokers of the registrant's request. Brokers will supply the intermediary with the information who then will compile the information in a standardized delivery format and forward it to the registrant. Using the above example, the registrant will make its request to the designated agent for a list of non-objecting beneficial owners. If no annual or special meeting is scheduled and the designated agent receives the registrant's request for the list on October 15, 1985, the list would be compiled as of the date selected by the registrant that is no earlier than October 22, 1985. The designated agent would make the request for a list of non-objecting beneficial owners from all brokers. Brokers, in turn, would forward the requested information to the designated agent who would then compile the list and deliver it to the registrant by October 29, 1985.



#### IV. Discussion

##### A. Use of Intermediary

###### 1. Overall Role

Both the Commission and the Ad Hoc Committee believe that an intermediary is necessary to the effective implementation of the shareholder communications system. The intermediary would receive registrants' requests for beneficial owner information and deliver the beneficial owner information supplied by all brokers to the registrants. The proposing release recognized the intermediary's importance in stating that an intermediary will be employed to compile and to supply beneficial owner lists in order to assure standardized delivery format and client confidentiality of brokers.<sup>12</sup> The Commission further noted that economies of scale will be realized by maximizing cost savings while minimizing burdens on brokers by permitting them to delegate this function to an intermediary.<sup>13</sup>

The intermediary would serve as a central processing agent between brokers and registrants in the transmission of lists of non-objecting beneficial owners.<sup>14</sup> In addition, the intermediary would act, on behalf of brokers, in performing all administrative functions required in providing beneficial owner information, including: receiving requests for beneficial owner information from registrants; advising brokers of the record date for a registrant's request; receiving customer lists from brokers; preparing, in a standardized format, lists of non-objecting beneficial owners and billing registrants for fees associated with providing the beneficial owner information.<sup>15</sup>

Commentators generally endorsed the use of an intermediary. Several commentators, representing registrants as well as the legal and brokerage communities, suggested, however, that the shareholder communications rules be amended to reflect specifically the intermediary's role in the system of direct communications. Because the Commission believes that the use of an intermediary is necessary for the system to work efficiently, particularly to assure both client confidentiality and

standardized delivery format, the Commission has revised the rules to reflect that (1) brokers may employ an intermediary to act as agent on their behalf in fulfilling the broker's obligations under the shareholder communications rules, and (2) registrants must make their requests to such intermediary. Obviously, registrants will make the request for a non-objecting beneficial owner list to the intermediary only after, the brokers' response to the search card identifying the intermediary is received. Should a broker later designate a new intermediary to act on its behalf, it would be to the benefit of all concerned parties for the broker to notify the registrant of this fact.

While the Commission envisions that brokers generally will choose to employ an agent to assist them in performing their obligations under these rules, and that the agent employed generally will be the intermediary selected by the Ad Hoc Committee, employing an intermediary is not a condition to complying with the shareholder communications rules. Accordingly, the revised rules recognize that a broker may not wish to employ an intermediary to act on its behalf and that, in such cases, the registrant must make the request directly to the broker. The specific amendments reflecting the intermediary's role are discussed below.

###### 2. Client Confidentiality

Of those commentators who addressed the assurance of the client confidentiality function of the intermediary, securities industry commentators endorsed the concept while three registrant and legal commentators opposed it. By employing an intermediary to excise all information identifying specific brokers, brokers will be assured that registrants will obtain only the names, addresses, and securities positions of its beneficial owners. The two registrant commentators who opposed the broker anonymity function of the intermediary maintained that broker confidentiality might limit the usefulness of the beneficial owner information. The Commission believes, however, that disclosure of the broker's identity would not enhance the system of direct communications and, accordingly, has amended Rule 14b-1(c) by adding a note stating, among other things, that a broker or its agent need only supply the registrant with the names, addresses, and securities positions of non-objecting beneficial owners.

###### 3. Standardized Delivery Format

The proposing release stated that by employing the intermediary to compile and to supply beneficial owner lists, registrants will be assured that the lists are compiled in a standardized manner. Commentators generally endorsed the use of the intermediary to achieve this. Certain commentators, however, suggested that the Commission condition the implementation of the shareholder communications system on specifying a common delivery format. The Commission believes that establishing a mutually acceptable delivery format is best left to the determination of the participants in the shareholder communications system. The Commission would anticipate, however, that any delivery format established would allow registrants flexibility and facilitate corporate communications.

##### B. 14a-13 Obligations of Registrants in Communicating With Beneficial Owners

###### 1. General.

Proposed Rule 14a-13 consolidated all registrant-related provisions associated with direct shareholder communications, by placing together provisions of Rule 14a-3(d) and the registrant-related provisions of Rule 14b-1(c). As adopted, Rule 14a-13 deals explicitly with solicitations of written consents or authorizations when circumstances warrant separate treatment and to provide that if a special meeting is convened to elect directors in lieu of an annual meeting, an annual report must be furnished to security holders in connection with such meeting. In addition, Rule 14a-13 makes clear that the annual report to security holders is required whether the registrant is soliciting proxies or consents in connection with the annual election of directors and that if it is impracticable for a registrant to make the inquiry for beneficial owner information of the record holder 20 calendar days before the record date of a special meeting then the request must be made as soon as practicable before the record date of such meeting.<sup>16</sup>

<sup>16</sup> When the Commission proposed these amendments in Release No. 33-6592 (July 1, 1985) [50 FR 29409], it indicated that these amendments would be adopted at the same time as the shareholder communications proposals were adopted. The comment period for the July proposals closed on September 17, 1985 and, 39 comment letters were received, only one of which addressed these amendments. That comment letter did not oppose the amendments but, rather, suggested clarifications that will be considered as part of the comprehensive review of the proxy rules.

<sup>12</sup> Release No. 34-21901 *supra* note 4, at p. 13613.

<sup>13</sup> *Id.*

<sup>14</sup> It should be noted that a beneficial owner's election to disclose its name, address, and securities position is an election with respect to all registrant's securities in a beneficial owner's account(s) with that broker.

<sup>15</sup> See Ad Hoc Committee letter of June 18, 1985 at pages 3-4 of Exhibit I therein.



## 2. Rule 14a-13(a)

Consistent with commentators' suggestions, Rule 14a-13(a)(1) was revised to clarify that the registrant would only need inquire of brokers as to the specific number of copies of the annual report to security holders that ultimately will be distributed by the brokers to beneficial owners pursuant to Rule 14b-1(b). This information would be in addition to the number of copies of the proxy and proxy soliciting material needed to forward to all beneficial owners. To ensure that a registrant knows to whom the request for beneficial owner information is to be made—the broker or its agent—Rule 14a-13(a)(1) was changed to require a registrant to inquire specifically of a broker whether it has designated an agent to act on its behalf and, if so, to ascertain the name and address of that agent. As discussed below, a corresponding change has been made to Rule 14b-1(a). Rule 14a-13(a)(1) also has been changed to clarify that, if it wishes to mail its annual report directly to non-objecting beneficial owners, a registrant has an obligation under Rule 14a-13(c) to notify the broker at the time it makes its inquiry, pursuant to Rule 14a-13(a), that it intends to send copies of its annual report to security holders to non-objecting beneficial owners.

Rule 14a-13(a)(3) has been changed in two respects. First, Rule 14a-13(a)(3) has been revised to clarify that supplying record holders with copies of the proxy, proxy soliciting material, and annual report to security holders and not just the annual report to security holders must be done in a timely manner. Second, commentators expressed concern that Rule 14a-13(a)(3) may be interpreted to require registrants to supply brokers with sufficient copies of the annual report to security holders to mail to all beneficial owners even if the registrant intended to mail the annual reports to security holders directly to its non-objecting beneficial owners. To prevent any such misunderstandings, Rule 14a-13(a)(3) and companion Note 2 have been revised to reflect the possibility that a registrant may mail the annual report to security holders to non-objecting beneficial owners and to require registrants, in those cases, to supply record holders with only the requisite number of copies for distribution by the broker to objecting beneficial owners.

## 3. Rule 14a-13(b)

As proposed, Rule 14a-13(b) would have required that a registrant request

the list from all brokers having customers who are beneficial owners of the registrant's securities. This requirement was intended to ensure that registrants do not request the security holder lists only from the largest brokers thereby leaving the smaller brokers with no means of recouping expenses associated with maintaining the required information. Commentators generally endorsed the proposal. Of the three commentators who opposed the provision, one commentator suggested that registrants involved in a takeover primarily are interested in holders of large blocks of its securities and should not be required to request non-objecting beneficial owner lists from all brokers.<sup>17</sup> The Commission continues to believe that this provision is necessary for the rule to be fair and effective and has adopted the provision as proposed.

With regard to security holder confidentiality, Rule 14a-13(b)(2) states explicitly that a registrant must use the beneficial owner lists exclusively for purposes of corporate communications. The Commission believes that the inclusion of this provision in the rule adequately addresses concerns regarding security holder confidentiality.

The proposing release also addressed the issue of voluntary communications such as quarterly reports.<sup>18</sup> Due to the importance of this issue the Commission again encourages registrants in connection with their use of beneficial owner lists voluntarily to forward corporate communications to all beneficial owners either directly or through brokers. Accordingly, the Commission believes it is desirable where registrants use the non-objecting beneficial owner lists to mail such communications directly to non-objecting beneficial owners, that they

also deliver to brokers for forwarding a sufficient number of copies of the corporate communication in order not to disadvantage those security holders who object to disclosure of their identities to registrants.<sup>19</sup> Further, the Commission believes that even in the case of voluntary communications, the rapid turnover of securities and accompanying non-objecting beneficial owners should be considered in order to avoid the use of outdated non-objecting beneficial-owner lists.

Next, consistent with commentators' suggestions, both Rule 14a-13 (b) and (c) were revised to recognize that an intermediary can act as the brokers' agent. Accordingly, when a broker indicates that it has designated an agent, the registrant shall request the beneficial owner list from the agent and reimburse the designated agent for the reasonable expenses<sup>20</sup> associated with providing the beneficial owner information. Thus, the Commission anticipates that, if all brokers have designated the intermediary selected by the Ad Hoc Committee, a registrant will need to make only one request for the non-objecting beneficial owner list to that intermediary to satisfy its obligations under Rule 14a-13(b).

## 4. Rule 14a-13(c)

Proposed Rule 14a-13(c) would have permitted a registrant<sup>21</sup> to mail the annual reports to security holders directly to those non-objecting beneficial owners that have been identified to them.<sup>22</sup> Any registrant choosing to do its own annual report mailing, however, is required, pursuant to paragraph (a), to so inform the broker at the time it made its inquiry for

<sup>17</sup> In tender offers or proxy contests, there is no current requirement under Rules 14a-7, 17 CFR 240.14a-7, and 14d-5, 17 CFR 240.14d-5, for a registrant to turn over a non-objecting beneficial owner list to a requesting security holder. Those rules apply only to lists of record holders and securities position listings of clearing agents. The Commission will consider whether to propose for comment amendments to Rules 14a-7 and 14d-5 which would provide security holders access to lists of non-objecting beneficial owners. Such amendments would provide equal access to these lists and avoid tipping the balance of regulation either in favor of management or in favor of tender offerors or proxy contestants. In the context of tender offers, this change may be consistent with and necessary to effectuate the purposes of the Williams Act. See S. Rep. No. 550, 90th Cong., 1st Sess. 3 (1967). "[t]he bill is designated to require full and fair disclosure for the benefit of investors while at the same time providing the offeror and management equal opportunity to fairly present their case." See also Release No. 33-6022 (February 5, 1979) 44 FR 9056.

<sup>18</sup> Release No. 34-21901 *supra* note 4, at 13814.

<sup>19</sup> Two commentators suggested that the rules require registrants who choose to communicate voluntarily with their non-objecting beneficial owners to deliver sufficient copies of the specific communication to brokers for forwarding to objecting beneficial owners. The Commission does not believe such a change is necessary at this time.

<sup>20</sup> Determination of the fee received from the registrant for the non-objecting beneficial owner list will be the subject of proposed SRO rules.

<sup>21</sup> The shareholder communications rules only apply to those registrants who are subject to the Commission's proxy rules.

<sup>22</sup> A few commentators suggested that registrants be permitted to mail proxy cards and proxy soliciting material directly to non-objecting beneficial owners. Consideration of whether to permit registrants to mail proxy soliciting material to non-objecting beneficial owners was not a subject of the Commission's proposal to amend the shareholder communications rules. Further, the Advisory Committee on Shareholder Communications determined not to disrupt the existing system of proxy distribution and voting. See Report on Improving Communications Between Issuers and Beneficial Owners of Nominee Held Securities at pp 54-71.



beneficial owner information under paragraph (a). Commentators overwhelmingly supported the proposal and, accordingly, the Commission adopted this provision substantially as proposed.

The proposing release stated that for reasons of economy, registrants may wish to engage in split mailing, *i.e.*, forwarding the annual report by bulk mail and mailing the proxies and other proxy soliciting material by first class mail. In connection with the use of split mailings, certain registrant commentators addressed the requirement in Rule 14a-3(b) that annual reports must accompany or precede the proxy statement. Commentators requested the Commission to provide specific guidance in this area. Due to the variety of geographic source locations for mailing annual reports and proxy soliciting materials of public reporting companies as well as intended destinations of the materials, however, the Commission is unable to specify exact time periods. Registrants who take steps reasonably calculated to guarantee that the annual reports to security holders accompany or precede the proxy statements will be deemed to have complied with Rule 14a-3(b).<sup>23</sup>

The proposing release also solicited comment as to whether Rule 14a-5<sup>24</sup> should be amended to provide that when annual reports to security holders are mailed separately from proxy material, all proxy statements should disclose the date the mailing of the annual report to security holders was commenced and should contain instructions on how to obtain a copy of that annual report. The majority of those commentators addressing this issue were registrants who overwhelmingly opposed such an amendment to Rule 14a-5. These commentators based their opposition on the grounds that either no useful purpose would be served or that changes in schedule would prevent accurate disclosure of the date of mailing of the annual report. The Commission agrees and, accordingly, has determined not to amend Rule 14a-5 in this manner.

### *C. 14b-1 Obligation of Registered Brokers in Connection With the Prompt Forwarding of Certain Communications to Beneficial Owners*

#### **1. General**

In response to commentators' suggestions, Rule 14b-1 was revised to allow a broker to employ an intermediary to act on its behalf in performing the broker's obligations under the shareholder communications rules. The provision in Rule 14b-1(a) corresponds to that in Rule 14a-13(a)(1) which requires a broker to respond to a registrant's inquiry as to whether the broker has designated an agent to act on its behalf and, if so, to provide the name and address of that designated agent.

#### **2. Rule 14b-1(c)**

Under proposed Rule 14b-1(c), a registrant could request the beneficial owner list whenever it wants such a list and the broker, in response to that request, would provide the list. Specifically, the registrant could request the list to be compiled either as of the registrant's record date for its latest annual or special meeting of security holders or, if the request is not made in connection with a meeting, a date no earlier than ten business days after receipt of the registrant's request. Commentators generally supported the proposal to permit registrants to request the lists as often as they wished. One commentator, however, proposed that a maximum number of requests per registrant per year be established. Because these rules are intended to provide for maximum communication between registrants and their beneficial owners, the Commission is of the view that, at this time, registrants should not have limits imposed on the number of requests for beneficial owner lists and, accordingly, has not adopted any such limits.

Proposed Rule 14b-1(c) also provided that non-meeting lists of non-objecting beneficial owners would be compiled as of a date no earlier than ten business days after receipt by the broker of the registrant's request. The proposed ten business day time period was based on the Commission's understanding that broker's back office systems generally do not permit retroactive establishment of beneficial owner lists but, rather, only allow those lists to be established prospectively. Because the securities industry has indicated that this time period should be shortened, the Commission has adopted a five business

day time period<sup>25</sup> for non-meeting beneficial owner lists. Such an amendment will facilitate communication between registrants and security holders especially involving those corporate actions where time factors are critical.

Proposed Rule 14b-1(c) was structured to provide that a registrant may designate the compilation date for non-meeting lists. Registrant and legal commentators expressed concern, however, that Rule 14b-1(c) was not sufficiently explicit in empowering the registrant, rather than the broker, to designate the compilation date for non-meeting lists. The Commission believes that registrants should be permitted to select the date as of which the non-meeting list is to speak and, accordingly, has clarified that that is the case. Thus, under the rules a registrant may specify the compilation date for a non-meeting list. That date, however, cannot be any earlier than five business days after the broker receives the registrant's request.

In connection with a broker's obligation to provide beneficial owner lists to a registrant, the Commission requested comment on whether a time limit should be specified within which a broker is to provide the registrant with the requested list. An overwhelming majority of the commentators who responded supported imposing a specified turn around response time period. These commentators reasoned that a specific time limit was essential to the operation of the shareholder communication rules and made suggestions for a sufficient time period ranging from five to twenty business days. The Commission agrees that a specified time period is appropriate to ensure that registrants do not receive stale beneficial owner lists that are of little or no value. Accordingly, the Commission has adopted a five business day time period in which brokers are to respond to a registrant's request for non-objecting beneficial owner lists.<sup>26</sup> In recognition of the likelihood that a broker may designate an agent to act on its behalf, a note specifying that the time period commences upon receipt by the broker or its designated agent of the registrant's request has been adopted.<sup>27</sup>

<sup>23</sup> In *Ash v. GAF Corp.*, 723 F.2d 1090, 1094 (3d Cir. 1983), the Third Circuit held that sending the annual report by third class mail four to five days prior to mailing the proxy statement by first class mail "did not reasonably guarantee that shareholders would receive the annual report at the same time or before the proxy materials. In fact, the procedures made it highly probable that shareholders would receive the annual report after they had received the proxy materials."

<sup>24</sup> 17 CFR 240.14a-5.

<sup>25</sup> Under the shareholder communications rules, business day is defined as it is in Rule 14d-1(b)(6), 17 CFR 240.14d-1(b)(6).

<sup>26</sup> In its comment letter, the Ad Hoc Committee, representing members of both the registrant community and securities industry, suggested that a five business day time period was feasible.

<sup>27</sup> If a broker designates an agent to act on its behalf, the broker will still be under the obligation to comply with Rule 14b-1(c) and, accordingly, receipt by the intermediary of the registrant's request will be deemed to be receipt by the broker.



Commentators indicated that generally a broker will need three business days to compile and to transmit the beneficial owner information to the intermediary and the intermediary will require two business days to forward the requested beneficial owner information to the registrant.

### 3. Rule 14b-1(d)

In addition to recognizing that a broker may designate an agent to act on its behalf, Rule 14b-1(d), as adopted makes clear that, without assurance by the registrant, or reimbursement of reasonable expenses, both direct and indirect, incurred in connection with performing its obligations under the rule, a broker need not satisfy its obligations under paragraph (b) and (c) of Rule 14b-1. A broker is obligated, under paragraph (a), however, to supply the information requested by the registrant without regard to reimbursement.

### D. Rule 14c-7

The Commission received several comments to its proposed amendments to Rule 14c-7 suggesting that Rule 14c-7, governing the distribution of information statements and annual reports to security holders, be amended to conform with Rule 14a-13. The Commission agrees that such amendments would be useful and, accordingly, has amended Rule 14c-7 to conform, to the extent appropriate, with Rule 14a-13.

## V. Statutory Basis and Text of Amendments

These amendments are being adopted pursuant to sections 12, 14, 17 and 23(a) of the Securities Exchange Act of 1934.

### List of Subjects in 17 CFR Part 240

Reporting and recordkeeping requirements, Securities.

## VI. Text of Amendments

In accordance with the foregoing Title 17, Chapter II of the Code of Federal Regulations is amended as follows:

## PART 240—GENERAL RULES AND REGULATIONS, SECURITIES EXCHANGE ACT OF 1934

1. The authority citation for Part 240 is amended by adding the following citation (Citations before \* \* \* indicate general rulemaking authority).

Authority: Sec. 23, 48 Stat. 901, as amended; 15 U.S.C. 78w. \* \* \* §§ 240.14a-3, 14a-13, 14b-1 and 14c-7 also issued under sections 12, 14 and 17, 15 U.S.C. 78i, 78n and 78g.

### § 240.14a-3 [Amended]

2. By removing paragraph (d) including Notes 1 and 2 and

redesignating paragraphs (e) and (f) as paragraphs (d) and (e) of § 240.14a-3.

3. By adding § 240.14a-13 to read as follows:

### § 240.14a-13 Obligation of registrants in communicating with beneficial owners.

(a) If the registrant knows that securities of any class entitled to vote at a meeting (or by written consents or authorizations if no meeting is held) with respect to which the registrant intends to solicit proxies, consents or authorizations are held of record by a broker, dealer, bank or voting trustee, or their nominees, the registrant shall:

(1) By first class mail or other equally prompt means: (i) inquire of such record holders: (A) whether other persons are the beneficial owners of such securities and if so, the number of copies of the proxy and other soliciting material necessary to supply such material to beneficial owners: and, in the case of an annual (or special in lieu of the annual) meeting, or written consents in lieu of such meeting, at which directors are to be elected, the number of copies of the annual report to security holders necessary to supply such material to beneficial owners to whom such reports are to be distributed by the broker, dealer, bank, voting trustee or their nominees and not by the registrant; and (B) if the record holder has an obligation under § 240.14b-1(c), whether an agent has been designated to act on its behalf in fulfilling such obligation and, if so, the name and address of such agent; and (ii) indicate to such record holders which are brokers or dealers whether the registrant, pursuant to paragraph (c) of this section, intends to distribute the annual report to security holders to beneficial owners of its securities who have not objected to disclosure of their names, addresses and securities positions.

(2) Make the inquiry at least 20 calendar days prior to the record date of the meeting of security holders, or (i) if such inquiry is impracticable 20 calendar days prior to the record date of a special meeting, as many days before the record date of such meeting as is practicable or (ii) if, consents or authorizations are solicited, and such inquiry is impracticable 20 calendar days before the earliest date on which they may be used to effect corporate action, as many days as is practicable, or (iii) at such later time as the rules of a national securities exchange on which the class of securities in question is listed may permit for good cause shown; and

(3) Shall supply, in a timely manner, the record holders of whom the inquiry is made with additional copies of the

proxy, other proxy soliciting material, and/or the annual report to security holders, in such quantities, assembled in such form and at such a place, as the record holder may reasonably request in order to address and send one copy of each to each beneficial owner of securities who is to be furnished with such material by the broker, dealer, bank, voting trustee or their nominees. The number of annual reports supplied shall be sufficient to supply those beneficial owners to whom the report is to be distributed by the broker, dealer, bank, voting trustee or their nominees. The registrant shall upon the request of such record holder, pay its reasonable expenses for completing the mailing of such material to record holders to whom the material is sent.

**Note 1.**—If the registrant's list of security holders indicates that some of its securities are registered in the name of a clearing agency registered pursuant to section 17A of the Act (e.g., "Cede & Co." nominee for the Depository Trust Company), the registrant shall make appropriate inquiry of the clearing agency and thereafter of the participants in such clearing agency who may hold on behalf of a beneficial owner, and shall comply with the above paragraph with respect to any such participant.

**Note 2.**—The attention of registrant is called to the fact that brokers and dealers have an obligation pursuant to § 240.14b-1(b) and applicable self-regulatory organization requirements to obtain and forward, within the time periods prescribed therein, (a) proxy soliciting materials to all beneficial owners, and (b) annual reports to security holders, to all beneficial owners unless the registrant has notified the broker or dealer that it has assumed responsibility to mail such material to non-objecting beneficial owners in which case the broker or dealer shall mail such material to objecting beneficial owners.

(b) Any registrant requesting pursuant to § 240.14b-1(c) a list of names, addresses and securities positions of beneficial owners of its securities who have not objected to disclosure of such information shall:

(1) Request such list from all brokers and dealers (through their agents) having customers who are beneficial owners of the registrant's securities;

(2) Use the information so furnished exclusively for purposes of corporate communications; and

(3) Upon the request of such brokers and dealers, through their agents, pay the reasonable expenses, both direct and indirect, of providing beneficial owner information.

**Note.**—A registrant will be deemed to have satisfied its obligations under paragraph (b) of this section by requesting non-objecting beneficial owner lists from a designated agent acting on behalf of the broker or dealer and paying to that designated agent the



reasonable expenses of providing the beneficial owner information.

(c) A registrant, at its option, may mail its annual report to security holders to the beneficial owners whose identifying information is provided by brokers and dealers, through their agents, pursuant to § 240.14b-1(c), provided that such registrant notifies the brokers and dealers, at the time a search card requesting the beneficial owner information in accordance with paragraph (a) of this section is sent that the registrant will mail the annual report to security holders to the beneficial owners so identified.

4. By revising § 240.14b-1 to read as follows:

**§ 240.14b-1 Obligation of registered brokers and dealers in connection with the prompt forwarding of certain communications to beneficial owners.**

A broker or dealer registered under section 15 of the Act shall:

(a) Respond no later than seven business days after receipt of an inquiry made in accordance with § 240.14a-13(a) by or on behalf of a registrant soliciting proxies, consents or authorizations by indicating, by means of a search card or otherwise: (1) The approximate number of its customers who are beneficial owners of the registrant's securities that are held off record by the broker, dealer or its nominees;

(2) The number of its customers who are beneficial owners of the registrant's securities who have objected to disclosure of their names, addresses and securities positions if the registrant has indicated, pursuant to § 240.14a-13(a)(1)(ii), that it will distribute the annual report to security holders to beneficial owners of its securities who have not objected to disclosure of their names, addresses and securities positions; and (3) the identity of its designated agent, if any, acting on behalf of the broker or dealer in fulfilling its obligations under paragraph (c) of this section;

(b) Upon receipt of the proxy, other proxy soliciting material, and/or annual reports to security holders, forward such materials to its customers who are beneficial owners of the registrant's securities no later than five business days after the receipt of the proxy material or annual reports; and

(c) Through its agent or directly, provide the registrant, upon the registrant's request, with the names, addresses and securities positions, compiled as of a date specified in the registrant's request which may be the registrant's record date for its latest annual or special meeting of security

holders, or, if not in connection with a meeting, another date which is no earlier than five business days after receipt of the registrant's request, of its customers who are beneficial owners of the registrant's securities and who have not objected to disclosure of such information. A broker or dealer, through its agent or directly, will be required to transmit the data to the registrant no later than five business days after the record date or other date specified by the registrant.

**Note.**—Where a broker or dealer employs a designated agent to act on its behalf in performing the obligations imposed on the broker or dealer by paragraph (c) of this section, the five business day time period for forwarding beneficial owner information is calculated from the date the designated agent receives the registrant's request. In complying with the registrant's request for beneficial owner information under paragraph (c) of this section, a broker or dealer need only supply the registrant with the names, addresses and securities positions of non-objecting beneficial owners.

(d) A broker or dealer need not satisfy (1) its obligations under paragraphs (b) and (c) of this section if a registrant does not provide assurance of reimbursement of the broker's or dealer's reasonable expenses, both direct and indirect, incurred in connection with performing the obligations imposed by this section; or (2) its obligation under paragraph (b) of this section to forward annual reports to non-objecting beneficial owners identified by the broker or dealer, through its agent or directly, pursuant to paragraph (c) of this section if the registrant notifies the broker or dealer pursuant to § 240.14a-13(c) that the registrant will mail the annual report to such non-objecting beneficial owners, identified by the broker or dealer and delivered in a list to the registrant pursuant to paragraph (c) of this section.

5. By revising § 240.14c-7 to read as follows:

**§ 240.14c-7 Providing copies of material for certain beneficial owners.**

(a) If the registrant knows that securities of any class entitled to vote at a meeting, or by written authorizations or consents if no meeting is held, are held of record by a broker, dealer, bank or voting trustee, or their nominees, the registrant shall:

(1) By first class mail or other equally prompt means, (i) inquire of such record holders whether other persons are the beneficial owners of such securities and, if so, the number of copies of the information statement necessary to supply such material to beneficial owners and, in the case of an annual (or special in lieu of the annual) meeting, or

written consents in lieu of such meeting, at which directors are to be elected, the number of copies of the annual report to security holders, necessary to supply such material to such beneficial owners for whom proxy material has not been and is not to be made available and to whom such reports are to be distributed by the brokers, dealer, bank, voting trustee or their nominees and not by the registrant; and

(2) Indicate to such record holders which are brokers or dealers whether the registrant pursuant to paragraph (c) of this section, intends to distribute the annual report to security holders to beneficial owners of its securities who have not objected to disclosure of their names, addresses and securities positions; and

(3) Supply, in a timely manner, such record holder of whom the inquiry is made with additional copies of the information statement and the annual report to security holders, in such quantities, assembled in such form and at such a place, as the record holder may reasonably request in order to address and send one copy of each to each beneficial owner of securities who is to be furnished with such material by the broker, dealer, bank, voting trustee or their nominees. The number of annual reports supplied shall be sufficient to supply those beneficial owners to whom the report is to be distributed by the broker, dealer, bank, voting trustee or their nominees. The registrant shall, upon the request of such record holder, pay its reasonable expenses for completing the mailing of such material to security holders to whom the material is sent.

**Note 1.**—If the registrant's list of security holders indicates that some of its securities are registered in the name of a clearing agency registered pursuant to section 17A of the Act (e.g., "Cede & Co.," nominee for the Depository Trust Company), the registrant shall make appropriate inquiry of the clearing agency and thereafter of the participants in such a clearing agency who may hold on behalf of a beneficial owner, and shall comply with the above rule with respect to any such participant.

**Note 2.**—The requirement for sending an annual report to security holders of record having the same address will be satisfied by sending at least one report to a holder of record at that address provided that those holders of record to whom a report is not sent agree thereto in writing. This procedure is not available to registrants, however, where banks, brokers and dealers and other persons hold securities in nominee accounts or "street names" on behalf of beneficial owners, and such persons are not relieved of any obligation to obtain or send such annual report to the beneficial owners.



**Note 3.**—The attention of registrants is called to the fact that brokers and dealers have an obligation pursuant to applicable self-regulatory organization requirements to obtain and forward, in a timely manner, (a) information statements to all beneficial owners, and (b) when requested by the registrant annual reports to security holders to beneficial owners for whom such brokers and dealers hold securities.

(b) Any registrant requesting a list of names, addresses and securities positions of beneficial owners of its securities who have not objected to disclosure of such information shall.

(1) Request such list from all brokers and dealers (through their agents) having customers who are beneficial owners of the registrant's securities;

(2) Use the information so furnished exclusively for purposes of corporate communications; and

(3) Upon the request of such brokers and dealers, through their agents, pay the reasonable expenses, both direct and indirect, of providing beneficial owner information.

**Note.**—A registrant will be deemed to have satisfied its obligations under paragraph (b) of this section by requesting non-objecting beneficial owner lists from a designated agent acting on behalf of the broker or dealer and paying to that designated agent the reasonable expenses of providing the beneficial owner information.

(c) A registrant, at its option, may mail its annual report to security holders to the beneficial owners whose identifying information is provided by brokers and dealers, through their agents, provided that such registrant notifies the brokers and dealers in accordance with paragraph (a) of this section that the registrant will mail the annual report to security holders to the beneficial owners so identified.

By the Commission.

October 15, 1985.

Shirley E. Hollis,  
Assistant Secretary.

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## 17 CFR Part 270

[Release No. IC-14756; File No. S7-16-84]

### Pricing of an Initial Purchase Payment for a Variable Annuity Contract

**AGENCY:** Securities and Exchange Commission.

**ACTION:** Final rule amendments.

**SUMMARY:** The Commission is adopting an amendment to a rule under the Investment Company Act of 1940 that will permit an insurance company separate account to price an initial

purchase payment for a variable annuity contract in accordance with a "two day/five day" procedure. The amended rule is part of a series of Commission rules intended to codify the standards applied to routine separate account exemptive and interpretive questions. The Commission is also adopting related technical amendments to one of the general rules under the Act.

**EFFECTIVE DATE:** October 22, 1985.

**FOR FURTHER INFORMATION CONTACT:** Brian M. Kaplowitz, Special Counsel (202) 272-2061, or Karen L. Skidmore, Attorney (202) 272-3017, Office of Insurance Products and Legal Compliance, Division of Investment Management, Securities and Exchange Commission, 450 Fifth Street NW., Washington, D.C. 20549.

**SUPPLEMENTARY INFORMATION:** The Securities and Exchange Commission ("Commission") today announced the adoption of an amendment to rule 22c-1 [17 CFR 270.22c-1] under the Investment Company Act of 1940 [15 U.S.C. 80a-1 et seq.] ("Act") that permits any registered insurance company separate account ("separate account") to price an initial purchase payment for a variable annuity contract ("variable annuity" or "contract") in accordance with a "two day/five day" procedure. Specifically, the amendment permits a separate account to price an initial purchase payment not later than two business days after receipt of the order to purchase by the insurance company sponsoring the separate account ("insurer"), if the variable annuity application and other information necessary for processing the order to purchase (collectively, "application") are complete upon receipt, and to retain the purchase payment for up to five business days while attempting to obtain the information necessary to complete an incomplete application. If the application cannot be completed within five business days after receipt, the purchase payment must be returned immediately and in full, unless the prospective purchaser is informed of the reasons for the delay and specifically consents to the insurer retaining the purchase payment until the application is made complete.

The Commission believes the "two day/five day" procedure appropriately balances the insurance-related functions involved in the initial sale of a variable annuity and the Act's prompt pricing requirement. The initial sale of a variable annuity, unlike a sale of typical investment company shares, generally involves an insurance and suitability examination and the processing of a lengthy application by the insurer. Since

these matters usually cannot be resolved within one day, strict compliance with rule 22c-1 might not be possible. The two business day period allowed for processing the initial completed application and payment accommodates this problem.<sup>1</sup> Similarly, the exemption from rule 22c-1 granted in the case of an incomplete application will alleviate the industry's concern with literal compliance with the rule. At the same time, the amendment's guidelines for the treatment of incomplete applications will require insurers to act promptly in completing and approving a prospective purchaser's application. The guidelines require that after five business days, the purchaser must be given the opportunity to determine whether he wishes to pursue the application or receive a refund.

This rule amendment is part of a series of Commission rules intended to codify the standards applied to routine separate account exemptive and interpretive questions.<sup>2</sup> Additionally, the Commission is adopting related technical amendments to rule 0-1(e) [17 CFR 270.0-1(e)] under the Act. The background and reasons for the proposal and set forth in Investment Company Act Release No. IC-13913 (May 1, 1984) [49 FR 19320, May 7, 1984].

### Discussion

The amendment adopted herein was proposed for public comment on May 1, 1984. In response, the Commission received one comment letter.<sup>3</sup> While the commentator supported the proposed modifications to rule 22c-1, it questioned at the outset the need to adopt the interpretive position in a formal rule. It also suggested a series of changes to the proposed amendments.

With respect to the need for amending rule 22c-1, the commentator stated that the proposed "two day/five day" procedure already appeared to be uniformly applied and that, as an informal position, it provided the flexibility to address evolving circumstances. It has been the experience of the staff, however, that there has been confusion over the years about the circumstances under which this position may be relied upon. This

<sup>1</sup> Since these insurance-related matters arise only in the processing of an initial purchase payment, all subsequent purchase payments must continue to be priced without use of the "two day/five day" procedure.

<sup>2</sup> See, e.g., Investment Company Act Rel. No. 13407 (July 28, 1983) [48 FR 36097, Aug. 9, 1983] (rule 11a-2); Investment Company Act Rel. No. 13406 (July 28, 1983) [48 FR 36243, Aug. 10, 1983] (rule 6c-8).

<sup>3</sup> The comment letter was subsequently amplified by a second letter from the same commentator.



rulemaking initiative was motivated by the need to publish and to clarify the "two day/five day" procedure for all variable annuity issuers. It would appear preferable to provide certainty for the industry and the public in the important area of pricing than to refrain from action because of possible variant situations which may develop in the future. The Commission and the staff can subsequently address "evolving circumstances" as they actually occur, as specific applications for exemptive relief or formal requests for a no-action position.

With respect to the substance of the proposed changes to rule 22c-1, the commentator recommended a series of refinements which it stated would clarify the scope of the proposal and make it more useful. Most of the suggested changes addressed certain "logistical problems" asserted to be present in group contracts. The changes included expanding the definition of a prospective purchaser, providing for "implied consent" in certain tax-qualified contract situations, and expanding the five business day requirement for obtaining consent. In addition, the commentator proposed that the rule amendments clearly state when a contract is deemed to have been received by the insurer and that the notice and consent requirements may be satisfied by telephonic or oral communication. The Commission has determined to adopt the rule with certain clarifying language in both the rule and this accompanying release. The main points are discussed below.

#### *1. Receipt of the Purchase Order by the Sponsor Insurance Company*

The commentator recommended that the amended rule state clearly that an order to purchase a variable annuity is deemed received when it arrives at the administrative offices of the insurance company, not when a representative or agent of the insurance company receives the application.<sup>4</sup> This approach, the commentator contended, recognizes that the insurance features giving rise to the need for extra time in the initial pricing-in, i.e., underwriting and processing, usually take place at the insurer's administrative offices.

The Commission has not incorporated the commentator's suggestion into the amended rule. It believes there is no real need to change current practice by requiring a company to designate its administrative offices as the only appropriate points for acceptance of a purchase order. Virtually all companies

currently provide in the contract and disclose in the prospectus when communications and payments are deemed received, e.g., "at our principal administrative office before \_\_\_\_ p.m." Thus, in most cases, receipt at an administrative office is the pertinent event.<sup>5</sup> However, should an insurer wish to authorize either an agent or a representative, in addition to its administrative offices, to accept a purchaser's offer to enter into a variable annuity contract (by tender of an application and payment), the Commission sees no reason why the pricing-in period should not begin to run for that company at the place where the contract may be made binding. Thus, "receipt" for pricing purposes under rule 22c-1(c) means receipt by the person (including a corporate entity) empowered to accept the contract as defined under the terms of the contract and disclosed in the prospectus.

#### *2. Type of Communication Necessary To Satisfy the Notification and Consent Requirements*

The proposed rule amendments would require that a prospective purchaser be informed of the reasons for the delay if an incomplete application has not been made complete within five business days of its receipt.<sup>6</sup> They would further require that the purchase payment be returned immediately unless the prospective purchaser specifically consents to the insurer retaining it until the application is made complete.<sup>7</sup>

The commentator recommended that the notification and consent requirements be deemed to be satisfied by telephonic or oral communication and that the rule be modified to state this clearly.<sup>8</sup> The commentator noted that any interpretation of the amended rule as requiring a written communication from an insurer's administrative office would make the rule unworkable, as a practical matter. The Commission agrees that either telephonic or oral communication is acceptable for purposes of the proposed amendments, although it has determined not to revise the language of the final

rule amendments. The Commission wishes, however, to underscore its expectation that insurers using this method of notification and consent will also memorialize any oral exchange with a written document to be placed in their files and available for inspection.

#### *3. Suggestions Related to Administration Problems of Group Contracts*

a. *Definition of the Term, "Prospective Purchaser".* The commentator argued that it is unclear under the proposed amendments whether, in the case of a group contract, the term "prospective purchaser" means a contractowner, a participant under a group contract, or an administrator of a retirement plan. It suggested that the term might be defined to include specifically plan administrators and employers. Thus, only an administrator or employer would have to be notified and give consent for an insurer to hold a plan participant's funds without pricing him in beyond the five business day period specified in the proposed amendments for an incomplete application. This suggested modification, the commentator contended, would ease the logistical problems encountered in some group contracts where multiple layers of administrators may make it difficult for the insurer to contact the applicant within the five business days. Similarly, the commentator argued that where an investor cannot be contacted within that time period, plan administrators "often prefer" not to have initial purchase payments refunded because of the "administrative burdens" involved.

The Commission, while agreeing that the term "prospective purchaser" needs to be clarified, has determined that it would not be appropriate to adopt the commentator's suggested definition. For purposes of the pricing-in requirements of the Act, a prospective purchaser refers to either the individual contractowner or the individual participant under a group contract.<sup>9</sup> It is that individual who must consent to the insurer retaining the purchase payment beyond a minimum period of time without pricing him in. The Commission understands the industry's viewpoint that a large group contract combined with several layers of administrators may make it more difficult for the insurer to trace a particular applicant to obtain his consent within the prescribed time period. However, if the insurer were relieved of this duty by merely

<sup>4</sup> Even where an administrative office is the point of receipt, the insurer may still, of course, permit an agent to accept an application and payment for forwarding to the administrative office. The Commission has not been made aware of any problems arising from delays by the agent in remitting the application and accompanying payment to the administrative office promptly. If this became a problem, the Commission would take the necessary corrective action, including enforcement action if appropriate.

<sup>5</sup> Paragraph (c)(2)(i).

<sup>6</sup> Paragraph (c)(2)(ii).

<sup>7</sup> The proposed amendments were silent as to how these requirements might be met.

<sup>9</sup> A sub-paragraph has been added to the amended rule to define "prospective purchaser."

<sup>4</sup> A company's administrative offices may include both a home office and any regional office.



contacting the plan administrator, the individual would be denied both the benefit of prompt pricing or an immediate return of payment and the opportunity of choosing to waive that benefit. The possibility of some additional administrative burden on the insurer does not appear to the Commission to be an adequate reason to deny prospective purchasers the Act's protections in this area.

b. *Other suggestions.* As an alternative to defining "prospective purchaser" as suggested, the commentator argued that, at a minimum, initial purchase payments should not be required to be returned as per the proposed amendments where a contract is purchased by or for a tax-qualified retirement program. This, the commentator contended, would protect participants from any adverse tax consequences should the participant be inadvertently in receipt of roll-over assets (e.g., from an H.R. 10 or corporate pension plan) beyond the time period prescribed by the tax laws as a result of a refund triggered by the five business day time period. Alternatively, the commentator suggested that the Commission support an interpretation that consent to retain payments beyond five business days would be implied where the annuity purchase involves a tax-qualified plan transaction or is pursuant to certain other group arrangements, provided that full disclosure of this practice is in the prospectus.

The Commission believes that the suggested alternatives would give broader relief than necessary to ensure that a participant is not inadvertently in receipt of funds the subsequent repayment of which would disqualify him from certain tax-related benefits. Under the proposed amendments, the insurer need not automatically return the purchase payment accompanying an incomplete application after five business days have elapsed; the insurer need only obtain the consent of the prospective purchaser in order to hold his payment until such time as the application is made complete. This procedure allows the prospective purchaser to determine for himself whether he wishes to pursue the application further in view of any tax consequences or changes in market and financial circumstances. Of course, if the prospective purchaser cannot be reached and, therefore, consent cannot be obtained within this period, the initial purchase payment must be returned. However, the Commission has not been made aware of any particular instances where this problem has

actually occurred during the past year that the informal "two day/five day" procedure has been in use. Therefore, on balance, the Commission has determined to adopt the amendments essentially as proposed.

#### 4. Definition of the Term "Initial Purchase Payment"

Finally, the commentator observed that in regard to "initial purchase payments," it was unclear whether new participants or new accounts under an existing group contract would be eligible for the rule's exemptive relief. While it is true that the under certain group contracts, any new participant is automatically enrolled without any initial underwriting considerations present, nonetheless, a new application may involve other insurance-related considerations.<sup>10</sup> Therefore, and in order not to disadvantage new participants in certain group contracts, as compared to owners of individual contracts, the amended rule will reflect additional language to ensure that all initial purchase payments made by prospective purchasers of either individual or group will be covered.

#### 5. Amendments to Rule 0-1(e)

As proposed, the Commission is amending rule 0-1(e) of the General Rules and Regulations under the Act, which defines various terms used in certain of those rules, including the term "separate account" and sets forth conditions for availability of exemptive relief for separate accounts pursuant to various of those rules, to include rule 22c-1 as one of the rules listed therein.

#### Regulatory Flexibility Act Certification

Pursuant to section 605(b) of the Regulatory Flexibility Act [15 U.S.C. 605(b)], the Chairman of the Commission previously certified that the adoption of the amendment to Rule 22c-1 will not have a significant economic impact on a substantial number of small entities. No comments were received on that certification.

#### Paperwork Reduction Act

The rule amendment is not subject to the Act because it does not impose an information collection requirement.

<sup>10</sup> For example, state insurance law usually requires that the individuals applying for insurance contract ownership have an "insurance interest" in the person named in the annuity contract (as either annuitant or beneficiary). Therefore, if the application does not indicate the familial or economic relationship justifying an insurable interest, the insurer can not accept the application until such information can be verified.

#### List of Subjects in 17 CFR Part 270

Investment companies, Reporting and recordkeeping requirements, Securities.

#### Statutory Basis and Text of Rule 22c-1 and Amendments to Rule 0-1(e)

Title 17, Chapter II of the Code of Federal Regulations is amended as follows:

#### PART 270—RULES AND REGULATIONS, INVESTMENT COMPANY ACT OF 1940

1. The authority citation for Part 270 is amended by adding the following citation:

Authority: Secs. 38, 40, 54 Stat. 841, 842; 15 U.S.C. 80a-37, \* \* \* § 270.1 also issued under Sec. 38(a) [15 U.S.C. 80a-37(a)]. § 270.22c-1 also issued under Secs. 6(c), 22(c), and 38(a) [15 U.S.C. 80a-6(c), 80a-22(c), and 80a-37(a)].

2. By revising paragraphs (e) introductory text and (e)(2) of § 270.0-1 to read as follows:

#### § 270.0-1 Definition of terms used in the rules and regulations.

(e) Definition of separate account and conditions for availability of exemption under §§ 270.6c-6, 270.6c-7, 270.6c-8, 270.11a-2, 270.14a-2, 270.15a-3, 270.16a-1, 270.22c-1, 270.22d-3, 270.22e-1, 270.26a-1, 270.26a-2, 270.27a-1, 270.27a-2, 270.27a-3, 270.27c-1, and 270.32a-2 of this chapter.

(2) As conditions to the availability of exemptive Rules 6c-6, 6c-7, 6c-8, 11a-2, 14a-2, 15a-3, 16a-1, 22c-1, 22d-3, 22e-1, 26a-1, 26a-2, 27a-1, 27a-2, 27a-3, 27c-1, and 32a-2, the separate account shall be legally segregated, the assets of the separate account shall, at the time during the year that adjustments in the reserves are made, have a value at least equal to the reserves and other contract liabilities with respect to such account, and at all other times, shall have a value approximately equal to or in excess of such reserves and liabilities; and that portion of such assets having a value equal to, or approximately equal to, such reserves and contract liabilities shall not be chargeable with liabilities arising out of any other business which the insurance company may conduct.

3. By adding new paragraph (c) to § 270.22c-1 to read as follows:

#### § 270.22c-1 Pricing of redeemable securities for distribution, redemption and repurchase.

(c) Notwithstanding the provisions above, any registered separate account offering variable annuity contracts, any



person designated in such account's prospectus as authorized to consummate transactions in such contracts, and any principal underwriter of or dealer in such contracts shall be permitted to apply the initial purchase payment for any such contract at a price based on the current net asset value of such contract which is next computed:

(1) Not later than two business days after receipt of the order to purchase by the insurance company sponsoring the separate account ("insurer"), if the contract application and other information necessary for processing the order to purchase (collectively, "application") are complete upon receipt; or

(2) Not later than two business days after an application which is incomplete upon receipt by the insurer is made complete, *Provided, That*, if an incomplete application is not made complete within five business days after receipt.

(i) The prospective purchaser shall be informed of the reasons for the delay, and

(ii) The initial purchase payment shall be returned immediately and in full, unless the prospective purchaser specifically consents to the insurer retaining the purchase payment until the application is made complete.

(3) As used in this section:

(i) "Prospective Purchaser" shall mean either an individual contractowner or an individual participant in a group contract.

(ii) "Initial Purchase Payment" shall refer to the first purchase payment submitted to the insurer by, or on behalf of, a prospective purchaser.

Dated: October 15, 1985.

By the Commission.

Shirley E. Hollis,

Assistant Secretary.

[FR Doc. 85-25108 Filed 10-21-85; 8:45 am]

BILLING CODE 8010-01

## DEPARTMENT OF THE TREASURY

### Customs Service

#### 19 CFR Part 134

[T.D. 85-158]

#### Country of Origin Marking of Pistachio Nuts

**AGENCY:** U.S. Customs Service, Treasury.

**ACTION:** Notice of delayed effective date.

**SUMMARY:** Customs previously ruled that imported pistachio nuts which are processed by roasting, need not

subsequently be marked as products of the foreign country where grown, but become a product of the country where the roasting is performed. A request was received to rescind these rulings because the roasting process does not substantially transform pistachio nuts which have otherwise attained the character in which they will be sold to consumers prior to importation.

Specifically, it was called to Customs attention that pistachio nuts which are grown in Iran are then roasted elsewhere than in Iran. These roasted pistachio nuts are then sold without any indication that the nuts are products of Iran, and under brand names which imply that they are products of California. Customs decided that the roasting, roasting and salting; or roasting, salting, and coloring; of pistachio nuts, without more, does not result in a substantial transformation. Accordingly, by T.D. 85-158, published in the Federal Register on September 18, 1985 (50 FR 37842), the 2 previous rulings were rescinded and the containers of such products must be marked to indicate the country of origin of the raw products. This change was to have taken effect on October 18, 1985.

However, Customs has received requests to delay the effective date T.D. 85-158. Because the requests have merit, Customs has decided to grant 2 additional months to allow all affected parties to comply with the requirements of T.D. 85-158. Accordingly, the effective date is changed from October 18, 1985, to December 18, 1985. All pistachio nuts entered for consumption or withdrawn from warehouse on or after this date are subject to the ruling. The certification requirements of 19 CFR 134.25 will apply only to such pistachio nuts. The ruling will not affect those pistachio nuts which are entered for consumption or withdrawn from warehouse before October 18, 1985, but sold at retail after this date. Only those retail packages which contain pistachio nuts imported on or after the effective date must be marked with the country of origin.

In order to facilitate compliance with the ruling, as an interim measure, the use of adhesive labels applied to cans and packages already in stock or manufactured and printed prior to September 18, 1985 (date of publication of the ruling) may be accepted.

**DATE:** The effective date of this document is October 15, 1985. The new effective date for the document published in the September 18, 1985 (50 FR 37842) Federal Register is December 18, 1985.

**FOR FURTHER INFORMATION CONTACT:** Lorrie R. Rodbart, Entry Procedures and

Penalties Division, U.S. Customs Service, 1301 Constitution Avenue, NW., Washington, D.C. 20229 (202-566-5765).

Dated: October 15, 1985.

John P. Simpson,

Director, Office of Regulations and Rulings.

[FR Doc. 85-24897 Filed 10-18-85; 8:45 am]

BILLING CODE 4820-02-M

## DEPARTMENT OF HEALTH AND HUMAN SERVICES

### Social Security Administration

#### 20 CFR Part 416

[Regulations No. 16]

#### Supplemental Security Income for the Aged, Blind, and Disabled; the Automobile; Property Essential to Self-Support; The Home

**AGENCY:** Social Security Administration, HHS.

**ACTION:** Final rules.

**SUMMARY:** The Department of Health and Human Services is amending its regulations to provide rules where resources are excluded because they are property essential to an individual's self-support. These changes, which do not appear in existing regulations, include value limits for property essential to self-support and the conditions under which an individual's property will be taken into consideration when income-producing activities are associated with the home.

**EFFECTIVE DATE:** These regulations are effective October 22, 1985.

**FOR FURTHER INFORMATION CONTACT:** Henry D. Lerner, Legal Assistant, Office of Regulations, Social Security Administration, 6401 Security Boulevard, Baltimore, Maryland 21235, telephone (301) 594-7463.

**SUPPLEMENTARY INFORMATION:** We published the Notice of Proposed Rulemaking (NPRM) covering the rules on the resources provisions on November 8, 1982 (47 FR 50511). The rules on property essential to self-support and conditions under which an individual's property will be taken into consideration when income-producing activities are associated with the home (which were included in that NPRM) are being finalized separately at this time. The previously published NPRM concerning resources included policies on many issues and may not be ready for publication as final regulations for some time. These rules on property essential to self-support are urgently needed for accuracy and consistency in



claims adjudication and appeals at the hearing level. Therefore, these rules are being finalized at this time. We discuss the comments we received on the subject rules and our responses to them later in the preamble.

Interim regulations dealing with automobiles were published on July 24, 1979 (44 FR 43265). Those regulations are also incorporated in these final regulations since they may relate to property essential to self-support. The comments we received from those interim regulations are also discussed in the preamble.

Section 1613(a)(3) of the Social Security Act (the Act) states that property essential to a person's self-support is excluded from resources "in accordance with and subject to limitations prescribed by the Secretary." Current regulations, however, merely provide that property essential to self-support is excluded from resources if its current market value does not exceed limits which take into account the nature of the business and the gross and net income such business may be expected to produce. In addition, they provide that nonbusiness property is essential to self-support if it is relied upon by the individual as a significant factor in producing income on which he or she can live. Current regulations do not set limits on the value of property or on the amount of income a person must get from the property or how it relates to a service essential to the person's daily activities before the property can be excluded as a resource essential to self-support. However, limits of \$6,000 equity value and the requirement of a 6 percent annual rate of return for the exclusion of property essential to self-support are contained in operating instructions. We are placing these limits and other specific rules in these regulations.

Under these rules, we will exclude from countable resources up to \$6,000 of a person's equity in business and nonbusiness income-producing assets (other than the home property) if each income-producing activity produces a net annual income to the individual of at least 6 percent of the excluded equity value. This net annual income requirement must be met every year. However, if an activity produces less than a 6 percent return due to circumstances beyond the individual's control (for example, crop failure, illness, etc.) and there is a reasonable expectation that the activity will again produce a 6 percent return, the property is also excluded.

We are providing an exception to the \$6,000/6-percent rule in these final regulations as a result of public comments received after publication of

the Notice of Proposed Rulemaking on the resources provisions on November 8, 1982, at 47 FR 50511. The \$6,000/6-percent rule will not apply to any property essential to self-support that represents the authority granted by a governmental agency to engage in an income-producing activity. An example of this type of property is a commercial fishing permit.

The rationale for this exception is that there is an important distinction between property that provides the authority to engage in an activity and property, such as tools and machinery, that is actually used to perform the activity. For activities which require the approval of an authorizing governmental agency, a person cannot even attempt to become self-supporting without official permission. By recognizing this distinction, we will allow these individuals to engage in their chosen activities on an equal footing with other persons who do not need permission to undertake an activity. However, when this type of property is not used in a trade or business or nonbusiness income-producing activity, and there is no reasonable expectation that it will be used, it is a countable resource subject to the applicable limit.

We are also revising the regulations to show that if any income-producing property also qualifies as the individual's home (principal place of residence), it is excluded from countable resources under the home exclusion. Any income-producing assets that are located on the home property but do not qualify under the home exclusion, however, may be excluded in certain instances as "property essential to self-support."

Due to changes in operating policy, a limit of \$6,000 equity value and a requirement of a 6-percent annual rate of return on income-producing property associated with the home already apply to all claims filed January 1, 1981 or later. In any claim filed on or after October 1, 1976 and before January 1, 1981, income-producing property was excluded regardless of value, if the income-producing property was associated with an excluded home. Thus, the group of individuals whose claims were filed on or after October 1, 1976 and before January 1, 1981 has been advantaged by not having this self-support property subjected to the \$6,000/6-percent rule. By bringing this group in line with similarly situated SSI recipients, some of these recipients may lose SSI benefits. To lessen the effect of this change, we intend to apply the rule only prospectively upon routine redetermination of eligibility.

In addition, we are amending the rules dealing with (an) automobile(s). The rules for automobiles in these final regulations are the same rules, with one exception, that were published in the Federal Register on July 24, 1979 (44 FR 43265) with interim effect. The exception, which is the exclusion of a vehicle for use as transportation in unusual climate and terrain, has been moved from the rules concerning property essential to self-support to the section concerning automobiles. This promotes consistency and curtails the number of automobiles that may be excluded from resources. In some situations, current regulations may allow for the exclusion of more than one automobile.

Section 416.1212 defines the home and explains that the home is not counted toward the resource limit, regardless of its value. If a person leaves the home to move into an institution, we will not count the home as a resource as long as a spouse or dependent relative of the eligible individual continues to live there.

Section 416.1218 explains the rules dealing with (an) automobile(s). We indicate in this section that either an individual's equity or the current market value will be used to value an automobile depending on the situation. The rules for automobiles in these regulations are essentially the same rules that were published in the Federal Register on July 24, 1979 (44 FR 43265) with interim effect. We invited public comments through September 24, 1979. Views were expressed by several commenters on the automobile interim rules and are being addressed later in this preamble. These rules were effective November 1, 1979. However, we are modifying this section to provide for the total exclusion of an automobile necessary for transportation to perform essential daily activities in unusual climate and terrain. Current rules provide for this exclusion as part of the rules about property essential to self-support. This final rule relocates this exclusion to § 416.1218.

The rules dealing with property essential to self-support are in §§ 416.1220 through 416.1227. When we count the value of the resources persons have, we do not count the value of property essential to self-support, if it meets certain requirements. Property essential to self-support can include both real and personal property used in a trade or business, nonbusiness income-producing property, and property not used for income production. Property essential to self-



support does not include the home as defined in § 416.1212.

Sections 416.1220 through 416.1224 describe property essential to self-support and explain how we count that property. These sections provide specific value limits for property essential to self-support. We will exclude as property essential to self-support up to \$6,000 of an individual's equity in property if it yields him or her a net annual return of at least 6 percent of his or her equity up to \$6,000. If the individual's equity is greater than \$6,000, we count only the equity that exceeds \$6,000 toward the resource limit (as described in § 416.1205) if the net annual income requirement of 6 percent is met on the amount of the excluded equity. Section 416.1222(b) excepts from the \$6,000 and 6 percent requirements any property essential to self-support that represents the authority granted by a governmental agency to engage in an income-producing activity.

Sections 416.1225 through 416.1227 describe the conditions under which we exclude resources that beneficiaries use or set aside to complete an approved plan to become self-supporting. These rules are not new but have been in Subpart Q, Referral for Rehabilitation Services, Other Benefits, Other Services and Assistance. The rules are now being located in this subpart because they concern resources. These rules are also similar to the income rules on an approved plan for self-support that were published in the Federal Register on October 3, 1980 (45 FR 65541).

**Comments Received Following Publication of the Notice of Proposed Rulemaking on the Home and Property Essential to Self-Support (Published November 8, 1982 (47 FR 50511))**

*Comment:* One commenter suggested that the term "principal place of residence" should be defined in § 416.1210(c) (now § 416.1212(c)) of the resources provisions because a home can still be excluded if an individual leaves the home and has the intent to return. The intent to return rule causes homes for institutionalized individuals to be excluded for years, even if there is no dependent relative living there.

*Response:* The term "principal place of residence" is defined as the home in § 416.1212 (along with the requirement for ownership interest). The rules that describe institutionalized individuals are in § 416.211. Further defining the term "principal place of residence" will not eliminate the need to establish an individual's intent, since it remains our policy that the home will be considered the individual's principal place of

residence if he or she moves to an institution, but intends to return home.

*Comment:* One commenter suggested that the last sentence of § 416.1210(c) (now § 416.1212(c)) of the resources provisions be amended so that the home exclusion will now apply where the dependent relative is a spouse or a minor child and lives in an individual's home while the individual is in an institution.

*Response:* We are modifying the regulation to make it clear that our current policy is that the home exclusion will continue to apply as long as a spouse or dependent relative lives in the home.

*Comment:* Several commenters suggested that the proposed rule concerning property essential to self-support (\$6,000 equity value/6-percent net annual income) in proposed § 416.1220(b) (now § 416.1222(a)) be revised since the limits were based on an earlier survey. The present limit will not permit SSI recipients to be self-supporting and does not take into account the high inflation since the start of the program. Several commenters were especially concerned about the effect of the limits on small farmers.

*Response:* It is true that the proposed limits on property essential to self-support were based on an earlier survey. At the time, we considered Congressional intent, reviewed State plan requirements used in former State programs, analyzed some small income producing operations, and conducted a study of approximately 600 claims involving income producing property. We also considered the value of the property involved and the income being derived from it. The decision to base the \$6,000 limit on equity instead of current market value took into account the capital investment necessary in farming and other small businesses. Also, the use of equity instead of current market value to evaluate property essential to self-support does permit individuals who might otherwise be ineligible based on the market value of the property to receive SSI benefits because the property is encumbered.

The equity value limit, therefore, would allow small farmers to own valuable resources and remain eligible for SSI since farm equipment and machinery can be encumbered. For farmers also, the home exclusion can exclude from resources the land on which they farm, oftentimes a high value asset. So we think the combination of a rule based on equity value and a rule excluding the farmer's land provides sufficient safeguards that truly needy farmers can be eligible for SSI.

In administering this national needs based program, it has been our experience that the proposed uniform nationwide limits are reasonable in the generality of cases. However, we are not unmindful of the concerns raised and we will therefore continue to evaluate the reasonableness of the limits and will make any changes we decide are necessary in light of our evaluation.

*Comment:* One commenter stated that the equity value limitation concerning property essential to self-support is inappropriate when applied to commercial fishermen in the State of Alaska. An Alaskan limited entry permit is apparently valued by the State up to \$33,000. The proposed rule essentially forces Alaska's blind, elderly, and disabled fishermen and fisherwomen to choose between work and welfare. They must either keep their fishing permits and continue to fish (notwithstanding the risk that they will not earn enough to fully support themselves and their families) or sell their permits. In the short term, the sale would bring them money. However, after the proceeds of the sale were spent, they would be wholly dependent on the Government for support. The rule, therefore, is inconsistent with Congress' intent in creating SSI.

*Response:* We are adopting an exception to the \$6,000/6-percent rule in response to this comment. The general limits will not apply to any property used in a trade or business or a nonbusiness income-producing activity that is essential to self-support if the property represents the authority granted by a governmental agency to engage in an income-producing activity. Unlike the equipment and land of small farmers, these fishermen's highest value asset, their permits which they are required by State law to obtain in order to fish, cannot be effectively excluded by the general limits or any other resource exclusion. The exception, therefore, attempts to place on a par all individuals who engage in self-support activities. The exception will apply not just to fishing permits but to any asset that represents the authority to engage in a self-support activity.

*Comment:* One commenter stated that the \$6,000 equity limit on the amount of resources essential to self-support in proposed § 416.1220(b) (now § 416.1222(a)) will almost always preclude the exclusion in cases where an individual is institutionalized and rents his or her home while in the institution. The limit is too low to enable an individual to keep his or her home while in the institution and could cause the home to be sold. This situation could



cause an individual to have no home when he or she leaves the institution.

**Response:** The home will remain excluded from resources as the individual's principal place of residence while the individual is in an institution if the individual has the intention of returning to the home when he or she is released from the institution.

**Comments Received Following Publication of Interim Regulations on the Automobile (Published July 24, 1979 (44 FR 43266))**

**Comment:** Two commenters suggested that the current market value of an automobile be its wholesale price and not its retail price. The trade-in wholesale value is the amount of money that the individual will receive if the automobile is sold, and thus should be the value placed on the vehicle.

**Response:** In determining the current market value of an automobile, we do use the wholesale value rather than the retail value. This policy is spelled out in the operating instructions.

**Comment:** One commenter suggested that an indexing clause be included in the final rule since the \$4,500 amount was adopted by Congress in 1977. Can this amount be considered "reasonable" in future years?

**Response:** We are not adopting the suggestion to include an indexing clause to update the limit on the automobile because program experience shows that the first automobile owned by an SSI recipient/applicant is excluded in virtually all cases. Few automobiles owned by SSI individuals are worth more than \$4,500 wholesale, and it is very rare that a first automobile worth more than \$4,500 does not qualify for exclusion because of how it is used as described in § 416.1218 of the regulations.

**Comment:** One commenter suggested that the rule in § 416.1218(b)(3), which would require that the individual's equity in a second car be counted, should be clarified to explain the reference to § 416.1224(d) concerning a motor vehicle which is considered essential to self-support.

**Response:** The reference to § 416.1224(d) has been changed and the rule rewritten to make it easier to understand. The new rule was placed in § 416.1214(b)(1)(iv) of the NPRM that was published November 8, 1982 (47 FR 50511) and is being finalized in this publication as § 416.1218(b)(1)(iv). It explains that an automobile is totally excluded if necessary to perform essential daily activities in unusual climate or terrain. The rule was relocated from the self-support rules to the automobile rules.

**Comment:** One commenter stated that the reason which prompted Congress to adopt a market value of \$4,500 to evaluate an automobile for food stamp recipients does not exist in the SSI program. Congress was attempting to correct the public perception of abuse of the food stamp program by individuals who owned expensive cars and whose automobiles were excluded because the law permitted exclusion of one automobile regardless of value if used for a household member's transportation. Since this is not the predicament of the SSI program, the commenter states SSA had no reason to depart from the equity standard for evaluating the automobile. Also, several commenters requested additional rationale to support the evaluation of the first car at current market value while a second car is valued at equity.

**Response:** When the NPRM for the automobile was published on May 2, 1978 (43 FR 18698), it proposed to implement a June 4, 1977, Secretary's decision to exclude the value of an automobile using an equity basis of \$2,000. In commenting on the NPRM, the Department of Agriculture and the Office of Management and Budget suggested that an exception to the equity concept be made with respect to the automobile in order to achieve consistency with the Food Stamp Act of 1977. That statute provided for a \$4,500 market value limit for all automobiles in a food stamp household, with certain exceptions. Based on the foregoing, SSA agreed there was a need for program consistency with the food stamp program and welfare reform planning with respect to the treatment of automobiles and this is why the automobile is an exception to the usual SSI equity rule when valuing resources.

**Executive Order 12291**

These regulations have been reviewed under Executive Order 12291 and do not meet any of the criteria for a major regulation because they will not have an annual effect on the economy of \$100 million and will not cause increases in costs or prices. Therefore, a regulatory impact analysis is not required.

**Regulatory Flexibility Act**

We certify that these regulations will not have a significant economic impact on a substantial number of small entities because these rules affect only individuals and States. Therefore, a regulatory flexibility analysis as provided in Pub. L. 96-354, the Regulatory Flexibility Act, is not required.

**Paperwork Reduction Act**

These regulations impose no additional reporting or recordkeeping requirements requiring OMB clearance.

**List of Subjects in 20 CFR Part 416**

Administrative practice and procedure, Aged, Blind, Disability benefits, Public assistance programs, Supplemental Security Income (SSI).

(Catalog of Federal Domestic Assistance Program No. 13.807, Supplemental Security Income program)

Dated: June 6, 1985.

Approved: July 25, 1985.

Martha A. McSteen,

Acting Commissioner of Social Security.

Margaret M. Heckler,

Secretary of Health and Human Services.

Subpart L of Part 416 of Chapter III of Title 20 of the Code of Federal Regulations is amended as follows:

**PART 416—[AMENDED]**

1. The authority citation for Subpart L of Part 416 continues to read as follows:

Authority: Secs. 1102, 1611, 1613, 1614 and 1631 of the Social Security Act, as amended; Sec. 211 of Pub. L. 93-66; 49 Stat. 647, as amended; 86 Stat. 1466, 1470, 1471 and 1475, as amended; 42 U.S.C. 1302, 1382, 1382b, 1382c and 1383.

2. Section 416.1212 is revised to read as follows:

**§ 416.1212 Exclusion of the home.**

(a) *Defined.* A home is any property in which an individual (and spouse, if any) has an ownership interest and which serves as the individual's principal place of residence. This property includes the shelter in which an individual resides, the land on which the shelter is located and related outbuildings.

(b) *Home not counted.* We do not count a home regardless of its value. However, see §§ 416.1220-416.1224 when there is an income-producing property located on the home property that does not qualify under the home exclusion.

(c) *If an individual changes principal place of residence.* If an individual (and spouse, if any) moves out of his or her home without the intent to return, the home becomes a countable resource because it is no longer the individual's principal place of residence. If an individual leaves his or her home to live in an institution, we still consider the home to be the individual's principal place of residence, irrespective of the individual's intent to return as long as a spouse or dependent relative of the eligible individual continues to live there. The individual's equity in the



former home becomes a countable resource effective with the first day of the month following the month it is no longer his or her principal place of residence.

3. Paragraph (b) of § 416.1218 is revised to read as follows:

**§ 416.1218 Exclusion of the automobile.**

(b) *Limitation on automobiles.* In determining the resources of an individual (and spouse, if any), automobiles are excluded or counted as follows:

(1) *Total exclusion.* One automobile is totally excluded regardless of its value if, for the individual or a member of the individual's household—

(i) It is necessary for employment;

(ii) It is necessary for the medical treatment of a specific or regular medical problem;

(iii) It is modified for operation by or transportation of a handicapped person; or

(iv) It (or other type of vehicle) is necessary because of climate, terrain, distance, or similar factors to provide necessary transportation to perform essential daily activities.

(2) *Exclusion to \$4,500 of the market value.* If no automobile is excluded under paragraph (b)(1) of this section, one automobile is excluded from counting as a resource to the extent its current market value does not exceed \$4,500. If the market value of the automobile exceeds \$4,500, the excess is counted against the resource limit.

(3) *Other automobiles.* Any other automobiles are treated as nonliquid resources and counted against the resource limit to the extent of the individual's equity (see § 416.1201(c)).

4. Section 416.1220 is revised to read as follows:

**§ 416.1220 Property essential to self-support; general.**

When counting the value of resources an individual (and spouse, if any) has, the value of property essential to self-support is not counted, within certain limits. There are different rules for considering this property depending on whether it is income-producing or not. Property essential to self-support can include real and personal property (for example, land, buildings, equipment and supplies, motor vehicles, and tools, etc.) used in a trade or business (as defined in § 404.1066 of Part 404), nonbusiness income-producing property (houses or apartments for rent, land other than home property, etc.) and property used to produce goods or services essential to an individual's daily activities. Liquid

resources other than those used as part of a trade or business are not property essential to self-support. If the individual's principal place of residence qualifies under the home exclusion, it is not considered in evaluating property essential to self-support.

5. Section 416.1222 is revised to read as follows:

**§ 416.1222 How income-producing property essential to self-support is counted.**

(a) *General.* When deciding the value of property used in a trade or business or nonbusiness income-producing activity, only the individual's equity in the property is counted. We will exclude as essential to self-support up to \$6,000 of an individual's equity in income-producing property if it produces a net annual income to the individual of at least 6 percent of the excluded equity. If the individual's equity is greater than \$6,000, we count only the amount that exceeds \$6,000 toward the allowable resource limit specified in § 416.1205 if the net annual income requirement of 6 percent is met on the excluded equity. If the activity produces less than a 6-percent return due to circumstances beyond the individual's control (for example, crop failure, illness, etc.), and there is a reasonable expectation that the individual's activity will again produce a 6-percent return, the property is also excluded. If the individual owns more than one piece of property and each produces income, each is looked at to see if the 6-percent rule is met and then the amounts of the individual's equity in all of those properties producing 6 percent are totaled to see if the total equity is \$6,000 or less. The equity in those properties that do not meet the 6-percent rule is counted towards the allowable resource limit specified in § 416.1205. If the individual's total equity in the properties producing 6-percent income is over the \$6,000 equity limit, the amount of equity exceeding \$6,000 is counted as a resource towards the allowable resource limit.

*Example 1.* Sharon has a small business in her home making hand-woven rugs. The looms and other equipment used in the business have a current market value of \$7,000. The value of her equity is \$5,500 since she owes \$1,500 on the looms. Sharon's net earnings from self-employment is \$400. Since Sharon's equity in the looms and other equipment (\$5,500) is under the \$6,000 limit for property essential to self-support and her net income after expenses (\$400) is greater than 6 percent of her equity, her income-producing property is excluded from countable resources. The home is not considered in any way in valuing property essential to self-support.

*Example 2.* Charlotte operates a farm. She owns 3 acres of land on which her home is located. She also owns 10 acres of farm land not connected to her home. There are 2 tool sheds and 2 animal shelters located on the 10 acres. She has various pieces of farm equipment that are necessary for her farming activities. We exclude the house and the 3 acres under the home exclusion (see § 416.1212). However, we look at the other 10 acres of land, the buildings and equipment separately to see if her total equity in them is no more than \$6,000 and if the annual rate of return is 6 percent of her equity. In this case, the 10 acres and buildings are valued at \$4,000 and the few items of farm equipment and other inventory are valued at \$1,500. Charlotte sells produce which nets her more than 6 percent for this year. The 10 acres and other items are excluded as essential to her self-support and they continue to be excluded as long as she meets the 6-percent annual return requirement and the equity value of the 10 acres and other items remains less than \$6,000.

*Example 3.* Henry has an automobile repair business valued at \$5,000. There are no debts on the property and bills are paid monthly. For the past 4 years the business has just broken even. Since Henry's income from the business is less than 6 percent of his equity, the entire \$5,000 is counted as his resources. Since this exceeds the resources limit as described in § 416.1205, he is not eligible for SSI benefits.

(b) *Exception.* Property that represents the authority granted by a governmental agency to engage in an income-producing activity is excluded as property essential to self-support if it is:

(1) Used in a trade or business or nonbusiness income-producing activity, or,

(2) not used due to circumstances beyond the individual's control, e.g., illness, and there is a reasonable expectation that the use will resume;

*Example.* John owns a commercial fishing permit granted by the State Commerce Commission, a boat, and fishing tackle. The boat and tackle have an equity value of \$8,500. Last year, John earned \$2,000 from his fishing business. The value of the fishing permit is not determined because the permit is excluded under the exception. The boat and tackle are producing in excess of a 6 percent return on the excluded equity value, so they are excluded under the general rule (see paragraph (a) of this section) up to \$6,000. The \$500 excess value is counted toward the resource limit as described in § 416.1205.

6. Section 416.1224 is revised to read as follows:

**§ 416.1224 How nonbusiness property used to produce goods or services essential to self-support is counted.**

Nonbusiness property is considered to be essential for an individual's (and spouse, if any) self-support if it is used to produce goods or services necessary



for his or her daily activities. This type of property includes real property such as land which is used to produce vegetables or livestock only for personal consumption in the individual's household (for example, corn, tomatoes, chicken, cattle). This type of property also includes personal property necessary to perform daily functions exclusive of passenger cars, trucks, boats, or other special vehicles. (See § 416.1218 for a discussion on how automobiles are counted.) Property used to produce goods or services or property necessary to perform daily functions is excluded if the individual's equity in the property does not exceed \$6,000. Personal property which is required by the individual's employer for work is not counted, regardless of value, while the individual is employed. Examples of this type of personal property include tools, safety equipment, uniforms and similar items.

*Example.* Bill owns a small unimproved lot several blocks from his home. He uses the lot, which is valued at \$4,800, to grow vegetables and fruit only for his own consumption. Since his equity in the property is less than \$6,000, the property is excluded as necessary to self-support.

7. Section 416.1225 is added to read as follows:

**§ 416.1225 An approved plan for self-support; general.**

If the individual is blind or disabled, resources will not be counted that are identified as necessary to fulfill a plan for achieving self-support which is in writing, has been approved by the Social Security Administration and is being pursued by the individual.

8. Section 416.1226 is revised to read as follows:

**§ 416.1226 What a plan to achieve self-support is.**

A plan to achieve self-support must—

- (a) Be designed especially for the individual;
- (b) Be in writing;
- (c) Be approved by the Social Security Administration (a change of plan must also be approved);
- (d) Be designed for an initial period of not more than 18 months. The period may be extended for up to another 18 months if the individual cannot complete the plan in the first 18-month period. A total of up to 48 months may be allowed to fulfill a plan for a lengthy education or training program designed to make the individual self-supporting;
- (e) Show the individual's specific occupational goal;
- (f) Show what resources the individual has or will receive for purposes of the plan and how he or she

will use them to attain his or her occupational goal; and

(g) Show how the resources the individual set aside under the plan will be kept identifiable from his or her other funds.

9. Section 416.1227 is added to read as follows:

**§ 416.1227 When the resources excluded under a plan to achieve self-support begin to count.**

The resources that were excluded under the individual's plan will begin to be counted as of the first day of the month following the month in which any of these circumstances occur:

- (a) Failing to follow the conditions of the plan;
- (b) Abandoning the plan;
- (c) Completing the time schedule outlined in the plan; or
- (d) Reaching the goal as outlined in the plan.

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## DEPARTMENT OF THE TREASURY

### Internal Revenue Service

#### 26 CFR Part 1

[T.D. 8059]

#### Statutory Merger Using Voting Stock of the Corporation Controlling the Merged Corporation

**AGENCY:** Internal Revenue Service, Treasury.

**ACTION:** Final regulations.

**SUMMARY:** This document contains final regulations relating to the statutory merger of a controlled corporation into an acquiring corporation using the voting stock of the corporation controlling the merged corporation (reverse triangular merger). Changes to the applicable tax law were made by Public Law 91-693. These regulations affect corporations involved in reverse triangular mergers, and the shareholders and security holders of those corporations, and provide guidance needed to comply with the law.

**DATES:** These regulations are effective October 22, 1985. These regulations apply to statutory mergers occurring after December 31, 1970.

**FOR FURTHER INFORMATION CONTACT:** Andrew B. Pullman of the Legislation and Regulations Division, Office of Chief Counsel, Internal Revenue Service, 1111 Constitution Avenue, NW., Washington, D.C. 20224, Attention: CC:LR:T, (202-566-3458, not a toll-free call).

## SUPPLEMENTARY INFORMATION:

### Background

On January 2, 1981, the Federal Register published proposed amendments to the Income Tax Regulations (26 CFR Part 1) under section 368 of the Internal Revenue Code of 1954 (the "Code") (46 FR 114). The amendments were proposed to conform the regulations to Public Law 91-693, which added section 368(a)(2)(E) to the Code. Because a public hearing was not requested, no public hearing was held. After consideration of all comments regarding the proposed amendments, those amendments are adopted as revised by this Treasury decision.

### Summary of Public Comments and Changes To Proposed Regulations

#### Control Requirement

Section 368(a)(2)(E)(ii) of the Code requires that, in the transaction, former shareholders of the surviving corporation (hereinafter "T") exchange, for voting stock of the controlling corporation (hereinafter "P"), an amount of stock which constitutes control of T (as defined in section 368(c) of the Code). Section 1.368-2(j)(3)(i) of the proposed regulations provides that the amount of T stock surrendered in the transaction by T shareholders in exchange for P voting stock must itself constitute control. Accordingly, if P owns more than 20 percent of T, the transaction does not qualify under section 368(a)(2)(E). Example (3) of proposed § 1.368-2(j)(7) illustrates that result. Numerous commenters suggested that, instead, the regulations provide that the requirement of section 368(a)(2)(E)(ii) is satisfied if, in the transaction, T shareholders surrender in exchange for P voting stock an amount of T stock which, when added to P's prior stock ownership in T, constitutes control.

After careful consideration, it is concluded that the statute does not permit the interpretation advanced by the commenters. Section 1.368-2(j)(3)(i) and example (4) of § 1.368-2(j)(7) of the final regulations retain the rule set forth in the proposed regulations. Examples (6) and (7) of § 1.368-2(j)(7) of the final regulations clarify, however, that the control requirement of section 368(a)(2)(E)(ii) may be satisfied despite the fact that, in the transaction, P contributes money or other property to T in exchange for additional T stock, or P receives T stock in exchange for its prior interest in the merged corporation (hereinafter "S"). However, as



illustrated in example (9) of § 1.368-2(j)(7) of the final regulations, the receipt of such T stock will not contribute to satisfaction of that control requirement.

Section 1.368-2(j)(3)(i) of the proposed regulations also provides that, for purposes of the control requirement, T's outstanding stock is measured immediately before the transaction. Further, as illustrated in examples (2) and (4) of proposed § 1.368-2(j)(7), payments to T's shareholders other than P voting stock (such as cash payments to dissenters or payments in redemption of T stock), as part of the transaction, could prevent satisfaction of that requirement. Several commenters suggested that, similar to reorganizations under section 368(a)(1)(B), payments to T's shareholders could be disregarded for purposes of the control requirement, provided the consideration was furnished by T and not by P. In response, § 1.368-2(j)(3)(i) of the final regulations, reflecting an interpretation of the statute which looks to the consideration furnished by P rather than that received by the T shareholders, provides that such payments by T and not by P may be disregarded for purposes of section 368(a)(2)(E)(ii). As with reorganizations under section 368(a)(1)(B), the facts and circumstances of each case will determine whether the payments came from T or P. Examples (2) and (3) of § 1.368-2(j)(7) of the final regulations illustrate that result. However, § 1.368-2(j)(3)(i) and (iii) also clarify that those payments are treated as a reduction of T's properties for purposes of section 368(a)(2)(E)(i), which requires that, after the transaction, T hold substantially all of its properties. In addition, receipt of consideration other than P stock by T shareholders in the transaction could prevent satisfaction of the continuity of interest requirement.

Section 1.368-2(j)(3)(i) of the proposed regulations defines control under section 368(c). Since current law is sufficiently clear as to the definition of control under section 368(c), the final regulations do not contain such a definition.

Section 1.368-2(j)(3)(ii) of the proposed regulations provides that P must acquire control of T in the transaction. Section 1.368-2(j)(3)(ii) of the final regulations clarifies this rule to provide that P must be in control of T immediately after the transaction. Thus, any disposition by P of the T stock acquired (other than a transfer described in section 368(a)(2)(C)), or any new issuance of stock by T to persons other than P, as part of the transaction, which causes P not to be in control of T

will prevent the transaction from qualifying under section 368(a)(2)(E). Example (8) of § 1.368-2(j)(7) of the final regulations illustrates this rule.

#### "Substantially All" Requirement

Section 368(a)(2)(E)(i) of the Code requires generally that, after the transaction, T hold substantially all of its properties and substantially all of the properties of S. Section 1.368-2(j)(4) of the proposed regulations indicates that this requirement will not be satisfied where, as part of the transaction, T transfers assets to a corporation controlled by T, notwithstanding section 368(a)(2)(C) of the Code. Several commenters suggested that section 368(a)(2)(C) permits assets to T to be transferred to a controlled corporation without violating the "substantially all" requirement. In response, § 1.368-2(j)(4) of the final regulations provides that such transfers do not violate the "substantially all" requirement.

Section 1.368-2(j)(3)(iii)(E) of the final regulations clarifies that money transferred from P to S to satisfy minimum state capitalization requirements, which eventually is returned to P as part of the transaction, is not taken into account in applying the "substantially all" test to the assets of S.

#### Assumption of Liabilities; Exchange of Securities

Section 1.368-2(j)(5) of the proposed regulations provides that P may assume liabilities of T without disqualifying the transaction under section 368(a)(2)(E). Commenters requested that the regulations clarify the treatment of such liability assumption by P. Accordingly, § 1.368-2(j)(5) of the final regulations clarifies that liability assumption is a continuation to the capital of T by its shareholder P. In addition, § 1.368-2(j)(5) of the final regulations clarifies that where, pursuant to the plan of reorganization, securities of T are exchanged for securities of P, or for other securities of T which, for example, are convertible into P stock, that exchange is subject to the otherwise applicable provisions of section 354 and 356.

#### Relation to Section 368(a)(1)(B)

A few commenters suggested that the regulations confirm that a transaction which fails to qualify under section 368(a)(2)(E) may, under appropriate circumstances, qualify as a reorganization described in section 368(a)(1)(B), as in Rev. Rul. 67-448, 1967-2 C.B. 144. Examples (4) and (5) of § 1.368-2(j)(7) of the final regulations confirm this result.

#### Merged Corporation

Finally, in response to comments, § 1.368-2(j)(6) of the final regulations clarifies that S can be an existing corporation as well as a corporation formed for purposes of the section 368(a)(2)(E) transaction.

#### Regulatory Flexibility Act and Executive Order 12291

The Commissioner of Internal Revenue has determined that this final rule is not a major rule as defined in Executive Order 12291 and that a Regulatory Impact Analysis is therefore not required. Because the notice of proposed rulemaking for these regulations was filed with the Federal Register on December 29, 1980, no regulatory flexibility analysis is required.

#### Drafting Information

The principal author of these regulations is Andrew B. Pullman of the Legislation and Regulations Division of the Office of Chief Counsel, Internal Revenue Service. However, personnel from other offices of the Internal Revenue Service and Treasury Department participated in developing these regulations, both on matters of substance and style.

#### List of Subjects in 26 CFR 1.301-1 through 1.383-3

Income taxes, Corporations, Corporate distributions, Corporate adjustments, Reorganizations.

#### Adoption of Amendments to the Regulations

Accordingly, 26 CFR Part 1 is amended as follows:

**Paragraph 1.** The authority for Part 1 continues to read in part:

Authority: 26 U.S.C. 7805. \* \* \*

**Par. 2.** Section 1.368-2 is amended by adding paragraphs (b)(3), (i), and (j). These added provisions read as follows:

#### § 1.368-2 Definition of terms.

\* \* \*

(b) \* \* \*

(3) For regulations under section 368(a)(2)(E), see paragraph (j) of this section.

\* \* \*

(i) [Reserved]

(j)(1) This paragraph (j) prescribes rules relating to the application of section 368 (a) (2) (E). Section 368(a)(2)(E) applies to statutory mergers occurring after December 31, 1970.

(2) Section 368(a)(2)(E) does not apply to a consolidation.



(3) A transaction otherwise qualifying under section 368(a)(1)(A) is not disqualified by reason of the fact that stock of a corporation (the controlling corporation) which before the merger was in control of the merged corporation is used in the transaction, if the conditions of section 368(a)(2)(E) are satisfied. Those conditions are as follows:

(i) In the transaction, shareholders of the surviving corporation must surrender stock in exchange for voting stock of the controlling corporation. Further, the stock so surrendered must constitute control of the surviving corporation. Control is defined in section 368(c). The amount of stock constituting control is measured immediately before the transaction. For purposes of this subdivision (i), stock in the surviving corporation which is surrendered in the transaction (by any shareholder except the controlling corporation) in exchange for consideration furnished by the surviving corporation (and not by the controlling corporation of the merged corporation) is considered not to be outstanding immediately before the transaction. For effect on "substantially all" test of consideration furnished by the surviving corporation, see paragraph (j)(3)(iii) of this section.

(ii) Except as provided in paragraph (j)(4) of this section, the controlling corporation must control the surviving corporation immediately after the transaction.

(iii) After the transaction, except as provided in paragraph (j)(4) of this section, the surviving corporation must hold substantially all of its own properties and substantially all of the properties of the merged corporation (other than stock of the controlling corporation distributed in the transaction). The term "substantially all" has the same meaning as in section 368(a)(1)(C). The "substantially all" test applies separately to the merged corporation and to the surviving corporation. In applying the "substantially all" test to the surviving corporation, consideration furnished in the transaction by the surviving corporation in exchange for its stock is property of the surviving corporation which it does not hold after the transaction. In applying the "substantially all" test to the merged corporation, assets transferred from the controlling corporation to the merged corporation in pursuance of the plan of reorganization are not taken into account. Thus, for example, money transferred from the controlling corporation to the merged corporation to

be used for the following purposes is not taken into account for purposes of the "substantially all" test:

(A) To pay additional consideration to shareholders of the surviving corporation;

(B) To pay dissenting shareholders of the surviving corporation;

(C) To pay creditors of the surviving corporation;

(D) To pay reorganization expenses; or

(E) To enable the merged corporation to satisfy state minimum capitalization requirements (where the money is returned to the controlling corporation as part of the transaction).

(4) A transaction qualifying under section 368(a)(1)(A) by reason of the application of section 368(a)(2)(E) is not disqualified merely because part or all of the stock of the surviving corporation is transferred to a corporation controlled by the controlling corporation, or because part or all of the assets of the surviving corporation or the merged corporation are transferred to a corporation controlled by the controlling corporation. See section 368(a)(2)(C).

(5) The controlling corporation may assume liabilities of the surviving corporation without disqualifying the transaction under section 368(a)(2)(E). An assumption of liabilities of the surviving corporation by the controlling corporation is a contribution to capital by the controlling corporation to the surviving corporation. If, in pursuance of the plan of reorganization, securities of the surviving corporation are exchanged for securities of the controlling corporation, or for other securities of the surviving corporation, see sections 354 and 356.

(6) In applying section 368(a)(2)(E), it makes no difference if the merged corporation is an existing corporation, or is formed immediately before the merger, in anticipation of the merger, or after preliminary steps have been taken to otherwise acquire control of the surviving corporation.

(7) The following examples illustrate the application of this paragraph (j). In each of the examples, Corporation P owns all of the stock of Corporation S and, except as otherwise stated, Corporation T has outstanding 1,000 shares of common stock and no shares of any other class. In each of the examples, it is also assumed that the transaction qualifies under section 368(a)(1)(A) if the conditions of section 368(a)(2)(E) are satisfied.

*Example (1).* P owns no T stock. On January 1, 1981, S merges into T. In the merger, T's shareholders surrender 950 shares of common stock in exchange for P voting stock. The holders of the other 50 shares

(who dissent from the merger) are paid in cash with funds supplied by P. After the transaction, T holds all of its own assets and all of S's assets. Based on these facts, the transaction qualifies under section 368(a)(1)(A) by reason of the application of section 368(a)(2)(E). In the transaction, former shareholders of T surrender, in exchange for P voting stock, an amount of T stock (950/1,000 shares or 95 percent) which constitutes control of T.

*Example (2).* The facts are the same as in example (1) except that holders of 100 shares in corporation T, who dissented from the merger, are paid in cash with funds supplied by T (and not by P or S) and in the merger, T's remaining shareholders surrender 720 shares of common stock in exchange for P voting stock and 180 shares of common stock for cash supplied by P. The requirements of section 368(a)(2)(E)(ii) are satisfied since, in the transaction, former shareholders of T surrender, in exchange for P voting stock, an amount of T stock (720/900 shares or 80 percent) which constitutes control of T. The T stock surrendered in exchange for consideration furnished by T is not considered outstanding for purposes of determining whether the amount of T stock surrendered by T shareholders for P stock constitutes control of T.

*Example (3).* T has outstanding 1,000 shares of common stock, 100 shares of nonvoting preferred stock, and no shares of any other class. On January 1, 1981, S merges into T. Prior to the merger, as part of the transaction, T distributes its own cash in redemption of the 100 shares of preferred stock. In the transaction, T's remaining shareholders surrender their 1,000 shares of common stock in exchange for P voting stock. The requirements of section 368(a)(2)(E)(ii) are satisfied since, in the transaction, former shareholders of T surrender, in exchange for P voting stock, an amount of T stock (1,000/1,000 shares or 100 percent) which constitutes control of T. The preferred stock surrendered in exchange for consideration furnished by T is not considered outstanding for purposes of determining whether the amount of T stock surrendered by T shareholders for P stock constitutes control of T. However, the consideration furnished by T for its stock is property of T which T does not hold after the transaction for purposes of the substantially all test in paragraph (j)(3)(iii) of this section.

*Example (4).* On January 1, 1971, P purchased 201 shares of T's stock. On January 1, 1981, S merges into T. In the merger, T's shareholders (other than P) surrender 799 shares of T stock in exchange for P voting stock. Based on these facts, in the transaction, former shareholders of T do not surrender, in exchange for P voting stock, an amount of T stock which constitutes control of T (799/1,000 shares being less than 80 percent). Therefore, the transaction does not qualify under section 368(a)(1)(A). However, if S is a transitory corporation, formed solely for purposes of effectuating the transaction, the transaction may qualify as a reorganization described in section 368(a)(1)(B) provided all of the applicable requirements are satisfied.



**Example (5).** On January 1, 1971, P purchased 200 shares of T's stock. On January 1, 1981, S merges into T. Prior to the merger, as part of the transaction, T distributes its own cash in redemption of 1 share of T stock from a T shareholder other than P. In the merger, T's remaining shareholders (other than P) surrender 799 shares of T stock in exchange for P voting stock. Based on these facts, in the transaction, former shareholders of T do not surrender, in exchange for P voting stock, an amount of T stock which constitutes control of T (799/999 shares being less than 80 percent). Therefore, the transaction does not qualify under section 368(a)(1)(A). However, if S is a transitory corporation, formed for purposes of effectuating the transaction, the transaction may qualify as a reorganization described in section 368(a)(1)(B) provided all of the applicable requirements are satisfied.

**Example (6).** The stock of S has a value of \$25,000. The stock of T has a value of \$75,000. On January 1, 1984, S merges into T. In the merger, T's shareholders surrender all of their T stock in exchange for P voting stock. After the transaction, T holds all of its own assets and all of S's assets. Based on these facts, the transaction qualifies under section 368(a)(1)(A) by reason of the application of section 368(a)(2)(E). In the transaction, former shareholders of T surrender, in exchange for P voting stock, an amount of T stock (1,000/1,000 shares or 100 percent) which constitutes control of T. The stock of T received by P in exchange for P's prior interest in S is not taken into account for purposes of section 368(a)(2)(E)(ii) since the amount of T stock constituting control of T is measured before the transaction.

**Example (7).** The stock of T has a value of \$75,000. On January 1, 1984, S merges into T. In the merger, T's shareholders surrender all of their T stock in exchange for P voting stock. As part of the transaction, P contributes \$25,000 to T in exchange for new shares of T stock. None of the cash received by T is distributed or otherwise paid out to former T shareholders. After the transaction, T holds all of its own assets and all of S's assets. Based on these facts, the transaction qualifies under section 368(a)(1)(A) by reason of the application of section 368(a)(2)(E). In the transaction, former shareholders of T surrender, in exchange for P voting stock, an amount of T stock (1,000/1,000 shares or 100 percent) which constitutes control of T. The T stock received by P in exchange for its contribution to T is not taken into account for purposes of section 368(a)(2)(E)(ii) since the amount of T stock constituting control of T is measured before the transaction.

**Example (8).** The facts are the same as in example (7) except that, as part of the transaction, corporation R, instead of P, contributes \$25,000 to T in exchange for T stock. Based on these facts, the transaction does not qualify under section 368(a)(1)(A) by reason of section 368(a)(2)(E) since P does not control T immediately after the transaction.

**Example (9).** T stock has a value of \$75,000. P owns 500 shares (1/3) of that stock with a value of \$37,500. The stock of S has a value of \$125,000. On January 1, 1984, S merges into T. In the merger, T's shareholders (other than P) surrender their T stock in exchange for P

voting stock. Based on these facts, in the transaction, former shareholders of T do not surrender, in exchange for P voting stock, an amount of T stock which constitutes control of T (500/1,000 shares being less than 80 percent). Therefore, the transaction does not qualify under section 368(a)(1)(A). The stock of T received by P in exchange for P's prior interest in S does not contribute to satisfaction of the requirement of section 368(a)(2)(E)(ii).

Approved: September 24, 1985.

Roscoe L. Egger, Jr.,

Commissioner of Internal Revenue.

Ronald A. Pearlman,

Assistant Secretary of the Treasury.

[FR Doc. 85-25174 Filed 10-21-85; 8:45 am]

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## 26 CFR Part 1

[T.D. 8042]

### Income Tax—Taxable Years Beginning After December 31, 1953; Property Transferred in Connection With the Performance of Services; Correction

#### Correction

In FR Doc. 85-23287 appearing on page 39664, in the issue of Monday, September 30, 1985, in the second column, eighteenth line, the word "first" is corrected to read, "third".

BILLING CODE 1505-01-M

## Office of the Secretary

### 31 CFR Part 103

#### Amendments to Implementing Regulations, Currency and Foreign Transactions Reporting Act

**AGENCY:** Department of the Treasury, Office of the Secretary.

**ACTION:** Final rule.

**SUMMARY:** These regulatory amendments make a number of clarifying or procedural, nonsubstantive changes to the implementing regulations for the Currency and Foreign Transactions Reporting Act. Experience with enforcing the regulations over the years has shown that these changes will be helpful to persons required to comply with the regulations. These amendments accomplish the following: update the authority citation for Part 103; correct an inconsistency in language used to describe brokers or dealers in securities; add paragraph markings to § 103.11; clarify the definition of "bank"; clarify the definition of "currency"; clarify the scope of the currency transportation reporting requirement; change the procedures governing the filing of

reports; make explicit that reports filed under this Part are available to other Federal, state, local and foreign law enforcement agencies for criminal, tax and regulatory proceedings, and to certain other Federal agencies for national security purposes; and clarify the compliance assurance responsibilities of bank supervisory agencies.

**EFFECTIVE DATE:** November 21, 1985.

**FOR FURTHER INFORMATION CONTACT:** Robert J. Stankey, Jr., Financial Crimes & Frauds Advisor, Office of the Assistant Secretary (Enforcement & Operations), Department of the Treasury, Room 1458, 1500 Pennsylvania Avenue NW., Washington, D.C. 20220, (202) 566-8022.

#### SUPPLEMENTARY INFORMATION:

##### Background

The Currency and Foreign Transactions Reporting Act, Title II of Public Law No. 91-508 (permanently codified at 31 U.S.C. 5311 *et seq.*), empowers the Secretary of the Treasury to require financial institutions to keep records and file reports that the Secretary determines have a high degree of usefulness in criminal, tax and regulatory matters. In general, a variety of financial institutions, including banks, savings and loans, credit unions, currency exchanges, and brokers or dealers in securities are required by Treasury regulations implementing the Act to file reports of large currency transactions. Financial institutions also are required to maintain records necessary to trace transactions through the nation's banking system. The Department's experience in enforcing the Act in recent years has indicated that the following clarifying and procedural, nonsubstantive regulatory changes are desirable and appropriate.

**Update the authority citation for Part 103:** This amendment updates the Title 31 citation for the Bank Secrecy Act to reflect the addition of a new reward section enacted by the Comprehensive Crime Control Act of 1984.

**Correct an inconsistency in the language used to describe brokers or dealers in securities:** The table of contents and heading for § 103.35, as well as several places in the text of Part 103, refer to "brokers and dealers in securities" (emphasis added). However, § 103.11 defines the term "brokers or dealers in securities" (emphasis added). This change eliminates any possible confusion that might arise from this inconsistency by changing the term wherever it appears to read "brokers or dealers in securities."



*Add paragraph symbols to § 103.11:* This amendment adds paragraph markings before each definition within § 103.11, and conforms existing subparagraph markings. The purpose of this change is to provide an easy method for citing to specific definitions within § 103.11.

*Clarify the definition of "bank":* This revised definition is not meant to be substantively different. The language has been revised in order to clarify the existing scope of the definition.

*Clarify the definition of "currency":* This revised definition is not meant to be substantively different. The language has been revised in order to clarify the existing scope of the definition.

*Clarify the scope of the currency transportation reporting requirement:* This clarifying amendment moves the last sentence of paragraph (a) to paragraph (d). This technical change is desirable since the sentence, which further defines the scope of the reporting requirement, applies to the entire section and not just to paragraph (a); paragraph (d) qualifies the entire section.

*Change the procedure governing the filing of reports:* This amendment clarifies instructions concerning where to obtain, and where and when to file, all reports forms required by Part 103. It also emphasizes certain requirements currently described on the forms themselves. Furthermore, language stating the obligation to provide all information required by a given form is consolidated in a single section—§ 103.26; duplicative language in § 103.22 is deleted.

*Make explicit that reports filed under this Part are available for state, local and foreign law enforcement use, and national security purposes:* This amendment makes explicit the Secretary's authority to disclose reports required under this Part to state, local and foreign law enforcement agencies for criminal, tax and regulatory investigations or proceedings. It also makes clear that Intelligence Community agencies can have access to reports filed under this Part for national security purposes. For example, an analysis of reports on certain types of foreign transactions could be of benefit to the Intelligence Community in discovering information about the financial sources and methods employed by hostile foreign intelligence agencies.

*Clarify the compliance assurance responsibilities of bank supervisory agencies:* The bank supervisory agencies and the Securities and Exchange Commission have been delegated responsibility for assuring that their respective constituencies

comply with the Act. The bank supervisory agencies also have independent statutory authorities for examining various financial institutions for safety and soundness. The amendment restates existing delegations of enforcement authority using terminology that is more consistent with the independent statutory authorities of the bank supervisory agencies.

#### Proposed Amendment Description

##### Regulatory Impact Analysis

This regulatory amendment is not a major rule for purposes of Executive Order 12291. It is not anticipated to have an annual effect on the economy of \$100 million or more. It will not result in a major increase in costs or prices for consumers, individual industries, Federal, State, or local government agencies, or geographic regions. It will not have any significant adverse effects on competition, employment, investment, productivity, innovation, or on the ability of United States-based enterprises to compete with foreign-based enterprises in domestic or foreign markets. Consequently, a Regulatory Impact Analysis is not required.

##### Regulatory Flexibility Analysis

Because no notice of proposed rulemaking is required for this final rule, it is not subject to the Regulatory Flexibility Act (5 U.S.C. 601 *et seq.*).

##### Paperwork Reduction Act

Because no additional information collection requirements are imposed by this final rule, it is not subject to the Paperwork Reduction Act (44 U.S.C. 3502 *et seq.*).

##### Notice and Comment

The Department of Treasury has determined that a notice of proposed rulemaking pursuant to 5 U.S.C. 553(b) is not required because these regulatory amendments effect interpretative rules and rules of agency procedure, or because notice and public comment thereon are unnecessary. These regulatory amendments do not change the legal effect of the current regulations nor do they have any substantial impact on those regulated. The amendments clarify existing language, make purely stylistic changes to the form of the regulations, highlight existing reporting requirements, change routine technical procedures, and elaborate upon existing delegations of agency authority.

##### Drafting Information

The principal author of this document is Terry V. Thiele, Office of the General Counsel, Department of the Treasury.

However, personnel from other Treasury offices participated in its development.

#### List of Subjects in 31 CFR Part 103

Authority delegations (Government agencies), Banks and banking, Foreign banking, Currency, Investigations, Law enforcement, Reporting and recordkeeping requirements, Taxes.

#### PART 103—[AMENDED]

31 CFR Part 103 is amended as set forth below:

1. The authority citation for Part 103 is revised to read as follows:

*Authority:* Sec. 21 of the Federal Deposit Insurance Act, Pub. L. 91-508, Title I, 84 Stat. 1114, 1116 (12 U.S.C. 1829b, 1951, 1959); and the Currency and Foreign Transactions Reporting Act, Pub. L. No. 91-508, Title II, 84 Stat. 1118, as amended (31 U.S.C. 5311-23).

2. Throughout Part 103, the word "and" is changed to "or" wherever it appears between the words "brokers and dealers in securities".

#### § 103.11 [Amended]

3. Section 103.11 is amended as follows:

a. By designating the terms Bank, Broker or dealer in securities, currency, domestic, financial institution, foreign bank, foreign financial agency, monetary instruments, person, Secretary, transaction in currency, and, United States, as paragraphs (a) through (l), respectively.

b. In the definition of "Bank", (new paragraph (a)), by revising the introductory text, adding a new paragraph (a)(8) and by removing paragraph (b), and

c. By revising the definition of "currency", which is new paragraph (c), as follows:

(a) *Bank.* Each agent, agency, branch or office within the United States of any person doing business in one or more of the capacities listed below:

\* \* \* \* \*

(8) A bank organized under foreign law.

(b) *Broker or dealer in securities.*

\* \* \*

(c) *Currency.* The coin and paper money of the United States or of any other country that is designated as legal tender and that circulates and is customarily used and accepted as a medium of exchange in the country of issuance. Currency includes U.S. silver certificates, U.S. notes and Federal Reserve notes. Currency also includes official foreign bank notes that are customarily used and accepted as a



medium of exchange in a foreign country.

§ 103.22 [Amended]

4. The last sentence is removed from both § 103.22 (a)(1) and (a)(2).

§ 103.23 [Amended]

5. The last sentence is removed from § 103.23(a) and is inserted at the beginning of § 103.23(d).

§ 103.26 [Amended]

6. Paragraph (d) of § 103.26 is designated as paragraph (e) and revised, and a new paragraph (d) is added, to read as follows:

(d) Reports required to be filed by § 103.24 shall be filed on or before June 30 each calendar year with respect to foreign financial accounts exceeding \$10,000 maintained during the previous calendar year. They shall be filed with the Commissioner of Internal Revenue on forms to be prescribed by the Secretary and all information called for in such forms shall be furnished.

(e) Forms to be used in making the reports required by §§ 103.22 and 103.24 may be obtained from the Internal Revenue Service. Forms to be used in making the reports required by § 103.23 may be obtained from the U.S. Customs Service.

§ 103.43 [Amended]

7. Section 103.43 is revised to read as follows:

(a) The Secretary may make any information set forth in any report received pursuant to this part available to any other department or agency of the United States, any state or local government or any foreign government, upon the request of the head of such department or agency made in writing and stating the particular information desired, the criminal, tax or regulatory investigation or proceeding in connection with which the information is sought and the official need therefor.

(b) The Secretary may make any information set forth in any report received pursuant to this part available to any other department or agency of the United States that is a member of the Intelligence Community, as defined by Executive Order 12356 or any succeeding executive order, upon the request of the head of such department or agency made in writing and stating the particular information desired, the national security matter with which the information is sought and the official need therefor.

(c) Any information made available under this section to other department or agencies of the United States, any state or local government, or any foreign government shall be received by them in confidence, and shall not be disclosed to any person except for official purposes relating to the investigation, proceeding or matter in connection with which the information is sought.

§ 103.46 [Amended]

8. Paragraphs (a) of § 103.46 are revised to read as follows:

(a) Responsibility for assuring compliance with the requirements of this part is delegated as follows:

(1) To the Comptroller of the Currency with respect to those financial institutions regularly examined for safety and soundness by national bank examiners;

(2) To the Board of Governors of the Federal Reserve System with respect to those financial institutions regularly examined for safety and soundness by Federal Reserve bank examiners;

(3) To the Federal Deposit Insurance Corporation with respect to those financial institutions regularly examined for safety and soundness by FDIC bank examiners;

(4) To the Federal Home Loan Bank Board with respect to those financial institutions regularly examined for safety and soundness by FHLBB bank examiners;

(5) To the Administrator of the National Credit Union Administration with respect to those financial institutions regularly examined for safety and soundness by NCUA examiners;

(6) To the Securities and Exchange Commission with respect to brokers or dealers in securities;

Dated: October 8, 1985.

David D. Queen,

Acting Assistant Secretary (Enforcement & Operations)

FR Doc. 85-24983 Filed 10-21-85; 8:45 am

BILLING CODE 4810-25-M

## DEPARTMENT OF DEFENSE

### Department of the Navy

#### 32 CFR Part 706

#### Certifications and Exemptions Under the International Regulations for Preventing Collisions at Sea, 1972; Amendment

AGENCY: Department of the Navy, DOD.

ACTION: Final rule.

**SUMMARY:** The Department of the Navy is amending its certifications and exemptions under the International Regulations for Preventing Collisions at Sea, 1972 (72 COLREGS), to reflect that the Secretary of the Navy has determined that USS JOHN RODGERS (DD 983) is a vessel of the Navy which, due to its special construction and purpose, cannot comply fully with certain provisions of the 72 COLREGS without interfering with its special functions as a naval destroyer. The intended effect of this rule is to warn mariners in waters where 72 COLREGS apply.

**EFFECTIVE DATE:** September 16, 1985.

**FOR FURTHER INFORMATION CONTACT:** Captain Richard J. McCarthy, JAGC, U.S. Navy, Admiralty Counsel, Office of the Judge Advocate General, Navy Department, 200 Stovall Street, Alexandria, VA 22332-2400, Telephone number: (202) 325-9744.

**SUPPLEMENTARY INFORMATION:** Pursuant to the authority granted in 33 U.S.C. 1605, the Department of the Navy amends 32 CFR Part 706. This amendment provides notice that the Secretary of the Navy has certified that USS JOHN RODGERS (DD 983) is a vessel of the Navy which, due to its special construction and purpose, cannot comply fully with 72 COLREGS: Annex I, section 3(a), pertaining to the placement of the forward masthead light in the forward quarter of the vessel and the horizontal distance between the forward and after masthead lights, without interfering with its special functions as a naval destroyer. The Secretary of the Navy has also certified that the above-mentioned lights are located in closest possible compliance with the applicable 72 COLREGS requirements.

Moreover, it has been determined, in accordance with 32 CFR Parts 296 and 701, that publication of this amendment for public comment prior to adoption is impracticable, unnecessary, and contrary to public interest since it is based on technical findings that the placement of lights on this vessel in a manner differently from that prescribed herein will adversely affect the vessel's ability to perform its military functions.

#### List of Subjects in 32 CFR Part 706

Marine safety, Navigation (Water), Vessels.

#### PART 706—[AMENDED]

Accordingly, 32 CFR Part 706 is amended as follows:

1. The authority citation for 32 CFR Part 706 continues to read:

Authority: 33 U.S.C. 1605.







Dated: September 16, 1985.

Approved:

James F. Goodrich,

Acting Secretary of the Navy.

[FR Doc. 85-25150 Filed 10-21-85; 8:45 am]

BILLING CODE 3810-AE-M

## 32 CFR Part 706

### Certifications and Exemptions Under the International Regulations for Preventing Collisions at Sea, 1972; Amendment

AGENCY: Department of the Navy, DOD.  
ACTION: Final rule.

**SUMMARY:** The Department of the Navy is amending its certifications and exemptions under the International Regulations for Preventing Collisions at Sea, 1972 (72 COLREGS), to reflect that the Secretary of the Navy has determined that USS CHICAGO (SSN 721) is a vessel of the Navy which, due to its special construction and purpose, cannot comply fully with certain provisions of the 72 COLREGS without interfering with its special function as a naval submarine. The intended effect of this rule is to warn mariners in waters where 72 COLREGS apply.

**EFFECTIVE DATE:** September 16, 1985.

**FOR FURTHER INFORMATION CONTACT:** Captain Richard J. McCarthy, JAGC, U.S. Navy, Admiralty Counsel, Office of the Judge Advocate General, Navy Department, 200 Stovall Street, Alexandria, VA 22332-2400, Telephone number: (202) 325-9744.

**SUPPLEMENTARY INFORMATION:** Pursuant to the authority granted in 33 U.S.C. 1605, the Department of the Navy amends 32 CFR Part 706. This amendment provides notice that the Secretary of the Navy has certified that USS CHICAGO (SSN 721) is a vessel of the Navy which, due to its special construction and purpose, cannot comply fully with 72 COLREGS: Rule 21(c), pertaining to the arc of visibility of the sternlight; Annex I, section 2(a)(i), pertaining to the height of the masthead light; Annex I, section 2(k), pertaining to the height and relative positions of the anchor lights; and Annex I, section 3(b), pertaining to the locations of the sidelights. Full compliance with the above-mentioned 72 COLREGS provisions would interfere with the special functions and purposes of the vessel. The Secretary of the Navy has also certified that the above-mentioned lights are located in closest possible compliance with the applicable 72 COLREGS requirements.

Notice is also provided to the effect that USS CHICAGO (SSN 721) is a

member of the SSN 688 class of vessels for which certain exemptions, pursuant to 72 COLREGS, Rule 38, have been previously authorized by the Secretary of the Navy. The exemptions pertaining to that class, found in the existing tables of § 706.3, are equally applicable to USS CHICAGO (SSN 721).

Moreover, it has been determined, in accordance with 32 CFR Parts 290 and 701, that publication of this amendment for public comment prior to adoption is impracticable, unnecessary, and contrary to public interest since it is based on technical findings that the placement of lights on this vessel in a manner differently from that prescribed herein will adversely affect the vessel's ability to perform its military functions.

#### List of Subjects in 32 CFR Part 706

Marine safety, Navigation (water), Vessels.

#### PART 706—[AMENDED]

Accordingly, 32 CFR Part 706 is amended as follows:

| Vessel      | Number  | Masthead light, arc of visibility, Rule 21(a) | Side lights, arc of visibility, Rule 21(b) | Stern lights, arc of visibility, Rule 21(c) | Side lights, distance inboard of ship's sides in meters, section 3(b), Annex I | Stern light, distance forward of stern in meters, Rule 21(c) | Forward anchor light, height above hull in meters, section 2(k), Annex I | Anchor light, relationship of all light to forward light in meters, section 2(k), Annex I |
|-------------|---------|---|--|---|--|--|--|---|
| USS CHICAGO | SSN 721 |   |  | 209'  | 4.3  | 6.1  | 3.4  | 1.7 below.  |

Dated: September 16, 1985.

Approved:

James F. Goodrich,

Acting Secretary of the Navy.

[FR Doc. 85-25151 Filed 10-21-85; 8:45 am]

BILLING CODE 3810-AE-M

## 32 CFR Part 706

### Certifications and Exemptions Under the International Regulations for Preventing Collisions at Sea, 1972; Amendment

AGENCY: Department of the Navy, DOD.  
ACTION: Final Rule.

**SUMMARY:** The Department of the Navy is amending its certifications and exemptions under the International Regulations for Preventing Collisions at Sea, 1972 (72 COLREGS), to reflect that the Secretary of the Navy has determined that USS SAN JOSE (AFS 7) is a vessel of the Navy which, due to its special construction and purpose, cannot comply fully with certain provisions of the 72 COLREGS without interfering with its special function as a combat stores vessel. The intended

1. The authority citation for 32 CFR Part 706 continues to read:

Authority: 33 U.S.C. 1605.

#### § 706.2 [Amended]

1. Table One of § 706.2 is amended by adding the following vessel to the list of vessels therein to indicate the certifications issued by the Secretary of the Navy:

| Vessel      | Number  | Distance in meters of forward masthead light below minimum required height, Section 2(a)(i), Annex I |
|-------------|---------|--|
| USS CHICAGO | SSN 721 | 3.5  |

2. Table Three of § 706.2 is amended by adding the following vessel to the list of vessels therein to indicate the certifications issued by the Secretary of the Navy:

effect of this rule is to warn mariners in waters where 72 COLREGS apply.

**EFFECTIVE DATE:** September 16, 1985.

**FOR FURTHER INFORMATION CONTACT:** Captain Richard J. McCarthy, JAGC, U.S. Navy, Admiralty Counsel, Office of the Judge Advocate General, Navy Department, 200 Stovall Street, Alexandria, VA 22332-2400, Telephone number: (202) 325-9744.

**SUPPLEMENTARY INFORMATION:** Pursuant to the authority granted in 33 U.S.C. 1605, the Department of the Navy amends 32 CFR Part 706. This amendment provides notice that the Secretary of the Navy has certified that USS SAN JOSE (AFS 7) is a vessel of the Navy which, due to its special construction and purpose, cannot comply fully with 72 COLREGS, Annex I, section 3(a), pertaining to the placement of the after masthead light and the horizontal distance between the forward and after masthead lights, without interfering with its special functions as a combat stores vessel. The Secretary of the Navy has also certified that the aforementioned lights are located in closest possible compliance



with the applicable 72 COLREGS requirements.

Moreover, it has been determined, in accordance with 32 CFR Parts 296 and 701, that publication of this amendment for public comment prior to adoption is impracticable, unnecessary, and contrary to public interest since it is based on technical findings that the placement of lights on this vessel in a manner differently from that prescribed

herein will adversely affect the vessel's ability to perform its military functions.

#### List of Subjects in 32 CFR Part 706

Marine safety, Navigation (water), Vessels.

#### PART 706—[AMENDED]

Accordingly, 32 CFR Part 706 is amended as follows:

1. The authority citation for 32 CFR Part 706 continues to read:

Authority: 33 U.S.C. 1605.

#### § 706.2 [Amended]

1. Table Five of § 706.2 is amended by adding the following vessel to the list of vessels therein to indicate the certifications issued by the Secretary of the Navy:

| Vessel       | Number | Forward masthead light less than the required height above hull. Annex I, section 2(a)(i) | Aft masthead light less than 4.5 meters above forward masthead light. Annex I, section 2(a)(ii) | Masthead lights not over all other lights and obstructions. Annex I, section 2(f) | Vertical separation of masthead lights used when towing less than required by Annex I, section 2(a)(i) | Aft masthead lights not visible over forward light 1,000 meters ahead of ship in all normal degrees of trim. Annex I, section 2(b) | Forward masthead light not in forward quarter of ship. Annex I, section 3(a) | Aft masthead light not less than 1/2 ship's length aft of forward masthead light. Annex I, section 3(a) | Percentage horizontal separation attained |
|--------------|--------|---|---|---|--|--|--|---|---|
| USS San Jose | AFS 7  |   |   |   |  |  | X  |   | 98.1                                      |

Dated: September 16, 1985.

Approved:

James F. Goodrich,

Acting Secretary of the Navy.

[FR Doc. 85-25152 Filed 10-21-85; 8:45 am]

BILLING CODE 3810-AE-M

#### Corps of Engineers, Department of the Army

#### 33 CFR Parts 204, 207, and 334

#### Danger Zones, Navigation and Restricted Area Regulations

AGENCY: Army Corps of Engineers, DoD.

ACTION: Final rule.

**SUMMARY:** The Department of the Army is consolidating the danger zone regulations in Part 204, with the restricted area/prohibited area regulations in Part 207 and repromulgating the regulations in a new Part 334. These changes are made to place these danger zones, restricted area and prohibited area regulations into the regulatory program of the Corps. The regulations which govern the regulatory program of the Corps of Engineers are promulgated in 33 CFR Parts 320-330.

**EFFECTIVE DATE:** December 6, 1985.

**ADDRESS:** USACE, DAEN-CWO-N, Washington, D.C. 20314-1000.

**FOR FURTHER INFORMATION CONTACT:** Mr. Ralph T. Eppard at (202) 272-0199.

**SUPPLEMENTARY INFORMATION:** Pursuant to its authorities, the Department of the Army has established danger zone regulations in 33 CFR Part 204 and restricted area and prohibited area regulations in Part 207. Today, we are

logically combining these regulations with procedural regulations in a new Part 334 which places it numerically with the Corps regulatory program. All regulations in Part 204 are transferred to Part 334 and are renumbered as set forth below. Those regulations in Part 207 which establish restricted areas and prohibited areas generally for Department of Defense agencies are transferred to Part 334 and are also renumbered as set forth below. All navigation regulations in Part 207 which do not establish the specific restricted areas/prohibited areas designated below, remain in 33 CFR Part 207. The regulations in 33 CFR 207.640 title "San Francisco Bay, San Pablo Bay, Carquinez Strait, Suisun Bay, San Joaquin River, and connecting waters, California" and the regulations in 33 CFR 207.750 title "Puget Sound Area, Wash." contain both navigation regulations and restricted area regulations for those geographic areas. We have identified those paragraphs which establish restricted areas under §§ 207.640 and 207.750 and renumbered and transferred those paragraphs. The remainder of the navigation regulations in §§ 207.640 and 207.750 remain unchanged.

Later this year we will publish proposed rules to provide for definitions, procedures, and policies relating to danger zones and restricted areas/prohibited areas.

#### Notes

1. The Department of the Army has determined that notice of proposed rulemaking with respect to this rule is impracticable and unnecessary since

these changes involve only agency organization, practice and procedures.

2. The Department of the Army has also determined that this rule is not a major rule within the meaning of Executive Order 12291 and is exempt from the general requirements of Executive Order 12291 in accordance with the exemption provided military functions.

3. The Department of the Army certifies that this rule will not have a significant economic impact on a substantial number of small entities under the criteria of the Regulatory Flexibility Act.

#### List of Subjects in 33 CFR Part 334

Navigation, Waterways, Transportation.

Accordingly, sections from Parts 204 and 207 are redesignated in Part 334 as follows:

#### PART 334—DANGER ZONES AND RESTRICTED AREA REGULATIONS

1. The authority citation for Part 334 is added to read as follows:

Authority: (40 Stat. 266; 33 U.S.C. 1) and (40 Stat. 892; 33 U.S.C. 3).

2. Sections from 33 CFR Parts 204 and 207 are moved to 33 CFR Part 334 and redesignated as follows:

| Old Sec. No. | New Sec. No. |
|--------------|--------------|
| 204.1        | 334.10       |
| 204.1a       | 334.20       |
| 207.4        | 334.30       |
| 204.2        | 334.40       |
| 207.6        | 334.50       |
| 204.4        | 334.60       |
| 204.5        | 334.70       |
| 204.10       | 334.80       |



|          |         |               |          |   |
|----------|---------|---------------|----------|---|
| 204.20   | 334.90  | 204.197       | 334.940  | Sec.  |
| 204.23   | 334.100 | 204.200       | 334.950  | 334.90 Waters of Atlantic Ocean; National   |
| 207.105  | 334.110 | 204.200a      | 334.960  | Guard Training Center, Sea Girt, N.J.       |
| 204.24   | 334.120 | 204.200b      | 334.970  | 334.100 Atlantic Ocean off Cape May, N.J.;  |
| 204.27   | 334.130 | 207.615       | 334.980  | Coast Guard Rifle Range.                    |
| 204.30   | 334.140 | 207.617       | 334.990  | 334.110 Delaware Bay off Cape Henlopen,     |
| 207.116  | 334.150 | 207.640(a)    | 334.1000 | Del.; naval restricted area.                |
| 207.117  | 334.160 | 207.640(c)    | 334.1010 | 334.120 Delaware Bay off Milford Neck;      |
| 204.32   | 334.170 | 207.640(f)    | 334.1020 | naval aircraft bombing target area.         |
| 207.125  | 334.180 | 207.640(g)    | 334.1030 | 334.130 Atlantic Ocean off Wallops Island   |
| 204.36   | 334.190 | 207.640(g)(1) | 334.1040 | and Chincoteague Inlet, Va.; danger         |
| 204.42   | 334.200 | 207.640(g)(2) | 334.1050 | zone.                                       |
| 204.44   | 334.210 | 207.640(g)(3) | 334.1060 | 334.140 Chesapeake Bay; United States       |
| 204.46   | 334.220 | 207.640(h)    | 334.1070 | Army Proving Ground Reservation,            |
| 204.40   | 334.230 | 207.640(i)    | 334.1080 | Aberdeen, Md.                               |
| 204.41   | 334.240 | 207.640(j)    | 334.1090 | 334.150 Severn River at Annapolis, Md.;     |
| 207.126  | 334.250 | 207.640(l)    | 334.1100 | experimental test area, U.S. Navy Marine    |
| 207.128  | 334.260 | 207.640(n)    | 334.1110 | Engineering Laboratory.                     |
| 207.129  | 334.270 | 204.201a      | 334.1120 | 334.160 Severn River, at U.S. Naval         |
| 207.152b | 334.280 | 204.202       | 334.1130 | Academy Santee Basin, Annapolis, Md.;       |
| 207.153  | 334.290 | 204.203       | 334.1140 | naval restricted area.                      |
| 207.155  | 334.300 | 204.205       | 334.1150 | 334.170 Chesapeake Bay, in the vicinity of  |
| 207.157  | 334.310 | 204.215       | 334.1160 | Chesapeake Beach, Md.; firing range,        |
| 207.158  | 334.320 | 204.216       | 334.1170 | Naval Research Laboratory.                  |
| 204.48   | 334.330 | 204.220       | 334.1180 | 334.180 Patuxent River, Md.; restricted     |
| 204.49   | 334.340 | 204.222       | 334.1190 | areas, U.S. Naval Air Test Center,          |
| 204.49a  | 334.350 | 207.750(a)    | 334.1200 | Patuxent River, Md.                         |
| 204.50   | 334.360 | 207.750(c)    | 334.1210 | 334.190 Chesapeake Bay, in vicinity of      |
| 204.51   | 334.370 | 207.750(e)    | 334.1220 | Bloodsworth Island, Md.; shore              |
| 204.51a  | 334.380 | 207.750(f)    | 334.1230 | bombardment, air bombing, air strafing,     |
| 204.52   | 334.390 | 207.750(k)    | 334.1240 | and rocket firing area, U.S. Navy.          |
| 204.53   | 334.400 | 207.750(n)    | 334.1250 | 334.200 Chesapeake Bay, Point Lookout to    |
| 204.54   | 334.410 | 207.750(o)    | 334.1260 | Cedar Point; aerial firing range and target |
| 204.55   | 334.420 | 207.750(p)    | 334.1270 | areas, U.S. Naval Air Test Center,          |
| 207.164  | 334.430 | 204.222a      | 334.1280 | Patuxent River, Md.                         |
| 204.56   | 334.440 | 204.222b      | 334.1290 | 334.210 Chesapeake Bay, in vicinity of      |
| 207.164a | 334.450 | 204.222c      | 334.1300 | Tangier Island; Naval guided missiles       |
| 207.164b | 334.460 | 207.801       | 334.1310 | test operations area.                       |
| 207.164c | 334.470 | 207.802       | 334.1320 | 334.220 Chesapeake Bay, South of Tangier    |
| 204.80   | 334.480 | 207.804       | 334.1330 | Island, Virginia; naval firing range.       |
| 204.81   | 334.490 | 204.223       | 334.1340 | 334.230 Potomac River.                      |
| 207.165  | 334.500 | 204.224       | 334.1350 | 334.240 Potomac River, Mattawoman Creek     |
| 207.167  | 334.510 | 204.224a      | 334.1360 | and Chicamuxen Creek; U.S. Naval            |
| 204.82   | 334.520 | 204.224b      | 334.1370 | Propellant Plant, Indian Head, Md.          |
| 207.171  | 334.530 | 204.224c      | 334.1380 | 334.250 Gunston Cove, at Whitestone Point,  |
| 207.171a | 334.540 | 204.225a      | 334.1390 | Va.; U.S. Army restricted area.             |
| 207.171b | 334.550 | 207.806       | 334.1400 | 334.260 York River, Va.; naval prohibited   |
| 207.171d | 334.560 | 207.807       | 334.1410 | and restricted areas.                       |
| 207.171e | 334.570 | 204.226       | 334.1420 | 334.270 York River adjacent to Cheatham     |
| 207.171f | 334.580 | 207.808       | 334.1430 | Annex Depot, Naval Supply Center,           |
| 204.85   | 334.590 | 204.227       | 334.1440 | Williamsburg Virginia; restricted area.     |
| 204.86   | 334.600 | 204.228       | 334.1450 | 334.280 James River between the entrance    |
| 207.173  | 334.610 | 204.230       | 334.1460 | to Skiffes Creek and Mulberry Point, Va.;   |
| 204.95   | 334.620 | 204.234       | 334.1470 | army training and small craft testing       |
| 204.100  | 334.630 | 207.815       | 334.1480 | area.                                       |
| 204.111  | 334.640 | 207.817       | 334.1490 | 334.290 Elizabeth River, Southern Branch,   |
| 204.112  | 334.650 |               |          | Va.; naval restricted areas.                |
| 204.113  | 334.660 |               |          | 334.300 Hampton Roads and Willoughby        |
| 204.114  | 334.670 |               |          | Bay off Norfolk Naval Base; navy            |
| 204.120  | 334.680 |               |          | restricted areas.                           |
| 204.126  | 334.690 |               |          | 334.310 Chesapeake Bay, Lynnhaven Roads;    |
| 204.130  | 334.700 |               |          | navy amphibious training area.              |
| 204.134  | 334.710 |               |          | 334.320 Chesapeake Bay entrance; naval      |
| 204.135  | 334.720 |               |          | restricted area.                            |
| 204.136  | 334.730 |               |          | 334.330 Atlantic Ocean and connecting       |
| 207.175b | 334.740 |               |          | waters in vicinity of Myrtle Island, Va.;   |
| 207.175c | 334.750 |               |          | Air Force practice bombing, rocket firing,  |
| 207.175d | 334.760 |               |          | and gunnery range.                          |
| 207.175e | 334.770 |               |          | 334.340 Chesapeake Bay off Plumtree         |
| 207.176  | 334.780 |               |          | Island, Hampton, Va.; Air Force             |
| 207.184  | 334.790 |               |          | precision test area.                        |
| 207.188  | 334.800 |               |          | 334.350 Chesapeake Bay off Fort Monroe,     |
| 207.305  | 334.810 |               |          | Va.; firing range danger zone.              |
| 207.475  | 334.820 |               |          |   |
| 204.175  | 334.830 |               |          |   |
| 204.180  | 334.840 |               |          |   |
| 204.187  | 334.850 |               |          |   |
| 207.611  | 334.860 |               |          |   |
| 207.612  | 334.870 |               |          |   |
| 207.612a | 334.880 |               |          |   |
| 207.612b | 334.890 |               |          |   |
| 207.613a | 334.900 |               |          |   |
| 207.613b | 334.910 |               |          |   |
| 207.614  | 334.920 |               |          |   |
| 204.195  | 334.930 |               |          |   |

# **PART 334—DANGER ZONE AND RESTRICTED AREA REGULATIONS**

## Sec.

- 334.10 Gulf of Maine off Seal Island, Maine; naval aircraft bombing target area.
- 334.20 Gulf of Maine off Cape Small, Maine; naval aircraft practice mining range area.
- 334.30 Gulf of Maine off Pemaquid Point, Maine; naval sonobuoy test area.
- 334.40 Atlantic Ocean in vicinity of Duck Island, Maine, Isles of Shoals; naval aircraft bombing target area.
- 334.50 Piscataqua River at Portsmouth Naval Shipyard, Kittery, Maine; restricted areas.
- 334.60 Cape Cod Bay south of Wellfleet Harbor, Mass.; naval aircraft bombing target area.
- 334.70 Buzzards Bay, and adjacent waters, Mass.; danger zones for naval operations.
- 334.80 Narragansett Bay, R.I.; prohibited area.



- Sec.
- 334.360 Chesapeake Bay, off Fort Monroe, Va.; restricted area, U.S. Naval Base and Naval Ordnance Laboratory.
- 334.370 Chesapeake Bay, Lynnhaven Roads; danger zones, U.S. Naval Amphibious Base.
- 334.380 Atlantic Ocean south of entrance to Chesapeake Bay off Dam Neck, Virginia Beach, Virginia, naval firing range.
- 334.390 Atlantic Ocean south of entrance to Chesapeake Bay; firing range.
- 334.400 Atlantic Ocean south of entrance to Chesapeake Bay off Camp Pendleton, Virginia; naval prohibited area.
- 334.410 Albermarle Sound, Pamlico Sound, and adjacent waters, N.C.; danger zones for naval aircraft operations.
- 334.420 Pamlico Sound and adjacent waters, N.C.; danger zones for Marine Corps operations.
- 334.430 Neuse River and tributaries of Marine Corps Air Station, Cherry Point, N.C.; restricted area.
- 334.440 New River, N.C., and vicinity; Marine Corps Firing Ranges.
- 334.450 Cape Fear River and tributaries at Sunny Point Army Terminal, Brunswick County, North Carolina; restricted area.
- 334.460 Cooper River and tributaries at Charleston, S.C.; restricted areas.
- 334.470 Cooper River and Charleston Harbor, South Carolina; restricted areas.
- 334.480 Archers Creek, Ribbon Creek and Broad River, S.C.; U.S. Marine Corps Recruit Depot Rifle and Pistol Ranges, Parris Island.
- 334.490 Atlantic Ocean off Georgia Coast; air-to-air and air-to-water gunnery and bombing ranges for fighter and bombardment aircraft, United States Air Force.
- 334.500 St. Johns River, Fla., Ribault Bay; prohibited area.
- 334.510 U.S. Navy Fuel Depot Pier, St. Johns River, Jacksonville, Florida; restricted area.
- 334.520 Lake George, Fla.; naval bombing area.
- 334.530 Canaveral Harbor adjacent to the Navy pier at Port Canaveral, Fla.; restricted area.
- 334.540 Banana River at Cape Canaveral Missile Test Annex, Fla.; prohibited area.
- 334.550 Banana River at Cape Canaveral Air Force Station, Fla., restricted area.
- 334.560 Banana River at Patrick Air Force Base, Fla.; prohibited area.
- 334.570 Banana River near Orsino, Fla.; restricted area.
- 334.580 Atlantic Ocean near Port Everglades, Fla.; naval restricted area.
- 334.590 Atlantic Ocean off Cape Canaveral, Fla.; Air Force Missile Testing Area, Patrick Air Force Base, Fla.
- 334.600 TRIDENT Basin adjacent to Canaveral Harbor at Cape Canaveral Air Force Station, Brevard County, Florida; Danger Zone.
- 334.610 Key West Harbor, at U.S. Naval Base, Key West, Fla.; naval restricted area.
- Sec.
- 334.620 Straits of Florida and Florida Bay in vicinity of Key West, Fla.; operational training area, aerial gunnery range, and bombing and strafing target areas, Naval Air Station, Key West, Fla.
- 334.630 Tampa Bay south of MacDill Air Force Base, Fla.; small-arms firing range and aircraft jettison, United States Air Force, MacDill Air Force Base.
- 334.640 Gulf of Mexico south of Apalachee Bay, Fla.; Air Force rocket firing range.
- 334.650 Gulf of Mexico, south of St. George Island, Fla.; test firing range.
- 334.660 Gulf of Mexico and Apalachicola Bay south of Apalachicola, Florida, Drone Recovery Area, Tyndall Air Force Base, Florida.
- 334.670 Gulf of Mexico south and west of Apalachicola, San Blas, and St. Joseph Bays; air-to-air firing practice range, Tyndall Air Force Base, Fla.
- 334.680 Gulf of Mexico, southeast of St. Andrew Bay East Entrance, Small Arms Firing Range, Tyndall Air Force Base, Fla.
- 334.690 Gulf of Mexico, south of Panama City, Florida; underwater experimental areas, U.S. Navy Mine Defense Laboratory, Panama City, Florida.
- 334.700 Choctawhatchee Bay, Aerial Gunnery Ranges, Air Proving Ground Center, Air Research and Development Command, Eglin Air Force Base, Fla.
- 334.710 The Narrows and Gulf of Mexico adjacent to Santa Rosa Island, Air Force Proving Ground Command, Eglin Air Force Base, Florida.
- 334.720 Gulf of Mexico, south from Choctawhatchee Bay; guided missiles test operations area, Headquarters Air Proving Ground Command, United States Air Force, Eglin Air Force Base, Florida.
- 334.730 Waters of Santa Rosa Sound and Gulf of Mexico adjacent to Santa Rosa Island, Air Force Proving Ground Command, Eglin Air Force Base, Florida.
- 334.740 Weekley Bayou, an arm of Boggy Bayou, Fla., at Eglin Air Force Base; restricted area.
- 334.750 Ben's Lake, a tributary of Choctawhatchee Bay, Fla., at Eglin Air Force Base; restricted area.
- 334.760 Alligator Bayou, a tributary of St. Andrew Bay, Florida; restricted area.
- 334.770 Gulf of Mexico and St. Andrew Sound, south of East Bay, Florida, Tyndall Drone Launch Corridor, Tyndall Air Force Base, Florida; restricted area.
- 334.780 Pensacola Bay, Fla.; seaplane restricted area.
- 334.790 Sabine River at Orange, Texas; restricted area in vicinity of the Naval and Marine Corps Reserve Center.
- 334.800 Corpus Christi Bay, Tex.; seaplane restricted area, U.S. Naval Air Station, Corpus Christi.
- 334.810 Holston River at Holston Ordnance Works, Kingsport, Tennessee; restricted area.
- 334.820 Lake Michigan; naval restricted area, United States Naval Training Center, Great Lakes, Ill.
- Sec.
- 334.830 Lake Michigan; small-arms range adjacent to United States Naval Training Center, Great Lakes, Ill.
- 334.840 Waters of Lake Michigan south of Northerly Island at entrance to Burnham Park Yacht Harbor, Chicago, Illinois; danger zone adjacent to airport on Northerly Island.
- 334.850 Lake Erie, west end, north of Erie Ordnance Depot, Lacarne, Ohio.
- 334.860 San Diego Bay, California, Naval Amphibious Base; restricted area.
- 334.870 San Diego Harbor, Calif.; restricted areas.
- 334.880 San Diego Harbor, Calif.; naval restricted area adjacent to Point Loma.
- 334.890 Pacific Ocean Point Loma, Calif.; naval restricted area.
- 334.900 Pacific Ocean, U.S. Marine Corps Base, Camp Pendleton, California; restricted area.
- 334.910 Pacific Ocean, Camp Pendleton Boat Basin, U.S. Marine Corps Base, Camp Pendleton, Calif.; restricted area.
- 334.920 Pacific Ocean off the east coast of San Clemente Island, Calif.; naval restricted area.
- 334.930 Anaheim Bay Harbor, Calif.; Naval Weapons Station, Seal Beach.
- 334.940 Pacific Ocean in vicinity of San Pedro, Calif.; practice firing range for United States Army Reserve, National Guard, and Coast Guard units.
- 334.950 Pacific Ocean at San Clemente Island, Calif.; Navy shore bombardment area in vicinity of Pyramid Cove.
- 334.960 Pacific Ocean, San Clemente Island, Calif.; naval danger zone off West Cove.
- 334.970 Pacific Ocean, San Clemente Island, Calif.; naval danger zone off China Point.
- 334.980 Pacific Ocean, around San Nicolas Island, Calif.; naval restricted area.
- 334.990 Long Beach Harbor, Calif.; naval restricted area.
- 334.1000 San Francisco Bay north of Alcatraz Island; submarine operating area.
- 334.1010 San Francisco Bay in vicinity of Hunters Point; naval restricted area.
- 334.1020 San Francisco Bay and Oakland Inner Harbor; restricted areas in vicinity of Naval Air Station, Alameda.
- 334.1030 Oakland Inner Harbor adjacent to Alameda Facility, Naval Supply Center, Oakland; restricted area.
- 334.1040 Oakland Harbor in vicinity of Naval Supply Center, Oakland; restricted area and navigation.
- 334.1050 Oakland Outer Harbor adjacent to the Military Ocean Terminal, Bay Area, Pier No. 8 (Port of Oakland Berth No. 10) restricted area.
- 334.1060 Oakland Outer Harbor adjacent to the Oakland Army base; restricted area.
- 334.1070 San Francisco Bay between Treasure Island and Yerba Buena Island; naval restricted area.
- 334.1080 San Francisco Bay adjacent to northeast corner of Treasure Island; naval restricted area.
- 334.1090 San Francisco Bay in vicinity of the NSC Fuel Department, Point Molate restricted area.



- Sec.
- 334.1100 San Pablo Bay, Carquinez Strait, and Mare Island Strait in vicinity of U.S. Naval Shipyard, Mare Island; restricted area.
- 334.1110 Suisun Bay at Naval Weapons Station, Concord; restricted area.
- 334.1120 Pacific Ocean in the vicinity of Point Mugu, Calif.; naval small arms firing range.
- 334.1130 Pacific Ocean, Western Space and Missile Center (WSMC), Vandenberg AFB, California; danger zones.
- 334.1140 Pacific Ocean at San Miguel Island, Calif.; naval danger zone.
- 334.1150 Monterey Bay, Calif.
- 334.1160 San Pablo Bay, Calif.; target practice area, Mare Island Naval Shipyard, Vallejo.
- 334.1170 San Pablo Bay, Calif.; gunnery range, Naval Inshore Operations Training Center, Mare Island, Vallejo.
- 334.1180 Strait of Juan de Fuca, Washington; air-to-surface weapon range, restricted area.
- 334.1190 Hood Canal and Dabob Bay, Wash.; naval non-explosive torpedo testing area.
- 334.1200 Strait of Juan de Fuca, eastern end; off the westerly shore of Whidbey Island; naval restricted areas.
- 334.1210 Admiralty Inlet, entrance; naval restricted area.
- 334.1220 Hood Canal, Bangor; naval restricted areas.
- 334.1230 Port Orchard; naval restricted area.
- 334.1240 Sinclair Inlet; naval restricted areas.
- 334.1250 Carr Inlet; naval restricted areas.
- 334.1260 Dabob Bay, Whitney Point, naval restricted area.
- 334.1270 Port Townsend, Indian Island, Walan Point, naval restricted area.
- 334.1280 Bristol Bay, Alaska; air-to-air weapon range, Alaskan Air Command, U.S. Air Force.
- 334.1290 In Bering Sea, Shemya Island Area, Alaska; meteorological rocket launching facility, Alaskan Air Command, U.S. Air Force.
- 334.1300 Blyng Sound area, Gulf of Alaska, Alaska; air-to-air gunnery practice area, Alaskan Air Command, U.S. Air Force.
- 334.1310 Lutak Inlet, Alaska; restricted areas.
- 334.1320 Kuluk Bay, Adak, Alaska; naval restricted areas.
- 334.1330 Bering Strait, Alaska; naval restricted area off Cape Prince of Wales.
- 334.1340 Pacific Ocean, Hawaii; danger zones.
- 334.1350 Pacific Ocean, Island of Oahu, Hawaii; danger zone.
- 334.1360 Pacific Ocean at Barber's Point, Island of Oahu, Hawaii; danger zone.
- 334.1370 Pacific Ocean at Keahi Point, Island of Oahu, Hawaii; danger zone.
- 334.1380 Marine Corps Air Station, (MCAS) Kaneohe Bay, Island of Oahu, Hawaii; Ulupau Crater Weapons Training range Danger Zone.
- 334.1390 Pacific Ocean at Barking Sands, Island of Kauai, Hawaii; missile Range facility.

- Sec.
- 334.1400 Pacific Ocean, at Barbers Point, Island of Oahu, Hawaii; restricted area.
- 334.1410 Pacific Ocean, at Makapuu Point, Waimanalo, Island of Oahu, Hawaii, Makai Undersea Test Range.
- 334.1420 Pacific Ocean off Orote Point, Apra Harbor, Island of Guam, Marianas Islands; small arms firing range.
- 334.1430 Apra Inner Harbor, Island of Guam, restricted area.
- 334.1440 Pacific Ocean at Kwajalein Atoll, Marshall Islands; missile testing area.
- 334.1450 Atlantic Ocean off north coast of Puerto Rico; practice firing areas, United States Armed Forces Antilles.
- 334.1460 Atlantic Ocean and Vieques Sound, in vicinity of Culebra Island, bombing and gunnery target area.
- 334.1470 Caribbean Sea and Vieques Sound in vicinity of Eastern Vieques, bombing and gunnery target area.
- 334.1480 Vieques Passage and Atlantic Ocean, off east coast of Puerto Rico and coast of Vieques Island; naval restricted areas.
- 334.1490 Caribbean Sea, at St. Croix, V.I.; restricted area.

Dated: October 2, 1985.

Approved.

Robert K. Dawson,  
Acting Assistant Secretary of the Army, (Civil Works).

[FR Doc. 85-24857 Filed 10-21-85; 8:45 am]

BILLING CODE 3710-08-M

## FEDERAL COMMUNICATIONS COMMISSION

### 47 CFR Part 64

[Gen. Docket No. 83-989; FCC 85-554]

### Enforcement of Prohibitions Against the Use of Common Carriers for the Transmission of Obscene Materials

AGENCY: Federal Communications Commission.

ACTION: Final rule.

**SUMMARY:** In this action the Commission adopts a regulation in accordance with our statutory mandate to restrict access by minors to obscene or indecent telephone messages. This action provides a defense to prosecution under 47 U.S.C. 223(b) (1983) when the defendant has taken either of the steps set forth in the regulation to restrict minors' access to communications prohibited thereunder.

**EFFECTIVE DATE:** November 25, 1985.

**ADDRESS:** Federal Communications Commission, Washington, D.C. 20554.

**FOR FURTHER INFORMATION CONTACT:** Jacqueline E. Holmes, Common Carrier Bureau, Domestic Services Branch (202) 634-1860.

## SUPPLEMENTARY INFORMATION: List of Subjects in 47 CFR Part 64

Miscellaneous common carriers, Communications common carriers, Telephone.

### Second Report and Order

In the matter of enforcement of prohibitions against the use of common carriers for the transmission of obscene materials; GEN Docket No. 83-989.

Adopted October 10, 1985.

Released October 16, 1985.

By the Commission.

### Introduction

1. In this *Second Report and Order* the Commission seeks to respond to a court decision that found certain infirmities in the record supporting a regulation we issued pursuant to section 223(b) of the Communications Act of 1934 as amended, 47 U.S.C. 223(b). Section 223(b), *inter alia*, imposes fines on parties who knowingly use telephones or telephone facilities or allow telephones or telephone facilities under their control to be used to transmit obscene or indecent messages for commercial purposes to individuals under eighteen years of age. The section also requires the Commission to develop regulations which, in effect, restrict access by minors to the "dial-a-porn" messages.<sup>1</sup> In a *Report and Order*

<sup>1</sup> In our *Notice of Inquiry*, 48 FR 43348 (September 23, 1983) (NOI), we described the "dial-a-porn" service that resulted in passage of the legislation as follows:

High Society Magazine, Inc. and Car-Bon Publishers obtained the Dial-It number in a lottery for Dial-It numbers conducted by New York Telephone in January 1983. The number was thereafter advertised in "High Society Live!" magazine and, in February 1983, operation of the service commenced. When the number is dialed, the caller hears a description or depiction of actual or simulated sexual behavior. The messages, which are changed at least once daily, are available to any caller, twenty-four hours a day, every day. As the local common carrier, New York Telephone does not operate the message service but provides the Dial-It service capability pursuant to an intrastate tariff filed with the Public Service Commission of New York. That tariff, which applies to all New York Telephone Dial-It services, explicitly provides that the subscriber has exclusive control over the content and quality of the messages recorded and that the telephone company assumes no liability therefor.

The Dial-It number operated by High Society has apparently been widely disseminated and called. Sources calculate that the service receives up to 500,000 calls a day, yielding approximately \$10,000 for High Society and \$35,000 for New York Telephone per day before costs. (Citations omitted and emphasis added.)

As was further explained, Pursuant to the local tariff for Dial-It services, prior to May 1983 High Society received \$.02 for each local call while New York Telephone received \$.07 (of which \$.0696 is estimated as New York Telephone's cost). As of May 1983, High Society continued to receive \$.02 per call, but New York

Continued



adopted June 4, 1984, 49 FR 24996 (June 19, 1984), the Commission promulgated a regulation after reviewing comments and reply comments and a *Further Notice of Inquiry and Notice of Rulemaking* (NPRM), 49 FR 2124 (January 18, 1984).<sup>2</sup> On November 2, 1984, the United States Court of Appeals for the Second Circuit found that the Commission had failed to justify the regulation adequately. *Carlin Communications, Inc. v. FCC*, 749 F.2d 113 (2d Cir. 1984) (*Carlin*). By a *Second Notice of Proposed Rulemaking*, 50 FR 10510 (March 15, 1985) (*Second Notice*) the Commission solicited further comments in response to the court decision. Specifically, the *Second Notice* sought comments regarding technical means to restrict minors' access to "dial-a-porn" services. We now discuss and analyze these most recent comments and adopt a final regulation based on the entire record.<sup>3</sup>

Telephone's revenue per local call increased to \$.13 (and its average cost to \$.114) See New York Telephone P.S.C. Tariff No. 800.13 at 25. High Society also receives \$.02 for each long distance call. The long distance carriers and local carriers divide the remaining long distance revenues.

NOI, 48 FR at 43349, n. 7.

We note that it is more accurate to refer to the "dial-it" service as the *Mass Announcement Network Service* (MANS), but the parlance has become accepted and is used throughout this proceeding. It should also be noted that although other MANS messages may be reached by dialing a variety of numbers, all Mass Announcement Network Services in the State of New York are on a 978 exchange and can be accessed locally or through an interexchange carrier. See Report and Order, 49 FR 24996, n. 8.

<sup>2</sup> The Commission is required to adopt regulations pursuant to section 223(b)(2) of the Act, which provides:

It is a defense to a prosecution under this subsection that the defendant restricted access to the prohibited communication to persons eighteen years of age or older in accordance with procedures which the Commission shall prescribe by regulation.

<sup>3</sup> The following parties submitted comments and replies in response to the *Second Notice*: American Civil Liberties Union (ACLU); American Telephone & Telegraph (AT&T); Ameritech; BellSouth; Bell Atlantic; Carlin Communications, Inc.; Cincinnati Bell, Inc.; Congressman Thomas Bliley; Continental Telecom, Inc. (Contel); Dial Info, Inc.; District of Columbia Public Service Commission; Home Box Office (HBO) and American Television and Communications Corp. (joint comments); Minnesota Attorney General; Morality in Media; Mountain States Bell, Northwestern Bell and Pacific Northwest Bell; New York Department of Public Service; Pacific Bell and Nevada Bell; Pennsylvania Public Utilities Commission; Phone Programs and Info Line, Inc. (joint comments); Productions-by-Phone; Southwestern Bell; Tel Control; Telecommunications Technology Corp. (TTC); United States Catholic Conference; and United States Telephone Association (USTA). Late-filed comments were submitted by New York and New England Telephone (NYNEX). NYNEX's motion for acceptance of these comments is hereby granted. Late-filed reply comments were submitted by Telecommunications Research and Action Center (TRAC). TRAC's comments are treated herein as informal comments. Other informal comments are

## Background

2. The "dial-a-porn" proceeding initially came before the Commission by way of a complaint filed by Peter F. Cohalan<sup>4</sup> and a Petition for Declaratory Ruling filed by Multipoint Distribution Systems, Inc. (MDSI).<sup>5</sup> The complaint, filed March 17, 1983 by Cohalan individually and as County Executive of Suffolk County in New York, alleged that New York Telephone Company violated 47 U.S.C. 223 by permitting High Society Magazine to use telephone company facilities to transmit obscene messages. New York Telephone Company's reply denied that the communications were obscene in nature and claimed as an affirmative defense that sanctions under section 223 were inapplicable because the Company did not itself make or knowingly transmit the telephone calls. The Commission dismissed the Cohalan complaint without prejudice based on its determination that Section 223 was penal in nature.<sup>6</sup> It referred to the Department of Justice for possible criminal action. Cohalan then filed an application for review of the Commission's dismissal of his complaint.<sup>7</sup> New York Telephone opposed Cohalan's application, asserting that as a common carrier it was not subject to Section 223 because it did not make or knowingly permit its facilities to be used to make proscribed calls. Meanwhile, the Department of Justice determined that the matter was best suited for administrative treatment and returned the matter to the Commission for administrative action.<sup>8</sup>

3. On September 9, 1983, the Commission initiated its NOI to resolve issues concerning its authority to regulate "dial-a-porn" transmissions under section 223.<sup>9</sup> In its NOI, the

too numerous to be listed here. All submissions were fully considered, however, and constitute part of the record of this proceeding.

<sup>4</sup> In the Matter of Peter F. Cohalan and the County of Suffolk, New York v. New York Telephone Company, FCC File No. E-83-14 (March 31, 1983).

<sup>5</sup> Petition for Declaratory Ruling filed by Multipoint Distribution Systems, Inc., FCC File No. CCB DFD 83-2 (June 14, 1983).

<sup>6</sup> Memorandum Opinion and Order, FCC File No. E-83-14 (May 16, 1983).

<sup>7</sup> Applications for Review of the Commission's dismissal of the Cohalan complaint were also submitted by Congressman Bliley (June 14, 1983) and Hubert H. Humphrey, III, Attorney General of Minnesota (September 8, 1983). Both Applications were reviewed and considered by our staff, though the latter submission was untimely filed.

<sup>8</sup> Letter from Richard Willard, Civil Division, Department of Justice, to General Counsel, Federal Communications Commission dated June 10, 1983.

<sup>9</sup> In a related matter, forty-six members of Congress, including Congressman Bliley, sent a letter on May 8, 1983 to Chairman Fowler

Commission sought to determine whether telephonic communication of obscene or indecent messages could or should be proscribed pursuant to section 223, and, if so, the extent of the proscription that should be taken. The Commission invited public comment on whether it could enforce regulations prohibiting "dial-a-porn" against common carriers and message service providers under the unamended section 223 and whether common carriers could unilaterally decide that material to be transmitted is obscene and terminate the transmissions based upon their determinations pursuant to statute, tariff, or contractual agreements. Shortly thereafter, Congress amended section 223 of the Communications Act.<sup>10</sup> The Commission was required to issue regulations within 180 days restricting access by minors to the services encompassed by the amendment. Compliance with the regulations would constitute a defense to prosecution under the statute.<sup>11</sup>

encouraging the Commission to act rapidly to prevent the proliferation of "dial-a-porn" services.

<sup>10</sup> Section 8 of the Federal Communications Commission Authorization Act of 1983, Pub. L. No. 98-214, effective December 8, 1983.

<sup>11</sup> Section 223 was amended to include subsection (b) as follows:

(b)(1) Whoever knowingly—  
(A) In the District of Columbia or in interstate or foreign communication, by means of telephone, makes (directly or by recording device) any obscene or indecent communication for commercial purposes to any person under eighteen years of age or to any other person without that person's consent, regardless of whether the maker of such communication placed the call; or  
(B) Permits any telephone facility under such person's control to be used for an activity prohibited by subparagraph (A), shall be fined not more than \$50,000 or imprisoned not more than six months, or both.

(2) It is a defense to a prosecution under this subsection that the defendant restricted access to the prohibited communications to persons eighteen years of age or older in accordance with procedures which the Commission shall prescribe by regulation.

(3) In addition to the penalties under paragraph (1), whoever, in the District of Columbia or in interstate or foreign communication, intentionally violates paragraph (1)(A) or (1)(B) shall be subject to a fine of not more than \$50,000 for each violation. For purposes of this paragraph, each day of violation shall constitute a separate violation.

(4)(A) In addition to the penalties under paragraphs (1) and (3), whoever, in the District of Columbia or in interstate or foreign communication, violates paragraph (1)(A) or (1)(B) shall be subject to a civil fine of not more than \$50,000 for each violation. For purposes of this paragraph, each day of violation shall constitute a separate violation.

(B) A fine under this paragraph may be assessed either—

(i) By a court, pursuant to a civil action by the Commission or any attorney employed by the Commission who is designated by the Commission for such purposes, or

(ii) By the Commission after appropriate administrative proceedings.

Continued



4. On November 28, 1983, Congressman Bliley filed a Petition to Institute Forfeiture Proceedings against Drake Publishers, Inc. (Drake), alleging that Drake violated the unamended statute. Congressman Bliley subsequently sought retroactive application of the amended statute's increased penalties. In its NPRM, the Commission noted that the amended statute seemed to resolve affirmatively questions its predecessor left unanswered concerning the Commission's authority to prohibit obscene telephonic transmissions whether or not the utterer of the statement initiates the transmission. Other questions, however, were left unanswered. The NPRM invited suggestions concerning means to effectuate Congress' mandate in the most technologically and economically feasible manner. In the resulting *Report and Order*, the Commission found that liability under the statute requires, as a preliminary matter, that alleged violators actively participate in providing the messages. After full consideration of the record, the Commission promulgated 47 CFR 64.201<sup>12</sup> which reads as follows:

(5) The Attorney General may bring a suit in the appropriate district court of the United States to enjoin any act or practice which violates paragraph (1)(A) or (1)(B). An injunction may be granted in accordance with the Federal Rules of Civil Procedure.

<sup>12</sup> The Commission was under statutory mandate to resolve all complaints filed pursuant to unamended section 223, no later than 90 days after the effective date of the amendment. See section 8(d) of the Federal Communications Commission Authorization Act of 1983, Pub. Law No. 98-214, effective December 8, 1983.

The Commission consolidated the Cohalan Complaint and the Bliley Petition and found that the unamended Statute was inapplicable to common carriers because 47 U.S.C. 223 (1) (A) applies only to persons who utter obscene or indecent words during calls they place, or who are actively involved with the "dial-a-porn" statute. See In the Matter of Application for Review filed by Peter F. Cohalan and the County of Suffolk, New York Against New York Telephone Company and Petition to Institute Forfeiture Proceedings filed by Congressman Thomas J. Bliley, Jr. Against Drake Publishers, Inc., FCC 84-76 (March 7, 1984).

Subsequently, in a letter by direction of the Commission to the Department of Justice with regard to its denial of the dismissal of the Cohalan complaint, the Commission stated:

This action was taken on the basis of our belief that 47 U.S.C. 223 (1)(A) only applies to persons who utter obscene or indecent words during calls that they place, not to recorded message services which only receive calls. At the same time, we recognize that the United States Department of Justice has authority to enforce (the) section . . . which is independent of the Commission's role in administering that statute. Our Order was thus not intended to impede the Department's prosecution of individuals it believes to be engaged in activity in violation of that statute. (Footnote omitted.)

Letter from General Counsel, Federal Communications Commission, to Brent Ward, U.S. Attorney, District of Utah, dated June 14, 1985.

#### 64.201 Restrictions On Obscene or Indecent Telephone Message Services.

It is a defense to prosecution under section 223 (b) of the Communications Act of 1934, as amended, 47 U.S.C. 223(b), that the defendant has taken either of the following steps to restrict access to communications prohibited thereunder:

(a) Operating only between the hours of 9:00 p.m. and 8:00 a.m. Eastern Time, or

(b) Requiring payment by credit card before transmission of the message(s).

(5) The United States Court of Appeals for the Second Circuit set aside the Commission's regulation. Because the statute reaches indecent as well as obscene communications,<sup>13</sup> the court assessed the Commission's regulation under traditional first amendment standards. The court held that regulation of "dial-a-porn" messages is content-based, and is therefore subject to exacting judicial scrutiny to determine whether it is a "precisely drawn means of serving a compelling state interest." *Carlin*, at 121, quoting *Consolidated Edison Co. v. Public Service Commission*, 447 U.S. 530 (1980). Thus, the court concluded that the Commission's regulation will withstand constitutional review only if it is closely drawn to the compelling governmental interest of protecting minors from salacious telephonic communications and does so in a manner which avoids unnecessary abridgement of adults' access to the "dial-a-porn" messages. The court further suggested that the Commission might not regulate the "dial-a-porn" messages if even the least restrictive means of regulation available is unreasonable in light of the benefits to be gained balanced against the limitations imposed upon speech. With respect to the rule adopted by the Commission, the court found that the Commission had failed to demonstrate adequately that limiting operational hours of "dial-a-porn" service providers effectively restricts minors' access to the sexually explicit transmissions without, at the same time, unduly impairing the rights of adults to hear the telephonic messages. The court did not reach the constitutionality of the underlying statute, but focused instead on the effect of the Commission's regulation, stating that:

[The [time channelling] regulation [adopted by the Commission] denies access to adults between certain hours, but not to youths who can easily pick up a private or

public telephone and call dial-a-porn during the remaining hours . . . [and] a young person needs to be unsupervised only about ninety seconds in order to dial the number and hear the message.

*Carlin*, at 121. The court found that the Commission rejected certain alternatives without providing a comprehensive record demonstrating that they were not more effective in controlling minors' access and less restrictive of adults' ability to hear the recordings than the regulation issued. On this basis, it set aside the Commission's regulation.

6. In response, the Commission initiated the *Second Notice* to solicit supplemental information to cure the record's infirmities. Parties were invited to provide information, comments and suggestions relating to the required regulation and the concerns raised in *Carlin*. Specifically, parties were asked to comment upon four general approaches by which minors' access to "dial-a-porn" services might be restricted: Screening and blocking, access and identification codes, limiting operational hours of the service, and bill modification. Parties were instructed to consider a variety of workable schemes entailed by each approach, including effectiveness, technical feasibility and economic practicability of each scheme.

7. After careful consideration of the record in this proceeding, including the *Carlin* decision and the comments submitted in response to the *Second Notice*, we conclude that the most effective means to restrict access by minors to "dial-a-porn" services, while at the same time minimizing restrictions on the rights of adults to hear the messages, is to require providers of such services either (1) to provide messages only to those adults who are first provided an access or identification code by the service provider, without which access to the messages is impossible, or (2) to require the caller to provide payment to the service provider by a credit card before access is obtained.<sup>14</sup>

8. The Commission is required to promulgate technically and economically feasible regulations which, taking into consideration the operation of "dial-it" services, restrict minors' access to "dial-a-porn" messages but are the least restrictive of consenting adults' ability to hear the messages. The following discussion focuses on constitutional, technical, and economic considerations regarding proposals to restrict minors in the use of residential

<sup>13</sup> The court, citing *Miller v. California*, 413 U.S. 15, 23 (1973), recognized the Supreme Court's holding that obscene expression is unprotected by the first amendment. *Carlin*, at 119.

<sup>14</sup> Visa, American Express, MasterCard, and Diner's Club typify such credit cards.



telephones to access recorded "dial-a-porn" messages. Additional issues arise when minors seek access to recorded "dial-a-porn" messages from coin-operated telephones, and when live messages are involved. These matters are discussed separately.

#### Screening and Blocking

9. One approach to restrict access by minors to "dial-a-porn" messages involves using technical means to screen or block<sup>15</sup> calls to certain preselected telephone numbers. In our *Report and Order*, we discussed various blocking schemes, some implemented at central offices and others accomplished by blocking technology deployed at information provider premises. We found that the technical means for screening and blocking had not yet been developed as practical regulatory alternatives.<sup>16</sup> Nevertheless, the Second Circuit found that the Commission did not adequately address screening options. *Carlin*, at 119-23. Accordingly, in order to supplement the record, in the *Second Notice* the Commission invited comments on detailed technical variations of these options. We will discuss each of these.

10. *Network blocking.* Network blocking refers to means by which certain outgoing telephone calls are impeded at telephone company central offices. By way of introduction, we note that blocking schemes include exchange blocking (3 or 4 digit blocking), line number blocking (7 digit blocking) and equal access number reporting (10 digit blocking). Blocking by use of these techniques would require software modifications in Stored Program Control (SPC) offices, and would require customer reassignments or equipment upgrades (i.e., installation of additional registers, relays and other facilities) in electromechanical central offices.<sup>17</sup>

11. The Second Circuit found that the Commission did not adequately address exchange blocking as a regulatory alternative. *Carlin*, at 119. We will address that alternative in greater detail, using the augmented record now before us.<sup>18</sup> Exchange blocking, which would block all "dial-it" calls now placed through the 976 exchange, is implemented differently at SPC and electromechanical offices. In SPC offices exchange blocking requires software modifications that divide existing services into two classes: those that are designed to restrict 976 access and those that are not. Subscribers may then choose their class of service to achieve the desired blocking.<sup>19</sup>

12. Most electromechanical offices, including step-by-step and crossbar offices, are not equipped to perform exchange blocking. Implementing 976 exchange blocking for step-by-step electromechanical offices would require telephone companies to separate line groups, reassign customers who request exchange blocking to the newly created line groups, and construct new trunk lines to serve these customer groups. Crossbar electromechanical switch offices would require installation of additional mechanical relay banks capable of blocking particular exchanges.<sup>20</sup> Although exchange blocking would effectively preclude minors from obtaining access to "dial-a-porn" messages from particular telephones, the substantial costs entailed by telephone companies in restricting calls to a particular exchange outweigh the benefits that would

reasonably be expected.<sup>21</sup> Apart from any policy or legal infirmities associated with assigning responsibility for "dial-a-porn" access to common carriers, the augmented record before us demonstrates that exchange blocking as a regulatory option is both economically and technically infeasible.

13. Further, exchange blocking would block all "dial-it" messages, not just "dial-a-porn" messages. See *Carlin*, at 122-n.14. We find it unnecessarily restrictive to require those who want to limit access to "dial-a-porn" service also to limit access to all "dial-it" services.<sup>22</sup>

The *Carlin* court noted that:

[b]locking 976 exchange calls raised other problems. In order to prevent calls to the dial-a-porn numbers the subscriber would not be able to receive the weather dial-it service or other concededly First Amendment protected information. Nevertheless, without intimating our views were such a regulation adopted, the subscriber would make the choice.

*Carlin*, at 122. We find that a regulation adopting exchange blocking would be constitutionally flawed because it would block all "dial-it" messages.

14. Finally, although the majority of MANS (Mass Announcement Network Service) numbers are currently assigned to 976 exchanges, there is no legal or technical requirement to use that or any other exchange. New York Telephone, for example, has explored the possibility of using additional prefixes to expand its MANS network.<sup>23</sup> MANS information providers in Maryland, Michigan, and New York use the 249, 949, and 929 prefixes, respectively. Other private announcement services (e.g., Dial-a-Prayer in New York) operate independently of telephone company MANS facilities by using regular telephone lines connected to announcement equipment located on the information provider's premises. As a result, any exchange blocking regulation would limit minors' as well as adults' access to particular exchanges, but

<sup>15</sup> Exchange blocking generally refers to three digit blocking. In its comments, however, Ameritech addresses the alternative of adopting a four digit blocking scheme wherein "dial-a-porn" services are migrated to a designated number series (i.e. 976-NXXX) and calls to this discrete number series are blocked upon customer request. Ameritech gives no estimate of the total implementation cost of four digit blocking but states that implementation in its Detroit area alone would cost millions of dollars. Ameritech comments at 12.

<sup>16</sup> As noted at note 17, *supra*, approximately 70% of existing subscriber lines are served by SPC offices. Implementation of exchange blocking in SPC offices would cost about \$100 for each new class of service plus \$90.00 for translation costs and \$20-45.00 for processing each customer service order. These costs would be accrued for each class of service in each central office. See comments of Pacific Bell and Mountain States, Northwestern and Pacific Northwest Bell. Ameritech states that the estimated implementation cost of all exchange blocking in the Detroit area alone would be \$200,000-300,000. See generally Ameritech comments.

<sup>17</sup> Blocking is feasible only in wire spring No. 5 crossbar offices with a sufficient number of available classes of service. See Ameritech comments at 9, Mountain States Bell, Northwestern Bell and Pacific Northwest Bell comments at 11. Bell Atlantic at comments 3 and Bell Atlantic's Appendix at 1-2.

<sup>21</sup> NYNEX, for example, estimates that making crossbar central offices in New York capable of performing exchange blocking would cost at least \$35 million. NYNEX comments at 28.

<sup>22</sup> A regulatory alternative which shifts the entire cost of exchange blocking to "dial-it" information providers would jeopardize the entire "dial-it" industry. The Commission notes the concerns of commenting parties who urge us to avoid regulatory alternatives which adversely affect the rights of "dial-it" information providers not engaged in the dissemination of pornographic messages. Comments of Carlin at 9; HBO and American Television and Communications Corp. comments at 5; District of Columbia P.S.C. comments at 2-3; Minnesota Attorney General comments at 10; USTA comments at 8-9; Phone Programs and Info Line comments at 26; Dial Info comments at 3; AT&T reply comments at 4.

<sup>23</sup> NYNEX comments at 12.

<sup>15</sup> Screening and blocking refer generally to technical methods by which calls to specific numbers or groups of numbers cannot be completed.

<sup>16</sup> *Report and Order*, 49 FR at 24998-99.

<sup>17</sup> Because electromechanical offices are equipped with progressive control switches which are incapable of storing data, these offices are not capable of performing blocking using schemes based on numbers dialed. Implementation of such schemes at electromechanical offices would, therefore, require that additional facilities be constructed or that customers be reassigned to SPC offices capable of blocking outgoing calls. AT&T estimates that of the 20,000 local switching office (9,000 of which are owned by BOCs and 11,000 owned by independents) approximately 30% or 6,000 are SPC offices and the remaining offices are electromechanical. AT&T uses the term "electronic switching system," or ESS, for their SPC switches. See generally AT&T Comments. Telephone company comments generally note that SPC offices serve 70% of existing subscriber lines.



messages located on the unblocked exchanges would remain accessible. Thus, such a regulation would be ineffective in meeting the Congressional mandate to restrict minors' access to "dial-a-porn" messages.

15. We now turn to a discussion of line number (seven digit) blocking. Blocking calls from particular numbers to other pre-designated numbers (upon customer request) may be accomplished at some central offices through a process of line number blocking using a recently innovated service commonly referred to as Customer Local Area Signalling Service (CLASS). Our *Second Notice* solicited comments regarding a CLASS calling feature which permits subscribers to request that calls from their residential lines be denied access to particular "dial-a-porn" numbers.<sup>24</sup> Implementation of these subscription screening services requires that the originating, intermediate, and terminating central offices through which calls are routed be equipped with CLASS and Common Channel Signalling (CCS) facilities.<sup>25</sup> Telephone companies commenting on the use of the CLASS call block feature as a screening service state that, although feasible, CLASS is currently experimental in nature. Implementation of CLASS entails an expensive process which is not expected to be generally available prior to 1987. Current plans limit the blocking capacity of each central office to thirty individual numbers. Seven digit blocking would be ineffective in meeting the Congressional mandate to restrict minors' access to the "dial-a-porn" messages because CLASS is not yet universally available. Even when CLASS is fully implemented by local telephone companies, minors need only seek unsupervised telephones in residences where customers do not subscribe to the screening services to gain access to the messages. Further, the

CLASS blocking feature is not adequate to handle the large number of "dial-a-porn" systems currently in operation. Based on these considerations, we find that seven-digit blocking schemes based on CLASS or centrex-like screening features do not now represent technically and economically viable options.

16. Another method by which minors may be prevented from obtaining access to "dial-a-porn" messages is by use of equal access (ten digit) number forwarding. In the *Second Notice*, the Commission sought information regarding use of a ten digit equal access number forwarding scheme to restrict access by minors to "dial-a-porn" messages.<sup>26</sup> This scheme would require local exchange carriers to forward ten digit originating and terminating numbers to interexchange carriers which would compare the numbers with those numbers in a database. If the numbers match, the call would not be completed. Implementation would require that each central office be equipped with equal access capacity. In addition, the interexchange offices must subscribe to Feature Group D or use switches capable of receiving and storing Feature Group D information, including the numbers to be blocked. We note that as a result of the *MTS and WATS Market Structure Proceeding*, CC Docket No. 78-72 (Phase II) (March 19, 1985), many BOCs are installing SPC equipment capable of number forwarding. Nevertheless, number forwarding is not expected to become available on a nationwide basis for some fifteen years.<sup>27</sup> Even then, use of

the equal access number for screening purposes will be limited to interexchange calls.

17. In sum, we conclude that network exchange blocking is flawed because it would restrict access to all "dial-it" services provided within the exchange. Other, newly innovated network blocking technologies, e.g., CLASS and centrex-like blocking features, and equal access number reporting, may become viable options at some future date, but all now fail to meet the Congressional mandate because they are not yet available. We will continue to monitor the development of these blocking schemes and will be prepared to consider them as regulatory alternatives in the future.

18. *Blocking implemented at premises of "dial-a-porn service" providers.* Methods which may be used to block "dial-a-porn" messages at the information provider's premises include time channeling, message scrambling, and access and identification codes. Each is analyzed below with emphasis on the information submitted in response to our *Second Notice*.

#### Other Options

19. *Time Channeling.* In our *Report and Order*, we considered the feasibility of limiting the operation of "dial-a-porn" messages to a time period during which parents are available to supervise their children. We concluded that, in light of the absence of viable technical blocking alternatives, time limitations would effectively restrict access by minors during hours when they are less likely to be closely supervised, and would be least restrictive of the rights of adults to hear the messages.<sup>28</sup> We determined that although adults as well as minors would be denied access during restricted hours, time channeling permits adult access during the remaining portion of the day. We found, further, that operational hour limitations were less restrictive than network

<sup>24</sup> *Second Notice*, 50 FR at 10,513, para. 11. In a letter to Bell Communications Research Inc. (BellCore) dated June 13, 1985, and served upon parties of record in this proceeding, the Commission sought supplemental information regarding the use of CLASS features to provide a subscription screening service. BellCore's response, which essentially reiterated information supplied by telephone company commenters, is incorporated in this discussion. The subscription service would operate by requiring telephone companies to process screening requests received from customers. Bell Atlantic is currently field testing CLASS features capable of serving customers with up to four lines. Bell Atlantic's CLASS features will permit blocking of up to three numbers per line. Bell Atlantic Appendix at 2. BellSouth's CLASS blocking feature will be able to block up to 30 individual numbers. It plans to begin deployment of CLASS features in its electronic offices by 1987.

<sup>25</sup> CCS facilities are used to transmit call setup information between SPC offices for a group of trunks over a single dedicated high-speed data link, rather than an individual trunk basis.

<sup>26</sup> *Second Notice*, 50 FR at 10,512.

<sup>27</sup> Pacific Bell does not plan to implement these features in electromechanical central offices or in sparsely populated areas. It states that even in its electronic offices use of number forwarding screening features will not be possible prior to 1993. Pacific Bell comments at 12. Bell Atlantic states that number forwarding would be a feasible alternative in areas served by interexchange companies with switches capable of receiving the screening information. Bell Atlantic comments, Appendix A, at 2. NYNEX states that number reporting in its region is not likely to be available for at least 15 years. It estimates equipment costs to provide the feature at over \$6.7 million. NYNEX comments at 31. Cincinnati Bell states that number forwarding will become economically viable only if a majority of customers in each service area orders the screening service. Cincinnati Bell comments at 2. Ameritech States that by 1987, 92% of its access lines will be converted to equal access and thus capable of performing number forwarding. It asserts, however, that use of number forwarding to screen calls gives rise to prohibitive costs, and forces customers who desire to refrain from contact with the services to disclose private personal information to "dial-a-porn" service providers. Ameritech at 15. According to BellSouth, automatic number forwarding in its region will be provided to interexchange carriers other than AT&T by electronic end offices converted to equal access. It expects conversion by

1986 but notes that the screening capability will depend upon whether the interexchange carrier subscribes to Feature Group D. BellSouth comments at 8. Contel asserts that the increased burden that screening and blocking regulatory alternative would place on independent telephone companies outweighs any benefits obtained. It estimates its equal access implementation costs to be \$990 million. Contel at 3-5. TCI's comments describe an Equal Access Adapter System (EAAS) capable of restricting outgoing calls from local exchange and interexchange offices. Equipment necessary to implement its EAAS is priced at \$500 per trunk and costs \$5000 to implement at each office. The system requires each central office to utilize an IBM-compatible personal computer. See generally TCI comments.

<sup>28</sup> See generally *Report and Order*, 49 FR 24906 (1984).



blocking arrangements. The Second Circuit, however, found that the Commission failed to adequately demonstrate that time-channeling was the most effective means to restrict minors' access to the messages and the least restrictive of adults' access to "dial-a-porn."

20. The Second Circuit expressed concern regarding the financial viability of "dial-a-porn" services providers under a time-channeling restriction<sup>29</sup> but, as the Court itself noted, it is the relative effect, when weighed against the other regulatory alternatives, that determines the type of regulation we should promulgate to restrict minors' access.<sup>30</sup> Time channeling would restrict minors' access during non-school hours when minors are presumably unsupervised for longer periods of time. However, as we noted in our previous consideration of the matter, clever minors are likely to circumvent our rule during the remaining unrestricted hours. Indeed, the court observed that "a young person needs to be unsupervised for only about ninety seconds in order to dial the number and hear the message." *Carlin*, at 121. We must therefore compare the relative effectiveness of time-channeling with other regulatory options. While time channeling is generally less burdensome on access than are network blocking, scrambling or other technologically implemented schemes which would be in effect on a 24 hour per day basis and would require network modification, time channeling is flawed in that it prevents adults from obtaining access to the messages during specified hours but does not provide reasonable assurance that minors will be restricted during the hours when general access is permitted. Thus, time channeling does not represent the least restrictive means to prevent access by minors to "dial-a-porn" services under the Second Circuit's standards. Accordingly, based on the augmented record before us and our consideration of the relative effectiveness of alternative regulatory options, we will no longer rely on an operational hour limitation to meet the Congressional mandate.

21. *Message Scrambling.* Message scrambling refers to a technology by which a master scrambler installed at the premises of a message provider performs functions disassembling the intelligence of the outgoing messages. Consenting adults authorized to receive the message use descrambling devices installed at their premises to reassemble the messages, making them intelligible. The procedure does not require network modification,<sup>31</sup> but requires that adults who desire to hear the messages install decoding devices. AT&T states that the technology required to implement scrambling, which shifts the frequency distribution of the audio transmission, is currently available. It estimates that the cost of equipment necessary to implement scrambling schemes ranges from \$150-1000 for each originating facility, and that decoders are available at \$15-20.00 each.<sup>32</sup> NYNEX notes that implementation of his scheme is relatively simple as it is based on a one-way transmission system.<sup>33</sup>

22. Although comments indicate that message scrambling is technologically feasible, scrambling schemes effectively impose a 24 hour per day restriction upon adults who wish to hear the messages but do not have the appropriate descrambling equipment.<sup>34</sup> Implementation of a scrambling requirement gives rise to additional difficulties with respect to allocation of the cost of obtaining and the responsibility for installing and maintaining the descrambling devices and scrambling equipment. Under the analysis relied on by the Second Circuit, requiring all subscribers to be responsible for providing decoding equipment to avoid minors' access to "dial-a-porn" messages misallocates the burdens involved. The burdens associated with implementing a scrambling regulation are greater on customers than those presented by other access limiting schemes. Further, a scrambling requirement would prevent adults from obtaining access to recorded messages from coin operated or pay telephones that are not equipped with decoding devices. On the other hand, pay telephones equipped with decoding devices would be readily accessible to

minors. We find, therefore, that scrambling is overbroad and unreasonably intrusive upon adults' ability to hear the "dial-a-porn" messages.<sup>35</sup> For these reasons, we reject the scrambling alternative under the guidelines of the court decision.

23. *Access and Identification Codes.* Access and Identification codes are an approach by which "dial-a-porn" providers issue personal identification numbers or authorization codes to requesting customers after ascertaining the customer's age. The codes, which may be credit card numbers or other identification numbers devised by the message provider, must be provided by callers before access to the messages is granted. This process may involve a live operator or an automated verification system that responds to telephone dialing tones. Early in this proceeding we examined the practicality of operator intervention in the case of recorded messages. We noted that "dial-it" services simultaneously serve a multiplicity of callers. Thus, we concluded that requiring operator intervention for each call would be economically impracticable.<sup>36</sup> Since nothing in the augmented record before us suggests that this conclusion is no longer valid, the discussion that follows is made with reference to an automated code verification system.

24. Under an automatic access code system, calls to local telephone exchanges would be directed to "dial-a-porn" service provider facilities and transmission of the messages would not occur until an authorized access code were provided.<sup>37</sup> Within the limitations discussed herein, authorization would be entirely within the control and responsibility of the "dial-a-porn" message provider. Each message provider would develop its own access code database and implementation scheme. Implementation schemes would include a written age ascertaining

<sup>29</sup> The Court stated that "(t)he FCC embraced the time-channeling scheme in the face of an argument by Carlin that it will have a disastrous financial effect. . . ." *Carlin*, 749 F.2d at 123. It noted that experience with "dial-a-porn" services during the past year might provide the Commission with data regarding Carlin's assertions. Our *Second Notice* sought such data. Carlin, however, provided no detailed financial documentation in support of its claim. See generally *Carlin* Comments and Reply Comments.

<sup>30</sup> *Carlin*, 749 F.2d at 121-23.

<sup>31</sup> NYNEX points out that incidental costs would be incurred by local exchange companies as a result of customers who request service calls upon their mistaken assumption that the scrambled message indicates line-related defects. NYNEX concedes, however, that these costs are relatively insignificant. NYNEX comments at 42.

<sup>32</sup> AT&T Comments at 9.

<sup>33</sup> NYNEX Comments at 42-43.

<sup>34</sup> See generally Comments of HBO and American Television and Communications Corp., Ameritech, and Carlin.

<sup>35</sup> See *Smith v. California*, 361 U.S. 147, 150-51 (1959), *reh'g denied*, 361 U.S. 950 (1960).

<sup>36</sup> *Report and Order*, 49 FR at 24990-99.

<sup>37</sup> Pacific Bell asserts that the automatic decoding and billing system it uses may facilitate the implementation of an access code requirement by routing calls directly to "dial-a-porn" information providers. It estimates that it would incur incidental costs ranging from \$200,000 to \$300,000 to upgrade its network to accommodate the increased holding time that may result when callers use access codes. Pacific Bell comments at 4. However, telephone company comments generally state that such costs should be borne by "dial-a-porn" service providers. See generally comments of AT&T, Ameritech, BellSouth, NYNEX, Pacific Bell and USTA. Under the approach we adopt here, it is anticipated that the "dial-a-porn" provider will be responsible for the costs associated with the defenses reflected in our rule. See Appendix.



procedure and a procedure to be used to cancel access or identification codes that are reported lost, stolen or misused. Authorized access or identification codes would be provided by mail to applicants after "dial-a-porn" providers reasonably ascertain that the applicant is at least eighteen years of age.<sup>38</sup> Use of the access codes would require that callers use dial tone multi-frequency telephones, or rotary dialing equipment with ancillary tone equipment.<sup>39</sup>

25. NYNEX indicates that an access code system requires two-way transmission between the service provider and telephone company facilities. NYNEX states that recorded messages routed through the New York metropolitan MANS network are not transmitted directly to callers. Instead, message providers supply recorded messages to a master center. The master center distributes the calls to subcenters on a "receive only" basis. The subcenters then transmit calls to the calling party. Thus, NYNEX asserts that a regulation requiring "dial-a-porn" service providers to install access code recognition is technically infeasible in a one-way dedicated network.<sup>40</sup> NYNEX's argument may be meritorious were the access code requirement to be implemented at the service provider's premises. However, nothing in the record suggests that implementation of a software supported access code recognition system at the master distribution center in a one-way system such as NYNEX's would be infeasible. Indeed, comparable modifications to the programmable central office switches are intrinsic to the proposal of other carriers herein. Alternatively, parties that wish (or need) to assert the defense made available in this order might simply choose not to utilize 976 "dial-it" facilities. Rather these parties may

choose to implement access code recognition in two-way incoming trunks. However, alternative non-pornographic uses of the few 976 facilities abandoned thereby would likely arise rapidly. In any event, we believe that the costs of any such systems should be borne by the service providers, not by the telephone companies or other subscribers. We conclude that NYNEX's "dial-a-porn" service providers will have incentives to implement a code recognition system because it represents the most effective and least cumbersome means of satisfying the regulatory mandate.

26. In our *Report and Order*, we accepted unsupported contentions by message providers asserting that an access code requirement is impracticable.<sup>41</sup> No party in this proceeding has offered definitive cost figures for the equipment needed by "dial-a-porn" providers to implement an access code scheme, though we solicited that information and fully expected providers to respond. Carlin did say in response to our *Second Notice* that "[a]ny access and identification code procedure would economically and administratively impracticable where the viability of the system relies on the ability to simultaneously service multiple callers."<sup>42</sup> This assertion does not constitute an adequate factual basis upon which we can conclude that an access and identification code scheme would be more costly than any other alternative. Interestingly, in its June 14, 1984 petition to stay the effective date of the regulations initially issued by the Commission pursuant to section 223(b), Carlin stated that 74.3% of the calls to its "adult-entertainment" services occurred between 8:00 a.m. and 9:00 p.m. Thus, an access code requirement would permit the operation of such services during the most active hours and would provide opportunities to recoup implementation costs.

27. *Blocking implemented at customer premises (Terminal Equipment)*. In our *Report and Order*, we concluded that "no existing commercial device has a screening capability that could be deployed within the subscriber's terminal equipment."<sup>43</sup> Subsequently, we expressed the expectation that entrepreneurs in the competitive marketplace may produce such devices in response to the concerns of parents who wish to monitor their children's use of residential telephones to access "dial-

a-porn" messages.<sup>44</sup> Commenting parties indicate that such devices have been developed and are becoming available at moderate prices.<sup>45</sup> Other parties state that although such devices are available, the Commission's regulation of "dial-a-porn" should not impose the cost for such devices upon parents.<sup>46</sup> Even with current or imminent availability of these devices, we believe that placing the burden on subscribers independently to bear the costs to prevent access of minors to "dial-a-porn" services, is not the least restrictive alternative available. See discussion at paras. 23-26, above. This does not mean, however, that terminal screening devices are not viable in certain situations. For the benefit of parents who wish to participate in screening calls made from their residences, we note that there are currently or soon will be available devices developed by TTC, NYNEX and Pacific Bell.<sup>47</sup> TTC's device operates without the need for equipment modifications either in telephone company facilities, information provider premises, or residences. By programming the device, customers may block one or several telephone numbers from being dialed from residential telephones. The blocking circuit described by NYNEX similarly is designed for installation at the demarcation point where the telephone company's access line enters the caller's premises. The device's circuit permits blocking of up to 128 telephone numbers.<sup>48</sup> Pacific Bell has announced that it is developing a nominally priced product which will enable customers to prevent calls to the 976 exchange. It plans to market the device as soon as it is developed.<sup>49</sup> These kinds of devices

<sup>38</sup> Carlin indicates that because parents have "substantial control of the disposition of mail once it enters their mailboxes" and will presumably intercept access codes distributed by mail, a system of age verification may be unnecessary when access codes are distributed by mail. Carlin at 123, quoting *Bolger v. Youngs Drug Products Corp.*, 483 U.S. 60, 70-75 (1982). Our regulation requires "dial-a-porn" providers to reasonably ascertain the age of the applicant. To do so, "dial-a-porn" providers must use a written application procedure which seeks information such as the date of birth and credit card number or driver's license number of the applicant. To further ensure that minors are prevented from obtaining access codes from "dial-a-porn" providers, we will require that the codes be distributed by mail.

<sup>39</sup> Ancillary tone devices which simulate tones made by multi-frequency telephones are commercially available for several dollars and are widely used to access OCC services. Adults wishing to access "dial-a-porn" messages from rotary telephones may purchase and easily install such devices.

<sup>40</sup> NYNEX comments at 41.

<sup>41</sup> *Report and Order*, 49 FR at 25000.

<sup>42</sup> Carlin comments at 11.

<sup>43</sup> *Report and Order*, 49 FR at 24999.

<sup>44</sup> *Second Notice*, 50 FR at 10512.

<sup>45</sup> See generally comments of Carlin, AT&T, NYNEX, and Pacific Bell, and letter dated May 14, 1985 from William L. Corcoran, President, TTC.

<sup>46</sup> Comments of Morality in Media and United States Catholic Conference.

<sup>47</sup> Letter from William L. Corcoran, President, TTC dated May 14, 1985, and comments submitted by Pacific Bell and NYNEX.

<sup>48</sup> The device may alternately be installed to block a specific telephone (i.e., by installing it at that telephone rather than at the demarcation point.) It is reprogrammable and may be used regardless of type of central office or telephone equipment involved. NYNEX estimates its costs at \$50 per circuit.

<sup>49</sup> Pacific's public announcement describing the product was made on NBC's Today Show on July 2, 1985. The price of the device will be less than \$10. We make no decision here concerning compliance with Commission policies limiting telephone company provision of terminal equipment. These policies are set forth elsewhere. See, e.g., *Second Computer Inquiry*, 77 FCC 2d 384 (Final Decision), *aff'd on reconsideration*, 84 FCC 2d 50 (1980), 88 FCC 2d 512 (1981), *aff'd sub nom.* CCIA v. FCC, 693



quite apart from our regulation will assist parents in effectively supervising their minor children and limiting access to "dial-a-porn" or other message services or telephone numbers. None, however constitutes the least restrictive means of accomplishing the intent of Congress.<sup>50</sup> Requiring telephone subscribers to purchase these devices misallocates the burden of implementing a restriction on access to "dial-a-porn" services by minors. As our discussion above reveals, a less restrictive means is available. For these reasons, we will not promulgate a regulation based on availability of terminal devices capable of providing a blocking function.<sup>51</sup>

28. Under the guidelines set forth in *Carlin* and in view of the absence of data suggesting financial impracticability, we find that an access code requirement is generally less burdensome to "dial-a-porn" purveyors and less restrictive of adult's access to the messages than network blocking alternatives, time channeling or scrambling. Such a regulation requires adults to apply to "dial-a-porn" service providers for authorization or identification codes; however, an access code requirement permits service providers to transmit messages on an uninterrupted basis. Adults who wish to hear the messages are not unduly or unreasonably impaired by the requirement that they obtain identification codes. Message scrambling unreasonably restricts adult's access to the messages because, while it permits service providers to transmit messages on an uninterrupted basis, it requires installation of additional equipment in the homes of adults who wish to hear the services and thus misplaces the burden of costs to achieve a restriction to "dial-a-porn" services by minors. Network blocking is currently not universally available and is prohibitively costly. Time channeling is flawed in that it inadequately restricts access by minors to the services. A requirement that imposes the costs of

blocking CPE upon parents misallocates the burdens of restricting minor's access to "dial-a-porn" messages. In short, the technical, economic and constitutional burdens associated with these alternatives outweigh the burdens which arise from the implementation of the access code requirement.

29. Several commenting parties argue that constitutional rights to privacy and to unimpeded access to expression limit our ability to restrict access to "dial-a-porn" messages in any manner.<sup>52</sup> Nonetheless, the first amendment does not guarantee unfettered access to obscenity, and the Supreme Court has recognized the right to regulate obscene material and indecent material that is easily accessible to minors.<sup>53</sup> Moreover, Congress has made it clear that interstate transmission of "dial-a-porn" services to minors is unacceptable, and that those who engage in such transmissions are at substantial risk. We conclude, therefore, that an access or identification code requirement complies with the Congressional mandate by effectively restricting access by minors to "dial-a-porn" messages in the least restrictive manner available.<sup>54</sup>

#### Credit Card Restriction

30. In our *Report and Order* we concluded that requiring prepayment by credit card effectively restricts minors' access to live "dial-a-porn" transmissions. We reasoned that because credit cards are not routinely issued to minors, services which require credit card payment are usually limited to adults. We assumed minors who are issued credit cards in their own names are supervised by adults as to the use of

the cards. Therefore, we allowed "dial-a-porn" services that require credit card payment before the message begins to operate on a 24-hour basis.<sup>55</sup> Because the court did not overrule the Commission's regulation with respect to requiring credit card payment in advance of live messages,<sup>56</sup> and not finding any reason to reach a contrary conclusion in the augmented record, we find that this credit card provision is a suitable adjunct to the regulation we adopt today in connection with recorded messages. Therefore, "dial-a-porn" providers that require payment by credit card prior to transmission of the messages may operate on a 24-hour per day basis.

#### Coin Operated Telephones

31. In our *Second Notice* we requested comments on methods to prevent access by minors to "dial-a-porn" messages from public coin telephones. We sought information regarding the percentage of calls to "dial-a-porn" services made from coin operated telephones and the feasibility of implementing a scheme that would restrict minors in the use of these phones to reach the message services. NYNEX points out that of the 8,358 calls placed to "adult entertainment" channels in a test area in its MANS network, only 144 (1.72%) originated from pay telephones.<sup>57</sup> Other responding industry commenters state that no technical method implemented at the network, short of exchange blocking, effectively limits minors in the use of coin operated telephones to obtain access to the messages. They state that while blocking devices may be installed for use with equipment-implemented coin telephones, no method can be specifically tailored to central office implemented coin telephones.<sup>58</sup> It appears that the

F.2d 198 (D.C. Cir. 1982), cert. denied sub nom. Louisiana P.S.C. v. United States, 461 U.S. 938 (1983). (*Computer II*). See also Third Computer Inquiry, FCC 85-397, CC Docket No. 85-229, (released August 16, 1985).

<sup>50</sup> Such devices, as noted above, do not offer a restriction on minors' access to "dial-a-porn" services from telephones not so equipped, e.g., a neighbor, pay telephones, etc.

<sup>51</sup> Other methods proposed to restrict minors' access to "dial-a-porn" (i.e., bill notification, preventive advertising campaigns, and disclaimer messages) are useful enhancements to our regulation and to parents' supervisory efforts. We do not believe, however, that individually these methods would satisfy Congress' mandate.

<sup>52</sup> See generally Comments of ACLU, HBO and ATC, and TRAC. These commenting parties point out the concerns of adults who may want to hear the messages but are reluctant to release personal information to the message providers. Comments submitted by the Attorney General of Minnesota discuss the issues presented as a result of the fact that adults must await the distribution of access codes. These comments suggest that "dial-a-porn" providers devise methods to quickly process access code applications and use advertisements or other means to educate their customers of the requirement.

<sup>53</sup> *Miller v. California*, 413 U.S. 15 (1973); FCC v. Pacifica Foundation, 438 U.S. 726 (1978).

<sup>54</sup> *Carlin* argues that minors resolved to obtain access to the messages will find a way to acquire and circulate authorized access or identification cards. See also Cincinnati Bell comments at 3, Dial Info comments at 7. Misuse of credit cards or access codes may constitute fraud under state or federal law. No method guarantees that some enterprising minors will never hear the messages. Our regulation, however, is the most effective method currently available to restrict access by minors without unduly impairing adults who want to hear the messages.

<sup>55</sup> *Report and Order*, 49 FR at 25001.

<sup>56</sup> *Carlin* at 118. In fact, since the court found the credit card restriction a satisfactory restriction to minor's access to the live services, most parties responding to the *Second Notice* offered no comment regarding the credit card requirement and no party submitting comments in this proceeding claims that the credit card restriction is ineffective to meet the mandate of Congress.

<sup>57</sup> NYNEX comments at 36 and "Coin-Op Study", Exhibit 6.

<sup>58</sup> Ameritech comments at 17, BellSouth comments at 9, Mountain States, Northwestern and Pacific Northwestern Bell comments at 12 and NYNEX comments at 37. Bell Atlantic states that five of its companies have filed tariffs which require that pay telephone calls to a 976 number be billed to a credit card or charged to a third number. Bell Atlantic comments at 3, Appendix A. Similar tariff restrictions are effective in Pacific Companies' service areas. Pacific Bell comments at 6.



technological difficulties associated with restricting minor's access to "dial-a-porn" messages from central office implemented telephones through screening and blocking schemes are no less significant, and perhaps more so, than other telephone locations. In view of our determination to rely generally on access code schemes, a regulation specific to coin operated appears unnecessary because access codes will be required to complete transmission of "dial-a-porn" messages in all instances unless credit card payment is made before transmission of the messages begins.

### Conclusion

32. The regulation we are adopting herein is specifically drawn to achieve the government's compelling interest to protect minors from exposure to messages Congress has found to be obscene or indecent. Compliance with our regulation by "dial-a-porn" message service providers constitutes a defense to prosecution under section 223(b). Our regulation represents the most effective available means to limit minors' access to the messages but, at the same time, offers the least restriction on adults' access.<sup>59</sup> While it may incidentally restrict adults' convenience in accessing "dial-a-porn" messages, we believe our regulation reaches just far enough to achieve Congress' mandate and to meet the court's constitutionality guidelines. Further, among all available alternatives, our regulation adversely affects "dial-a-porn" providers' and adults' rights to the least degree possible.

### Regulatory Flexibility Analysis

33. Pursuant to relevant provisions of the Regulatory Flexibility Act, 5 U.S.C. 601 *et seq.*, we have reviewed this section to determine if there will be a significant impact on a substantial number of small businesses. We believe that our regulation will have some impact on those small business entities that Congress had in mind when it amended section 223 of the Communications Act. We find that any impact of these entities is outweighed by the fulfillment of our statutory mandate to restrict access by minors to the "dial-a-porn" services.

<sup>59</sup> Since the Court reviewed the former regulation under the exacting standard applied to content-based speech restrictions, *Carlin* at 121, we have analyzed the alternatives under that standard. We would also note that the regulation meets the less restrictive standards applied to "time, place, and manner" regulations. See generally, *Cox v. New Hampshire*, 312 U.S. 569 (1941).

34. Accordingly, it is ordered, that Part 64 of the Commission's Rules and Regulations is amended to provide for revised Subpart B as set forth in the Appendix attached hereto, effective November 25, 1985.

35. It is further ordered, that the Secretary shall cause a copy of this *Second Report and Order* to be sent to the Chief Counsel for Advocacy of the Small Business Administration in accordance with section 605 of the Regulatory Flexibility Act, 5 U.S.C. 605. The Secretary shall also cause this *Second Report and Order* to be printed in the *Federal Register*.

36. Authority for this action is contained in section 8(c) of the Federal Communications Commission Authorization Act of 1983, Pub. Law No. 98-214, December 8, 1983.

Federal Communications Commission,  
William J. Tricarico,  
Secretary.

### Appendix

#### PART 64—[AMENDED]

Part 64, of Chapter I of Title 47 of the Code of Federal Regulations is amended to provide for a revised Subpart B, consisting of § 64.201, as follows:

#### Subpart B

##### § 64.201 Restrictions on obscene or indecent telephone message services.

It is a defense to prosecution under section 223(b) of the Communications Act of 1934, as amended, 47 U.S.C. 223(b) (1983), that the defendant has taken either of the following steps to restrict access to the communications prohibited thereunder:

(a) Requires an authorized access or identification code before transmission of the subject message begins, where the defendant

(1) Has issued the code by mailing it to the applicant after reasonably ascertaining through receipt of a written application that the applicant is not under eighteen years of age; and

(2) Has established a procedure to cancel immediately the code of any person upon written, telephonic or other notice to the defendant's business office that such code has been lost, stolen, or used by a person or persons under the age of eighteen, or that such code is no longer desired; or

(b) Requires payment by credit card before transmission of the message.

[FR Doc. 85-25101 Filed 10-21-85; 8:45 am]

BILLING CODE 6712-01-M

#### 47 CFR Part 69

[FCC 85-537]

#### Common Carrier Services; Access Charges; Clarification

AGENCY: Federal Communications Commission.

ACTION: Memorandum Opinion and Order (MO&O).

**SUMMARY:** This MO&O affirms a previous decision on interpreting the exemption from the private line surcharge provided in § 69.115(e)(6) of the Commission's Rules, which exempts from the private line surcharge any private line that a subscriber certifies is "not connected to a PBX or other device capable of interconnecting a local exchange subscriber line with the private line." The MO&O denies a petition for reconsideration filed by Pacific Bell seeking reversal of our initial order, as well as petitions submitted by Aeronautical Radio, Inc. and Ad Hoc Telecommunications Users Committee asking for reconsideration of footnote 51 of the order, which they claimed would lead to an unwarranted and unsupported case-by-case reevaluation of the surcharge amount. While rejecting these petitions, the MO&O granted, in part, a petition for clarification filed by the Central Committee on Telecommunications of the American Petroleum Institute, concluding that refunds for surcharge amounts paid on private lines that fall within the exemption in question are appropriate for those subscribers who had certified that they qualified for the § 69.115(e)(6) exemption prior to the initial order. This action is taken to ensure that the application of § 69.115(e)(6) of the Commission's Rules is consistent with the policy underlying the private line surcharge.

**FOR FURTHER INFORMATION CONTACT:** Sandra Eskin (202) 632-9342.

#### SUPPLEMENTARY INFORMATION:

##### List of Subjects in 47 CFR Part 69

Access charges, Communications common carriers, Telephone.

##### Memorandum Opinion and Order

In the matter of clarification of §§ 69.5 and 69.115 of the rules of the Federal Communications Commission.

Adopted: October 3, 1985.

Released: October 16, 1985.

By the Commission.

#### I. Introduction

1. On February 25, 1985, we released an order granting a "Petition for



Clarification and Expedited Relief" filed by Aeronautical Radio, Inc. ("ARINC"), concerning the proper interpretation of § 69.115(e)(6) of our rules.<sup>1</sup> This section provides an exemption from the \$25 per month private line surcharge authorized in §§ 69.5 and 69.115 of our rules<sup>2</sup> for "[a]ny termination of a line that the customer certifies to the exchange carrier is not connected to a PBX or other device capable of interconnecting a local exchange subscriber line with the private line."<sup>3</sup> In its petition, ARINC contended that exchange carriers were incorrectly implementing this exemption by applying the surcharge to private lines that terminated in PBXs or similar equipment that had been rendered incapable of interconnection with the local exchange through software partitioning or other means. In granting ARINC's petition, we stated that we intended to apply the surcharge only where there was a present leakage capability:

If a user's equipment is prevented from interconnecting private lines with the local exchange lines due to "actual operating practicalities or limitations"—resulting from either hardware or software restrictions—then it is not capable of leakage. No use of the exchange can be made, and no surcharge should therefore be assessed.<sup>4</sup>

2. In granting the ARINC petition, we recognized that, in light of our clarification of § 69.115(e)(6), it may be appropriate for exchange carriers to increase the amount of the surcharge from \$25, and we provided for such an increase as follows:

When we affirmed this surcharge amount in the *Second Reconsideration Order*, we did not consider that users could partition or otherwise modify their PBXs so as to block leakage and thereby qualify for an exemption from the surcharge under our rules. As a result, fewer lines than we anticipated in the *Second Reconsideration Order* are subject to the surcharge, but the total amount of leakage over private lines has probably remained about the same (because it is likely that the lines that qualify for an exemption under the clarification we adopt today have engaged in little, if any, leakage). An upward adjustment in the amount of the interim surcharge may, therefore, be necessary to recover the same level of contribution for the same amount of leakage from a smaller number of lines. Accordingly, we would consider, with appropriate justification but without the usage measurements or estimations required in §§ 69.115 (a) and (b) of our rules, a revised interim surcharge filed by an exchange

carrier that, when applied to this smaller number of lines, would produce the same total revenue as the application of the \$25.00 to all lines attached to PBXs or similar devices.<sup>5</sup>

3. We have received three petitions for reconsideration of our decision in the *ARINC Clarification Order*; one, filed by Pacific Bell, seeks a complete reversal of the Order, while two others, submitted by ARINC and the Ad Hoc Telecommunications Users Committee ("Ad Hoc"), request only that we set aside footnote 51 of the Order, in which we suggested that an increase in the amount of the private line surcharge might be appropriate. Additionally, the Central Committee on Telecommunications of the American Petroleum Institute ("API") has filed a "Petition for Further Clarification and Expedited Relief," requesting that, in light of the interpretation of § 69.115(e)(6) adopted in the *ARINC Clarification Order*, we clarify that any subscriber who has paid surcharges on software or hardware-restricted private lines is entitled to a retroactive refund, with interest, upon appropriate documentation that such surcharges have been paid. For the reasons discussed below, we deny the reconsideration petitions, and partially grant the API Petition.<sup>6</sup>

## II. Petitions for Reconsideration

### A. Pacific Bell

4. In its Petition, Pacific Bell seeks reversal of the *ARINC Clarification Order*, contending that the issue raised in ARINC's Petition would be better addressed in our pending reevaluation of the entire private line surcharge concept.<sup>7</sup> It further argues that our interpretation of § 69.115(e)(6) will exacerbate leakage because carriers cannot reasonably ascertain whether customers filing exemptions for private lines attached to PBX or similar devices have indeed rendered those lines incapable of "leakage," and will further reduce surcharge revenues by increasing the exemption rate, which is already much higher than anticipated.

5. In response to Pacific Bell's arguments, Ad Hoc, API, and AAR note that in the *ARINC Clarification Order* the Commission explicitly declined to consolidate the original ARINC petition with the private line surcharge reevaluation. Ad Hoc asserts that self-certification, which has worked before,

should continue to be effective under § 69.115(e)(6), and that the Commission can use penalty provisions under the Communications Act to police leaking. API further argues that Pacific Bell's assertion that the number of exemptions is greater than anticipated is not substantiated, while AAR contends that, in clarifying § 69.115(e)(6), the Commission clearly intended to increase the number of private lines eligible for exemption.

6. We agree with those opposing the Pacific Bell petition that all the issues it raises now were raised and fully considered in the *ARINC Clarification Order*. Pacific Bell has presented no new facts or arguments that would cause us to reverse our resolution of those issues in that Order, and, accordingly, its petition for reconsideration is denied.

### B. ARINC and Ad Hoc

1. *Pleadings*.—7. While both ARINC and Ad Hoc generally support the *ARINC Clarification Order*, they ask us to reconsider footnote 51 in that Order, which they claim will lead to an unwarranted and unsupported case-by-case reevaluation of the surcharge amount. Ad Hoc faults the rationale posited in that footnote in support of an increase in the surcharge,<sup>8</sup> while ARINC asserts that the existence of any shortfall in exchange carrier revenues has not been demonstrated. ARINC also contends that the present \$25 figure is evidently too high since no carrier has yet developed its own surcharge amount, as they are permitted to do in our rules,<sup>9</sup> and as they presumably would do if they could justify a higher amount. In its view, an increase in the surcharge amount will drive some users out of the market. Both petitioners also assert that the Commission should defer any recalculation of the \$25 amount to the more comprehensive reevaluation of

<sup>1</sup> Ad Hoc views the calculation of the surcharge amount as a two-step process, involving (1) determination of the number of lines that leak, and (2) estimation of the amount of leakage per line for the lines that leak. Therefore, Ad Hoc argues, just because one step—our initial determination of the number of lines that leak—may be incorrect, this does not mean that the second step—our independent estimate of the amount of leakage per line—is also erroneous. Ad Hoc Petition at 5. Ad Hoc further argues that following the methodology we used in originally developing the \$25 amount, the surcharge should actually be reduced as a result of the interpretation of § 69.115(e)(6) adopted in the *ARINC Clarification Order*. It claims that because the Commission assumes in footnotes 51 that fewer lines than we originally anticipated actually leak into the local exchange, the total amount of leakage should also be less than we originally assumed. This, it argues, would require a reduction, not an increase, in the surcharge amount.

<sup>2</sup> See *First Reconsideration Order* at para. 88; 47 CFR 69.115(a)-(b).

<sup>1</sup> In the Matter of Clarification of §§ 69.5 and 69.115 of the Rules of the Federal Communications Commission, Memorandum Opinion and Order, 50 FR 12254 (1985) (hereinafter *ARINC Clarification Order*).

<sup>2</sup> 47 CFR 69.5(c), 69.115 (1984).

<sup>3</sup> *Id.* § 69.115(e)(6).

<sup>4</sup> *ARINC Clarification Order* at para. 18.

<sup>5</sup> *ARINC Clarification Order* at para. 19 n. 51.

<sup>6</sup> See Appendix A for a list of parties who commented on the various petitions.

<sup>7</sup> See MTS/WATS Market Structure, Notice of Proposed Rulemaking, 49 FR 50413, 54 Rad. Reg. 2d (P & F) 47 (1984) (hereinafter *Notice*).



the surcharge presently underway, maintaining that the requirement in the footnote that exchange carriers support any change in the \$25 surcharge amount with "appropriate justification" provides no real standard for review.

8. ADAPSO supports ARINC and Ad Hoc's arguments that footnote 51 lacks support,<sup>10</sup> and that any recalculation of the surcharge amount should only be undertaken in the private line surcharge reevaluation proceeding. HP, claims that in light of the fact that the initial calculation of the \$25 figure was "predicated on less than rigorous analysis," the invitation in footnote 51 to recalculate the surcharge level on an ad-hoc basis is inconsistent with fundamental ratemaking principles.

9. NECA opposes the ARINC and Ad Hoc petitions, arguing that to deny carriers the opportunity to file revised tariffs would be contrary to the public interest and the statutory scheme of carrier-initiated tariffs. NECA contends further, along with BellSouth, that footnote 51 simply allows exchange carriers the opportunity to recover their costs and recognizes the interrelationships between the surcharge and carrier common line revenue requirement. NYNEX rejects the petitioners' arguments that the recalculation provided for in footnote 51 is not subject to any meaningful review, contending that the "just and reasonable" standard of the Communications Act would apply. Further, NYNEX argues that it would not be appropriate to defer any recalculation of the amount of the surcharge to the comprehensive private line surcharge reevaluation, because any order resulting from that reevaluation would not apply retroactively.

2. Discussion.—10. While ARINC and Ad Hoc characterize footnote 51 as inviting reevaluation of the private line surcharge on a case-by-case basis, we view it merely as providing exchange carriers with the opportunity to make one, relatively simple adjustment in the amount of the surcharge to reflect the interpretation of § 69.115(e)(6) of our rules that ARINC petitioned for and Ad Hoc supported. As we stated in the

ARINC Clarification Order, when we upheld \$25 as an appropriate amount in the *Second Reconsideration Order*, we did not consider that users could partition or otherwise modify their PBXs so as to block leakage. In granting ARINC's petition, we held that exempting lines terminating in such PBXs from the surcharge was consistent with our intent in promulgating the "not-capable-of-leakage" exemption in § 69.115(e)(6). Nevertheless, we also recognized that, as a result of adopting ARINC's interpretation of that exemption, it was possible that fewer lines than we anticipated in the *Second Reconsideration Order* would be subject to the surcharge, although total leakage would probably not decrease. The adjustment provided for in footnote 51 would simply permit exchange carriers to take this interpretation into account in setting the surcharge level.<sup>11</sup>

11. Furthermore, we do not believe it is appropriate to await the outcome of the pending comprehensive reevaluation of the private line surcharge before allowing for an increase in the surcharge amount along the lines indicated in footnote 51. As NYNEX points out, any change in the surcharge amount or the overall surcharge approach that we adopt in that rulemaking proceeding will not be retroactive; and it was our intent in footnote 51 to provide for the possibility of adjustments in the surcharge amount in the current period. Accordingly, the ARINC and Ad Hoc petitions for reconsideration are denied.

### III. API Petition for Further Clarification

#### A. Pleadings

12. In its petition, API contends that any private line subscriber that has paid surcharges on its hardware- or software-restricted lines is entitled to a retroactive refund, with interest, upon

"appropriate documentation" that such surcharges have been paid. In support of its petition, it submits sample affidavits from users who state that they have made such payments. Alternatively, API requests that the Commission require that credits be granted against current amounts due for amounts already collected. API maintains that refunds or credits are mandated by the Commission's interpretation of § 69.115(e)(6) in the *ARINC Clarification Order*, which it claims makes clear that an exemption for restricted private lines has been in effect since that section was adopted. It notes that the Commission is authorized to order refunds under section 204 of the Communications Act<sup>12</sup> and contends that failure to do so in this case would constitute unjust enrichment of the exchange carriers.

13. ARINC agrees with API that the requirement for refunds is implicit in the reasoning underlying the *ARINC Clarification Order*. HP, in support of API's alternative request that credits be extended for improper payment of surcharge amounts, asserts that it has contacted the interexchange carriers to whom it has paid the "improper" charges and notified them that it will begin deducting "appropriate" amounts from its private line bills until the improper surcharge payments have been recovered. HP states that it has so far received no objection to this approach.

14. A number of exchange carriers opposing API's Petition note that in its comments supporting ARINC's initial petition, API had requested that the Commission order refunds and that, by not granting that request in the *ARINC Clarification Order*, we implicitly rejected compulsory refunding. API, together with ARINC and Ad Hoc, responds to this contention by arguing that had the Commission intended to allow retention of surcharge amounts previously collected from subscribers with "blocked" private lines, it would have had to resolve the tax issue raised in ARINC's initial petition, but not addressed in the *ARINC Clarification Order*—i.e., whether assessment of the surcharge on such private lines constitutes imposition of an unlawful tax.

15. GTE, BellSouth, and Ameritech<sup>13</sup> contend that the affidavits submitted by

<sup>10</sup> ADAPSO contends that our estimate of leakage has consistently been inflated and, therefore, our initial estimate of the average amount of leakage per private line subject to the surcharge (and thus, the amount of the surcharge itself) should remain unaffected by recognition that a smaller number of private lines than originally anticipated actually leak. Moreover, it contends that if we were to adjust downward our estimate of the total amount of leakage to reflect our determination that certain private lines are incapable of leakage, the total amount of revenue generated by the surcharge should also decrease. ADAPSO Comments at 3-4.

<sup>11</sup> Ad Hoc and ADAPSO, in contending that the surcharge level should be unchanged or perhaps even reduced as a result of the interpretation adopted in the *ARINC Clarification Order* (see *supra* notes 8 and 10), have misconstrued footnote 51. Contrary to these parties' assertions, we did not say that fewer lines than originally anticipated actually leak into the local exchange, but rather, that fewer lines than originally anticipated are subject to the surcharge. Since we concluded that the total amount of leakage over private lines has probably remained about the same (based on our assumption, which we again find reasonable, that those lines that qualify for the exemption from the surcharge have engaged in minimal leakage), an increase in the surcharge amount would be justified in order to recover the same total contribution from the smaller number of lines subject to the surcharge. Ad Hoc's further argument that the surcharge should be reduced because the nonpremium monthly access charge is no less than the \$400-500 figure that was used in the *First Reconsideration Order* to calculate the \$25 amount was fully addressed in the *Second Reconsideration Order* at para. 124.

<sup>12</sup> 47 U.S.C. 204 (1962).

<sup>13</sup> Ameritech's comments were filed one day late. It accompanied its comments with a motion to accept a late-filed pleading, identifying mechanical difficulties and messenger failure as the reasons for the delay in filing. The comments were served on the appropriate parties in a timely manner, and no party has opposed Ameritech's motion. Because no prejudice will result to any party, we are granting the motion.



API do not provide a sufficient basis for refunds and that only those subscribers who submitted exemption certifications, as required by § 69.115(e)(6) of our rules, should be eligible for any refund. These carriers, along with Pacific Bell, express the concern that granting API's petition would be an open invitation to misrepresentation on the part of subscribers, who could be expected to claim that their PBXs were blocked, whether in fact they were or not. These exchange carriers note that they would have no means of verifying such claims. API argues in response that carriers have failed to document any instances of customer misrepresentation since the self-certification program took effect and that most private line subscribers have valid business reasons for restricting leakage and no reason to cheat. It further notes that its members did not attempt to submit certifications before the *ARINC Clarification Order* was issued because they wanted to comply with Commission rules, and the carriers represented that the lines in question did not qualify for the exemption and demanded payment of the surcharge. In API's view, its members and other private line subscribers acted "prudently" in relying on these carrier representations in deciding not to seek exemptions for their private lines attached to hardware-or software-restricted PBXs.

16. The exchange carriers also dispute API's contention that § 69.115(e)(6) has effectively exempted restricted private lines from August 25, 1984, the date the surcharge became effective, arguing that in the *ARINC Clarification Order*, the Commission clearly changed the assumptions underlying § 69.115(e)(6). While agreeing that the Commission has authority to order a refund, BellSouth argues that a finding of unlawfulness, not made in the *ARINC Clarification Order*, is a condition precedent to granting a refund. Ameritech maintains that tariff provisions, as well as statutory and case law, preclude the granting of refunds under the circumstances of this case.<sup>14</sup>

17. In response to API's argument that their retention of surcharge amounts already paid on restricted private lines would constitute unjust enrichment, GTE, BellSouth, and NYNEX state that there is no evidence that exchange carriers acted unreasonably and for this reason, the exchange carriers should not be penalized. GTE contends that there is no unjust enrichment, but rather a potential shortfall resulting from the Commission's interpretation of § 69.115(e)(6). A number of exchange carriers contend that if refunds were granted, a retroactive increase in the common carrier line charge or in the surcharges applied to non-exempt private lines would be necessary to prevent an inequitable revenue loss. They assert, however, that such a course would be replete with administrative problems. API argues in response that the "delicate balance" between the carrier common line requirement and the private line surcharge is insufficient justification for denying its petition. It maintains that (i) carriers have failed to establish the interrelationship of these two charges; (ii) the amount of revenue from the private line surcharge, even before refunds are considered, is drastically lower than anticipated; and (iii) the Commission may order refunds even if the effect would be to reduce a carrier's prescribed rate of return.

#### B. Discussion

18. We are aware of the fact that, prior to the *ARINC Clarification Order*, a number of users may have been paying the \$25 surcharge on private lines that terminated in a PBX blocked, by software restrictions or otherwise, from leaking calls into the local exchange. However, we also believe that many exchange carriers assessed the surcharge against these users believing in good faith that our rules, and their tariffs implementing our rules, so required. Accordingly, we find unconvincing the arguments of API and supporting user groups that the challenged exchange carrier practice

represent a blatant disregard of our rules that has resulted in customer abuse and unjust enrichment. As we stated in the *ARINC Clarification Order*, in adopting the § 69.115(e)(6) exemption to the private line surcharge, we did not focus on the possibility that PBXs and other similar device could be rendered incapable of interconnecting private lines to the local exchange; and hence, the text of the rule itself and the relevant discussion in the *Second Reconsideration Order* are somewhat ambiguous on the question whether the exemption applies to such blocked lines. While we answered this question in the affirmative in clarifying the rule, we did not, and do not, find that the exchange carriers, in adopting a contrary interpretation, could reasonably be charged with acting in bad faith.

19. On the other hand, we do not agree with those exchange carriers who argue that an absence of bad faith is determinative on the question of their liability to subscribers who properly sought exemptions from the surcharge for their blocked private lines in compliance with the rules. We conclude that the exchange carriers should have granted those exemptions and that those users are entitled to refunds for the surcharge amount they have paid on those lines. But an exemption from the surcharge did not automatically attach to private lines as a consequence of the subscriber instituting blocking arrangements in its PBX. Rather, under § 69.115(e)(6) it was necessary for the subscriber to certify to the exchange carrier that its private lines had been rendered incapable of leakage. This certification requirement, while a minimal burden on users, is an important component of the exemption process.

20. We agree with a number of exchange carriers who contend that the affidavits submitted by API with its petition are insufficient documentation to support a claim for refund. It is our view that only a user who complied with the requirements of § 69.115(e)(6) and submitted appropriate certification at the time it sought an exemption should be able to obtain a refund for the period commencing with the submission.<sup>15</sup> API contends that its members did not try to certify due to demands by exchange carriers for payment and a desire to comply with our rules. However, we believe that the more "prudent" course

which the Supreme Court ruled that an agency may not award retroactive refunds of rates that it has "approved or prescribed," precludes retroactive adjustment of surcharge payments. It maintains that the Commission prescribed not only the \$25 surcharge, but also its application until an exemption certificate is filed. Ameritech Comments at 19-20. Finally, Ameritech contends that, even assuming that the \$25 surcharge is "carrier-initiated" and not Commission-prescribed, refunds are not available. It argues that refunds are allowed pursuant to section 204(a) of the Communications Act, 47 U.S.C. 204(a), only when carrier-made rates that go into effect subject to an accounting order are subsequently determined to be unjustified. If no section 204 accounting order is involved, a customer's only recourse, according to Ameritech, is a complaint for damages. *Id.* at 14-15.

<sup>14</sup> According to Ameritech, NECA's special access tariff, which went into effect on August 24, 1984, included a Commission-prescribed revision requiring a deferred collection plan to accommodate private line customers submitting an exemption certification provided for in § 69.115(e)(6) within 90 days of the effective day of the tariff. National Exchange Carrier Association—Tariff F.C.C. No. 1, Special Access Surcharge. Mimeo No. 5955, para. 9 (released August 10, 1984). This plan, according to Ameritech, indicates that the Commission did not contemplate any retroactive adjustment where a customer certified an eligible line after the 90-day period. Ameritech Comments at 9-10. Additionally, Ameritech contends that *Arizona Grocery Co. v. Atchison, T. & SF Ry Co.*, 284 U.S. 370 (1932), in

<sup>15</sup> Certifications submitted during the initial 90-day implementation period for the special access surcharge should, pursuant to the terms of the relevant NECA tariff, apply retroactively to the effective date of the tariff. See *supra* note 14.



of action would have been for these users to have submitted certifications and, after they were rejected, paid the surcharge amount under protest, the course apparently taken by ARINC, the original petitioner in this proceeding. Only under such circumstances do we believe that a refund is warranted.<sup>16</sup>

21. We find the approach to refunds adopted in this Order to be reasonable and equitable in light of the acknowledged previous ambiguity of § 69.115(e)(6) and the problems of verification. All we are requiring of private line subscribers is compliance

with our rules to qualify for an exemption.

22. Finally, we reject the contention of API, ARINC, and Ad Hoc that allowing telephone companies to apply the surcharge to lines that do not leak constitutes unlawful taxation. The fact that a rate for a particular telephone company service or facility does not reflect the costs of that service or facility, but a distribution of costs to promote the general purposes of the Communications Act, does not render that rate a tax.<sup>17</sup> Furthermore, the parties' tax argument proves too much: The surcharge still applies to subscribers who may not in fact leak if they do not have partitioned PBXs, or if they qualify for the § 69.115(e)(6) exemption but fail to submit an appropriate certification. In any event, the requirement in § 69.115(e)(6) of our rules that a subscriber submit a certification to receive an exemption from the surcharge is a reasonable one, and those subscribers who complied with it will be eligible for refunds.

#### IV. Ordering Clauses

23. Accordingly, it is hereby ordered, That pursuant to 47 U.S.C. 154(i) and (j), 201, 202, 203, 205, 216, and 403, the petitions for reconsideration and further clarification are granted to the extent set forth in this *Memorandum Opinion and Order*, and are otherwise denied.

24. It is further ordered That the Motion to Accept Late-Filed Pleading filed by Ameritech is granted.

Federal Communications Commission.  
William J. Tricarico,  
Secretary.

#### Appendix A

The following parties submitted oppositions to, or comments on the petitions for reconsideration:  
Ad Hoc Telecommunications Users Committee (Ad Hoc)

<sup>17</sup> As noted in the *Second Reconsideration Order*, the application of the surcharge, which is assessed by and paid to the local telephone company, to privately supplied private lines—that is, to facilities not supplied by the local telephone company—would raise certain taxation concerns. See *Second Reconsideration Order* at para. 133n. 56.

Aeronautical Radio, Inc. (ARINC)  
American Satellite Company (ASC)  
Association of American Railroads (AAR)  
Association of Data Processing Service Organizations, Inc. (ADAPSO)  
BellSouth Corporation (BellSouth)  
Central Committee on Telecommunications of the American Petroleum Institute and the Utilities  
Telecommunications Council (API)  
Hewlett-Packard Company (HP)  
National Exchange Carriers Association, Inc. (NECA)  
New York Telephone Company and New England Telephone and Telegraph Company (NYNEX)

The following parties submitted replies to the petitions for reconsideration:

AAR  
Ad Hoc  
ARINC  
Tele-Communications Association (TCA)

The following parties submitted oppositions to, or comments on, the API Petition for Further Clarification:

Ameritech Operating Companies (Ameritech)  
American Telephone and Telegraph Company (AT&T)  
ARINC  
Bell Atlantic Telephone Companies (Bell Atlantic)  
BellSouth  
CTE Service Corporation (GTE)  
HP  
NECA  
NYNEX  
Pacific

The following parties submitted replies to the API Petition:

Ad Hoc  
API  
TCA  
[FR Doc. 85-25100 Filed 10-21-85; 8:45 am]  
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<sup>16</sup> The tariff provisions, cited by Ameritech *supra* note 14, do not restrict an award of refunds in the circumstances of this case. The deferred payment plan and accompanying refund provisions of the tariff were found to be the best alternative for solving the billing and collection problems attendant to the initial implementation of the private line surcharge. See NECA Tariff FCC No. 1, Memorandum Opinion and Order (Bureau Order), Transmittal No. 11, Mimeo No. 5595, paras. 9-10 (released August 10, 1984). Not only do the tariff provisions apply solely to the original implementation period, but they also address only entitlement to refunds due pursuant to billing and collection procedures and not entitlement upon misapplication of the surcharge. Moreover, contrary to Ameritech's contention, the *Arizona Grocery* case does not limit our ability to provide for refunds in this case. *Arizona Grocery* involved a change in a prescription, not a misapplication by the carrier of a prescribed charge—the situation that prevails here. We did not change our surcharge rules in the *ARINC Order*, but clarified that those rules provide an exemption for subscribers with blocked PBXs. The carriers' misinterpretation of our rules, though arguably made in good faith, was their own; and their denial of surcharge exemptions to customers who certified that they had implemented blocking arrangements in their PBXs was a carrier-initiated action and subject to refunds if unreasonable, as the *ARINC Clarification Order* found it to be. In any event, Ameritech appears to rely on the *Arizona Grocery* case only to argue that refunds should not be provided to subscribers who failed to certify their lines as exempt, which we have determined the exchange carriers are not required to do. For similar reasons, Ameritech's argument that section 204(a) limits our ability to provide for refunds in this case is misplaced. Section 204(a) sets out a mechanism for providing refunds when the Commission permits a new or revised tariff provision to go into effect subject to an investigation and subsequently determines that such provision is unlawful. As discussed above, the *ARINC Clarification Order* dealt only with a clarification of an exemption provided for in both the rules and the exchange carriers access tariffs that had been misapplied by certain carriers. Section 204(a) does not apply to that clarification nor restrict our ability to order the refunds provided for herein.



# Proposed Rules

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This section of the FEDERAL REGISTER contains notices to the public of the proposed issuance of rules and regulations. The purpose of these notices is to give interested persons an opportunity to participate in the rule making prior to the adoption of the final rules.

## ADMINISTRATIVE CONFERENCE OF THE UNITED STATES

### 1 CFR Part 305

#### Administrative and Judicial Review in Immigration Proceedings

**AGENCY:** Administrative Conference of the United States; Committee on Judicial Review.

**ACTION:** Notice; Request for Comments.

**SUMMARY:** The Administrative Conference's Committee on Judicial Review is considering a tentative recommendation of the subject of administrative and judicial review of orders in immigration proceedings. The tentative recommendation proposes some changes in the jurisdiction of the agency units that hear administrative appeals in these cases as well as in the jurisdiction of the federal courts in immigration cases. It also suggests changes in the structure and organization of the Board of Immigration Appeals within the Department of Justice. The committee seeks views and information to assist it in the consideration of the tentative recommendation.

**DATES:** Comment Deadline: November 6, 1985. Comments received after the deadline will be considered to the extent feasible; however, the committee will meet to discuss the tentative recommendation November 12, 1985, and any comments received after that time cannot be considered at all.

**ADDRESSES:** Send comments to: Mary Candace Fowler, Administrative Conference of the United States, 2120 L Street, NW., Suite 500, Washington, D.C. 20037. One copy is sufficient.

**FOR FURTHER INFORMATION CONTACT:** Mary Candace Fowler, Administrative Conference of the United States, 2120 L Street, NW., Suite 500, Washington, D.C. 20037; (202) 254-7065.

**SUPPLEMENTARY INFORMATION:** The Administrative Conference's Committee on Judicial Review is considering a recommendation that would rationalize

the allocation of various orders in administrative immigration proceedings to particular forums for administrative or judicial review. The tentative recommendation calls for the Justice Department to review its regulations governing the forum for administrative review of immigration orders, and to assign some orders now reviewed by the Administrative Appeals Unit in the office of the Associate Commissioner for Examinations within the Immigration and Naturalization Service (I.N.S.) to the Board of Immigration and Appeals within the Executive Office for Immigration Review. The tentative recommendation suggests that the Board of Immigration Appeals be given statutory recognition and that various measures be taken to increase the independence of the Board from the Attorney General. Finally, the recommendation suggests certain changes in federal court jurisdiction over immigration appeals, most significantly a provision for direct review of exclusion orders in the courts of appeals. The recommendation is based on a report prepared for the Administrative Conference by Professor Stephen H. Legomsky, copies of which are available on request.

The committee has not reached any firm conclusions with respect to the tentative recommendation and welcomes the submission of views and information related to any aspect of the recommendation. However, we particularly invite interested persons to comment on the following issues:

1. What would be the likely impact (in terms of workload, quality of decisionmaking, etc.) of consolidating all administrative appeals of denials of visa petitions in one reviewing body—either the Board of Immigration Appeals (B.I.A.) in the Executive Office of Immigration Review or the Administrative Appeals Unit (A.A.U.) under the Associate Commissioner for Examinations in the I.N.S.? How effective and efficient are these entities in handling their existing caseloads?

2. If the B.I.A. were to adopt a 3-member panel system, to what extent should *en banc* review of panel decisions be available? Should review of split panel decisions be automatic or available only in the Board's discretion (either on motion of a Board member or in response to a petition)? Should *en banc* review of unanimous panel

decisions be available at the behest of the I.N.S. Commissioner or the Attorney General, in order to permit further consideration of legal or policy issues those officials deem especially important? Should it be available under any other circumstances? Would the answers to these questions change if the size of the B.I.A. were increased from 5 to 7 or more members?

3. Should Board of Immigration Appeals members be appointed by the Attorney General or by the President with the advice and consent of the Senate? If they were presidentially appointed, would it be appropriate for the Attorney General to retain power to reverse the Board's decisions?

4. Assuming that the actual instance of Attorney General review of B.I.A. decisions were to remain infrequent, as it is now, what standards should guide the Attorney General in determining which cases are appropriate for review—the presence of foreign policy or national security-related issues, prevalence of discretionary considerations over technical legal issues more appropriate for B.I.A. *en banc* resolution, or other considerations? Should the recommendation identify such standards?

The committee will meet on November 12 to consider the tentative recommendation and any comments received. Further notice of the exact time and place of the meeting will be published in the Federal Register. All comments submitted to the committee will be placed in a file available for public inspection during regular business hours (9:00 AM to 5:30 PM Monday through Friday, excluding federal holidays) at the Office of the Chairman of the Administrative Conference, 2120 L Street, NW., Suite 500, Washington, D.C. 20037.

#### Proposal On Which Comments Are Requested:

*Draft Recommendation—Administrative and Judicial Review in Immigration Proceedings*

The Immigration and Nationality Act of 1952, as amended, requires the Justice Department to make two major types of decisions affecting aliens—the exclusion of aliens seeking to enter the United States and deportation of those already in the country. The Act and the accompanying regulations also require a



host of collateral decisions concerning visa petitions, waivers of grounds for exclusion or deportation, adjustment of status from non-immigrant to permanent resident, and many other immigration-related matters. Responsibility for making these decisions resides in two very different types of officials.

Immigration judges, who are part of the Justice Department's Executive Office for Immigration Review, conduct formal evidentiary hearings in deportation, exclusion, and certain other proceedings. District directors and their subordinates are part of the Immigration and Naturalization Service (I.N.S.). They decide numerous other matters in far less formal proceedings. While the immigration judges have only adjudicative responsibilities, the district directors are principally responsible for the administration and enforcement of the immigration laws within their local geographic districts.

Similarly, there are two channels of administrative appeal for the Justice Department's Immigration decisions. The Board of Immigration Appeals (B.I.A.), like the immigration judges, is located within the Executive Office of Immigration Review. The Board reviews almost all immigration judge decisions and some district director decisions. It is composed of five attorney members, all of whom normally participate in every case. It reviews cases *de novo* on the basis of the administrative record and publishes precedential opinions binding on the immigration judges and on the I.N.S.

Twenty-five other categories of district director decisions are appealable to the Associate Commissioner for Examinations, an I.N.S. policymaking official whose appellate jurisdiction has been subdelegated to the Administrative Appeals Unit (A.A.U.). In that unit, cases are decided *de novo* by individual non-attorney staff members and reviewed by the unit chief. The A.A.U. does not ordinarily publish its decisions.

Most of the administrative decisions made under the Immigration and Nationality Act are judicially reviewable under one of the several statutory review provisions. Final orders of deportation are reviewable exclusively in the federal courts of appeals, except that aliens held in custody under deportation orders may seek habeas corpus relief in district court. Final exclusion orders are reviewable only by habeas corpus in district court. The district courts also have jurisdiction in "all [other] causes . . . arising under any of the provisions of this title."

The current regulations specify with a high degree of clarity which immigration decisions are administratively appealable and to which appellate body, but reasons for the various assignments are not always evident. In contrast, the existing statutory scheme of judicial review is generally sound. A few substantive changes would nonetheless be in order, and a few clarifications of ambiguous statutory language are recommended as well.

The factors that should influence the choices of forum for both administrative review and judicial review of administrative adjudication can be developed through a three-part methodology: (1) identify those attributes of the possible review forums that affect the accuracy, the efficiency, the acceptability, or the consistency of the administrative process; (2) identify the attributes cases might possess that would affect the importance to be attached to the various forum attributes; (3) determine the extent to which those case attributes tend to be present in the particular class of cases under consideration.

In Recommendation 75-3, the Administrative Conference set forth criteria to guide Congress in selecting the appropriate forum for judicial review of administrative agency action. Using the methodology described above, the present recommendation suggests some additional criteria and describes ways in which that expanded list of factors, with only slight modification, can be employed also to select a forum for administrative review. Applying those criteria, the recommendation then suggests forums for both administrative review and judicial review for various classes of immigration decisions.

#### Recommendation

##### A. Forum for Administrative Review

1. The Justice Department should undertake a comprehensive review of its regulations governing the assignment of forums for administrative review of immigration orders. In revising the regulations, it should make the following specific case assignments:

a. Appeals from orders of deportation and exclusion should continue to be heard by the Board of Immigration Appeals (B.I.A.).

b. Appeals from orders rescinding adjustment of status should continue to be heard by the B.I.A.

c. All appeals from district directors' denials of visa petitions should be heard by the B.I.A.; thus orphan, fiance(e), and occupational petitions should be transferred from the Administrative Appeals Unit (A.A.U.) to the B.I.A.

d. If administrative appeals from district directors' denials of waivers of the grounds of exclusion under section 212(c) of the Immigration and Nationality Act (applicable to aliens who are returning to a lawful unrelinquished domicile in the United States of seven years) are preserved,\* they should continue to be heard by the B.I.A.

e. Appeals from district directors' denials of waivers under sections 212(h) and 212(i) of the Act (applicable to certain close relatives of American citizens and permanent residents) should be transferred from the A.A.U. to the B.I.A.

f. Appeals from district directors' denials of applications to waive the two-year foreign residence requirement for exchange visitors should be transferred from the A.A.U. to the B.I.A.

g. Appeals from denials of waivers under section 212(d)(3) of the Act (applicable to nonimmigrants) should be transferred from the B.I.A. to the A.A.U.

h. Appeals from district director's denials of applications for permission to reapply for administration after exclusion or deportation should continue to be heard by the A.A.U.

2. In reexamining the other categories of B.I.A. and A.A.U. jurisdiction, the Justice Department should consider the following factors to the extent applicable:

a. Factors favoring selection of the B.I.A. for a particular class of cases include (i) high likelihood of a substantial impact on the litigants; (ii) the prevalence of issues of law or discretion, particularly when the public impact of a decision will be widespread; (iii) the desirability of providing for judicial review of the class of cases in the courts of appeals.

b. Factors favoring selection of the A.A.U. for a particular class of cases include (i) a high volume of cases; (ii) the prevalence of questions of descriptive fact, rather than issues of law or discretion; (iii) high likelihood that the reviewing court will need to take additional evidence.

c. Once one class of cases is committed to a particular review forum, there is benefit in assigning to that same forum (i) other classes of cases tending to raise similar issues and (ii) other cases which, if sent elsewhere, would frequently result in the bifurcation of

\*A pending Justice Department proposal would eliminate administrative appeals from these orders. The issue of whether these orders should be administratively appealed is beyond the scope of this recommendation.



proceedings affecting the same individual.

d. If, in a given class of cases, a high proportion of appeals consists of frivolous actions brought for the purpose of delay, then the speedier tribunal will be advantageous.

e. With all else equal, the status quo should be preserved.

#### B. Structure and Independence of the Board of Immigration Appeals

1. The B.I.A. should adopt a three-member panel system for deciding cases. En banc review of panel decisions should be available only in the following circumstances: (a) at the request of the I.N.S. Commissioner or the Attorney General; or (b) in the discretion of the B.I.A., when the alien petitions for review of a split panel decision.

2. If necessary to accommodate the case transfers recommended in part A above, the B.I.A.'s membership should be slightly increased.

3. Congress should enact legislation to give the B.I.A. statutory recognition. Under the legislation, the B.I.A. should remain within the Department of Justice. Its members should be appointed by the President and confirmed by the Senate for fixed terms of office, subject to removal for good cause. The statute should confer jurisdiction over deportation, exclusion and rescission orders, and should authorize the Attorney General to expand the Board's jurisdiction further.

4. The Attorney General should retain the power to review individual B.I.A. decisions. In accordance with current practice, this power should be exercised only in extraordinary circumstances.

#### C. Judicial Review

1. In general, those factors that favor administrative review in the B.I.A. will also favor placing judicial review of administrative action in the courts of appeals, and those factors that favor administrative review in the A.A.U. will favor placing judicial review in the district courts. Two additional factors influencing selection of a judicial forum are the availability of constitutionally-mandated habeas corpus review, which should be assigned to the district courts, and the likelihood of a high rate of appeals from district court decisions, which favors direct court of appeals review.

2. Congress should make the following specific changes:

a. District judicial review of exclusion orders (currently reviewable only by habeas corpus in district court) should lie in the U.S. courts of appeals, as does direct review of deportation orders. For

both deportation and exclusion cases, however, the U.S. district courts should retain their existing jurisdiction over petitions for writs of habeas corpus.

b. The courts of appeals, rather than the district courts, should have exclusive jurisdiction to review orders rescinding adjustment of status.

c. Congress should authorize the courts of appeals, in their discretion, to assert pendent jurisdiction over other orders issued under the Immigration and Nationality Act affecting aliens who are seeking review of exclusion or deportation orders.

d. Section 279 of the Immigration and Nationality Act, which has generated unnecessary jurisdictional questions and is now superfluous, should be repealed or, at a minimum, amended to clarify that it is subject to the specific judicial review provisions of the Act.

#### List of Subjects in 1 CFR Part 305

Administrative practice and procedure; Immigration.

Richard K. Berg,

General Counsel.

October 18, 1985.

[FR Doc. 85-25274 Filed 10-21-85; 8:45 am]

BILLING CODE 6110-01-M

## DEPARTMENT OF TRANSPORTATION

### Federal Aviation Administration

#### 14 CFR Part 39

[Docket No. 85-NM-103-AD]

#### Airworthiness Directives; McDonnell Douglas Model DC-9 and C-9 Series Airplanes (Fuselage Numbers 1 Through 1087)

**AGENCY:** Federal Aviation Administration (FAA), DOT.

**ACTION:** Notice of Proposed Rulemaking (NPRM).

**SUMMARY:** This notice proposes to amend an existing airworthiness directive (AD) 85-01-02 applicable to certain McDonnell Douglas Model DC-9 and Military C-9 series airplanes (Fuselage Number 1 through 1087) that requires inspections and repairs, if necessary, of certain aft pressure bulkheads. This proposal would require a modification of certain airplanes that is referenced in the existing AD as an optional terminating action. This proposed amendment is necessary to clarify the intent of AD 85-01-02, regarding those airplanes modified in accordance with McDonnell Douglas

DC-9 Service Bulletins 53-139, 53-139 R1, or production equivalent.

**DATES:** Comments must be received no later than December 10, 1985.

**ADDRESS:** Send comments on the proposal in duplicate to Federal Aviation Administration, Northwest Mountain Region, Office of the Regional Counsel, Attention: Airworthiness Rules Docket No. 85-NM-103-AD, 17900 Pacific Highway South, C-68966, Seattle, Washington 98168. The applicable service information may be obtained from McDonnell Douglas Corporation, 3855 Lakewood Boulevard, Long Beach, California 90846, Attention: Director, Publications and Training, C1-750 (54-60). This information may be examined at the FAA, Northwest Mountain Region, 17900 Pacific Highway South, Seattle, Washington, or 4344 Donald Douglas Drive, Long Beach, California.

**FOR FURTHER INFORMATION CONTACT:** Mr. Michael N. Asahara, Aerospace Engineer, Airframe Branch, ANM-122L, FAA, Northwest Mountain Region, Los Angeles Aircraft Certification Office, 4344 Donald Douglas Drive, Long Beach, California 90808; telephone (213) 548-2824.

#### SUPPLEMENTARY INFORMATION:

##### Comments Invited

Interested persons are invited to participate in the making of the proposed rule by submitting such written data, views, or arguments as they may desire. Communications should identify the regulatory docket number and be submitted in duplicate to the address specified above. All communication received on or before the closing date for comments specified above will be considered by the Administrator before taking action on the proposed rule. The proposal contained in this Notice may be changed in light of the comments received. All comments submitted will be available, both before and after the closing date for comments, in the Rules Docket for examination by interested persons. A report summarizing each FAA/public contact concerned with the substance of this proposal will be filed in the Rules Docket.

##### Availability of NPRM

Any person may obtain a copy of this Notice of Proposed Rulemaking (NPRM) by submitting a request to the FAA, Northwest Mountain Region, Office of the Regional Counsel, Attention: Airworthiness Rules Docket No. 85-NM-103-AD, 17900 Pacific Highway South, C-68966, Seattle, Washington 98168.



## Discussion

The FAA issued Airworthiness Directive 85-01-02, Amendment 39-4978 (50 FR 2043; January 15, 1985), because of reports of cracks found in the ventral aft pressure bulkhead. Prior to the issuance of AD 85-01-02, McDonnell Douglas attempted to develop an inspection program for those aft pressure bulkheads modified to McDonnell Douglas DC-9 Service Bulletins (SB) 53-139, 53-139 R1, or production equivalent, for cracks similar to those which occurred during fatigue testing. Due to the various splice interfaces and materials used (in accordance with SB's 53-139, 53-139 R1, or production equivalent), it is extremely difficult to establish whether or not a viable repair was accomplished. The principal constraint is accessibility, which requires significant disassembly of the adjacent structure. Both eddy current and X-ray procedures are compromised by the installation of steel parts. Visual inspections are blocked by the straps in the upper corners.

McDonnell Douglas was unsuccessful in developing a viable inspection procedure for those modified bulkheads, and recommended that operators incorporate terminating action in accordance with McDonnell Douglas DC-9 Service Bulletin 53-165 prior to accumulating 15,000 cycles after January 31, 1983. The FAA also determined that, if the operators disassembled the bulkhead area to gain accessibility needed to perform the inspections, they would elect to complete the terminating action in accordance with SB 53-165. It was the intent of AD 85-01-02 to mandate modification of the affected airplanes in accordance with SB 53-165 prior to the accumulation of 15,000 cycles, due to the fact that no practical repetitive inspection alternative is available.

This proposed rule would amend AD 85-01-02 to clarify the intent of the inspection and repair requirements regarding those airplanes modified in accordance with McDonnell Douglas Service Bulletins 53-139, 53-139 R1, or production equivalent.

It is estimated that 221 airplanes of U.S. registry would be affected by this AD, that it would take approximately 245 manhours per airplane to accomplish the required action, and that the average labor cost would be \$40 per manhour. Based on these figures, the total cost impact of the AD on U.S. operators is estimated to be \$2,165,800.

For these reasons, the FAA has determined that this document (1) involves a proposed regulation which is not major under Executive Order 12291

and (2) is not a significant rule pursuant to the Department of Transportation Regulatory Policies and Procedures (44 FR 11034; February 26, 1979); and it is further certified under the criteria of the Regulatory Flexibility Act that this proposed rule, if promulgated, will not have a significant economic impact on a substantial number of small entities, because few, if any, Model DC-9 and C-9 airplanes are operated by small entities. A copy of a draft regulatory evaluation prepared for this action is contained in the regulatory docket.

## List of Subjects in 14 CFR Part 39

Aviation safety, Aircraft.

## The Proposed Amendment

### PART 39—[AMENDED]

Accordingly, pursuant to the authority delegated to me by the Administrator, the Federal Aviation Administration proposed to amend § 39.13 of Part 39 of the Federal Aviation Regulation as follows:

1. The authority citation for Part 39 continues to read as follows:

Authority: 49 U.S.C. 1354(a), 1421 and 1423; 49 U.S.C. 106(g) (Revised, Pub. L. 97-449, January 12, 1983); and 14 CFR 11.89.

2. By amending AD 85-01-02, Amendment 39-4978 (50 FR 2043; January 15, 1985), as follows:

**McDonnell Douglas:** Applies to Model DC-9 and Military C-9 series airplanes (Fuselage Numbers 1 through 1087), certificated in any category. Compliance required as indicated, unless previously accomplished.

A. Revise paragraph A. to read as follows: "A. Except for those airplanes that are subject to paragraph K., below, for airplanes with 15,000 or more landings. . . ."

B. Re-identify paragraphs K. through P. as L. through Q., respectively. Add a new paragraph K. to read as follows:

"K. For aircraft previously modified in accordance with DC-9 Service Bulletin 53-139 (basic), or Revision 1, or production equivalent, accomplish rework of the aft pressure bulkhead in accordance with Part 2 of the Accomplishment Instructions of SB 53-165 prior to the accumulation of 5,400 cycles after the effective date of this amendment."

C. Revise re-identified paragraph L. to read as follows:

"L. The following constitutes terminating action compliance for this AD: For airplanes not previously modified in accordance with DC-9 Service Bulletin 53-139 (basic and Revision 1), or production equivalent, modify in accordance with DC-9 Service Bulletin 53-166, R1, or later FAA approved revision."

All persons affected by this proposal who have not already received these documents from the manufacturer may obtain copies upon request to the

McDonnell Douglas Corporation, 3855 Lakewood Boulevard, Long Beach, California 90846, Attention: Director, Publications and Training, C1-750 (54-60)

These documents also may be examined at the FAA, Northwest Mountain Region, 17900 Pacific Highway South, Seattle, Washington, or at 4344 Donald Douglas Drive, Long Beach, California.

Issued in Seattle, Washington, on October 11, 1985.

Charles R. Foster,

Director, Northwest Mountain Region.

[FR Doc. 85-25060 Filed 10-21-85; 8:45 am]

BILLING CODE 4910-13-M

## 14 CFR Part 71

[Airspace Docket No. 85-ACE-12]

### Proposed Designation of Transition Area; West Plains, MO

**AGENCY:** Federal Aviation Administration (FAA), DOT.

**ACTION:** Notice of proposed rulemaking (NPRM).

**SUMMARY:** This Notice proposes to designate a 700-foot transition area at West Plains, Missouri, to provide controlled airspace for aircraft executing a new instrument approach procedure to the West Plains Municipal Airport, West Plains, Missouri, utilizing the West Plains, Missouri, Non-Directional Radio Beacon (NDB) as a navigational aid. This proposed action will change the airport status from VFR to IFR.

**DATES:** Comments must be received on or before November 25, 1985.

**ADDRESSES:** Send comments on the proposal to: Federal Aviation Administration, Manager, Operations, Procedures and Airspace Branch, Air Traffic Division, ACE-540, 601 East 12th Street, Kansas City, Missouri 64106, Telephone (816) 374-3408.

The official docket may be examined at the Office of the Regional Counsel, Central Region, Federal Aviation Administration, Room 1558, 601 East 12th Street, Kansas City, Missouri.

An informal docket may be examined at the Office of the Manager, Operations, Procedures and Airspace Branch, Air Traffic Division.

**FOR FURTHER INFORMATION CONTACT:** Lewis G. Earp, Airspace Specialist, Operations, Procedures, and Airspace Branch, Air Traffic Division, ACE-540, FAA, Central Region, 601 East 12th Street, Kansas City, Missouri 64106, Telephone (816) 374-3408.



## SUPPLEMENTARY INFORMATION:

## Comments Invited

Interested persons may participate in the proposed rulemaking by submitting such written data, views or arguments as they may desire. Communications should identify the airspace docket number, and be submitted in duplicate to the Operations, Procedures and Airspace Branch, Air Traffic Division, Federal Aviation Administration, 601 East 12th Street, Kansas City, Missouri 64106. All communications received on or before the closing date for comments will be considered before action is taken on the proposed amendment. The proposal contained in this Notice may be changed in light of the comments received. All comments received will be available both before and after the closing date for comments in the Rules Docket for examination by interested persons.

## Availability of NPRM

Any person may obtain a copy of this NPRM by submitting a request to the Federal Aviation Administration, Operations Procedures and Airspace Branch, 601 East 12th Street, Kansas City, Missouri 64106, or by calling (816) 374-3408.

Communications must identify the notice number of this NPRM. Persons interested in being placed on a mailing list for further NPRMs should also request a copy of Advisory Circular No. 11-2A which describes the application procedure.

## Discussion

The FAA is considering an amendment to Subpart G, § 71.181, of the Federal Aviation Regulations (14 CFR 71.181) by designating a 700-foot transition area at West Plains, Missouri. To enhance airport usage, a new instrument approach procedure is being developed for the West Plains, Missouri, Municipal Airport, utilizing the West Plains NDB as a navigational aid. This navigational aid will provide new navigational guidance for aircraft utilizing the airport. The establishment of a new instrument approach procedure based on this navigational aid entails designation of a transition area at West Plains, Missouri, at and above 700 feet above ground level within which aircraft are provided air traffic control service. Transition areas are designed to contain IFR operations in controlled airspace during portions of the terminal operation and while transiting between the terminal and enroute environment. The intended effect of this action is to ensure segregation of aircraft using the approach procedure under Instrument

Flight Rules (IFR) and other aircraft operating under Visual Flight Rules (VFR). This action will change the airport status from VFR to IFR. Section 71.181 of Part 71 of the Federal Aviation Regulations was republished in Handbook 7400.8A, dated January 2, 1985.

The FAA has determined that this proposed regulation only involves an established body of technical regulations for which frequent and routine amendments are necessary to keep them operationally current. It, therefore: (1) Is not a "major rule" under Executive Order 12291; (2) is not a "significant rule" under DOT Regulatory Policies and Procedures (44 FR 11034; February 26, 1979); and (3) does not warrant preparation of a regulatory evaluation as the anticipated impact is so minimal. Since this is a routine matter that will only affect air traffic procedures and air navigation, it is certified that this rule, when promulgated, will not have a significant economic impact on a substantial number of small entities under the criteria of the Regulatory Flexibility Act.

## List of Subjects in 14 CFR Part 71

Aviation safety, Transition areas.

## The Proposed Amendment

## PART 71—[AMENDED]

Accordingly, pursuant to the authority delegated to me, the Federal Aviation Administration (FAA) proposes to amend Part 71 of the FAR (14 CFR Part 71) as follows:

1. The authority citation for Part 71 continues to read as follows:

Authority: 49 U.S.C. 1348(a), 1354(a), 1510; Executive Order 10854; 49 U.S.C. 100(g). (Revised Pub. L. 97-449, January 12, 1983); 14 CFR 11.69.

## § 71.181 [Amended]

2. By amending § 71.181 as follows:

## West Plains, Missouri

That airspace extending upward from 700 feet above the surface within a 7 mile radius of the West Plains Municipal Airport (latitude 36°52'43"N, longitude 91°54'08"W), within 3 miles each side of the West Plains NDB (UNO) (latitude 36°52'42"N, longitude 91°54'02"W) 185° bearing extending from the 7 mile radius to 8.5 miles south of the West Plains NDB.

Issued in Kansas City, Missouri on October 11, 1985.

Jerold M. Chavkin,

Acting Director, Central Region.

[FR Doc. 85-25061 Filed 10-21-85; 8:45 am]

BILLING CODE 4910-13-M

## SECURITIES AND EXCHANGE COMMISSION

## 17 CFR Part 240

[Release No. 33-6607; 34-22510; IC-14749; File No. S7-45-85]

## Prohibition Against Trading by Persons Interested in a Distribution

AGENCY: Securities and Exchange Commission.

ACTION: Proposed rulemaking.

**SUMMARY:** The Commission is proposing for public comment amendments to Rule 10b-6 under the Securities Exchange Act of 1934 which proscribes certain conduct by persons who are participating in a distribution of securities. If adopted, the amendments would: permit underwriters and broker-dealers involved in a distribution of securities to engage in solicited brokerage transactions until two or nine business days before offers or sales of the securities being distributed; define the applicability of the rule to certain persons who are affiliated with underwriters, brokers, dealers, or others participating in a distribution; reduce the restrictions on the exercise of standardized call options by distribution participants; and provide a parallel formulation of the cooling-off periods within Exceptions (xi) and (xii) of the Rule. Additionally, the amendments would modify the Rule's preamble to more fully reflect the authority for the provisions of the Rule, and codify the Commission's position that a distribution participant may rely on the Rule's exceptions only if the contemplated transactions are not made for manipulative purposes.

**DATE:** Comments should be submitted on or before December 23, 1985.

**ADDRESSES:** Interested persons should submit six copies of their written data, views, and opinions to John Wheeler, Secretary, Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549 and should refer to File No. S7-45-85. All submissions will be made available for public inspection at the Commission's Public Reference Section, Room 1024, 450 Fifth Street, N.W., Washington, D.C. 20549.

**FOR FURTHER INFORMATION CONTACT:** Nancy J. Burke or Stephen M. Piper at 202-272-2848, Office of Legal Policy and Trading Practices, Division of Market Regulation, Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549.



## SUPPLEMENTARY INFORMATION:

## I. Background and Summary of Amendments

The Securities and Exchange Commission is publishing for public comment amendments to Rule 10b-6 ("Rule 10b-6" or "Rule")<sup>1</sup> under the Securities Exchange Act of 1934 ("Exchange Act").<sup>2</sup> The proposed amendments cover certain issues left open by the Commission during its comprehensive review and revision of Rule 10b-6 in 1983,<sup>3</sup> including solicited brokerage transactions; bids and purchases by affiliates of underwriters, brokers, dealers, and other persons participating in a distribution; and the exercise of exchange-traded call options. The proposed amendments also would codify the Commission's position that the Rule's exceptions may be relied upon only if the contemplated transaction does not have a manipulative purpose, and would conform the formulation of the cooling-off periods within paragraphs (a)(3)(xi) and (xii) of the Rule.<sup>4</sup>

Rule 10b-6 is an anti-manipulative rule that, subject to certain exceptions, prohibits persons who are engaged in a distribution of securities from bidding for or purchasing, or inducing other persons to bid for or purchase, such securities, any security of the same class and series as those securities, or any right to purchase any such security, until they have completed their participation in the distribution. The purpose of the Rule is to prevent participants in a distribution from artificially conditioning the market for the securities in order to facilitate the distribution. The Rule is designed to protect the integrity of the securities trading market as an independent pricing mechanism and thereby enhance investor confidence in the marketplace. The Rule contains thirteen exceptions to its general prohibitions which are designed to facilitate an orderly distribution of securities or limit disruption in the trading market for the securities being distributed.

Rule 10b-6 was adopted in 1955<sup>5</sup> and in large measure codified principles

established in prior administrative interpretations and proceedings concerning trading activity in connection with distributions of securities.<sup>6</sup> Since its adoption, Rule 10b-6 has been and continues to be an important means of ensuring that the marketplace is free from manipulative activities during a distribution of securities. In 1983 the Commission adopted comprehensive amendments ("1983 Amendments")<sup>7</sup> that were designed to accommodate the Rule, to the extent consistent with its anti-manipulative purposes, to the significant changes in the structure and operation of the securities markets, in offering techniques, and in business needs and practices that had occurred since the Rule's adoption. In this regard, the Commission revised the Rule to permit underwriters and dealers to continue solicited principal purchases until specified periods before the commencement of offers or sales in the distribution<sup>8</sup> and adopted a corresponding exception for such purchases by issuers, selling shareholders, and their "affiliated purchasers."<sup>9</sup> A new exception to the Rules was created for transactions in investment grade nonconvertible debt or preferred securities.<sup>10</sup> Also, the 1983 Amendments defined the term "distribution" for purposes of Rule 10b-6<sup>11</sup> and made revisions to several of the Rule's exceptions. Many of these amendments codified staff interpretations and Commission decisions, and significantly reduced the necessity for persons subject to the Rule to seek interpretative advice or exemptive relief.

Although the Commission's examination of the Rules at that time

was wide-ranging and the resulting amendments comprehensive, there were several areas in which the Commission indicated that further experience, comment, and study were warranted before additional amendments to the Rule should be considered. These areas included solicited brokerage transactions, the application of the Rule to affiliates of underwriters, brokers, and dealers, and the exercise of exchange-traded call options. Since the adoption of the 1983 Amendments, a number of persons have responded to the Commission's request for views and data on the operation of the Rule, and have suggested further modifications to the Rule.

The Commission has had the opportunity to further examine Rule 10b-6, gain experience with the operation and impact of the 1983 Amendments, and evaluate further developments in the securities markets. The Commission believes that certain additional amendments to the Rule are appropriate at this time. The amendments proposed today would:

- Relax the current restrictions on the ability of distribution participants to engage in solicited brokerage transactions;
- Define the applicability of the Rule to affiliates of distribution participants; and
- Expand the circumstances in which distribution participants could exercise call options overlying the securities that are the subject of the distribution.

In addition, the Commission is proposing two clarifying amendments to the Rule. These amendments would:

- Modify the Rule's preamble to more fully reflect the authority for the provisions of the Rule, and codify the Commission's position that the Rule's exceptions may be relied upon only if the contemplated activity is not for the purpose of creating actual, or apparent, active trading in or raising or maintaining the price of the security; and
- Revise the formulation of the cooling-off periods in Exceptions (xi) and (xii) with respect to securities not qualifying for the business day cooling-off period.

## II. Discussion of Proposed Amendments

## A. Solicited Brokerage

1. *Background and Proposals.* Rule 10b-6 prohibits a participant in a distribution from bidding for or purchasing, or inducing any other person to purchase, the security that is the subject of the distribution, or any

<sup>1</sup> See, e.g., Securities Exchange Act Release Nos. 3505 and 3506 (November 16, 1943) (Opinions of the Director of the Commission's Trading and Exchange Division relating to the application of the anti-manipulative and antifraud provisions of the Exchange Act and the Securities Act of 1933, 15 U.S.C. 77a et seq. ("Securities Act"), to the purchasing activities of distribution participants during a distribution of securities).

<sup>2</sup> Securities Exchange Act Release No. 19565 (March 4, 1983), 27 SEC Docket 656 (March 22, 1983), 48 FR 10628 (March 14, 1983) ("1983 Adopting Release"). See also Securities Exchange Act Release No. 18526 (March 3, 1982), 24 SEC Docket 1420 (March 16, 1982), 47 FR 11482 (March 16, 1982) (publishing proposed amendments for comment) ("1982 Proposing Release").

<sup>3</sup> See Exception (xi).

<sup>4</sup> See Exception (xii). The amendments also added a definition of "affiliated purchaser" to specify those persons who would be subject to the Rule by virtue of their relationship with the issuer or other person on whose behalf the distribution was being made. 17 CFR 240.10b-6(c)(6).

<sup>5</sup> See 17 CFR 240.10b-6(a)(3)(xiii) ("Exception (xiii)").

<sup>6</sup> See 17 CFR 240.10b-6(c)(5).

<sup>1</sup> 17 CFR 240.10b-6.

<sup>2</sup> 15 U.S.C. 78a et seq.

<sup>3</sup> Securities Exchange Act Release No. 19565 (March 4, 1983), 27 SEC Docket 656 (March 22, 1983), 48 FR 10628 (March 14, 1983).

<sup>4</sup> 17 CFR 240.10b-6(a)(3)(xi) and (xii) ("Exception (xi)" and "Exception (xii)", respectively).

<sup>5</sup> Securities Exchange Act Release No. 5194 (July 5, 1955). See also Securities Exchange Act Release Nos. 5040 (May 18, 1954) (publishing proposals for comment) and 5159 (April 19, 1955) (publishing revised proposals for comment).



related security (i.e., any security of the same class and series, or any right to purchase any such security) from the time such person becomes a participant in the distribution until the distribution has been completed.<sup>12</sup> The Rule thus prohibits a participating broker-dealer from soliciting brokerage transactions throughout the distribution period. Such transactions are referred to as solicited brokerage.<sup>13</sup>

In the 1983 Adopting Release, the Commission stated that, while it declined at that time to follow the suggestions of some commentators on this issue, the Commission would consider whether there were any circumstances under which broker-dealers should be permitted under Rule 10b-6 to effect solicited brokerage transactions before commencement of offers and sales in a distribution.<sup>14</sup>

Various persons subsequently have urged the Commission to regulate solicited brokerage in the same manner as principal transactions.<sup>15</sup> Such persons maintain that, in that normal course of business, solicited brokerage transactions generally do not present any significantly greater potential for manipulative abuse than solicited principal transactions.<sup>16</sup> The

Commission's differentiation between principal and solicited brokerage transactions has been based primarily on two factors. First, principal transactions generally involve the assumption of some market risk by the broker-dealer which may place limits on the degree to which the broker-dealer can engage in such activity. Second, many principal transactions of broker-dealers reflect market making and block positioning activity which provides substantial benefits in the form of market liquidity. Commentators have argued, however, that, although brokerage transactions do not involve use of the broker-dealer's capital or inventory, the solicited customer must make an independent, affirmative decision to buy the recommended security. It has been asserted that if a customer responds favorably to a broker's solicitation, the securities transaction generally will occur immediately following or shortly after the solicitation. Therefore, the cooling-off periods that apply to principal transactions also would be appropriate to discourage manipulative activity and dissipate the effects of market activity by distribution participants in the context of solicited brokerage.

In light of these considerations, the Commission believes that the premises underlying the adoption of the two and nine<sup>17</sup> business day cooling-off periods for principal purchases in Exception (xi) (A) be compatible with permitting solicited brokerage with the same cooling-off periods, since the market impact of solicited brokerage transactions should dissipate within the cooling-off periods prescribed in Exception (xi).<sup>18</sup> The Commission therefore is proposing to relax the restrictions on solicited brokerage by amending Exception (v) to permit a broker-dealer participating in the distribution to engage in solicited brokerage (1) in the case of securities qualified under paragraph (a)(3)(xi)(A) of the Rule, prior to the later of two

business days before the commencement of offers or sales of the securities to be distributed or the time such person becomes a participant in the distribution, and (2) in the case of other securities, prior to the later of nine business days before the commencement of offers or sales of the securities to be distributed or the time such person becomes a participant in the distribution.<sup>19</sup>

**2. Continuing Agreements.** In the 1983 Adopting Release, the Commission reiterated its view that a broker-dealer with a continuing agreement<sup>20</sup> with an issuer to sell, from time to time, securities that are registered on the shelf pursuant to Rule 415 under the Securities Act would be required to be out of the market during the entire cooling-off period before any offers or sales of the security being distributed.<sup>21</sup>

<sup>12</sup> The issuance of certain research reports may constitute inducements to purchase. The Commission, however, has recently clarified that, in most circumstances, research reports that conform to Rule 139 under the Securities Act of 1933, 17 CFR 230.139, will not constitute prohibited inducements to purchase for purposes of Rule 10b-6 ("permissible research reports"). See Securities Act Release No. 6550 (September 19, 1984), 49 FR 37569, 37572 & n.25 (September 24, 1984), 31 SEC Docket 559, 562 & n.25 (October 2, 1985) ("Rule 139 Release"). The Commission does not intend these proposed amendments to alter the research report interpretation.

The Rule 139 Release indicated that dissemination of permissible research reports might involve a solicited brokerage transaction, e.g., if such research is directed to particular customers by the broker-dealer's sales personnel, and thus be outside the scope of Exception (v). Under the proposed amendment to Exception (v), such customer-directed permissible research reports could be disseminated up until the specified cooling-off period, although customer orders resulting from such research dissemination could not be executed during the cooling-off period. See n.18 *supra*.

As is made clear by the proposed amendment to paragraph (a)(3) of the Rule, see Section II.D. *infra*, the proposed exception for solicited brokerage is not available for transactions that are engaged in for manipulative purposes. Moreover, under Rule 15c1-6 under the Exchange Act, 17 CFR 240.15c1-6, the broker-dealer must notify the customer in writing of its interest in the distribution of the security that it is recommending that the customer buy in the open market. If the proposed relaxation of the restrictions on solicited brokerage were adopted, that rule would provide another safeguard on the potential for manipulative abuse of solicited brokerage prior to a cooling-off before the commencement of actual offers and sales in the distribution.

<sup>20</sup> Generally, a broker-dealer that agrees with the issuer to participate in all offerings, or in all of a particular type of offering, of shelf registered securities, see Rule 415, 17 CFR 230.415, under the Securities Act is considered to have a "continuing agreement" with the issuer and to be a participant in all offerings of the type for which it has such an agreement with the issuer. 1983 Adopting Release, 48 FR at 10636.

<sup>21</sup> 1983 Adopting Release, 48 FR at 10635-36.

<sup>13</sup> Pursuant to 17 CFR 240.10b-6(a)(3)(vi), the distribution participant can induce persons to purchase the securities that are actually being distributed.

<sup>14</sup> In contrast, 17 CFR 240.10b-6(a)(3)(v) ("Exception (v)"), presently permits a broker-dealer to engage in unsolicited brokerage activities, i.e., where a customer initiates a securities transaction in the absence of any inducement by the broker-dealer, throughout the distribution period. It should be noted that where either side of a brokerage transaction is unsolicited, a broker may solicit the other side and still rely on Exception (v). For example, where a seller approaches a broker with an unsolicited order to sell securities, the broker may solicit a customer to purchase such securities under Exception (v). Similarly the solicitation of a sell order is permitted if the broker first receives an unsolicited order to purchase securities. See 1983 Adopting Release, 48 FR at 10637 n.52.

<sup>15</sup> 1983 Adopting Release, 48 FR at 10637.  
<sup>16</sup> See, e.g., Letter to Richard G. Ketchum, Director, Division of Market Regulation, Securities and Exchange Commission, from William R. Harmon, Chairman, Federal Regulation Committee, Securities Industry Association (December 17, 1984), which is publicly available in File No. S7-45-85.

Exception (xi) to Rule 10b-6 allows an underwriter, prospective underwriter, or dealer participating in a distribution to, among other things: (1) Effect solicited principal transactions prior to a specified cooling-off period before the commencement of offers or sales in the distribution; and (2) effect unsolicited principal purchases prior to the commencement of offers and sales in the distribution. The exception reflects the desirability of maintaining depth and liquidity in the market for the issuer's securities to the maximum extent possible consistent with the anti-manipulative objectives of the Rule.

<sup>17</sup> The Commission notes, however, that solicited brokerage activities prior to the commencement of offers and sales in a distribution may raise

questions under section 5 of the Securities Act, 15 U.S.C. 77e. See 1983 Adopting Release, 48 FR at 10637.

<sup>18</sup> Although this cooling-off period currently is formulated in terms of ten business days, the Commission is proposing to modify the formulation in recognition of the fact that the cooling-off period actually is nine business days. See Section II.E. *infra*. In this release, therefore, the cooling-off period will be referred to as the "nine business day" period.

<sup>19</sup> Once a customer is solicited, any subsequent order he enters to purchase the particular security is considered a solicited brokerage transaction for purposes of the Rule. Thus, under the proposal announced herein, a broker-dealer participating in a distribution could not solicit a transaction or execute an order based on a prior solicitation during the applicable proposed cooling-off period.



The Commission observed that, because of the close and continuing relationship between the issuer and the broker-dealer regarding various aspects of the offering, and the certainty of its participation in the offering, a broker-dealer with a continuing agreement may have an incentive to condition the market to facilitate the distribution. Broker-dealers with continuing agreements were deemed to be distribution participants throughout the period of the shelf, and thus would be subject to the Rule's prohibitions on solicited brokerage throughout the term of the shelf. Because this position potentially created some hardship for broker-dealers with continuing agreements, the Commission announced that the staff would not recommend that the Commission take enforcement action if broker-dealers with continuing agreements engage in solicited brokerage transactions ten or more business days before commencement of offers or sales of shelf-registered securities in the case of any offers or sales made subsequent to an initial offering pursuant to an effective shelf registration.<sup>22</sup>

At this time, the staff does not propose to modify this no-action position. However, if the proposed amendment to Exception (v) were adopted, the cooling-off periods applicable to solicited brokerage also would apply in the context of offers and sales off of a shelf.<sup>23</sup> The Commission invites commentators to address the impact of the present and proposed formulations of the Rule on underwriters with continuing agreements and, in particular, the extent to which such underwriters are prevented in practice from engaging in solicited brokerage transactions.

## B. Affiliates of Distribution Participants

1. *Background and Discussion.* Rule 10b-6 applies to the issuer or other person on whose behalf a distribution is being made, the underwriter, any prospective underwriter, and any broker, dealer, or other person who is participating or has agreed to participate in such a distribution. Before the adoption of the 1983 Amendments, Rule

10b-6 applied as well to all affiliates of the foregoing persons.<sup>24</sup>

The 1983 Amendments narrowed the Rule's coverage from the perspective of the issuer and selling shareholder by adding the "affiliated purchaser" concept to paragraph (a)(2) and defining that term in paragraph (c)(6) of the Rule.<sup>25</sup> Exception (xii) also was added to Rule 10b-6 and allows purchasers of covered securities as principal by the issuer, selling shareholder, or the affiliated purchasers of such issuer or selling shareholder, prior to a specified cooling-off period before the commencement of actual offers or sales in the distribution.<sup>26</sup> In proposing these revisions, the Commission noted that the definition of affiliated purchaser "reflects the view that purchases by affiliates who are acting in concert with the issuer or who control the issuer's purchasing determinations may present more potential for manipulative activity than purchases by other affiliates."<sup>27</sup>

When the 1983 Amendments were adopted, however, the Commission declined to narrow the affiliate concept as it applied to underwriters, prospective underwriters, brokers, and dealers participating in the distribution of securities.<sup>28</sup> Moreover, the Commission has not addressed the extent to which affiliates of a "person who has agreed to participate or who is participating"<sup>29</sup> in a distribution should remain subject to the Rule.<sup>30</sup> As a result,

all affiliates of those persons (collectively, "participants") remain subject to the restrictions of the Rule.

Within the past several years, complex corporate structures have resulted from the concentration of the financial services industry, and the industry continues to develop in ways that are often unpredictable. Moreover, a growing number of firms offer diversified financial and other services, often through separate but affiliated entities. For example, brokerage firms may have affiliates engaged in such unrelated businesses as merchandizing and real estate. Many of these affiliates may have little or no ability or incentive to condition the market to facilitate a distribution by the broker-dealer, and, in some instances, may have interests contrary to those of the broker-dealer affiliate.

In the 1983 Adopting Release, the Commission invited comments and suggestions with respect to revising the Rule to define more precisely its application to affiliates of participants.<sup>31</sup> Two correspondents have addressed this issue. One correspondent suggested that the Commission adopt the concept of "affiliated purchaser" used in Rule 10b-6(c)(6) whereby an affiliate of a participant would be subject to the Rule if the affiliate (i) acted in concert with the participant, or (ii) the participant or the affiliate controlled the other's purchases or their purchasing activity was under common control.<sup>32</sup> The other correspondent recommended that only those affiliates acting in concert with a participant should be subject to the Rule and also indicated support for the affiliated purchaser approach under the Exchange Act.<sup>33</sup>

The Commission, however, does not believe that the present "affiliated purchaser" definition in Rule 10b-6(c)(6) is sufficiently comprehensive in the participant context, because it may not capture all those persons with the means and incentive to facilitate a distribution of securities. Of primary concern to the Commission are entities that have a special ability to facilitate a

<sup>22</sup> 1983 Adopting Release, 48 FR at 10632.

<sup>23</sup> 17 CFR 240.10b-6(c)(6) defines "affiliated purchaser" as:

(i) A person acting in concert with the issuer or other person on whose behalf the distribution is being made in connection with the acquisition or distribution of the issuer's securities, or

(ii) An affiliate who, directly or indirectly, controls the purchases by the issuer or other person of the issuer's securities, whose purchases are controlled by the issuer or such other person, or whose purchases are under common control with those of the issuer or such other person.

<sup>24</sup> Prior to the adoption of Exception (xii), issuers, selling shareholders, and their affiliates were prohibited throughout the distribution from purchasing the securities being distributed or any related security.

<sup>25</sup> 1983 Proposing Release, 47 FR at 11488.

<sup>26</sup> 1983 Adopting Release, 48 FR at 10633.

<sup>27</sup> 17 CFR 240.10b-6(a)(3).

<sup>28</sup> To determine whether certain persons were covered by Rule 10b-6 owing to their relationship as an affiliate of an underwriter, prospective underwriter, broker, dealer, or other person who agrees to participate or does participate in a distribution ("participants"), the staff has looked to whether a person was acting in concert with, or controlled, was controlled by, or was under common control with, a participant. In addition, the prohibitions of the Rule have been considered applicable to any other person sharing a special relationship with a participant, or who has a material financial interest in the success of the distribution, which would provide that person with an incentive to condition the market to facilitate the

distribution of the offered security. See 1983 Adopting Release, 48 FR at 10632 n.23.

<sup>29</sup> 1983 Adopting Release, 48 FR at 10633.

<sup>30</sup> Letter of John S.R. Shad, Chairman, Securities and Exchange Commission, from Saul S. Cohen, Chairman, Federal Regulation Committee, Securities Industry Association (August 31, 1983), which is publicly available in File No. S7-921.

<sup>31</sup> Letter to George A. Fitzsimmons, Secretary, Securities and Exchange Commission, from Edmund H. Kerr, Chairman, Committee on Securities Regulation, The Association of the Bar of the City of New York (July 8, 1983), which is publicly available in File No. S7-921.

<sup>22</sup> 1983 Adopting Release, 48 FR at 10638.

<sup>23</sup> Since a broker-dealer with a continuing agreement remains a participant in the distribution throughout the shelf period, it would be prohibited from engaging in solicited brokerage during the entire cooling-off period. This restriction is consistent with the Commission's position with respect to the application of Exception (xi) cooling-off periods to broker-dealers with continuing agreements. See 1983 Adopting Release, 48 FR at 10637-38.



distribution in which an affiliate is participating because the entity is itself engaged in the securities business. Entities such as brokers, dealers, investment advisers, and investment companies could facilitate an affiliate's distribution by purchasing the securities for their own accounts or for their customers' accounts, or by recommending that their customers purchase the securities. Such an entity may have an incentive to facilitate the affiliate's distribution even if such entity is not acting in concert with the affiliate, and even if such entity's purchases are not controlling, controlled by, or under common control with, those of the affiliate engaged in the distribution.

For example, the purchasing activities of an asset management affiliate of a participant might not be under common control with those of the participant, and the affiliate might not be acting in concert with the distribution participant, but the affiliate might nevertheless have an incentive to facilitate the offering if many of its accounts are obtained by referral from the participant. Similarly, if an investment advisory affiliate indirectly shares in the economic success of an affiliated participant, through, for example, a profit sharing plan, the investment company may have the incentive to facilitate the distribution by the participant by purchasing the subject securities on the basis of a purportedly independent investment decision.<sup>34</sup>

<sup>34</sup> The Commission also notes that the New York Stock Exchange ("NYSE") and the American Stock Exchange ("Amex") have proposed rule changes to permit broker-dealers to become affiliated with specialists on those exchanges. Securities Exchange Act Release No. 22396 (September 11, 1985), 50 FR 37925, 33 SEC Docket 1635; Securities Exchange Act Release No. 22183 (June 28, 1985), 50 FR 27875 (July 8, 1985), 33 SEC Docket 909 (July 16, 1985) (File No. SR-NYSE-85-25); Securities Exchange Act Release No. 21916 (April 2, 1985), 50 FR 14058 (April 9, 1985), 32 SEC Docket 1439 (April 16, 1985) (File No. SR-Amex-85-1). The exchanges have proposed that, in order to address conflict of interest concerns, a "Chinese Wall" would be established between the broker-dealer and the specialist affiliate. If the broker-dealer were participating in an underwriting of securities for which the affiliate acts as specialist, however, the specialist would be required to "hand off the book" to a substitute specialist from the beginning of the Rule 10b-6 cooling-off period until the completion of the distribution. While the Commission is expressing no opinion as to the merits of the proposed rule changes, the suggested approach appears to be a recognition by the securities industry of the staff's position that certain affiliations are inherently problematic with respect to compliance with Rule 10b-6, even where the affiliate's bidding or purchasing activity is not performed in concert with, or under the control of, the broker-dealer.

The Commission believes that the same analysis applies with equal force to issuers and selling shareholders. Issuer and selling shareholder affiliates that are engaged in the securities business have the same immediate and efficient access to the securities markets as participant affiliates do. These issuer and selling shareholder affiliates may have an incentive to facilitate a distribution by the issuer or selling shareholder even though the affiliate is not acting in concert nor are the affiliate's purchases controlling, controlled by, or under common control with those of the issuer or selling shareholder. For example, if a merchandise retailer were engaged in a distribution of its securities, a number of its affiliates might have an incentive to facilitate the distribution. Those affiliates, such as broker-dealers and insurance companies, that, as a regular part of their business, engage in securities transactions, may employ their securities market access and resources in a manipulative manner. Therefore, the current affiliated purchaser definition (which applies only to issuers and selling shareholders) would be modified and incorporated into the proposed definition of affiliated purchaser applicable to all distribution participants.

**2. Proposed Amendment.** The Commission therefore proposes to adopt an amendment to Rule 10b-6 that would limit the strictures of the Rule as it applies to a distribution participant<sup>35</sup> who is an underwriter, prospective underwriter, dealer, broker, or other person who has agreed to participate or is participating in the distribution, and would make the Rule applicable in a parallel manner to affiliates of the issuer or other person on whose behalf the distribution is being made. The Rule as proposed to be amended would apply to any person who (a) directly or indirectly acts in concert with a distribution participant, (b) is an affiliate that, directly or indirectly, controls the purchases by a distribution participant, whose purchases are controlled by a distribution participant, or whose purchases are under common control with those of a distribution participant, (c) is an affiliated broker, dealer, investment company, or investment adviser, or (d) is an affiliate that otherwise regularly purchases securities,

<sup>35</sup> A definition of "distribution participant" would be added to the Rule that would encompass (a) the issuer or other person on whose behalf the distribution is being made, and (b) an underwriter, prospective underwriter, dealer, broker, and any other person who has agreed to participate or is participating in the distribution.

through a broker-dealer or otherwise, for its own account or for the account of others, or recommends or exercises investment discretion with respect to the purchase or sale of securities.<sup>36</sup>

The Rule as proposed to be amended would be applicable to a substantially reduced class of distribution participant affiliates. Assuming that they were not acting in concert with a distribution participant, affiliates that are involved in leasing, realty sales and management, sale of consumer durables, or other businesses that do not regularly involve participation in securities transactions, would not be subject to the Rule's restrictions. Although such affiliates may have some incentive to facilitate a distribution, they do not have the immediate and effective means to do so because they are not involved in the securities business.

The proposed amendment, however, would include within the Rule's coverage distribution participant affiliates that are registered with the Commission as broker-dealers, investment companies, or investment advisers. Other affiliates that regularly engage in securities transactions, but, for certain reasons, are not registered with the Commission, also would be included within the proposed definition of affiliated purchaser, since such affiliates have the means and may have the incentive to facilitate a distribution involving the distribution participant. This category of affiliated purchasers would include, for example, insurance companies, banks, and hedge funds.

In summary, the proposed definition of affiliated purchaser would subject to the Rule: (1) Any person that acts in concert with a distribution participant in connection with the acquisition or distribution of the security which is the subject of the distribution (or any related security); (2) any affiliate whose purchases of such securities are controlling, controlled by, or under common control with the purchases of a distribution participant; and (3) with certain exceptions, any affiliate that regularly purchases securities for its own account or for the account of others, or recommends or exercises investment discretion with respect to the purchase or sale of securities.

In some instances, an affiliate that falls within the coverage of the Rule may be able to show that, for certain reasons, it nevertheless should be free

<sup>36</sup> The proposed amendment, however, would exclude any affiliate in categories (c) and (d) above whose business consists of solely effecting transactions in "exempted securities" as defined in section 3(a)(12) of the Exchange Act.



to operate without regard to the Rule during periods when an affiliated entity is participating in a distribution. For example, an affiliate may be able to show that its corporate structure results in its having no economic stake in the success or failure of the distribution participant, and that competing forces, such as fiduciary or other statutory obligations, effectively preclude it from facilitating the distribution. In these instances, the Commission would consider requests for exemptive relief on a case-by-case basis.<sup>37</sup>

### C. Exercise of Call Options

1. *Background.* In the 1983 Amendments, the Commission incorporated into Exceptions (xi) and (xii) a five business day cooling-off period for the exercise of exchange-traded call options on securities qualifying for the two business day cooling-off period under Exception (xi)(A) or (xii)(A) ("qualified securities"). The imposition of a cooling-off period for the exercise of exchange-traded call options generally was criticized by commentators who claimed that the exercise of exchange-traded call options has a *de minimis* impact on the market for the underlying security. Moreover, they asserted that exercising call options would be an inefficient method of manipulating the market for the underlying security since it is not certain that a person who receives an exercise notice, even if he had an uncovered position, would purchase the securities in the market to deliver upon the exercise.<sup>38</sup> The Commission nevertheless expressed its "continuing concern that some potential exists for manipulation" in that context.<sup>39</sup> The

<sup>37</sup> Shortly after the adoption of the 1983 Amendments to Rule 10b-6, the Commission received a request for exemptive relief from an investment adviser registered under the Investment Advisers Act of 1940 to allow it to make for its discretionary managed accounts purchases of securities being distributed by an affiliated broker-dealer, and to recommend the purchase of the securities being distributed by the affiliated broker-dealer. In order to gain experience with purchases by affiliates of a broker-dealer participating in a distribution, the Division of Market Regulation, pursuant to delegated authority, granted an exemption in the very limited circumstances presented by that case. This is the only instance when it has been determined that, in light of the relationship between the distribution participant and the affiliate, the purchases and inducements to purchase did not appear to present any of the abuses that Rule 10b-6 was designed to prevent. *Letter concerning Alliance Capital Management Corporation* (June 24, 1983).

<sup>38</sup> For example, delivery could be accomplished with borrowed securities.

<sup>39</sup> 1982 Adopting Release, 48 FR at 10637.

Commission's analysis focussed on the fact that some percentage of option writers are uncovered and when presented with an exercise notice will purchase securities in the market to cover the exercise. The exercise of call options by a distribution participant, therefore, could cause others to purchase in the market securities of the same class and series as those being distributed. As a result, such exercises would have the effect of inducing the option writer to purchase the securities being distributed. The inducement of such purchases is expressly prohibited by Rule 10b-6. As a result, the Commission determined that a five business day cooling-off period would minimize the probability that purchases resulting from options exercises will occur during the two business day cooling-off period for qualified securities. However, the Commission stated that it would "continue to consider whether exercise limits and general antifraud and anti-manipulative provisions make possible a further reduction of the cooling-off period or its eventual elimination."<sup>40</sup>

Following the adoption of the 1983 Amendments, the Commission received suggestions that the Commission reconsider its decision to impose the five-day cooling-off period.<sup>41</sup> Proponents of shortening or eliminating the cooling-off period continue to maintain that the exercise of call options on a security has an uncertain and a *de minimis* impact on the market for the security, principally because most call options contracts are covered, that is, they are written against securities already owned, so that exercise notices do not create any need to purchase securities. Furthermore, it is claimed that uncovered writers frequently deliver borrowed securities when they are assigned exercise notices, thereby making the possibility of significant market impact even more remote. Others suggest that regulations such as position and exercise limits,<sup>42</sup> coupled with the general antifraud rules under the Exchange Act, further reduce the manipulative potential of call option exercises, and provide an ample basis

<sup>40</sup> *Id.* (footnote omitted).

<sup>41</sup> See the letters cited in nn.32 & 33 *supra*.

<sup>42</sup> Position limits impose a ceiling on the number of options contracts of each class on the same side of the market that can be held or written by an investor or group of investors acting in concert. Exercise limits prohibit the exercise by an investor or group of investors acting in concert of more than a specified number of puts or calls in a particular underlying security within five consecutive business days. Such limits are intended to prevent the establishment or exercise of large options positions that can be used to manipulate or disrupt the market in the underlying securities.

for enforcement action if manipulation is attempted.

The Commission continues to believe that the exercise of call options results in some market impact where option exercises are assigned to call options written on an uncovered basis, and where covered option writers purchase stock in the open market when they receive exercise notices. The Commission is continuing to consider the proper balancing of the possible manipulative potential inherent in the exercise of call options during a distribution against the burden imposed on underwriters and broker-dealers by limits on their ability to exercise proprietary options positions while participating in a distribution. As a result, the Commission is proposing two alternative approaches that would provide greater flexibility to distribution participants to exercise call options overlying qualified securities that are the subject of the distribution.

2. *Proposed Alternative Amendments With Respect to Call Option Exercises.* Under the first alternative, the current five business day cooling-off period in Exceptions (xi) and (xii) would be eliminated, and the prohibition on the exercise of call options<sup>43</sup> for qualified securities would begin upon the commencement of offers or sales in the distribution. The second alternative would permit distribution participants to exercise call options throughout the distribution period where such call option positions were established prior to the time that the person became a distribution participant.<sup>44</sup> With respect to call option positions established after the time that the person became a distribution participant, the present five business day cooling-off period would be retained.<sup>45</sup>

<sup>43</sup> As discussed below, the Commission also is proposing to substitute the term "standardized" for "exchange-traded" call options in the Rule.

<sup>44</sup> This alternative also would require a companion amendment to Exception (vii) of the Rule, 17 CFR 240.10b-6(a)(3)(vii).

As discussed in the 1982 Proposing Release, 47 FR at 11485.

A distribution commences at the point when the incentive to engage in manipulative conduct is first present. Accordingly, with respect to the issuer, a distribution generally is deemed to commence at the time that a determination to go forward with the public offering is made. An underwriter is deemed to be a participant in a distribution from the time it reaches an agreement with the issuer with respect to a future public offering.

<sup>45</sup> Of course, as reflected in the amendment discussed in Section II.D *infra*, neither the present exception nor the alternative proposed exceptions would be available where the options are exercised for manipulative purposes.



In addressing these alternative proposals, the Commission requests that commentators focus on the nature and extent of the market impact of the exercise of call options, supplying quantifiable data whenever possible.<sup>46</sup> Commentators are also encouraged to supply details of any instance in which the current restriction on the exercise of call options resulted in economic detriment to a distribution participant.<sup>47</sup> Finally, commentators should specifically consider whether recent increases in exercise and position limits<sup>48</sup> affect the manipulative potential of the ability of distribution participants to exercise call options during a distribution, either under the present Rule or under the alternative proposed revisions.<sup>49</sup>

**3. Standardized Call Options.** The Commission is proposing to change the term "exchange-traded call options" where that term presently is used throughout Rule 10b-6 to "standardized call options" to accommodate the advent of standardized over-the-counter ("OTC") options trading.<sup>50</sup> This change will make clear that the provisions of Exceptions (xi) and (xii) will apply to options on qualified securities traded in the OTC market as well as to exchange-traded options on qualified securities.

#### *D. Clarification of the Authority for Rule 10b-6 and the Availability of Rule 10b-6 Exceptions*

The provisions of the Rule have been adopted pursuant to the authority provided by Sections 2, 3, 9(a)(6), 10(b), 13(e), 15(c), and 23(a) of the Exchange Act.<sup>51</sup> The adoption of the Rule under

these various provisions is a reflection of the Rule's broad purpose to protect the integrity of the securities trading market as a pricing mechanism free from the influence of the activities of distribution participants.<sup>52</sup> The current preamble to the Rule<sup>53</sup> refers only to Section 10(b) of the Exchange Act. In order to reflect more fully the authority for the Rule's provisions and the broad prophylactic scope of the Rule, the Commission proposes to modify the formulation of the preamble to provide that "it shall be unlawful" for persons covered by the Rule to engage in activities prohibited by the Rule.<sup>54</sup>

The present exceptions to the Rule were adopted because the Commission believed that the manipulative potential of the activity permitted by the exceptions was small,<sup>55</sup> and was generally outweighed by the beneficial effects to the securities markets of permitting the activity. That is not to say, however, that the manipulative potential is nonexistent. Persons who seek to manipulate the market for the securities in distribution, and thereby facilitate the distribution, may attempt to do so by engaging in activities included within the exceptions. The exceptions included within the Rule, however, do not provide "safe harbors" from charges of manipulation with respect to the transactions covered by the exceptions. Accordingly, if persons covered by the Rule engage in otherwise excepted transactions for the purpose of creating actual, or apparent, active trading in or raising or maintaining the price of the security in distribution, the exceptions to the Rule are not available.

At the present time, only Exceptions (xi) and (xii) of the Rule explicitly provide that the transactions permitted by the Exceptions are expected from the Rule "if none of such [transactions] is for the purpose of creating actual, or

apparent, active trading in or raising the price of the security." As stated above with respect to the proposed amendments to permit solicited brokerage and the exercise of standardized call options under certain conditions, the proposed exceptions would not be available if the otherwise permitted activity were engaged in for manipulative purposes.

The Commission believes that it would be beneficial to clarify within the Rule the circumstances under which the exceptions from the Rule are available. The proposed amendment to add the phrase "if not for the purpose of creating actual, or apparent, active trading in or raising or maintaining the price of any such security" to the introductory portion of paragraph (a)(3) of the Rule would achieve that result.<sup>56</sup>

#### *E. Reformulation of the Cooling-Off Periods of Exceptions (xi) and (xii)*

Exceptions (xi) and (xii) contain different formulations of the cooling-off periods for bids or purchases by distribution participants of (1) stock with a minimum price of five dollars per share and a minimum public float of 400,000 shares ("qualified securities") and (2) other securities. With respect to qualified securities, Exception (xi)(A) permits bids and purchases "prior to the later of two business days before the commencement of offers or sales of the securities to be distributed or the time such person becomes a participant in the distribution." Exception (xii)(A) permits bids and purchases "prior to two business days before the commencement of offers or sales of the securities to be distributed."<sup>57</sup> With respect to other securities, Exception (xi)(C) permits bids and purchases "by the later of ten or more business days prior to the commencement of offers and sales of the securities to be distributed or the time that such person becomes a participant in the distribution." Exception (xii)(C) similarly permits bids and purchases "by ten or more business days before the commencement of offers

<sup>46</sup> At present, the Commission has insufficient data to determine the percentage of call option contracts that are covered, the percentage of covered writers who deliver securities already owned, and the percentage of covered writers who purchase or borrow securities to deliver upon receiving an option exercise notice. The Commission encourages commentators to address these issues and to supply quantifiable data to the extent that it is feasible to do so.

<sup>47</sup> The Commission notes that underwritings tend not to be scheduled near the expiration date of related options, which is the period when exercise is most economically viable.

<sup>48</sup> See Securities Exchange Act Release No. 21907 (March 29, 1985), 50 FR 13440 (April 4, 1985), 32 SEC Docket 1422 (April 16, 1985).

<sup>49</sup> Inasmuch as a broker-dealer has full discretion over its managed accounts, the restrictions imposed under either alternative would be deemed to apply to such accounts as well. Commentators may wish to review Securities Exchange Act Release No. 17609 (March 6, 1981), 46 FR 16670 (March 13, 1981), 22 SEC Docket 394 (March 24, 1981), concerning these matters. See also 1983 Adopting Release, 48 FR at 10637 n.50.

<sup>50</sup> See, e.g., Securities Exchange Act Release No. 22026 (May 8, 1985), 50 FR 20310 (May 15, 1985), 33 SEC Docket 15 (May 21, 1985).

<sup>51</sup> 15 U.S.C. 78b, 78c, 78i(a)(6), 78j(b), 78m(e), 78o(c), and 78w(a). See, e.g., 1983 Adopting Release,

48 FR at 10630; Securities Exchange Act Release No. 5194 (July 5, 1955).

<sup>52</sup> See 1982 Proposing Release, 47 FR at 11483; see also *Piper v. Chris-Craft Indus., Inc.*, 430 U.S. 1, 43 (1977), rehearing denied, 430 U.S. 976 (1977); *Fitzgerald, De Arman & Roberts, Inc., Securities Exchange Act Release No. 21137* (July 12, 1984), 30 SEC Docket 1376, 1984 (July 24, 1984).

<sup>53</sup> 17 CFR 240.10b-6(a).

<sup>54</sup> The proposed revision also will reflect the Commission's intention to use its full authority under Sections 2, 3, 9(a)(6), 10(b), 13(e), 15(c), and 23(a) of the Exchange Act, including the Commission's authority thereunder to adopt rules that are "reasonably designed to prevent" fraudulent, deceptive, and manipulative acts and practices. See Sections 13(e)(1) and 15(c)(2), 15 U.S.C. 78m(e)(1) and 78o(c)(2).

<sup>55</sup> See, e.g., 1983 Adopting Release, 48 FR at 10631 (Exception (xii) proposal "reflected the Commission's belief that the fungibility of certain investment grade debt securities makes manipulation of their price very difficult.")

<sup>56</sup> The language to the same effect currently in Exceptions (xi) and (xii) would be deleted.

The proposed language will make it clear that transactions by distribution participants or their affiliated purchasers that are for the purpose of maintaining or stabilizing the price of the security in distribution are prohibited unless the stabilizing transactions comply with Rule 10b-7, 17 CFR 240.10b-7. See section 9(a)(6) of the Exchange Act, 15 U.S.C. 78i(a)(6); *SEC v. Scott Taylor & Co.*, 183 F. Supp. 904, 908 (S.D.N.Y. 1959); 17 CFR 240.10b-6(a)(3)(viii). Cf. Securities Exchange Act Release No. 3505 (November 16, 1943).

<sup>57</sup> Exceptions (xi)(B) and (xii)(B), which provide a cooling-off period with respect to the exercise of exchange-traded call options, are similarly worded.



or sales of the securities to be distributed."

As the Commission has noted previously, the formulation with respect to other securities in essence requires a cooling-off period of nine business days.<sup>58</sup> The Commission believes that the current formulation of the cooling-off period in Exceptions (xi)(C) and (xii)(C) is archaic, inconsistent with the formulation of the other cooling-off periods in the Exceptions, and may be confusing to persons affected by the Rule.<sup>59</sup>

Accordingly, the Commission proposes to reformulate Exceptions (xi)(C) and (xii)(C) to conform them to the clearer language used in the other cooling-off periods in Exceptions (xi) and (xii).

### III. Initial Regulatory Flexibility Analysis

This Initial Regulatory Flexibility Analysis has been prepared in accordance with the provisions of the Regulatory Flexibility Act (5 U.S.C. 603), and concerns the effect of proposed amendments to Rule 10b-6 on small entities. At this time the Commission is unable to determine the costs to small entities of complying with the proposed amendments to Rule 10b-6. However, the Commission believes that, if there is a "significant economic impact on a substantial number of small entities," this impact will not be adverse, since the primary thrust of the proposed amendments is to clarify the application of Rule 10b-6, codify existing Commission positions, and lessen the restrictions of Rule 10b-6 in a number of circumstances. Nevertheless, this analysis was prepared because of the uncertainty concerning the effects of the proposed amendments on small entities.

#### A. Reasons for Proposed Action

Rule 10b-6 is an anti-manipulative rule that, subject to certain exceptions, prohibits persons who are engaged in a distribution of securities from, directly or indirectly, bidding for or purchasing, or inducing other persons to purchase, such securities, any security of the same class and series as those securities, or any right to purchase any such security, until they have completed their participation in the distribution. The purpose of the Rule is to prevent participants in a distribution from artificially conditioning the market for the securities in order to facilitate the

distribution. The Rule is designed to protect the integrity of the securities trading market as an independent pricing mechanism and thereby enhance investor confidence in the marketplace.

Rule 10b-6 was adopted in 1955 and in large measure codified principles established in prior administrative interpretations and proceedings concerning trading activity in connection with distribution securities. Since its adoption, Rule 10b-6 has been and continues to be an important means of ensuring that the marketplace is free from manipulative activities during a distribution of securities. In 1983 the Commission adopted comprehensive amendments that were designed to accommodate the Rule, to the extent consistent with its anti-manipulative purposes, to the significant changes in the structure and operation of the securities markets, in offering techniques, and in business needs and practices that had occurred since the Rule's adoption.

The Commission has had the opportunity to further examine Rule 10b-6, gain experience with the operation and impact of the 1983 Amendments, and evaluate further developments in the securities markets. The Commission believes that certain additional amendments to the Rule are appropriate at this time.

#### B. Objectives

If adopted, these amendments would: permit underwriters and broker-dealers involved in a distribution of securities to engage in solicited brokerage transactions until two or nine business days before offers or sales of the securities being distributed; define the applicability of the Rule to certain persons who are affiliated with issuers, underwriters, brokers, dealers, and others participating in a distribution; reduce the restrictions on the exercise of standardized call options by distribution participants; and provide a parallel formulation of the cooling-off periods within Exceptions (xi) and (xii) of the Rule. Additionally, the amendments would expand the Rule's preamble to more fully reflect the authority for the provisions of the Rule, and codify the Commission's position that a distribution participant may rely on the Rule's exceptions only if the contemplated transactions are not made for manipulative purposes.

#### C. Legal Basis

The proposed amendment would be promulgated pursuant to Sections 2, 3, 9(a)(6), 10(b), (13)(e), 15(c) and 23(a) of the Exchange Act (15 U.S.C. 78b, 78c, 78i(a)(6), 78j(b), 78m(e), 78o, and 78w(a)).

#### D. Small Entities Subject to the Rule

The proposed amendments have the potential to affect all small businesses that anticipate a public offering, all small broker-dealers that participate in the distribution of securities, and some small organizations affiliated with these entities. Rule 157 under the Securities Act defines the terms "small business" and "small organization" as any issuer, other than an investment company, whose total assets on the last day of its most recent fiscal year were \$3 million or less and that is engaged in small business financing, *i.e.*, any issuer that engages in or proposes to engage in the offer and sale of its securities that does not exceed the dollar limitations (currently \$5 million) prescribed in section 3(b) of the Securities Act. Rule 0-10(c) under the Exchange Act defines the term "small business" or "small organization" as a broker-dealer with total capital (net worth plus subordinated liabilities) of less than \$500,000 on the date in the prior fiscal year as of which its audited financial statements were prepared pursuant to 17 CFR 240.17a-5(d) or, if not required to file such statements, a broker or dealer that had total capital (net worth plus subordinated liabilities) of less than \$500,000 on the last business day of the preceding fiscal year (or in the time that it has been in business, if shorter), and is not affiliated with any person (other than a natural person) that is not a small business or small organization.

During 1984, about 600 small businesses engaged in public offerings of less than \$5 million. During the same period, about 600 small broker-dealers participated in underwritings. The Commission is unable to determine the number of small entities which were affiliated with these small issuers and broker-dealers and which would have been affected by the proposed amendments had they been in place. At this time the Commission is unable to determine the costs to small entities of complying with the proposed amendments to Rule 10b-6. However, the Commission believes that, if there is a "significant economic impact on a substantial number of small entities," any adverse impact is outweighed by the primary thrust of the proposed amendments, which is to clarify the application of Rule 10b-6, codify existing commission positions, and lessen the restrictions of Rule 10b-6 in a number of circumstances.

<sup>58</sup> 1983 Adopting Release, 48 FR at 10634 n.29.

<sup>59</sup> The Commission understands that many securities practitioners refer to the cooling-off period in Exceptions (xi)(C) and (xii)(C) as the "nine business day period."



### E. Reporting, Recordkeeping, and Other Compliance Requirements

The proposed amendments would not impose any additional reporting, recordkeeping, or other compliance requirements.

### F. Overlapping or Conflicting Federal Rules

Rule 10b-6 supplements more general antifraud and antimanipulation provisions such as section 9(a)(2) and section 10(b) of the Exchange Act and Rule 10b-5 thereunder. There are no conflicting federal rules.

### G. Significant Alternatives

The Regulatory Flexibility Act directs the Commission to consider significant alternatives to the proposal that would accomplish the stated objectives while minimizing any significant adverse economic impact on small entities. The Commission does not believe that the proposed amendments will have an adverse impact on small entities, since the primary thrust of the proposed amendments is as described above. The Commission considered alternative proposals, including those discussed below, but does not believe that such alternative approaches would be consistent with the Commission's statutory mandate of investor protection.

The Commission considered whether the fiduciary obligations of certain affiliates of distribution participants are sufficient protection against manipulative activity and that, therefore, these affiliates should be excepted from the operation of Rule 10b-6. The Commission determined, however, that such an approach would not afford adequate protection for the very significant interests of investors in the integrity of the securities trading market. With respect to call options, the Commission considered and rejected a formulation of the cooling-off period for standardized options parallel to those provided within Exceptions (xi) and (xii). It is the Commission's belief that a two-day cooling-off period would be counter-productive since purchases of securities in response to such options exercises would be likely to occur contemporaneously with the commencement of offers and sales in the distribution of the underlying security. Instead, the Commission has proposed two alternatives in an effort to derive the formulation least burdensome to distribution participants consistent with the overriding considerations of investor protection.

### H. Conclusion

The Commission encourages the submission of comments with respect to any aspect of this Initial Regulatory Flexibility Analysis. Based upon these preliminary evaluations of the possible compliance costs and effects upon competition and after receipt of any comments from interested persons, it may be appropriate to conclude that the proposal does not have a significant economic impact on a substantial number of small entities. Comments received will be considered in the preparation, if required, of a Final Regulatory Flexibility Analysis, if the proposed rule amendments are adopted.

### IV. Solicitation of Comments

All interested persons are invited to submit written data, views, and arguments concerning the foregoing, including the Initial Regulatory Flexibility Analysis. Persons desiring to make submissions should submit three copies thereof to John Wheeler, Secretary, Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549, not later than December 23, 1985. Reference should be made to File No. S7-45-85. All submissions, including any on the Initial Regulatory Flexibility Analysis, will be placed in File No. S7-45-85 and will be made available for public inspection at the Commission's Public Reference Section, Room 1024, 450 Fifth Street, N.W., Washington, D.C. 20549.

### List of Subjects in 17 CFR Part 240

Reporting and recordkeeping requirements, Securities, Issuers, Broker-dealers, Fraud.

### V. Statutory Basis and Text of Proposed Amendments

Pursuant to sections 2, 3, 9(a)(6), 10(b), 13(e), 15(c) and 23(a) of the Exchange Act, 15 U.S.C. 78b, 78c, 78i(a), 78j(b), 78m(e), 78o(c), 78w(a), the Commission proposes to amend §240.10b-6 of Chapter II of Title 17 of the Code of Federal Regulations to read as follows:

**Note.**—Arrows indicate text proposed to be added. Brackets indicate text proposed to be removed.

### PART 240—GENERAL RULES AND REGULATIONS, SECURITIES EXCHANGE ACT OF 1934

1. The authority citation for Part 240 is amended by adding the following citation, and the authority citation following section 240.10b-6 in Part 240 is removed.

**Authority:** Sec. 23, 48 Stat. 901, as amended, 15 U.S.C. 78w, \* \* \* § 240.10b-6 also issued under secs. 2, 3, 9(a)(6), 10(b),

13(e), 15(c); 15 U.S.C. 78b, 78c, 78i(a), 78j(b), 78m(e), 78o(c) \* \* \*

2. By revising paragraphs (a) introductory text, (a)(1), (a)(3), introductory text, (a)(3)(v), (a)(3)(vii), (a)(3)(xi), (a)(3)(xii), and (c)(6) of § 240.10b-6 to read as follows:

### § 240.10b-6 Prohibition against trading by persons interested in a distribution.

(a) It shall [constitute a "manipulative or deceptive device or contrivance" as used in section 10(b) of the Act] ► be unlawful ◀ for any person,

(1) Who is an underwriter or prospective underwriter in a particular distribution of securities, ► or who is an affiliated purchaser, as that term is defined in paragraph (c)(6) of this section, ◀ or

(3) Who is a broker, dealer, or other person who has agreed to participate or is participating in such a distribution, ► or who is an affiliated purchaser of such broker, dealer, or other person, as that term is defined in paragraph (c)(6) of this section, ◀ directly or indirectly, by the use of any means or instrumentality of interstate commerce, or of the mails, or of any facility of any national securities exchange, either alone or with one or more other persons, to bid for or purchase for any account in which he has a beneficial interest, any security which is the subject of such distribution, or any security of the same class and series, or any right to purchase any such security, or to attempt to induce any person to purchase any such security or right until after he has completed his participation in such distribution: *Provided, however, That* ►, if not engaged in for the purpose of creating actual, or apparent, active trading in or raising or maintaining the price of any such security, ◀ this section shall not prohibit:

(v) Brokerage transactions►:

(A) not involving solicitations of the customer's order, or

(B) involving solicitation of the customer's order (1) in the case of securities qualified under paragraph (a)(3)(xi)(A) of this section, prior to the later of two business days before the commencement of offers or sales of the securities to be distributed or the time the broker-dealer becomes a participant in the distribution, or (2) in the case of other securities, prior to the later of nine business days before the commencement of offers or sales of the securities to be distributed or the time



the broker-dealer becomes a participant in the distribution; or

Alternative A for Exceptions (xi) and (xii), paragraph (a)(3)(xi) and (xii):

(xi) Bids or purchases by an underwriter, prospective underwriter, or dealer, or by an affiliated purchaser (as that term is defined in paragraph (c)(6) of this section), if none of such bids or purchases is for the purpose of creating actual, or apparent, active trading in or raising the price of the security, and if all such bids or purchases are made:

(A) In the case of stock with a minimum price of five dollars per share and a minimum public float of 400,000 shares, or any security of the same class and series as such stock, or any right to purchase any such security, except for the exercise of [exchange-traded] standardized call options, prior to the later of two business days before the commencement of offers or sales of the securities to be distributed or the time such person becomes a participant in the distribution; or

(B) [In the case of the exercise of exchange-traded call options of securities qualified under paragraph (a)(3)(xi)(A) of this section, prior to the later of five business days before the commencement of offers or sales of the securities to be distributed or the time such person becomes a participant in the distribution, or (C)] in the case of other securities, [by the later of ten or more business days] prior to the later of nine business days before the commencement of offers or sales of the securities to be distributed or the time such person becomes a participant in the distribution; or

(D) [C] In the case of unsolicited purchases, or the exercise of standardized call options of securities qualified under paragraph (a)(3)(xi)(A) of this section, prior to the later of the date of commencement of offers or sales of the securities to be distributed or the time such person becomes a participant in the distribution; or

(xii) Bids or purchases by an issuer or other person on whose behalf a distribution is being made or by an affiliated purchaser (as defined in paragraph (c)(6) of this section), if none of such bids or purchases is made for the purpose of creating actual, or apparent, active trading in or raising the price of the security, and if all such bids or purchases are made:

(A) In the case of stock with a minimum price of five dollars per share and minimum public float of 400,000 shares, or any security of the same class and series as such stock, or any right to

purchase any such security, except for the exercise of [exchange-traded] standardized call options, prior to two business days before the commencement of offers or sales of the securities to be distributed, or

(B) [In the case of the exercise of exchange-traded call options of securities qualified under paragraph (a)(3)(xi)(A) of this section, prior to five business days before the commencement of offers or sales of the securities to be distributed, or (C)] In the case of other securities, [by ten or more business days] prior to nine business days before the commencement of offers or sales of the securities to be distributed,

(D) [C] In the case of unsolicited purchases, or the exercise of standardized call options of securities qualified under paragraph (a)(3)(xi)(A) of this section, prior to the date of commencement of offers or sales of the securities to be distributed, or

Alternative B for Exceptions (xi) and (xii), (paragraphs (a)(3)(xi) and (xii)), with companion proposed amendment to Exception (vii), (paragraph (a)(3)(vii)):

(vii) The exercise of any right or conversion privilege, set forth in the instrument governing a security, to acquire any security directly from the issuer, or the exercise of standardized call options that were acquired prior to the time a person became a participant in the distribution; or

(xi) Bids or purchases by an underwriter, prospective underwriter, or dealer, or by an affiliated purchaser (as that term is defined in paragraph (c)(6) of this section), if none of such bids or purchases is made for the purpose of creating actual, or apparent, active trading in or raising the price of the security, and if all such bids or purchases are made:

(A) In the case of stock with a minimum price of five dollars per share and a minimum public float of 400,000 shares, or any security of the same class and series as such stock, or any right to purchase any such security, except for the exercise of [exchange-traded] standardized call options, prior to the later of two business days before the commencement of offers or sales of the securities to be distributed or the time such person becomes a participant in the distribution; or

(B) In the case of the exercise of [exchange-traded] standardized call options of securities qualified under paragraph (a)(3)(xi)(A) of this section, which call options were acquired after the time such person became a

participant in the distribution, prior to the later of five business days before the commencement of offers or sales of the securities to be distributed [or the time such person becomes a participant in the distribution], or

(C) In the case of other securities, [by the later of ten or more business days] prior to the later of nine business days before the commencement of offers or sales of the securities to be distributed or the time such person becomes a participant in the distribution; or

(D) In the case of unsolicited purchases, prior to the later of the date of commencement of offers or sales of the securities to be distributed or the time such person becomes a participant in the distribution; or

(xii) Bids or purchases by an issuer or other person on whose behalf a distribution is being made or by an affiliated purchaser (as defined in paragraph (c)(6) of this section), if none of such bids or purchases is made for the purpose of creating actual, or apparent, active trading in or raising the price of the security, and if all such bids or purchases are made:

(A) In the case of stock with a minimum price of five dollars per share and minimum public float of 400,000 shares, or any security of the same class and series as such stock, or any right to purchase any such security, except for the exercise of [exchange-traded] standardized call options, prior to two business days before the commencement of offers or sales of the securities to be distributed, or

(B) In the case of the exercise of [exchange-traded] standardized call options of securities qualified under paragraph (a)(3)(xi)(A) of this section, which call options were acquired after the time that such person became a distribution participant, prior to five business days before the commencement of offers or sales of the securities to be distributed, or

(C) In the case of other securities, [by ten or more business days] prior to nine business days before the commencement of offers or sales of the securities to be distributed, or

(D) In the case of unsolicited purchases, prior to the date of commencement of offers or sales of the securities to be distributed; or

(c) \* \* \*  
(6)(i) The term "affiliated purchaser" means:

(A) a person, directly or indirectly, acting in concert with [the issuer or other person on whose behalf the distribution is being made] a distribution participant in connection



with the acquisition or distribution of [the issuer's] ▶ any security which is the subject of such distribution, or any security of the same class and series, or any right to purchase any such security, ◀ or

▶ (B) ◀ an affiliate who, directly or indirectly, controls the purchases [by the issuer or other person] of [the issuer's] ▶ such ◀ securities ▶ by a distribution participant ◀, whose purchases are controlled by [the issuer or such other person] ▶ a distribution participant ◀, or whose purchases are under common control with those of [the issuer or such other person] ▶ a distribution participant, or ◀

▶ (C) an affiliate that is a broker, dealer, investment company, investment adviser, or otherwise regularly purchases securities, through a broker-dealer or otherwise, for its own account or for the account of others, or recommends or exercises investment discretion with respect to the purchase or sale of securities; *Provided, however*, That this subparagraph (C) shall not include an affiliate whose business consists of effecting transactions in "exempted securities" as defined in Section 3(a)(12) of the Act. ◀

▶ (ii) For purposes of this paragraph only, the term "distribution participant" means

(A) the issuer or other person on whose behalf the distribution is being made, and

(B) an underwriter, prospective underwriter, dealer, broker, or other person who has agreed to participate or is participating in the distribution. ◀

By the Commission.

Dated: October 10, 1985.

Shirley E. Hollis,

Assistant Secretary.

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## VETERANS ADMINISTRATION

### 38 CFR Part 21

#### Temporary Program for Trial Work Periods and Vocational Rehabilitation for Certain Veterans With Total Disability Ratings

**AGENCY:** Veterans Administration.

**ACTION:** Proposed rule.

**SUMMARY:** Title I, section 111 of the Veterans Benefits Improvement Act of 1994 (Pub. L. 98-543) establishes a 4-year pilot program under chapter 11, section 363 of title 38 United States Code to require vocational rehabilitation for certain veterans with total disability

ratings due to individual unemployability and to allow these participants to have a trial work period without reduction of the individual unemployability rating. The pilot program is in effect from February 1, 1985, through January 31, 1989.

**DATES:** Comment must be received on or before December 23, 1985. It is proposed to make these regulations retroactively effective on the same date as the provision of law which they implement, February 1, 1985.

**ADDRESSES:** Interested persons are invited to submit written comments, suggestions, or objections regarding these changes to the Administrator of Veterans Affairs (271A), Veterans Administration, 810 Vermont Avenue NW., Washington, DC 20420. All written comments received will be available for public inspection at the above address only between the hours of 8:00 a.m. and 4:30 p.m. Monday through Friday (except holidays) until January 7, 1986.

**FOR FURTHER INFORMATION CONTACT:** Dr. Karen Boies, (202) 389-2886.

**SUPPLEMENTARY INFORMATION:** This pilot program is intended to assist qualified service-disabled veterans with VA total disability ratings because of individual unemployability to become employed, either through a program of vocational rehabilitation authorized under 38 U.S.C. chapter 31 or, if the individual is ineligible for that program, through counseling, placement and post-placement services. Veterans for whom vocational rehabilitation is found feasible who already have individual unemployability ratings on February 1, 1985, may participate voluntarily, but those who acquire such ratings during the trial period are required to participate, subject to certain sanctions if they fail to do so. Two major features of 38 U.S.C. 363 are:

(1) No individual unemployability rating may be reduced during the four-year program period solely for the reason that the veteran is employed unless the veteran completes twelve consecutive months of substantially gainful employment; and

(2) All veterans receiving total disability compensation awards for individual unemployability on and after February 1, 1985, are required to undergo an evaluation if evaluation of rehabilitation potential or achievement of a vocational goal is reasonably feasible. If evaluation of rehabilitation potential is reasonably feasible and such a veteran fails to undergo the required evaluation, for reasons other than those beyond his or her control, his or her individual unemployability rating will be reduced to the disability rating

otherwise applicable. If vocational rehabilitation is reasonably feasible and other conditions of eligibility for and entitlement to vocational rehabilitation under 38 U.S.C. chapter 31 are met, a vocational rehabilitation program is developed. Failure to pursue that vocational rehabilitation program will result in a reevaluation of the veteran's continued entitlement to a total disability compensation rating by virtue of his or her individual unemployability. If employment is found to be feasible, but the individual is not eligible or entitled to vocational rehabilitation under chapter 31, he or she will nonetheless be provided employment assistance under 38 U.S.C. 1504(a)(5), counseling as provided in 38 U.S.C. 1504(a)(2), and assistance in securing rehabilitation services under other programs. Veterans who acquired the individual unemployability rating prior to February 1, 1985, may elect to receive both the evaluation and the counseling and employment assistance, but are not subject to rating reductions for failure to do so. These regulations implement the second major feature of 38 U.S.C. 363, the temporary program of vocational rehabilitation.

A report on the results of the program must be submitted to Congress by April 15, 1988.

These proposed regulations do not meet the criteria for major rules as contained in Executive Order 12291, Federal Regulation. The proposal will not have a \$100 million annual effect on the economy, will not cause a major increase in costs or prices, and will not have any other significant adverse effects on the economy.

The VA has made these regulations retroactively effective February 1, 1985, because they either are interpretive rules, which construe the meaning of 38 U.S.C. 363, or are general statements of policy.

Moreover, the VA finds that good cause exists for making these regulations, like the sections of law they implement, retroactively effective on February 1, 1985. To achieve the maximum benefit of this legislation for the affected individuals it is necessary to implement these provisions of law as soon as possible. A delayed effective date would be contrary to statutory design; would complicate administration of these provisions of law; and might result in denial of a benefit to a veteran who is entitled by law to it.

The Administrator certifies that these proposed rules will not, if promulgated, have a significant economic impact on a substantial number of small entities as they are defined in the Regulatory



Flexibility Act (RFA), 5 U.S.C. 601-612. Pursuant to 5 U.S.C. 605(b), these proposed rules are therefore exempt from the initial and final regulatory flexibility analyses requirements of sections 603 and 604. The reason for this certification is that the proposed regulations concern the rights and responsibilities of individual VA beneficiaries and essentially restate 38 U.S.C. 363. Thus, no regulatory burdens are imposed on small entities by these regulations.

The Catalog of Federal Domestic Assistance Number is 64.116.

#### List of Subjects in 38 CFR Part 21

Civil rights, Claims, Education, Grant programs, Loan programs, Reporting requirements, Schools, Veterans, Vocational education, Vocational rehabilitation.

Approved: July 23, 1985.

Harry N. Walters,

Administrator.

38 CFR Part 21, *Vocational Rehabilitation and Education*, is amended by adding new Subparts H and I. Subpart H is reserved at this time. Sections 21.6501 to 21.6525 (Subpart I) are set forth below:

#### PART 21—[AMENDED]

##### Subpart I—Temporary Programs of Vocational Trainings and Rehabilitation

- Sec.
- 21.6501 Overview.
  - 21.6503 Definitions.
  - 21.6505 Participation in the temporary program.
  - 21.6507 Special benefits for qualified veterans under test program.
  - 21.6509 Notice to qualified veterans.
  - 21.6511 Scheduling an evaluation for a qualified veteran.
  - 21.6513 Qualified veteran fails to participate in an evaluation or reevaluation.
  - 21.6515 Formulation of rehabilitation plan.
  - 21.6517 Failure to pursue rehabilitation plan.
  - 21.6519 Eligibility of qualified veterans for employment and counseling services.
  - 21.6521 Employment of qualified veterans.
  - 21.6523 Entry and reentry into a program of counseling and employment services under 38 U.S.C. 1504(a) (2) and (5).
  - 21.6525 Election of benefits by a qualified veteran who receives an IU rating during the program period.

Authority: Pub. L. 98-543, sec. 111; 38 U.S.C. 363.

##### Subpart I—Temporary Programs of Vocational Training and Rehabilitation

###### § 21.6501 Overview.

(a) *Purpose.* The temporary program for trial work periods and vocational rehabilitation is intended to test the extent to which a veteran, who has been awarded a VA compensation rating of

total disability by reason of inability to secure or follow a substantially gainful occupation as a result of service-connected disability, may benefit from vocational rehabilitation services which may be authorized under 38 U.S.C. ch. 31, and 38 U.S.C. 363. See §§ 3.340 and 3.341 of this title. (38 U.S.C. 363)

(b) *Chapter 31 evaluations required.* All veterans participating in this temporary program are to be evaluated to determine whether:

- (1) They are eligible for and entitled to receive assistance under ch. 31; and
- (2) Achievement of a vocational goal is reasonably feasible. (38 U.S.C. 363)

(c) *Applicability of ch. 31 provisions.* The provisions of §§ 21.1 through 21.430, generally applicable to veterans eligible for benefits under ch. 31, apply except as added to or modified by the provisions of the following sections. Participants not found eligible for ch. 31 benefits may nevertheless receive counseling services under 38 U.S.C. 1504(a)(2) and placement and post-placement services under 38 U.S.C. 1504(a)(5). (38 U.S.C. 363)

###### § 21.6503 Definitions.

(a) *Program period.* The term "program period" means the period beginning on February 1, 1985, and ending January 31, 1989. (38 U.S.C. 363(a)(2)(B))

(b) *Qualified veteran.* The term "qualified veteran" means a veteran who has a service-connected disability, or service-connected disabilities, not rated as total, but who has been awarded a rating of total disability by reason of inability to secure or follow a substantially gainful occupation as a result of such disability or disabilities. Such a rating is referred to as an IU (individual unemployability) rating. See §§ 3.340, 3.341, and 4.16 of this title. (38 U.S.C. 363(a)(2)(A))

(c) *Receives an IU rating.* The phrase "receives an IU rating" refers to the date on which an award of total disability compensation based upon individual unemployability is authorized. (38 U.S.C. 363(a)(2)(A))

###### § 21.6505 Participation in the temporary program.

(a) *General.* Participation in this temporary program of trial work periods and vocational rehabilitation is limited to qualified veterans. (38 U.S.C. 363(a)(2)(A))

(b) *Qualified veterans for whom an evaluation and pursuit of a vocational rehabilitation program is required.*

(1) Each qualified veteran who receives an IU rating during the program period, shall be provided an evaluation of rehabilitation potential to determine

whether achievement of a vocational goal under ch. 31 is reasonably feasible.

(i) The VR&C (Vocational Counseling and Rehabilitation) Division will schedule the veteran for an evaluation, unless it is determined that his or her participation in an evaluation is not reasonably feasible;

(ii) A veteran for whom an evaluation is scheduled must appear for and cooperate in the evaluation unless unable to do so for reasons beyond his or her control.

(2) If, following the evaluation, achievement of a vocational goal is found to be reasonably feasible and the veteran is eligible for ch. 31 benefits, the veteran is required to pursue a vocational rehabilitation program. If the veteran fails to pursue or continue to pursue a vocational rehabilitation program, he or she is subject to the provisions of § 21.6517. (38 U.S.C. 363(c))

(c) *Qualified veterans for whom evaluation and pursuit of a vocational rehabilitation program is optional.* A qualified veteran who had an IU rating as of January 31, 1985, may, whether or not already participating in the ch. 31 program, elect to participate in this test program, if otherwise eligible, but is not required to do so. (38 U.S.C. 363 note)

(d) *IU rating awarded while a ch. 31 participant.* A veteran already participating in a ch. 31 program who receives an IU rating during the program period shall be subject to all provisions for required participation in this program, as provided in paragraph (b) of this section. (38 U.S.C. 363 note)

###### § 21.6507 Special benefits for qualified veterans under test program.

(a) *Protection of IU rating under 38 CFR 3.343(c)(2).* The total disability rating of any qualified veteran who begins to engage in a substantially gainful occupation during the program period is protected from reduction by the VA on the basis of the veteran's having secured and followed a substantially gainful occupation under the provisions of § 3.343(c)(2) of this title. (38 U.S.C. 363(a))

(b) *Counseling and employment services for qualified veterans.* During the program period, the VA will make the counseling services described in 38 U.S.C. 1504(a)(2), and the placement and postplacement services described in 38 U.S.C. 1504(a)(5), available to each qualified veteran for whom achievement of a vocational goal is reasonably feasible. These services will be made available regardless of the veteran's entitlement to or desire to participate in a vocational rehabilitation program



under ch. 31. See § 21.6519. (38 U.S.C. 363(b))

**§ 21.6509 Notice to qualified veterans.**

(a) *Notice to qualified veterans awarded an IU rating during the program period.* At the time notice is provided to a qualified veteran of an award of an IU rating, the VA shall provide the veteran with an additional statement. A similarly worded statement shall also be sent to veterans awarded an IU rating during the program period who are already participating in a program under ch. 31. These statements shall contain the following information:

(1) Notice of the provisions of 38 U.S.C. 363;

(2) Information explaining the purposes and availability of, as well as eligibility requirements and procedures for pursuing, a vocational rehabilitation program under ch. 31; and

(3) A summary description of the scope of services and assistance available under that chapter. (38 U.S.C. 363(c)(1)(A))

(b) *Notice sent to qualified veterans awarded an IU rating on or before January 31, 1985.* By April 1, 1985, the VA shall provide the information contained in 38 U.S.C. 363(b), and described in paragraphs (a)(2) and (3) of this section to veterans awarded an IU rating on or before January 31, 1985. However, such notice need not be provided to a veteran who has an IU rating which is protected under § 3.952 of this title. (38 U.S.C. 363 note)

**§ 21.6511 Scheduling an evaluation for a qualified veteran.**

(a) *Evaluation.* The term "evaluation" hereinafter shall be understood to mean the same evaluation accorded in an "initial evaluation" and an "extended evaluation" as those terms are described in § 21.50 and § 21.57. (38 U.S.C. 363(c))

(b) *Timely scheduling and notice of evaluation.* (1) An evaluation will be arranged as promptly as practicable for each qualified veteran required to participate and for any other qualified veteran who elects to participate; and

(2) The veteran shall be provided reasonable notice of the date and time for which the evaluation is initially scheduled. (38 U.S.C. 363(c))

(c) *Evaluations for ch. 31 participants.* A veteran who is a ch. 31 participant at the time he or she becomes a participant in the test program shall be provided a reevaluation limited to:

(1) Affirming the continuing suitability of his or her ch. 31 rehabilitation program; and

(2) Identifying the assistance which may be furnished under § 21.6507. (38 U.S.C. 363(b), (c))

(d) *Responsible staff member.* The evaluation or reevaluation will be provided by a counseling psychologist in the VR&C (Vocational Rehabilitation and Counseling) Division. (38 U.S.C. 363(c)(1)(B))

**§ 21.6513 Qualified veteran fails to participate in an evaluation or reevaluation.**

(a) *Qualified veterans affected by this section.* The provisions of this section are only applicable to qualified veterans awarded an IU rating during the program period. Each of these veterans is required to participate in an evaluation (or reevaluation, if already in a ch. 31 program) and must cooperate to the extent necessary for the counseling psychologist to accomplish the evaluation, unless the veteran is unable to do so for reasons beyond his or her control. The veteran's responsibility for satisfactory conduct and cooperation in the evaluation shall be considered in the same manner as for ch. 31 applicants. See § 21.50(e) and § 21.362. (38 U.S.C. 363(c)(2))

(b) *Special considerations.* The counseling psychologist shall make every reasonable effort to avoid or minimize nonparticipation or noncooperation in the evaluation. (38 U.S.C. 363(c), (2) and (3))

(c) *Nonparticipation and noncooperation.* (1) If a qualified veteran who is required to participate in this test program fails to participate or cooperate in the evaluation, the VA shall initially take the actions specified for ch. 31 participants under § 21.184, § 21.188, and § 21.364, including discontinuance of the veteran's case, if necessary. (38 U.S.C. 363(a)(2))

(2) The VR&C Division shall inform the Adjudication Division of the discontinuance of the evaluation for a veteran required to participate, if the failure of the qualified veteran to participate or cooperate in carrying out the evaluation is for reasons within his or her control. Upon receipt of such information, the Adjudication Division will take the action required under § 3.341(c) of this title to reduce the IU award to the statutory rate. (38 U.S.C. 363(c)(2))

(d) *Followup for veterans unable to participate in an initial evaluation or vocational rehabilitation program.* For each qualified veteran described in paragraph (a) of this section, who does not participate in an evaluation or pursue the vocational rehabilitation program for reasons beyond his or her control, the case shall be reviewed for follow-up action by the VR&C staff as

provided in §§ 21.197(c)(4) and 21.198(d). (38 U.S.C. 363(c)(2))

**§ 21.6515 Formulation of rehabilitation plan.**

(a) *Formulation of plan.* Following an evaluation, the counseling psychologist will formulate an IWRP (individualized written rehabilitation plan) or an IEAP (individualized employment assistance plan) for each participating qualified veteran for whom achievement of a vocational goal is reasonably feasible. These plans shall be prepared in accordance with § 21.84 (IWRP) or § 21.88 (IEAP). (38 U.S.C. 363(c))

(b) *Existing plan.* If the veteran already has undertaken a rehabilitation program under ch. 31, a new plan shall not be required unless circumstances indicate that the existing plan should be modified or replaced. (38 U.S.C. 363(c))

**§ 21.6517 Failure to pursue rehabilitation plan.**

(a) *Failure to pursue required program.* If the case manager determines that a qualified veteran required to participate has failed, for reasons other than those beyond the veteran's control, to pursue or continue to pursue the plan developed under § 21.6515, the VA shall provide the veteran with a notice of the consequences of his or her action. The notice shall inform the veteran, that if he or she fails to initiate or resume pursuit within 60 days (or a period of up to 120 days if circumstances warrant) after the VA provides the notice, the results of the evaluation will be considered by the VA in reviewing the veterans' continued eligibility for a rating of total disability based on inability to secure or follow a substantially gainful occupation. (38 U.S.C. 363(c)(3)(B))

(b) *Referral to Adjudication Division—pursuit required.* If the veteran fails to initiate or resume pursuit of the planned rehabilitation program within the time period specified by the notice described in paragraph (a) of this section, the VR&C Division will forward copies of the notice and the results of the evaluation to the Adjudication Division for appropriate rating consideration. (38 U.S.C. 363(c)(3)(B))

(c) *Pursuit not required.* If the qualified veteran is not required to participate in the test program, failure to pursue or continue to pursue the plan shall result only in action by the VA under ch. 31 procedures provided for such situations. See §§ 21.190, 21.194, 21.362, 21.364. (38 U.S.C. 363(b)(3))

**§ 21.6519 Eligibility of qualified veterans for employment and counseling services.**

(a) *General.* A qualified veteran for whom vocational rehabilitation and



achievement of a vocational goal are reasonably feasible may be provided the employment and counseling services to which he or she may be entitled under ch. 31. If the qualified veteran is not eligible for such assistance under ch. 31, he or she may be provided, nevertheless, the counseling, placement and postplacement services provided under 38 U.S.C. 1504(a) (2) and (5). The specific services which may be authorized are discussed in §§ 21.100, 21.252 and 21.254(a). (38 U.S.C. 363(b))

(b) *Services under other VA and non-VA programs.* Veterans being provided counseling, placement and postplacement services under §§ 21.100, 21.252, and 21.254(a) will also be aided in identifying services of other VA and non-VA programs which may be of assistance in securing employment. All elements of a program of these services shall be incorporated in the IEAP. (38 U.S.C. 363(b))

(b) *Veteran elects counseling, placement and postplacement services.* If a qualified veteran elects not to undertake the IWRP, whether or not required to do so, and is otherwise eligible for counseling, placement and postplacement services under 38 U.S.C. 1504(a) (2) and (5), he or she may be provided those services as a part of the test program even though action has been taken under either paragraph (b) or (c) § 21.6517. (38 U.S.C. 363(b))

(d) *Duration of services under 38 U.S.C. 1504(a) (2) and (5).* The services provided under 38 U.S.C. 1504(a) (2) and (5), are limited to an 18-month period of employment assistance as described in § 21.73. (38 U.S.C. 363(b))

#### § 21.6521 Employment of qualified veterans.

(a) *Provisions of the IEAP (Individualized Employment Assistance Plan).* Each IEAP of a qualified veteran shall require that the:

(1) Case manager maintain close contact with qualified veterans who become employed to help assure adjustment to employment;

(2) Veteran discuss any plan to leave employment during the trial work period with the case manager. (38 U.S.C. 363(c))

(b) *Coordination with the Adjudication Division.* The VR&C Division will inform the Adjudication Division in writing upon employment of the participating qualified veteran during a program of either vocational rehabilitation services or counseling and employment services and when such employment has continued for 12 consecutive months. See § 3.343(c)(2) of this title. (38 U.S.C. 363(a))

#### § 21.6523 Entry and reentry into a program of counseling and employment service under 38 U.S.C. 1504(a) (2) and (5).

(a) *Dates of entry.* A qualified veteran, not eligible to receive ch. 3 benefits, may not enter or pursue a program of counseling and employment services under 38 U.S.C. 1504(a) (2) and (5), before February 1, 1985, or later than January 31, 1989. (38 U.S.C. 363)

(b) *Reentry.* The provisions of paragraph (a) of this section are also applicable to veterans being provided additional counseling and employment services following redetermination of eligibility and entitlement to such services. (38 U.S.C. 363)

#### § 21.6525 Election of benefits by a qualified veteran who receives an IU rating during the program period.

(a) *General.* A qualified veteran required to participate in the test program must participate in a rehabilitation program under ch. 31, if eligible, regardless of eligibility and entitlement to education benefits under other VA programs, or risk adverse consequences under §§ 21.6513(c) and 21.6517 (a) and (b). (38 U.S.C. 363 (c))

(b) *Chapter 34 eligibility.* A qualified veteran required to participate, who is also eligible for assistance under ch. 34, may elect, as part of his or her ch. 31 vocational rehabilitation program, to receive subsistence payment at the ch. 34 rate under § 21.264. The counseling psychologist and the veteran will review the assistance and services available under these options so the veteran can make an informed decision. (38 U.S.C. 363 (c))

(c) *Other VA programs.* The counseling psychologist shall inform a qualified veteran required to participate in the test program of any adverse consequences which may result under §§ 21.6513 (c) and 21.6517 (a) and (b), if he or she instead elects benefits under another VA program. (38 U.S.C. 363 (c))

[FR Doc. 85-25090 Filed 10-21-85; 8:45 am]

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#### POSTAL SERVICE

##### 39 CFR Parts 310 and 320

#### Restrictions on Private Carriage of Letters; Proposed Clarification and Modification of Definition and of Regulations on Extremely Urgent Letters; Change of Phone Number

AGENCY: Postal Service.

ACTION: Correction of Proposed Rule.

SUMMARY: On October 10, 1985, the Postal Service published in the *Federal*

*Register* (50 FR 41462) a proposed modification and clarification of the regulations on the Private Express Statutes. On October 15, 1985, the telephone numbers at Postal Service Headquarters were changed. This document provides the new number for telephone contact concerning the above proposed rule.

DATE: Comments must be received on or before November 12, 1985.

ADDRESS: Written comments should be addressed to the General Counsel, Law Department, United States Postal Service, Washington, D.C. 20260-1113. Copies of all written comments will be available for inspection and photocopying between 9:00 a.m. and 4:00 p.m., Monday through Friday, in Room 5128, 955 L'Enfant Plaza, SW., Washington, D.C.

FOR FURTHER INFORMATION CONTACT: Charles D. Hawley (202) 268-2970.

W. Allen Sanders,

Associate General Counsel, Office of General Law and Administration.

[FR Doc. 82-25065 Filed 10-21-85; 8:45 am]

BILLING CODE 7710-12-M

#### FEDERAL COMMUNICATIONS COMMISSION

##### 47 CFR Parts 15 and 76

[Gen. Docket No. 85-301; FCC 85-544]

#### Terminal Devices Connected to Cable Television Systems

AGENCY: Federal Communications Commission.

ACTION: Proposed rule.

SUMMARY: The FCC proposes to amend part 15 and Part 76 of its rules to eliminate the dual standards currently applied to terminal devices connected to cable television systems. The rules presently require compliance with Part 76 radiation limits if the device is system-owned and with Part 15 limits if it is customer-owned. In an effort to achieve uniformity in the treatment of identical devices, this action proposes to require all cable system terminal devices to comply only with Part 15 limits.

DATES: Comments must be filed on or before November 26, 1985, and reply comments on or before December 9, 1985.

ADDRESS: Federal Communications Commission, Washington, D.C. 20554.

FOR FURTHER INFORMATION CONTACT: Sharon Briley, Policy Analysis Branch, Mass Media Bureau, (202) 632-6302.



## SUPPLEMENTARY INFORMATION:

## List of Subjects

## 47 CFR Part 15

Radio frequency devices.

## 47 CFR Part 76

Cable television service.

## Proposed Rule Making

In the matter of amendment of parts 15 and 76 relating to terminal devices connected to cable television systems; Gen. Docket No. 85-301.

Adopted: October 9, 1985.

Released: October 16, 1985.

By the Commission.

## Introduction

1. By this action, the Commission proposed to amend its radiation limits and other technical rules applicable to terminal devices that are connected to cable television systems (i.e. converters decoders, two-way boxes and other customer premise equipment). This proposal seeks to resolve the existing discrepancy in the rules between technical standards for such devices that are owned or supplied by a cable company and those owned or supplied by a cable consumer or subscriber.

## Background

2. The Commission's rules currently provide more stringent radiation limits for cable system-owned terminal devices than for those that are customer-owned. This difference in permissible radiation levels exists even though the devices used by both the cable system and the cable customer serve the same function and may, in fact, be identical.<sup>1</sup> This situation arises because of the way the current rules in Part 76 define a "subscriber terminal" and prescribe radiation measurement requirements for cable systems.

In contrast, the rules in Part 15 generally treat customer-owned cable terminal equipment as "TV interface devices."<sup>2</sup>

<sup>1</sup> The Commission recently received letters from William S. Royner of the law firm of Hogan & Hartson, representing Scientific Atlanta, Inc., a manufacturer of cable TV set-top converters, and from Myron Pattison, Vice President of Cardinal Communications, Inc., a cable operator, seeking clarification of the rules as applied to cable terminal equipment.

<sup>2</sup> See 47 CFR Part 76 Subpart K and 47 CFR Part 15 Subparts C, H and J. In a separate proceeding the Commission has proposed to reduce the Part 76 limits. *Notice of Proposed Rule Making* in MM Docket No. 85-38, FCC-85-60, 50 FR 7801 (Feb. 6, 1985). The proposed limits, however, continue to remain more stringent than those in Part 15.

3. Section 76.5(ee) of the Rules defines the term "subscriber terminal" as "the cable television system terminal to which a subscriber's equipment is connected. . . ." Section 15.4(u) of the rules defines the term "TV interface device" as "(a) restricted radiation device . . . which feeds the modulated radio frequency energy by conduction to the antenna terminals of a conventional television receiver." However, the definition of TV interface device specifically excludes ". . . a device that is primarily intended to be part of a cable television system, as defined in Part 76 . . . and is owned by the cable system operator." Thus, under our current rules, a terminal device that is owned or supplied by a cable subscriber is in most cases a TV interface device subject to Part 15 radiation limits, while a device that is owned by the cable system operator is considered part of the cable system and subject to Part 76 requirements. There is no apparent reason for such a discrepancy.

4. Traditionally, cable system converters and decoders have been supplied by the cable operator and have been considered part of a cable system. Customer interest in owning terminal equipment, however, appears to be increasing, and some cable companies and retailers are offering customers the opportunity to purchase terminal devices.<sup>3</sup>

## Discussion

5. The intent of the Commission's signal leakage and radiation limits is to prevent harmful interference among users of radio frequencies and, in this regard, the rules for both cable systems and Part 15 devices require any harmful interference to be eliminated. However, in cases where there is no actual harmful interference, the current rules subject the same type and use of equipment to two substantially different

<sup>3</sup> The Commission further clarified and described this term as "the point at which the facilities supplied by the cable system connect to the equipment supplied by the subscriber." See *Memorandum Opinion and Order on Reconsideration of the Cable Television Report and Order*, 36 FCC 2d 326, 360 (1972).

<sup>4</sup> Part 15 of the Commission's rules provides technical standards for radio frequency devices. The purpose of this rule part is to permit the nonlicensed use of radio frequency devices, without harmful interference to other authorized services or users. Part 15 devices include, for example, TV receivers videocassette recorders, home computers, and video games.

<sup>5</sup> A recent study of the industry predicts "competition from homeowner-provided devices and hookups. . . ." See Arthur D. Little, Inc. "Prosperity for Cable TV: Outlook 1985-1990," May 1985, at A2-4. In addition, the growing market for "cable-ready" receivers and videocassette recorders appears to indicate significant consumer interest in equipment to interconnect with cable systems.

signal leakage and radiation standards contingent upon whether such equipment is owned by the cable operator or by the cable subscriber. Under Part 76, the radiation from a cable television system is limited to 15 microvolts/meter at 100 feet for frequencies to 54 MHz and over 216 MHz; and, 20 microvolts/meter at 10 feet for frequencies between 54 to 216 MHz.<sup>6</sup> Part 15 radiation limits for TV interface devices are significantly less burdensome: 100 microvolts/meter at 3 meters for frequencies between 30 and 88 MHz; 150 microvolts/meter at 3 meters for frequencies between 88 and 216 MHz; and 200 microvolts/meter at 3 meters for frequencies between 216 and 1000 MHz.<sup>7</sup> Part 15 also requires certain other technical and equipment authorization requirements. For example, for TV interface devices, Part 15 contains technical requirements on output signal level, output terminal conducted interference, among others.

6. We believe that no regulatory intent is served by retaining the distinction described above and that the same type of equipment should be subject to uniform standards. We also believe that converters and other terminal devices that might be used by a cable subscriber are similar to devices such as television receivers and videocassette recorders in their potential for causing interference. The less stringent emission limits for Part 15 devices, therefore, would appear to be sufficient to mitigate potential harmful interference from such "in-home" equipment.

7. Thus, we are proposing to relax the rules to require that any cable system terminal device, whether owned or supplied by the cable subscriber or cable operator, comply with the technical requirements of Part 15, as follows: If the cable terminal device is a part of the television receiver, the provisions of Part 15, Subpart C would apply. If the device falls under the definition of a TV interface device, as defined in Section 15.4(u), it would be subject to the technical requirements in Part 15, Subpart H. A device that is neither a TV interface device nor part of a television broadcast receiver would be subject to the technical requirements of Part 15, Subpart J.

8. Since the cable operator would continue to be responsible for the technical operation of the cable system under Part 76 of the rules, we believe that it is unnecessary at this time to

<sup>6</sup> See 47 CFR 76.605.

<sup>7</sup> See 47 CFR 15.610. These limits are the same as those for computing devices in Subpart J of Part 15 of the rules. See 47 CFR 15.630.



require equipment authorization (i.e., certification or notification) under Part 15 for terminal devices owned and operated by the cable system. Accordingly, equipment owned and provided by the cable system operator would be subject only to the technical limits under Part 15 and would not be subject to any FCC filing requirements for equipment authorization.<sup>8</sup> Equipment owned and operated by a cable subscriber would be subject to all Part 15 requirements including equipment authorizations.<sup>9</sup>

9. We also propose to treat cable terminal devices in the same manner as radio and television receivers for the purposes of interference responsibility. Under the current rules, the operator of the receiver has the responsibility for the elimination of interference.<sup>10</sup> However, the rules also require the cable operator to suppress any receiver-generated interference that is being radiated by the cable system.<sup>11</sup> We believe that these same guidelines should apply for customer-owned terminal equipment and propose to amend our rules to treat cable terminal devices in the same manner as radio and television receivers. In addition, we propose certain changes to clarify the intent of this rule. In this regard, our primary concern is the elimination of harmful interference that may be caused by RF energy introduced into the cable system by the receiver or terminal device. If a customer-owned terminal device has been found to cause such harmful interference and the interference can be eliminated by disconnecting cable service to the device, then we would expect the cable operator to take that step until the device is repaired by its owner. This procedure would assure the elimination of interference in the most expeditious manner.

10. Comments are requested on these proposals and any other alternative approaches. For example, one such alternate approach being considered is to make all cable system terminal devices subject to all requirements of Part 15 including any certification,

verification, or other equipment authorization provisions even if such devices are owned by the cable systems. While such an approach would likely add some additional filing burden on manufacturers of these devices, it also might encourage an expanded consumer market for terminal devices while easing the concerns of manufacturers, cable operators and this Commission that non-certified equipment may be sold to consumers.

#### Conclusion

11. We believe that the limits currently contained in Part 15 are sufficient to regulate potential interference from terminal devices interconnected to cable systems. Accordingly we propose to revise the rules in Parts 15 and 76 as indicated in the attached Appendix A.

#### Regulatory Flexibility Act—Initial Analysis

12. *Reason for Action.* The Commission's rules inconsistently apply different standards to home terminal units connected to cable television systems, differentiated solely on the basis of ownership of the equipment.

13. *The Objective.* The rules proposed herein are intended to eliminate the present discrepancy in the standards applicable to home terminal units and other terminal devices connected to cable systems.

14. *Legal Basis.* The action as proposed in this rule making is in furtherance of sections 1, 302, 303 and 624 of the Communications Act of 1934, as amended (47 U.S.C. 151 *et seq.*).

15. *Description, potential impact on and number of small businesses affected.* The rule amendments proposed herein consider all terminal equipment to be subject to radiation standards contained in Part 15 of the Commission's rules. Terminal devices, for example, may be considered as radio receivers, TV interface devices, or computing devices, depending upon their technical configurations. A rule is revised in Part 76 to place responsibility for interference on the operator of the device and on the cable operator, as appropriate.

16. The proposals should assist equipment manufacturers, cable operators, cable subscribers, and franchising authorities by establishing uniformity in the treatment of terminal devices and allocating responsibility in cases of interference resulting from subscriber supplied devices.

17. *Federal rules which overlap, duplicate, or conflict with this rule:* None.

18. *Any significant alternative minimizing the impact on small entities and consistent with the stated objective:* None.

#### Procedural Matters

19. The proposals contained herein have been analyzed with respect to the Paperwork Reduction Act of 1980 and found to impose no new or modified requirements or burden upon the public.

20. For purposes of this non-restricted notice and comment rule making proceeding, members of the public are advised that *ex parte* contacts are permitted from the time the Commission adopts a notice of proposed rule making until the time a public notice is issued stating that a substantive disposition of the matter is to be considered at a forthcoming meeting. In general an *ex parte* presentation is any written or oral communications (other than formal written comments/pleadings and normal oral arguments) between a person outside the Commission and a Commissioner or a member of the Commission's staff which addresses the merits of the proceeding. Any person who submits a written *ex parte* presentation must serve a copy of that presentation on the Commission's Secretary for inclusion in the public file. Any person who makes an oral *ex parte* presentation addressing matters not fully covered in any previously-filed written comments for the proceeding must prepare a written summary of that presentation; on the day of oral presentation, that written summary must be served on the Commission's Secretary for inclusion in the public file, with a copy to the Commission official receiving the oral presentation. Each *ex parte* presentation described above must state on its face that the Secretary has been served, and must also state docket number by the proceeding to which it relates. See generally § 1.1231 of the Commission's rules, 47 CFR 1.1231.

21. Pursuant to applicable procedures set forth in § 1.415 of the Commission's Rules, interested parties may file comments on or before November 22, 1985, and reply comments on or before December 9, 1985. All relevant and timely comments will be considered by the Commission before final action is taken in this proceeding. In reaching its decision, the Commission may consider information and ideas not contained in the comments provided that such information or a writing indicating the nature and source of such information is placed in the public file, and provided that the fact of the Commission's

<sup>8</sup> We intend that the word "owned" as used in this context includes leasing and any other types of arrangements in which the cable system maintains some form of control and that ownership of the device would never convey to a cable customer.

<sup>9</sup> Devices such as converters and cable-ready TV receivers will be subject to verification. Program descramblers and similar equipment employing TV interface devices will be subject to certification. Devices which do not fall into either of these categories and use digital circuitry will continue to be subject to the applicable equipment authorization in Subpart J of Part 15.

<sup>10</sup> See, for example, 47 CFR 15.82.

<sup>11</sup> See 47 CFR 76.617.



reliance on such information is noted in the Report and Order.

22. In accordance with the provisions of § 1.419 of the Commission's Rules and Regulations, an original and 5 copies of all comments, replies, or other documents filed in this proceeding shall be furnished to the Commission. Participants filing the required copies who also wish each Commissioner to have a personal copy of the comments may file an additional 6 copies. Members of the general public who wish to express their interest by participating informally in the rule making proceeding may do so by submitting one copy of the comments, without regard to form, provided only that the Docket Number is specified in the heading. Responses will be available for public inspection during regular business hours in the Commission's Dockets Reference Room (Room 239) at its headquarters in Washington, D.C. (1919 M Street, Northwest).

23. As required by section 603 of the Regulatory Flexibility Act the FCC has prepared an initial regulatory flexibility analysis (IRFA) of the expected impact of these proposed policies and rules on small entities. The IRFA is set forth above. Written public comments are requested on the IRFA. These comments must be filed in accordance with the same filing deadlines as comments on the rest of the *Notice*, but they must have a separate and distinct heading designating them as responses to the regulatory flexibility analysis.

24. The Secretary shall cause a copy of this Notice of Proposed Rule Making, including the Initial Regulatory Flexibility Analysis, to be sent to the Chief Counsel for Advocacy of the Small Business Administration in accordance with Paragraph 603(a) of the Regulatory Flexibility Act (Pub. L. No. 96-354, 94 Stat. 1164, 50 U.S.C. 601 *et seq.*).

25. This Notice of Proposed Rule Making is issued pursuant to authority contained in sections 4(i) and 303 of the Communications Act of 1934, as amended.

26. For further information concerning this proceeding, contact Sharon A. Briley, Policy Analysis Branch, Mass Media Bureau, (202) 632-6302.

Federal Communications Commission.  
William J. Tricarico,  
Secretary.

## PART 15—[AMENDED]

### Appendix A

Part 15 of Title 47 of the Code of Federal Regulations is proposed to be amended to read as follows:

1. The authority citation for Part 15 continues to read as follows:

Authority: 47 U.S.C. 154, and 303.

2. Section 15.4 is proposed to be amended by adding a new paragraph (m) to read as follows:

#### § 15.4 General definitions.

(m) *Cable system terminal device.* A radio frequency device that interconnects a cable television system to a television receiver or other subscriber premise equipment.

Note.—A terminal device located within a television receiver shall be subject to the same requirements as a television receiver under Part 15, Subpart C. If a device is a TV interface device, as defined in Section 15.4(u) of this subpart, it shall comply with the requirements of Subpart H of this part. If the device is neither a TV interface device, nor a part thereof, nor a part of a television broadcast receiver, it shall comply with the requirements of Subpart J of this part. Equipment authorization requirements shall not apply to a device that is owned by the cable system operator.

3. Section 15.4 (u) is proposed to be amended by revising the appended note to read as follows:

#### § 15.4 General definitions.

(u) \* \* \*  
Note.—A TV interface device may be a stand alone RF modulator, or a composite device consisting of an RF modulator, video source and other components.

## PART 76—[AMENDED]

Part 76 of Title 47 of the Code of Federal Regulations is proposed to be amended as follows:

1. The authority citation for Part 76 continues to read as follows:

Authority: 47 U.S.C. 154, and 303.

2. Section 76.5(ee) is proposed to be revised by adding a note to read as follows:

#### § 76.5 Definitions.

(ee) *Subscriber terminal.* The cable television system terminal to which a subscriber's equipment is connected. Separate terminals may be provided for delivery of signals of various classes.

Note.—Terminal devices interconnected to a cable system shall comply with the appropriate requirements of Part 15 [See § 15.4(m)].

3. Section 76.617 is proposed to be revised to read as follows:

#### § 76.617 Responsibility for receiver-generated or cable system terminal device-generated interference.

Interference generated by a radio or television receiver or a cable system terminal device shall be the responsibility of the operator of the receiver or the operator of the terminal device in accordance with the provisions of Part 15, Subparts C, H or J of Part 76 of this chapter, as appropriate: *Provided however,* That the operator of a cable system to which the receiver or terminal device is connected shall be responsible for the suppression of receiver-generated or terminal device-generated interference that is caused by RF energy that is introduced into the system at the receiver or the terminal device.

[FR Doc. 85-25102 Filed 10-21-85; 8:45 am]

BILLING CODE 6712-01-M

## 47 CFR Part 90

[PR Docket 85-302; FCC 85-548]

### Amendment of Rules Governing the Application Filing Procedures for the 800 MHz Land Mobile Band

AGENCY: Federal Communications Commission.

ACTION: Notice of Proposed Rule Making.

SUMMARY: The Commission has adopted a *Notice of Proposed Rule Making* proposing the amendment of its rules governing the application filing procedures for the 800 MHz Land Mobile Band, in order to reduce paperwork burdens on licensees.

DATES: Comments must be filed on or before November 25, 1985 and reply comments on or before December 10, 1985.

ADDRESS: Federal Communications Commission, 1919 M Street, NW., Washington, D.C. 20554.

FOR FURTHER INFORMATION CONTACT: W. Riley Hollingsworth, Private Radio Bureau, Land Mobile, and Microwave Division, Compliance Branch, (202) 632-7125.

#### SUPPLEMENTARY INFORMATION:

##### List of Subjects in 47 CFR Part 90

Private Land Mobile Radio Service.  
Proposed Rulemaking

In the matter of amendment of Part 90 of the Commission's Rules Governing the application filing procedures for SMRS operators and end-users in the 800 MHz Private Land Mobile Band; PR Docket; No. 85-302.



Adopted: October 9, 1985.  
Released: October 17, 1985.  
By the Commission.

## Introduction

1. The Commission is considering streamlining its licensing procedures in order to decrease the burdens placed on Specialized Mobile Radio System operators and end-users (customers). Specialized Mobile Radio Systems, known as "SMRS's", were established in 1974 in Docket 18262 as a new class of stations to be licensed in the Business Radio Service. These systems operate in a fashion similar to so-called community repeaters, which have been and continue to be employed in the private dispatch service, except that under the trunked SMRS concept (1) the base station equipment supplier is the licensee of a transmitter which is used to provide a private carrier communications service to eligibles, and (2) the SMRS end-users have access to a number of channels instead of just one. Channel access is controlled by a computer which gives a user the first available channel or places the user in a waiting line to be served in turn. This technique allows the user a higher grade of service than is possible in comparably loaded non-trunked systems by reducing both the amount of waiting time for a channel and the probability that the user's call will be blocked. SMRS users are licensed for the frequencies assigned to the SMRS operator. Another significant departure from the traditional private regulatory approach in Docket No. 18262 was the awarding of exclusive channel assignments to trunked system operators. Channels are assigned on an exclusive basis in a geographic area.<sup>1</sup>

2. We have identified three possible areas where the paperwork burdens upon these licensees as well as the Commission staff could be greatly eased. First, we proposed to simplify the licensing procedures necessitated by increases or decreases in the number of channels assigned to an SMRS operator. Second, we propose to simplify the licensing procedures required of end-users in cases of assignments. Finally, we propose to simplify the licensing procedures for an SMRS end-user who desires to obtain communications service from more than one SMRS operator.

## Background

3. Rules 90.135 (a)(1), (a)(6) and (a)(7) (47 CFR 90.135 (a)(1), (a)(6), (a)(7))

<sup>1</sup> With limited exceptions, the same channels can be reassigned every 70 miles throughout the country. See Rule 90.362(c).

require an application for modification by both base station and end-user licensees whenever there is a change in authorized frequency, area of mobile operation, or ownership, control or corporate structure.

4. An SMRS operator is eligible to apply for additional frequencies when its existing system becomes 80% loaded with mobile and control units. Pursuant to the above rules, whenever an SMRS operator is granted additional frequencies as a result of being fully loaded on its existing system, end-users must file modification applications so that their mobile licenses will reflect the change. The same procedure is required when an SMRS loses channels as a result of the Commission's channel recovery program.<sup>2</sup> This can require the filing of dozens of modification applications for each based station modification. This results in very significant burdens on the SMRS operator, the end-users and the Commission staff.

5. Similarly, when an end user seeks service on more than one system, it must file an application for modification of its license so that the license reflects the frequencies of each SMR system it operates on. This is often done in cases where the end-user has mobiles travelling over a wide area.

6. When an SMRS is assigned to a new buyer, a similar burden is created. For example, if a 5 channel SMRS serving 500 mobiles bought another 5 channel system, even if each end user had 10 mobiles, 50 applications would have to be filed by end-users to give them authority to operate over the new ten channel system. With approximately 2500 SMR systems servicing over 44,000 end-users, the potential paperwork burden resulting from these requirements is significant.

## Proposal

7. We propose to amend our application filing procedures in three instances:

1. The need to modify end-user licenses when SMRS base station licenses are assigned.
2. The need to modify end-user licenses whenever there is an increase or decrease in channels assigned to an SMRS operator.

<sup>2</sup> The Commission has established mandatory construction and mobile loading standards for this service. Channels which are not constructed or loaded as required under the rules are reassigned to other licensees for their use. To date 1,765 channels have been recovered from under-utilized or unconstructed stations and made available to waiting list applicants in major cities.

3. The need to modify end-user licenses every time the licensee wishes to operate on additional SMR systems.

8. We propose that SMRS end-users be authorized to operate on any frequency licensed to the SMRS operators from whom they obtain service. SMRS end-users will not be required to modify their authorizations to add or delete frequencies every time there is a change in frequencies authorized to their SMRS operator.

9. In cases where SMRS end-users obtain service from more than one SMRS operator, we propose to eliminate the need for the end user to modify its license. Instead a letter notification would be required. The end user would be counted for loading purposes only on the original SMRS system it operates on.

10. In cases of assignments of system, we propose to allow the assignee to furnish a list of end-users from which the Licensing staff can make necessary notations to license records indicating the end-users' host SMRS. Actual submission of end-user licenses for modification would not be required. An assignment application for the SMRS base station will, of course, still be required.

11. In each of the situations described above, if an end-user desires to alter the total number of mobiles and controls authorized or make technical changes, a modification of the license would still be required. This would be done by filing a form 574 application, the standard procedure under our present rules.

## Regulatory Flexibility Analysis

12. Pursuant to the Regulatory Flexibility Act of 1980, the Commission finds as follows:

### I. Reason for Action

This proposal would simplify application procedures for private land mobile SMRS systems in the 800 MHz band.

### II. Objective

This proposal would reduce the number of modification applications required to be filed by SMRS operators and end-users in three instances: assignments of systems; gains or losses in channels due to loading; and instances of service provided by more than one SMRS operator.

### III. Legal Basis

The proposed action is authorized under sections 4(i), 303(f), 303(g), 303(r), and 331(a) of the Communications Act of 1934, as amended, which authorize the Commission to make such rules and



regulations as may be necessary to improve the efficiency of spectrum use.

#### IV. Description, Potential Impact and Number of Small Entities Affected

The proposed action would lessen paperwork burdens on all users of Specialized Mobile Radio services as well as entrepreneurs providing the services.

#### V. Reporting, Recordkeeping and other Compliance Requirements

No new requirements will be imposed upon Private Land Mobile Radio Service licensees.

#### VI. Federal Rules which Overlap, Duplicate or Conflict with this Rule

None.

#### VII. Significant Alternatives

There are no significant alternatives which would accomplish our stated objective of simplifying the paperwork burden on Private Radio Service licensees. Additionally, retaining the *status quo* represents a continuing burden on those licensees.

13. For purposes of this non-restricted notice and comment rule making proceeding, members of the public are advised that *ex parte* contacts are permitted from the time the Commission adopts a notice of proposed rule making until the time a public notice is issued stating that a substantive disposition of the matter is to be considered at a forthcoming meeting or until a final order disposing of the matter is adopted by the Commission, whichever is earlier. In general, an *ex parte* presentation is any written or oral communication (other than formal written comments/pleadings and formal oral arguments) between a person outside the Commission and a Commissioner or a member of the Commission's staff which addresses the merits of the proceeding. Any person who submits a written *ex parte* presentation must serve a copy of that presentation on the Commission's Secretary for inclusion in the public file. Any person who makes an oral *ex parte* presentation addressing matters not fully covered in any previously filed written comments for the proceeding, must prepare a written summary of that presentation. On the day of that oral presentation, a written summary must be served on the Commission's Secretary for inclusion in the public file, with a copy to the Commission official receiving the oral presentation. Each *ex parte* presentation described above must state on its face that the Secretary

has been served, and must also state by docket number the proceeding to which it relates. See generally, § 1.1231 of the Commission's Rules, 47 CFR § 1.1231.

14. This action is taken pursuant to section 4(i), 303(c), 303(f), 303(g), 303(r), and 331 of the Communications Act of 1934, as amended, 47 U.S.C. § 154(i), 303(f), 303(g), 303(r) and 332. Interested persons may file comments on this proposal on or before November 25, 1985, and reply comments on or before December 10, 1985. All relevant and timely comments filed in accordance with Rules 1.415 and 1.419 (47 CFR 1.415 and 1.419) will be considered by the Commission before final action is taken in this proceeding. In reaching its decision, the Commission may take into consideration information and ideas not contained in the comments, provided that such information is placed in the public file, and provided that the Commission's reliance on such information is noted in its final decision.

15. In accordance with the provisions of Rule 1.419 (47 CFR 1.419), formal participants shall file an original and five copies of their comments and other materials. Participants wishing each Commissioner to have a personal copy of their comments should file an original and 11 copies. Members of the general public who wish to express their interest by participating informally may do so by submitting one copy of their comments without regard to form (as long as the docket number is clearly stated in the heading). All documents will be available for public inspection during regular business hours in the Commission's Public Reference Room at its headquarters in Washington, D.C.

16. For further information concerning this rule making contact W. Riley Hollingsworth, Chief of the Compliance Branch, Land Mobile and Microwave Division, Private Radio Bureau, Federal Communications Commission, Washington, D.C. 20554, (202) 632-7125. Federal Communications Commission, William J. Tricarico, Secretary.

#### Appendix

Part 90 of Chapter I of Title 47 of the Code of Federal Regulations is proposed to be amended as follows:

#### PART 90—PRIVATE LAND MOBILE RADIO SERVICES

1. The authority citation for 47 CFR Part 90 continues to read:

Authority: Secs. 4, 303, 48 stat., as amended, 1066, 1082; 47 U.S.C. 154, 303.

2. Section 90.135 is amended as set forth below by adding a new paragraph (c) (1). Existing paragraphs (c)(1) and (c)(2) are redesignated paragraphs (c)(2) and (c)(3) respectively.

#### § 90.135 Modification of license.

(c)(1) Where the change noted in paragraph (a)(1), (a)(6) or (a)(7) is due to assignment of SMR base station, increase or reduction in frequencies assigned to SMR base station, or the users' acquiring service from additional SMR base stations, notification by letter to the Private Radio Bureau Licensing Division rather than an application for modification of license is required for end-user licensees.

[FR Doc. 85-25105 Filed 10-21-85; 8:45 am]  
BILLING CODE 6712-01-M

[PR Docket No. 83-426]

#### 47 CFR Part 94

#### Amendment of the Commission's Rules To Authorize Private Carrier Systems in the Private Operational Fixed Microwave Radio Service

**AGENCY:** Federal Communications Commission.

**ACTION:** Order Extending Time to File Comments and Reply Comments.

**SUMMARY:** The Commission has received a joint motion from the Central Committee on Telecommunications of the American Petroleum Institute and the Utilities Telecommunications Council seeking an extension of the time to comment on the Further Notice of Proposed Rule Making in this proceeding. By this action the Commission has granted the joint motion by extending the deadline for comments and reply comments to November 21, 1985 and December 23, 1985 respectively.

**DATES:** Comments are now due on November 21, 1985; Reply comments are now due on December 23, 1985.

**ADDRESS:** Federal Communications Commission, Washington, D.C. 20554.

**FOR FURTHER INFORMATION CONTACT:** Mary Beth Hess, Private Radio Bureau, Land Mobile and Microwave Division (202) 634-2443.

#### SUPPLEMENTARY INFORMATION:

#### List of Subjects in 47 CFR Part 94

Private operational-fixed microwave radio service, Radio.



## Order

In the matter of amendment of Part 94 of the Commission's Rules and Regulations to Authorize Private Carrier Systems in the Private Operational-Fixed Microwave Radio Service, PR Docket No. 83-426.

Adopted: October 11, 1985;

Released: October 16, 1985.

By the Chief, Private Radio Bureau:

1. On September 12, 1985, the Commission released a *Further Notice of Proposed Rule Making*<sup>1</sup> to permit licensees in the Private Operational-Fixed Microwave Radio Service (OFS) to lease capacity on their private microwave systems for the transmission of common carrier communications by non-dominant common carriers. Comments are due October 21, 1985 and reply comments November 5, 1985. The Central Committee on Telecommunications of the American Petroleum Institute (Central Committee) and the Utilities Telecommunications Council (UTC) have filed a joint motion for an extension of time in which to file comments in this proceeding. They request the comment period be extended until November 21, 1985 and the reply comment period until December 23, 1985.

2. In support of their joint motion, Central Committee and UTC state that the additional time is necessary to develop and submit meaningful comments and reply comments. They note that both entities are scheduled to conduct meetings within the next month and wish to discuss with their members the issues addressed in the *Further Notice*. The extension will allow them to prepare comments based on the discussions and responses from their meetings.

3. We recognize the complexity of the issues involved in this proceeding. Therefore, an extension of time will be granted. Accordingly, IT IS ORDERED, pursuant to the authority set forth in Section 0.331 of the Commission's Rules, that interested parties are to file comments by November 21, 1985 and reply comments by December 23, 1985.

4. The point of contact in this matter is Mary Beth Hess of the Rules Branch, Land Mobile and Microwave Division, (202) 634-2443.

Federal Communications Commission.

Robert S. Foosner,

Chief, Private Radio Bureau.

[FR Doc. 85-25103 Filed 10-21-85; 8:45 am]

BILLING CODE 6712-01-M

## DEPARTMENT OF TRANSPORTATION

## National Highway Traffic Safety Administration

## 49 CFR Part 571

[Docket No. 85-15; Notice 1]

## Federal Motor Vehicle Safety Standards; Lamps, Reflective Devices and Associated Equipment

AGENCY: National Highway Traffic Safety Administration (NHTSA), DOT.

ACTION: Notice of request for comments.

**SUMMARY:** NHTSA is conducting a comprehensive review of the headlighting requirements of Federal Motor Vehicle Safety Standard No. 108 *Lamps, Reflective Devices, and Associated Equipment*. The purpose of the review is to identify regulatory requirements that may be simplified or eliminated, while being consistent with motor vehicle safety. The agency has concentrated its efforts into five principal areas. The first is the feasibility of a standard directed toward on-board original equipment headlighting performance rather than toward performance of individual after-market headlamps in a laboratory environment. The second is the desirability of specifications for headlamp life. The third concerns the necessity of dimensional specifications for headlamp equipment. The fourth is the issue of headlamp aim. The fifth is the elimination of obsolete photometric requirements. The notice discusses each issue in detail, and solicits information in response to specific questions related to each area.

**DATE:** Comments are due January 21, 1986.

**ADDRESS:** Comments should refer to the docket and notice numbers set forth above and be submitted (preferably in 10 copies) to National Highway Traffic Safety Administration, 400 Seventh Street SW., Washington, D.C. 20590.

**FOR FURTHER INFORMATION CONTACT:** Deborah L. Parker, Office of Rulemaking, National Highway Traffic Safety Administration, 400 Seventh Street SW., Washington, D.C. 20590 (202-426-2264).

**SUPPLEMENTARY INFORMATION:** On September 9, 1966, the National Traffic and Motor Vehicle Safety Act was enacted. It required the issuance of initial Federal motor vehicle safety standards based upon then-existing safety standards by the end of January 1967. Pursuant to this mandate, Federal requirements for motor vehicle lighting were promulgated: Federal Motor

Vehicle Safety Standard No. 108 *Lamps, Reflective Devices, and Associated Equipment*. This standard, *inter alia*, established headlighting requirements applicable to multipurpose passenger vehicles, trucks, and buses, whose overall width was 80 inches or more and which were manufactured on and after January 1, 1968. The standard became applicable to all other motor vehicles (except, of course, trailers) manufactured on and after January 1, 1969. Coverage was extended to replacement headlighting equipment manufactured on and after January 1, 1972.

Initially the requirements were solely those of the Society of Automotive Engineers, SAE Standard J579a *Sealed Beam Headlamp Units for Motor Vehicles*, August 1965, and SAE J580a *Sealed Beam Headlamp*, June 1966. The two SAE Standards defined performance characteristics for two types of sealed beam headlighting systems; these characteristics included upper and lower beam photometric maxima and minima as measured at discrete test points; capability for mechanical aim as represented by three pads on the face of the lens preaimed during manufacture to form an aiming plane, aiming adjustment tests, and retaining ring requirements. The SAE Standards, which were incorporated by reference, themselves referenced additional SAE requirements which have been considered to be incorporated into Standard No. 108 by subreference. These included vibration and corrosion tests specified by SAE Standard J575 *Tests for Motor Vehicle Lighting Devices and Components*, June 1966, SAE J571b *Dimensional Specifications for Sealed Beam Headlamp Units* April 1965, including the mounting ring and retaining ring, and electrical and life specifications of SAE Standard J573a *Lamp Bulbs and Sealed Units*, November 1964. These requirements and/or some subsequent SAE updates remain the basic regulatory requirements for all motor vehicle headlamps today.

In an effort to move toward a less design restrictive standard, NHTSA amended Standard No. 108 in 1983 to allow an alternative to sealed beam systems (the originally allowed two types of sealed beam systems have been expanded by Standard No. 108 over the years to the presently allowed six systems). Design restrictions previously imposed on the size and shape of headlamps were eliminated, provided that the headlamp incorporated a standardized replaceable light source whose mechanical design was

<sup>1</sup> 50 FR 37878; September 16, 1985.



established by a comprehensive Figure 3 in Standard No. 108. The photometric performance and capability of mechanical aim remained unchanged.

The alternative headlamp incorporated a replaceable light source with an O-ring seal in its base that is intended to provide a barrier to moisture and contaminants, which is somewhat equivalent to the permanent seal of the sealed beam lamp. In exchange for providing a light source meeting the comprehensive design requirements of Figure 3 of the standard, manufacturers were afforded complete design freedom as to size and shape of the lamp incorporating the light source. This move to allow an alternative headlighting system was a first step toward eliminating some of the unnecessary design restrictions in the standard, but it has been criticized as being itself too design restrictive, since it specifies dimensions and performance characteristics of the light source. Thus, the agency has received an unprecedented number of petitions seeking amendments of headlighting requirements. Petitions have been received to modify dimensions originally adopted for the light source, to allow multiple standardized replaceable light sources in headlighting systems, to allow alternative replaceable light sources other than that currently specified, to permit different photometrics, and to allow coordinated aiming of pairs of headlamps. In addition, a petition has been received to allow a new type of headlighting system consisting of eight miniature headlamps. Lighting systems are being developed in Europe with a beam aperture (lens) the size of an ordinary business card. In short, the 1980s have become a decade of new headlighting concepts and experiments. Their evaluation is to some extent restricted by headlighting regulations in effect in the industrialized countries of the world; their adoption most certainly is affected by these regulations.

Recognizing the technological validity of many of these concepts and the fact that they cannot be realized in an unduly burdensome regulatory climate, NHTSA has embarked upon a review of the headlighting requirements of Standard No. 108 with the goal of eliminating those that are determined to be no longer necessary for the safety of the motoring public. NHTSA has identified five principal areas of possible regulatory reform, and wishes to present these candidates for discussion, comments, and recommendations by interested persons. After the agency has more clearly

defined and determined the implications for safety, it plans to issue a notice with specific proposals for a more performance-oriented headlighting standard.

#### **I. Coverage of the Standard: The Systems Approach and/or the Equipment Approach**

There are two basic types of Federal motor vehicle safety standards; those that establish minimum performance levels for motor vehicles, and those that establish levels for individual items of motor vehicle equipment used for both original and replacement equipment purposes. Illustrative of the former is Standard No. 105 *Hydraulic brake systems*. The vehicle is required to meet certain stopping distance requirements, but the design of the components of the system such as brake shoes or brake linings is left to the vehicle manufacturer. On the other hand, Standard No. 106 *Brake hoses* covers an equipment item; it requires all brake hoses to be manufactured to conform to its requirements, whether used as original or replacement equipment, and for new vehicles to be equipped with conforming brake hoses. Because of its extensive coverage of individual equipment items and specifications for tests conducted in laboratory environments, Standard No. 108 is more like an equipment standard than a vehicle standard. This basic approach to headlamp regulation is also in effect in other areas where headlamp manufacturers are located such as Japan, Canada, and Western Europe.

Standard No. 108 today meets the need for motor vehicle safety in a two-step process. First, headlamps must perform to a specified photometric level. Second, they must be placed within designated bounds on a motor vehicle, so that the vehicle can perform to a specified photometric level. Under a vehicle standard, the first step would be eliminated; by specifying an acceptable photometric level for the vehicle itself, there would be no further need, as NHTSA see it, to specify location, size, and number of headlamps.

There are some considerations to this approach. The first is the point at which light should be measured: Should it be at the seeing eye point of the driver, or at the points on the road where the light falls? NHTSA is not well versed in the overall quality and sensitivity of light-measuring equipment and its capability to be positioned and to function at the average driver's seeing eye point. Other factors such as reflectivity, field-of-view, and windshield light transmittance must also be considered. NHTSA would welcome comments on

the feasibility of this approach. In the case of measuring light on the road, current photometric test points on the test screen could be translated into points on the road. Were this approach adopted, it presumably would require a test chamber long enough to measure light at the seeing distance point—now 220 feet—and wide enough to measure glare light as projected along the sight line of the oncoming driver. Construction of such chambers would entail an expense by industry that is not presently imposed. Another option possibly would be to use an existing section of road or a test track with limits on grade, ambient light and reflectivity. NHTSA seeks comments on whether this could be done in a way that would assure consistency of tests and test results.

A further alternative would be to translate the road test points into test points on the screen. The screen could be located perpendicular to the vehicle's forward direction of travel. The "V" (vertical) axis would be formed either by a plane that is extended from the left edge of the vehicle or by a simulated road centerline. The "H" (horizontal) axis would either be at, or some specified distance below, the sight line of an oncoming driver in a standard-sized automobile. The H axis could be located below the sight line to account for the lower eye position of drivers who are approaching on a grade or who are at a further distance than the position of the test screen can indicate. The distance from the screen to the vehicle would also be specified and would of course affect the final position of the sight line/H axis.

The approach of a vehicle standard also raises issues with respect to replacement lamps. Simply testing an aftermarket headlamp by current Standard No. 108 requirements may not be adequate. A lamp that performs properly as, for example, a right headlamp in a set of five may be inadequate for use as one in a set of three. This suggests that with an on-vehicle performance standard the photometric unit is the headlamp system rather than any individual lamp. Replacement headlamps would have to be tested within the context of the headlighting system in which they were intended to function. For sealed beam headlamps, the test system would consist of a setup with all headlamps in the same configuration as on the original vehicle. For replaceable bulb headlamps, the original equipment lens/reflector units would have to be part of the test system as well in order to test the bulb. The vehicle manufacturer



would have to specify the test configuration for each vehicle and the bulb manufacturer would have to indicate which vehicles and which headlamp positions each bulb was meant to fit. This approach appears feasible. But it would seem to require an alternative form of regulation: Such as a requirement that manufacturers label on the vehicle or lamp and on each bulb, as to which headlamp components fit with which others, and into which vehicle headlight systems and positions they fit.

There is some precedent for this in the interrelationship between the passenger-car tire and rim standards, Standards Nos. 109 and 110. The vehicle is labeled to indicate the proper tire size. Each tire manufacturer has to insure that the tire and rim matching information (i.e., the design load at various inflation pressures) is available through one of several designated standards organizations or else make the information available itself. This standardizes the load limits for each tire size. When the tire is replaced, the placard indicates that any tire with that size designation will handle the load requirements of the vehicle.

A similar system could be instituted for replacement headlamps and components in a system-oriented standard. Each vehicle and headlamp component could be cross-referenced by vehicle placards, labels embossed on the lamp, and listing of performance data, issued either by standards organizations or by NHTSA. In this way, replacements could be matched properly with original equipment systems, and be assured of complying with new-vehicle photometric requirements.

A vehicle-only standard would cover only slightly more than one-third of the headlighting market. It is estimated that slightly more than one-third of the headlamps sold yearly in the United States are for use as original equipment. It therefore would not seem consistent with motor vehicle safety to repeal all safety standards for the remaining two-thirds of the market. This percentage could be reduced by requiring that the new headlamps be designed to perform for the lifetime of the vehicle, since the two-thirds of the U.S. sales which comprise the aftermarket is composed of approximately 45% due to burnout and nearly 20% due to accident and stone damage. Under this concept, the aftermarket would decline to some lower percentage (i.e., close to 20 percent) than presently exists—those needed to replace damaged units and those to replace units which prematurely burned out. (The source for these data is Current Industrial Reports, Electric

Lamps, Summary for the Years 1978-1982, Bureau of the Census, U.S. Department of Commerce.)

In summary, the options are, as NHTSA sees them: (a) Retain the present equipment-oriented standard with on-board location parameters, which covers 100% of the market; (b) substitute a vehicle-oriented systems standard, and regulate the vehicle for performance with original equipment, which would cover approximately one-third of the market; (c) regulate the vehicle for performance but require that headlamps be designed for the life of the vehicle, which would cover about 80% of the market (issues regarding headlamp life expectancy are discussed in section II below), and (d) regulate the vehicle for performance while requiring that manufacturers label the vehicle and lamp components to indicate how they fit with each other, which would cover 100% of the market. In consideration of the foregoing, NHTSA seeks comments on the following issues, with respect to each option. It would be helpful if responses indicated the section and question number in the sequence given in this notice, i.e., the first question below I-1.

I-1. The ramifications for safety of each alternative.

I-2. The costs and benefits of each alternative.

I-3. If the vehicle rather than equipment (or in addition to equipment, as in option (d) above) is regulated, the manner in which photometric testing should be conducted.

I-4. The effects upon the aftermarket.

I-5. The effects upon consumers' ability to replace headlamps/bulbs.

## II. Life Expectancy of Headlamps

There are three principal components of equipment durability: its integrity (for example, there shall be no leakage of fluid after the brake tests conducted in accordance with Standard No. 105), environmental resistance (such as the ability of a replaceable bulb headlamp to pass the photometric test of Standard No. 108 after a corrosion test), and longevity (under Standard No. 104 the windshield washer must function for 800 cycles).

Currently, Standard No. 108 specifies longevity requirements for certain headlighting equipment but not for others. The large rectangular headlamp—Type 2B1 (paragraph S4.1.1), the new Type F, and the standardized replaceable light source (paragraph S4.1.1.38(b)(1)) require that at 14 volts the average life of the bulb shall be 320 hours for the lower beam and 150 hours for the upper. There are no requirements for Type A, C, D, or E sealed beam

headlamps which comply with SAE J579c (Types A, C, and D can also comply with SAE J579a which does have a longevity requirement. However, SAE J579a is obsolete since no major manufacturer has built bulbs to these specifications for several years). NHTSA knows of no apparent safety basis for maintaining a lifetime requirement for some lamps but not others. The options, therefore, as NHTSA sees them are: (a) To delete all longevity requirements from Standard No. 108; (b) to delete all longevity requirements but require manufacturers to rate life expectancy on the lamp/bulb package (i.e., provide consumer information similar to that provided for household light bulbs); (c) to extend current longevity requirements to other types of headlighting systems; (d) to require that the headlamp last for the life of the vehicle; and (e) to retain the existing requirements.

The issues to be considered with each option, and for which NHTSA seeks specific comments are:

II-1. The ramifications for safety.

II-2. The costs and benefits to both consumers and manufacturers. The issues with regard to option (e), that the headlamp last for the life of the vehicle, are:

II-3. A definition of "lifetime" for passenger cars, trucks, buses, multipurpose passenger vehicles.

II-4. The technical feasibility of a lifetime headlamp meeting the photometrics of Standard No. 108.

II-5. Whether photometric requirements of a lifetime headlamp would need to differ from current Standard No. 108 test point values.

II-6. The effect of environmental factors (e.g. vibration, corrosion) upon the lifetime capability of the lamp on the road.

II-7. Whether a lifetime headlamp would affect the safety performance of the vehicle, either adversely or favorably.

II-8. The appropriate specifications to assure lifetime performance (i.e., hours of service, vibration, corrosion).

II-9. The cost for a lifetime headlamp with respect to:

a. The lamp or its components.

b. The secondary effects such as demand on electrical systems, and reduction of fuel economy.

II-10. The specific tradeoffs among photometrics, wattage, costs (primary and secondary) and other areas were a lifetime headlamp to be required.

II-11. Whether and how replacement equipment should be regulated.

NHTSA asks that responses indicate their applicability to sealed beam



headlamps, and the individual components of replaceable bulb headlamps such as reflector/lens assemblies and bulbs.

### III. Elimination of Dimensional Specifications

The primary purpose for which Standard No. 108 specifies dimensions for sealed beam headlamps, light sources for replaceable bulb headlamps, and other headlighting components is to assure interchangeability, so that proper replacement equipment shall be readily available, shall fit only in the proper receptacle to assure a photometrically correct system, and shall perform with the equivalence of the original lighting equipment. The safety argument in favor of design restrictions was perhaps best expressed by Chief Judge Phillips of the Sixth Circuit Court of Appeals in his 1973 decision upholding NHTSA's specification for a rectangular headlamp of a single size: "... the overall safety and reliability of a headlamp system depends to a certain extent upon the wide availability of replacement lamps, which in turn depends upon standardization. Therefore, uniformity of headlamp size is an element of headlamp performance. Design freedom would inhibit safety . . ." (*Chrysler Corp. v. DOT*, 515 F.2d 1053, at 1058).

With respect to sealed beam headlamps, dimensions relating to fit of the lamp into the housing are prescribed for the size and shape of the lamp, and for its retaining flange, seating plane lugs, electrical prongs, and headlamp mounting ring notches. With regard to photometrics, the number of headlamps and their location relative to each other are prescribed, as are mounting height and maximum wattage. Headlamp covers are prohibited.

For replaceable bulb headlamps, dimensions are prescribed for the bulb capsule, bulb black cap, base, reflector socket, O-ring seal, and for the location of the filaments relative to the lens/reflector assembly. With regard to photometrics, the number of headlamps and their location relative to each other are prescribed, as are mounting height and maximum wattage. Headlamp covers are permitted.

There are arguments to be made for either continuing or relieving these design restrictions. They were originally imposed through a consensus of State motor vehicle administrators in the late 1930's that the situation then existing with complete design freedom was so chaotic that order had to be imposed. Accordingly, beginning with 1940 model cars, a standardized headlamp was introduced, a sealed beam unit of 7 inches diameter. Over the years, five

additional types of sealed beam headlamps have been introduced gradually without a return to the problems that gave rise to standardization. An argument can be made that this is because the distribution and inventory systems are for more extensive and sophisticated today than they were 50 years ago.

Those who support dimensional specifications relating to the fit of the lamp into the housing might argue that without them, manufacturers of headlamps, headlamp components, and vehicles will no longer have a common understanding of dimensional requirements to assure proper fitting of manufactured parts. Those who oppose these dimensional requirements might reply that the market place will ensure an acceptable amount of commonality, and that dimensional specifications are properly the function of a standard setting organization (such as the SAE from whence the sealed beam specifications originated) rather than the Federal government.

Finally, without these dimensions, it could be argued, the consumer may choose parts that can be assembled into a photometrically incorrect headlamp, such as fitting the wrong bulb into a lens/reflector unit. Such a possibility, NHTSA believes, can be reduced through advisory labels and other related materials.

The agency stated previously that recently it has received an unprecedented number of petitions seeking amendments of headlighting requirements. The majority of these are concerned with dimensional requirements—from the size and shape of sealed beam headlamps to the location of filaments in replaceable bulb headlamps. Thus, significant agency resources are engaged in this area, an area in which uncertain, if any, safety benefits are involved and an area whose requirements clearly inhibit design innovation.

With regard to dimensions relating to photometrics (e.g. filament location) consideration of options relates back to consideration of a vehicle standard as discussed in section I.

Therefore, NHTSA seeks comments on the following issues:

III-1. The costs and benefits of eliminating dimensional specifications for fitting the headlamp into the housing:

a. Under current circumstances, where nearly two-thirds of headlamps are sold for replacement purposes.

b. Under the assumption that headlamps were regulated as original vehicle equipment and designed for vehicle life so that less than 20% of

headlamps would be sold for replacement purposes.

III-2. Under the assumption that some dimensions are to be retained, discuss the dimensions that are critical to motor vehicle safety.

III-3. These same issues as applied to elimination of dimensional specifications for photometrics.

### IV. Headlamp Aim

Intuitively, proper aiming of headlamps would appear necessary to assure that they provide the light required for the tasks of driving at night and under other considerations of reduced visibility, and that they not cause glare to oncoming drivers. However, it is difficult to come by research data or statistics indicating a direct or indirect causation of accidents attributable to improper aim.

The general aiming specifications of Standard No. 108 are that headlamp aiming screws perform in a defined manner, that aim be capable of being held through 20 adjustments, that there be capability of aim of 4 degrees in both the horizontal and vertical directions, that there be an adjustable light beam axis, and that headlamps be capable of aiming with ordinary tools. The capability of mechanical aim is provided by the specification that the lamp have three pads on its lens which form an aiming plane.

There are three methods of headlamp aim in general use today: visual aim, optical aim, and mechanical aim. In visual aiming, an individual views the light beam on a vertical surface such as a wall or a screen 25 feet from the headlamp in relation to the H-V lines (horizontal-vertical) and aims the lamp so that its point of maximum intensity ("hot spot") falls in the appropriate location on the vertical surface. In optical aiming, a machine is placed in front of the headlamp, and its condensing lens collects light and measures certain beam points to judge the accuracy of the aim. In mechanical aiming, a machine is attached directly to the headlamp by being fitted over the three pads on the lamp.

Visual aiming is better suited to lamps employing a beam pattern with a sharp cut-off, such as is found in the typical European lamp. When used with the softer, less defined U.S. beam pattern, an unavoidable element of subjectivity arises increasing the likelihood of improper aim. Optical aim also is more time consuming than mechanical aim and requires a subjective determination by the operator of the optical aiming device.



In accordance with SAE practice since the adoption of sealed beam headlamps, Standard No. 108 requires that all headlamps be capable of mechanical aim. To NHTSA, mechanical aiming has been the most objective, simplest way to determine accuracy of aim. It is the method most frequently used in those State motor vehicle inspections which check aim at all. (NHTSA estimates the number of States which inspect for headlamp aim to be 21 and of those, 13 use mechanical aiming devices). However, the requirements of having an aiming plane and aiming pads are somewhat of an inhibition to styling freedom, as only certain configurations of aiming pads are permitted, to insure fit with the aimer. As the number of types of headlamps has grown, mechanical headlamp aiming has become somewhat more cumbersome because of the necessity of providing adapters for the aimers so that they may be used with the new designs.

Headlamp aiming comprises two components, aim in the horizontal plane (left-right) and in a vertical plane (up-down). Horizontal aim presents a lesser potential for glare than vertical aim, and is therefore a less critical component. It may be practicable, therefore, to design headlamps which do not require horizontal aim and permit vertical aim by use of a level-type indicator mounted on the vehicle itself.

With respect to the general issue of headlamp aimability NHTSA seeks comments on the following issues:

IV-1. Whether an aiming requirement in the Federal standard is necessary for motor vehicle safety.

IV-2. Whether there is a relationship between aim and photometrics, i.e., whether a brighter headlamp could be used if aim adjustment were reduced.

IV-3. Whether there is a set of photometric specifications that is more

amenable to simplified aiming procedures than the current requirements.

IV-4. The cost and desirability of determining proper vertical aim through use of a level-type indicator mounted on the vehicle itself.

IV-5. The dimensions, if any, that should be retained. With respect to mechanical aim:

IV-6. The effects of mechanical aim upon motor vehicle safety.

IV-7. The costs and benefits of eliminating mechanical aiming capability.

IV-8. Alternatives to the existing specifications for capability of mechanical aim.

#### V. Photometric Performance of Sealed Headlamps

There are three different photometric specifications allowed in Standard No. 108, each applicable to some but not all headlamps. These are specified in SAE J579a, SAE J579c, and Figure 15.

Until 1976, only SAE J579a photometric performance was permitted. The lower beam seeing distance point was located at  $\frac{1}{2}$  D-2R and had a range of from 6000 cd to 15,000 cd. The upper beam was aimed at  $\frac{1}{2}$  D-V and had a range of 20,000 cd to 37,500 cd.

With the amending of Standard No. 108 in 1976 to permit the Type B large rectangular lamp, and later in 1978 to permit "halogen technology" for all lamps, the standard was also amended to permit SAE J579c photometry. The lower beam was aimed more to the left and had a higher intensity. The lower beam seeing point distance was now located at  $\frac{1}{2}$  D-1 $\frac{1}{2}$  R with a range of 8,000 to 20,000 cd. The upper beam was now aimed higher, at H-V, with a higher performance range of 25,000 cd to 75,000 cd.

The Type F photometry of Figure 15 of Standard No. 108, was adopted with the 92 x 150 mm, small rectangular four headlamp system in 1984. Intended to give more seeing light down the road on lower beam, the lamps use the same test points as SAE J579c but have higher minimum values at some of them and a higher maximum value at one lower beam test point.

Although headlamp Types A, C, and D (small rectangular, large round and small round, respectively) are still allowed to comply with SAE J579a to the best of NHTSA's knowledge, very few manufacturers appear to be currently producing SAE J579a headlamps.

The existence of three photometric requirements, one of which is for all practical purposes nearly obsolete, promotes unnecessary confusion and serves no apparent safety purposes.

Therefore, NHTSA seeks comments on the following issues:

V-1. The ramifications for safety of eliminating SAE J579a as an alternative headlamp performance level for Types A, C, and D headlamps.

V-2. The costs and benefits to manufacturers and consumers of eliminating SAE J579a.

V-3. The desirability of developing a single set of photometric requirements for all headlamps.

The program official and attorney primarily responsible for this notice are Deborah L. Parker and Z. Taylor Vinson respectively.

(Secs. 103, 119, Pub. L. 89-563, 80 Stat. 716 (15 U.S.C. 1392, 1407); delegations of authority at 49 CFR 1.50 and 49 CFR 501.8)

Issued on October 16, 1985.

Barry Felrice,

Associate Administrator for Rulemaking.

[FR Doc. 85-25089 Filed 10-17-85; 1:41 pm]

BILLING CODE 4910-59-M



# Notices

Federal Register

Vol. 50, No. 204

Tuesday, October 22, 1985

This section of the FEDERAL REGISTER contains documents other than rules or proposed rules that are applicable to the public. Notices of hearings and investigations, committee meetings, agency decisions and rulings, delegations of authority, filing of petitions and applications and agency statements of organization and functions are examples of documents appearing in this section.

## ADVISORY COUNCIL ON HISTORIC PRESERVATION

### Programmatic Memorandum of Agreement Regarding Management of Historic Water Transportation Ditches Affected by Undertakings on the Wallowa-Whitman National Forest, OR

**AGENCY:** Advisory Council on Historic Preservation.

**ACTION:** Notice.

**SUMMARY:** The Advisory Council on Historic Preservation proposes to execute a Programmatic Memorandum of Agreement pursuant to § 800.8 of the Council's regulations, "Protection of Historic and Cultural Properties" (36 CFR Part 800), with the Forest Services, U.S. Department of Agriculture, and the Oregon State Historic Preservation Officer, providing for the management of historic water transportation ditches affected by undertakings on the Wallowa-Whitman National Forest in Oregon. The proposed Programmatic Memorandum of Agreement will establish a program for the identification, evaluation, mapping, partial protection, and management of these historic ditches in order to meet the requirements of section 106 of the National Historic Preservation Act (16 U.S.C. 470f).

**COMMENTS DUE:** November 21, 1985.

**ADDRESS:** Executive Director, Advisory Council on Historic Preservation, Western Division of Project Review, Room 450, 730 Simms Street, Golden, Colorado 80401.

**FOR FURTHER INFORMATION CONTACT:** Robert Fink, Chief, Western Division of Project Review, 730 Simms Street, Room 450, Golden, CO 80401.

Dated: October 17, 1985.

Robert R. Garvey, Jr.,

Executive Director.

[FR Doc. 85-25134 Filed 10-21-85; 8:45 am]

BILLING CODE 4310-10-M

## COMMODITY CREDIT CORPORATION

### Determination Regarding the 1985 Crop Loan Rates for Sugarcane and Sugar Beets

**AGENCY:** Commodity Credit Corporation, USDA.

**ACTION:** Notice of Determination—1985—Crop Sugar Beets and Sugarcane Loan Rates.

**SUMMARY:** This notice sets forth the following determinations with respect to the 1985 crop of sugar beets and sugarcane: (1) The national average loan rate for raw cane sugar will be 18.00 cents per pound; (2) the national average loan rate for refined beet sugar will be 21.06 cents per pound; and (3) the loan rates for both sugarcane and sugar beets will be further adjusted to reflect the processing location of the sugar offered as collateral for a price support loan (i.e., location differentials). This notice also sets forth the minimum price support levels to be paid sugarcane and sugar beet producers. These determinations are made in accordance with Section 201(h) of the Agricultural Act of 1949 (hereinafter referred to as the "1949 Act"), as amended.

**EFFECTIVE DATE:** October 1, 1985.

**FOR FURTHER INFORMATION CONTACT:** Steve Gill, Cotton, Grain, and Rice Price Support Division, ASCS, U.S. Department of Agriculture, P.O. Box 2415, Washington, DC 20013. Phone: (202) 447-8480. The Final Regulatory Impact Analysis describing the options considered in developing this Notice of Determination is available from Thomas W. Fink, Cotton, Grain, and Rice Price Support Division, ASCS, U.S. Department of Agriculture, P.O. Box 2415, Washington, DC 20013. Phone: (202) 447-8701.

**SUPPLEMENTARY INFORMATION:** This notice has been reviewed under U.S. Department of Agriculture (USDA) procedures established in accordance with the provisions of Departmental Regulation 1512-1 and Executive Order 12291 and has been classified as "major" since this action may have an annual effect on the economy of \$100 million or more.

It has been determined that the Regulatory Flexibility Act is not applicable to this notice since the Commodity Credit Corporation (CCC) is not required by 5 U.S.C. 553 or any other

provision of law to publish a notice of proposed rulemaking with respect to the subject matter of these determinations.

An Environmental Evaluation with respect to the price support loan program has been completed. It has been determined that this action is not expected to have any significant impact on the quality of the human environment. In addition, it has been determined that this action will not adversely affect environmental factors such as wildlife habitat, water quality, air quality, land use, and appearance. Accordingly, neither an Environmental assessment nor an Environmental Impact Statement is needed.

This program is not subject to the provisions of Executive Order 12372 which requires intergovernmental consultation with State and local officials. See the Notice related to 7 CFR Part 3015, Subpart V, published at 48 FR 29115 (June 24, 1983).

The title and number of the Federal Assistance Program to which this notice applies are: Title—Commodity Loans and Purchases, Number 10.051, as found in the Catalog of Federal Domestic Assistance.

This notice sets forth determinations with respect to the following issues which are briefly described:

1. *Loan Rate for Sugarcane.* Section 201(h) of the 1949 Act provides that the Secretary of Agriculture is required to support the price of the 1982 through 1985 crops of sugarcane and sugar beets through nonrecourse loans. Section 201(h) further provides that the price of the 1985 crop of sugarcane shall be supported at such level as the Secretary determines to be appropriate but not less than 18.00 cents per pound for raw cane sugar.

2. *Loan Rate for Sugar Beets.* Section 201(h) of the 1949 Act provides that the price of sugar beets shall be supported at such level as the Secretary determines to be fair and reasonable in relation to the level of loans for sugarcane.

3. *Location Differentials.* The application of location differentials to loan rates is common to most price support programs administered by CCC. The loan rates for sugar processed in specific regions will be based upon the transportation costs associated with moving sugar to the markets that are normal for those regions.



**4. Minimum Price Support Levels.** The minimum price support levels are the minimum amounts that must be paid to producers by a processor participating in the price support loan program. The minimum price support levels are set forth by regions. These support levels would be applicable for purposes of setting contracts between individual processors and producers for the crop of sugar beets and sugarcane harvested during the 1985 crop period.

#### Summary of Public Comments

A notice of proposed determination with respect to the 1985 crop of sugar beets and sugarcane loan rates was published in the Federal Register on August 22, 1985 (50 FR 33989) and provided for a 30-day comment period. A total of four comments were received. One respondent supported the notice of proposed determination in its entirety. Following is a summary of the comments received:

**Loan rate for sugarcane.** One respondent supported the minimum statutory loan level of 18 cents per pound as set forth in the notice of proposed determination.

**Loan rate for sugar beets.** Three comments were received with respect to calculating the 1985 crop of sugar beets loan rate. One respondent supported the methodology as set forth in the proposed Notice. Two respondents objected to the methodology as set forth in the proposed Notice. One respondent suggested the methodology be modified to provide that the loan rate for sugar beets be based on the actual cost of turning raw cane sugar into refined cane sugar. This suggestion was rejected.

Establishing a sugarbeet loan rate based on the cost of refining raw sugar at the refinery would be inconsistent with the provision of the 1949 Act which authorize the sugar price support program. The purpose of the program is to support prices paid to growers of sugar beets and sugarcane. The Secretary is directed to establish a sugar beet loan rate at such level as the Secretary determines to be fair and reasonable in relation to the support level for sugarcane. Adopting the respondent's suggestion would result in a differential between beet sugar and raw cane sugar loan rates in excess of the differential that has been traditionally determined by market forces. This would not be a fair and reasonable relationship as required by the 1949 Act. The second respondent suggested excluding the high and low years from the period 1975 through 1985 used in the methodology for calculating

the loan rate for sugar beets. This suggestion was rejected. Use of the methodology in the proposed notice of previous price support programs has not produced disproportionate forfeitures of loan collateral to CCC as compared between raw cane sugar and refined beet sugar. Such disproportionate forfeiture would be expected to occur if the methodology utilized produced an incorrect relationship between the two prices.

Accordingly, this notice sets forth the price support loan rates with respect to the 1985 crop of sugar beets and sugarcane. The 1985 crop is defined as the sugar processed from domestically-produced sugar beets or sugarcane during the 1985 crop year, which is the period from July 1, 1985, through June 30, 1986. This notice also establishes the minimum price support levels to be paid sugar beet and sugarcane producers.

#### Determination

**1. Loan Rate for Sugarcane:** In accordance with section 201(h) of the 1949 Act, it has been determined that the national average loan rate for the 1985 crop of sugarcane is 18.00 cents per pound for cane sugar, raw value, which is the minimum statutory level.

**2. Loan Rate for Sugar Beets:** In accordance with section 201(h) of the 1949 Act, it has been determined that the national average loan rate for the 1985 crop of sugar beets is 21.06 cents per pound for refined beet sugar.

The refined beet sugar loan rate is calculated by multiplying the raw cane sugar loan rate times a determined factor and then adding the fixed marketing expense (which are incurred by beet processors regardless of the disposition of the sugar). The factor referred to in the formula is determined by comparing the weighted average net returns for beet sugar (i.e., gross returns less all marketing expenses) to the weighted average New York spot price (#12 contract) for raw cane sugar for the years 1975 through 1983.

**3. (a) Location Differentials.** It has been determined that both raw cane sugar and refined beet sugar loan rates will be further adjusted to reflect the processing location of sugar offered as collateral for a price support loan. These adjustments (i.e., location differentials) which are calculated in the same manner as in the three previous crop years, result in the following 1985 regional loan rates:

#### Sugar Loan Rates Refined Beet Sugar

| Region and description  | Cents per pound |
|---|-----------------|
| 1—Michigan and Ohio   | 21.97           |
| 2—Minnesota and eastern half of North Dakota  | 21.04           |
| 3—Northeastern quarter of Colorado; northwestern quarter of Kansas; Nebraska; and the southeastern quarter of Wyoming | 20.35           |
| 4—Texas   | 21.40           |
| 5—Montana and the northwestern quarter of Wyoming and western half of North Dakota                                    | 20.35           |
| 6—That part of Idaho east of the eastern boundary of Owyhee County and of such boundary extended northward            | 20.44           |
| 7—That part of Idaho west of the western boundary of Owyhee County and of such boundary extended northward; Oregon    | 20.44           |
| 8—California  | 21.43           |
| Cane sugar, raw value:  |                 |
| Florida   | 17.97           |
| Louisiana   | 18.35           |
| Texas   | 17.95           |
| Hawaii  | 17.87           |
| Puerto Rico   | 17.52           |

For sugar processed in Hawaii or Puerto Rico but placed under loan on the mainland of the United States, the loan rate shall be 18.00 cents per pound.

**(b) Minimum Price Support Levels.** Based on these established regional loan rates, the minimum price support levels specified in 7 CFR 1435.114(b) for sugar beets and sugarcane of average quality are as follows:

1. Sugarbeets harvested between July 1, 1985, and June 30, 1986:

| Region (same as in (a) above): | Support price per net ton |
|--------------------------------|---------------------------|
| 1.....                         | \$28.02                   |
| 2.....                         | 32.51                     |
| 3.....                         | 31.41                     |
| 4.....                         | 33.08                     |
| 5.....                         | 31.41                     |
| 6.....                         | 31.55                     |
| 7.....                         | 31.55                     |
| 8.....                         | 33.12                     |

<sup>1</sup> A. The required minimum price support rate per ton of sugar beets may be adjusted in accordance with B if one of the following applies: (1) The sugar extracted by a processor from the 1985 crop yields, on the average, less than 222.25 pound per net ton of sugar beets delivered and accepted by the processor. (2) The processor's net return on byproducts per net ton of sugar beets delivered and accepted by the processor averages less than \$5.94 per net ton.

B. Determine any adjusted rate under A as follows: (1) Multiply \$2.107 (the loan rate per pound, minus \$0.000 fixed marketing costs) times the average pounds and hundredths of pounds of sugar extracted per net ton. (2) Add to the result of subparagraph 1 of the net return to the processor on byproducts per net ton of sugar beets delivered and accepted. (3) Multiply the result of subparagraph 2 times 0.531.

2. For sugarcane harvested between July 1, 1985, and June 30, 1986, in Florida: \$24.02 per net ton.

3. For sugarcane harvested between July 1, 1985, and June 30, 1986, in Louisiana: \$22.70 per net ton. However, for sugarcane for which settlement is determined on the basis of a core sample, the minimum amount to be paid per gross ton of sugarcane shall be the



amount determined by multiplying the total amount of sugar recovered per gross ton (commercial recoverable sugar adjustment) of sugarcane delivered to the processor times 11.010 cents per pound, plus 43 cents per gross ton of sugarcane for molasses.

4. For sugarcane harvested between July 1, 1985, and June 30, 1986, in Texas: the amount determined by multiplying 10.770 cents times the average pounds of cane sugar, raw value, recovered per ton from the sugarcane delivered to the processor by all producers, as adjusted by the processor to reflect the quality of the juice (normal juice sucrose and normal juice purity) extracted from the individual producer's sugarcane.

5. For sugarcane harvested in Hawaii: the amount determined according to the standard marketing contract for the calendar year in which the sugarcane was harvested between growers and processors of sugarcane and the cooperatively-owned refiner of raw cane sugar that markets refined and raw cane sugar on behalf of its members and non-member patrons: *Provided, however*, that non-members of this cooperative shall be treated no less favorably than the members of the cooperative under the terms of the standard marketing contract.

6. For sugarcane harvested in Puerto Rico: that price determined according to the provisions of Puerto Rico Law No. 426, also known as the Puerto Rico Sugar Law, and the rules issued under the law by the Sugar Board of Puerto Rico for the calendar year in which the sugarcane was harvested.

7. The prices indicated above must be adjusted for sugar beets or sugarcane of nonaverage quality under the method agreed upon by the producer and processor according to the terms and conditions of their marketing contract.

Signed at Washington DC on October 17, 1985.

John R. Block,

Secretary.

[FR Doc. 85-25171 Filed 10-21-85; 8:45 am]

BILLING CODE 3410-05-M

## DEPARTMENT OF COMMERCE

### Bureau of the Census

**Census Advisory Committee (CAC) of the American Economic Association (AEA), the CAC of the American Marketing Association (AMA), the CAC of the American Statistical Association (ASA), and the CAC on Population Statistics; Public Meeting**

Pursuant to the Federal Advisory Committee Act (Pub. L. 92-463 as

amended by Pub. L. 94-409), we are giving notice of a joint meeting followed by separate and jointly held (described below) meetings of the CAC of the AEA, CAC of the AMA, CAC of the ASA, and the CAC on Population Statistics. The joint meeting will convene on November 14, 1985, at the Westpark Hotel, 1990 North Fort Myer Drive, Arlington, Virginia 22209.

The CAC of the AEA is composed of 9 members appointed by the President of the AEA. It advises the Director, Bureau of the Census, on technical matters, accuracy levels, and conceptual problems concerning economic surveys and censuses; reviews major aspects of the Bureau's programs; and advises on the role of analysis within the Bureau.

The CAC of the AMA is composed of 9 members appointed by the President of the AMA. It advises the Director, Bureau of the Census, regarding the statistics that will help in marketing the Nation's products and services and on ways to make the statistics the most useful to users.

The CAC of the ASA is composed of 12 members appointed by the President of the ASA. It advises the Director, Bureau of the Census, on the Bureau's programs as a whole and on their various parts, considers priority issues in the planning of censuses and surveys, examines guiding principles, advises on questions of policy and procedures, and responds to Bureau requests for opinions concerning its operations.

The CAC on Population Statistics is composed of 4 members appointed by the Secretary of Commerce and 5 members designated by the President of the Population Association of America from the membership of that Association. The CAC on Population Statistics advises the Director, Bureau of the Census, on current programs and on plans for the decennial census of population.

The agenda for the combined meeting that will begin at 8:45 a.m. and end at 10:45 a.m. is: (1) Introductory remarks by the Director, Bureau of the Census, including staff changes and Bureau organization, budget and program developments, an overview of the Bureau's Initial Strategic Plan, and other topics of current interest; and (2) public perceptions of privacy and confidentiality—a review of the conflicting concerns and misunderstandings of the general public and the business community about privacy/confidentiality of federally collected data, and a discussion of the research that the Census Bureau will conduct to address these issues in the future.

The agendas for the four committees in their separate and jointly held meetings that will begin at 10:45 a.m. on November 14 are as follows:

*The CAC of the ASA:* (1) Evaluation and research—1987 censuses (joint with CAC of the AEA), (2) Bureau response to previous Committee recommendations and Bureau activities of special interest to the CAC of the ASA, (3) public perceptions of privacy and confidentiality (joint with CAC of the AEA), (4) 1990 census adjustment research—progress and planning (joint with the CAC on Population Statistics), and (5) public cooperation with the 1980 Census of Population and Housing. Adjourn at 5 p.m.

*The CAC on Population Statistics:* (1) Public perceptions of privacy and confidentiality (joint with CAC of the AMA), (2) Bureau response to previous Committee recommendations and Bureau activities of special interest to the CAC on Population Statistics—part 1, (3) public cooperation with the 1980 Census of Population and Housing (joint with CAC of the AMA), (4) 1990 census adjustment research—progress and planning (joint with the CAC of the ASA), and (5) Bureau response to previous Committee recommendations and Bureau activities of special interest to the CAC on Population Statistics—part 2. Adjourn at 4:30 p.m.

*The CAC of the AMA:* (1) Public perceptions of privacy and confidentiality (joint with the CAC on Population Statistics), (2) Bureau response to previous Committee recommendations and Bureau activities of special interest to the CAC of the AMA, (3) public cooperation with the 1980 Census of Population and Housing (joint with CAC on Population Statistics), (4) 1987 Economic Censuses content issues (joint with the CAC of the AEA), and (5) proposed strategies for improving foreign trade statistics (joint with the CAC of the AEA). Adjourn at 5:15 p.m.

*The CAC of the AEA:* (1) Evaluation and research—1987 censuses (joint with CAC of the ASA), (2) Bureau response to previous Committee recommendations and Bureau activities of special interest to the CAC of the AEA, (3) public perceptions of privacy and confidentiality (joint with CAC of the ASA), (4) 1987 Economic Censuses content issues (joint with CAC of the AMA), and (5) proposed strategies for improving foreign trade statistics (joint with CAC of the AMA). Adjourn at 5:15 p.m.

The agenda for the November 15 meetings that will begin at 8:45 a.m. and adjourn at 3:00 p.m. are:



**The CAC of the ASA:** (1) Plans for testing race and ethnicity in the 1986 National Content Test (joint with CAC on Population Statistics); (2) proposed strategies for improving foreign trade statistics; (3) development and discussion of recommendations; and (4) closing session including (a) continued Committee and staff discussions, (b) comments by outside observers, and (c) plans and suggested agenda for the next meeting.

**The CAC on Population Statistics:** (1) Plans for testing race and ethnicity in the 1986 National Content Test (joint with CAC of the ASA); (2) 1986 census test program; (3) development and discussion of recommendations; and (4) closing session including (a) continued Committee and staff discussions, (b) comments by outside observers, and (c) plans and suggested agenda for the next meeting.

**The CAC of the AMA:** (1) More interesting data products (joint with the CAC of the AEA); (2) how can the Bureau make the Survey of Income and Program Participation more useful to the business and marketing communities; (3) development and discussion of recommendations; and (4) closing session including (a) continued Committee and staff discussions, (b) comments by outside observers, and (c) plans and suggested agenda for the next meeting.

**The CAC of the AEA:** (1) More interesting data products (joint with the CAC of the AMA); (2) Changes in the Construction Price Index; (3) development and discussion of recommendations; and (4) closing session including (a) continued Committee and staff discussions, (b) comments by outside observers, and (c) plans and suggested agenda for the next meeting.

All meetings are open to the public, and a brief period is set aside on November 15 for public comment and questions. Those persons with extensive questions or statements must submit them in writing to the Committee Liaison Officer at least 3 days before the meeting.

Persons wishing additional information concerning these meetings or who wish to submit written statements may contact the Committee Liaison Officer, Mr. Melvin Hendry, Bureau of the Census, Room 3081, Federal Building 3, Suitland, Maryland. (Mailing address: Washington, D.C. 20233). Telephone (301) 763-3856.

Dated: October 17, 1985.

John G. Keane,

Director, Bureau of the Census.

[FR Doc. 85-25092 Filed 10-21-85; 8:45 am]

BILLING CODE 3510-07-M

## International Trade Administration

[C-351-408]

### Countervailing Duty Order; Certain Round-Shaped Agricultural Tillage Tools (Discs) from Brazil

**AGENCY:** Import Administration, International Trade Administration, Department of Commerce.

**ACTION:** Notice.

**SUMMARY:** In separate investigations, the United States Department of Commerce (the Department) and the United States International Trade Commission (ITC) have determined that certain round-shaped agricultural tillage tools (discs) with plain or notched edge, such as colters and furrow-opener blades, imported from Brazil are receiving benefits which constitute subsidies within the meaning of the countervailing duty law, and that sales of discs from Brazil are materially injuring a United States industry. In its determination, the Department also found that non-round-shaped agricultural tillage tools (rectangular, triangular and other odd shapes, such as points, chisels, sweeps, shovels, knives, furrowers, tines, drills, lister bottoms, rotary tiller blades, bedshaping tools, as well as plowshares, plowshines, moleboards, etc.) from Brazil are receiving benefits which constitute subsidies within the meaning of the countervailing duty law. However, the ITC determined that imports of non-round-shaped agricultural tillage tools are not materially injuring, threatening material injury to, or materially retarding the establishment of a United States industry. Additionally, although the Department found in its preliminary determination that "critical circumstances" exist with respect to agricultural tillage tools from Brazil, the Department's final determination of critical circumstance under section 705(a)(2) of the Act was dependent upon the ITC's finding of material injury and the independent affirmative determination by the ITC of critical circumstances under section 704(a)(4)(A) of the Act. The ITC found that "critical circumstances" do not exist in this case.

Therefore, based on these findings, all entries of round-shaped agricultural tillage tools (discs) from Brazil, which are entered or withdrawn from

warehouse, for consumption on or after June 10, 1985, the date on which the Department published its notice of "Preliminary Affirmative Countervailing Duty Determination" in the Federal Register, will be liable for the assessment of countervailing duties. Accordingly, a cash deposit in the amount of the estimated net subsidy of 8.06 percent *ad valorem* must be made on all round-shaped agricultural tillage tools (discs) with plain or notched edge which are entered, or withdrawn from warehouse, for consumption, on or after the date of publication of this countervailing duty order in the Federal Register. Furthermore, the suspension of liquidation will be discontinued for entries of non-round-shaped agricultural tillage tools. All estimated countervailing duties deposited on such entries shall be refunded, and the appropriate bonds or other security released, at time of liquidation.

Since the ITC made a negative finding regarding "critical circumstances" under section 705(b)(4)(A) of the Tariff Act of 1930, as amended ("the Act") (19 U.S.C. 1671d(b)(4)(A)), the suspension of liquidation, previously ordered 90 days retroactively from the date on which the Department published its notice of "Preliminary Affirmative Countervailing Duty Determination" in the Federal Register, is no longer in effect. Therefore, Customs officials will be directed to terminate any retroactive suspension of liquidation, release any bond or other security, refund any cash deposit, and liquidate all entries, or withdrawals from warehouse, for consumption, of certain agricultural tillage tools from Brazil before June 10, 1985.

**EFFECTIVE DATE:** October 22, 1985.

**FOR FURTHER INFORMATION CONTACT:** Alain Letort or Barbara Tillman, Office of Investigations, Import Administration, International Trade Administration, United States Department of Commerce, 14th Street and Pennsylvania Avenue N.W., Washington, D.C., telephone: (202) 377-5050 or 377-2438.

**SUPPLEMENTARY INFORMATION:** The products covered by this order are certain round-shaped agricultural tillage tools (discs) with plain or notched edge, such as colters and furrow-opener blades, as currently provided for in item 668.00 of the *Tariff Schedules of the United States (TSUS)*.

In accordance with section 703 of the Act (19 U.S.C. 1671b), the Department published, on June 10, 1985, its preliminary determination that there was reason to believe or suspect that imports of certain agricultural tillage



tools from Brazil received benefits which constitute subsidies within the meaning of the countervailing duty law, and that "critical circumstances" exist with respect to imports of agricultural tillage tools from Brazil (50 FR 24270). In accordance with section 705 of the Act (19 U.S.C. 1671d), The Department published, on August 26, 1985, its final determination that these imports are being subsidized and that a determination of "critical circumstances" was dependent upon an affirmative determination by the ITC of "critical circumstances" under section 705(a)(4)(A) of the Act (50 FR 34525).

On October 9, 1985, in accordance with section 705(d) of the Act (19 U.S.C. 1671d(d)), the ITC notified the Department of its determination that imports of certain round-shaped agricultural tillage tools (discs) with plain or notched edge are materially injuring a United States industry, and that imports of non-round-shaped agricultural tillage tools are not materially injuring, threatening material injury to, or materially retarding the establishment of a United States industry. Additionally, the ITC made a negative determination regarding "critical circumstances."

Therefore, in accordance with section 706 of the Act (19 U.S.C. 1671e), the Department directs United States Customs officers to assess, upon further advice by the administering authority pursuant to section 706(a)(1) of the Act (19 U.S.C. 1671e(a)(1)), countervailing duties equal to the amount of the net subsidy for all entries of certain round-shaped agricultural tillage tools (discs) with plain or notched edge from Brazil. These countervailing duties will be assessed on discs (round-shaped agricultural tillage tools with plain or notched edge) from Brazil, entered, or withdrawn from warehouse, for consumption, on or after June 10, 1985, the date on which the Department published its notice of "Preliminary Affirmative Countervailing Duty Determination" in the Federal Register.

The Department further directs United States Customs officers to terminate any retroactive suspension of liquidation, release any bond or other security, refund any cash deposit, and liquidate all entries, or withdrawals from warehouse, for consumption, on certain agricultural tillage tools from Brazil made before June 10, 1985.

On and after the date of publication of this notice, United States Customs officers must require, at the same time as importers would normally deposit estimated customs duties on the merchandise, a cash deposit equal to the net subsidy of 8.06 percent *ad valorem*.

The Department also directs that suspension of liquidation be discontinued for entries of non-round-shaped agricultural tillage tools. All estimated countervailing duties deposited on such entries shall be refunded, and the appropriate bonds or other security released, at time of liquidation.

This determination constitutes a countervailing duty order with respect to certain round-shaped agricultural tillage tools (discs) with plain or notched edge from Brazil pursuant to section 706 of the Act (19 U.S.C. 1671e) and § 355.36 of the Commerce Regulations (19 CFR 355.36).

We have deleted from the Commerce Regulations Annex III to 19 CFR Part 355, which listed countervailing duty orders currently in effect. Instead, interested parties may contact the Office of Information Services, Import Administration for copies of the updated list of orders currently in effect.

#### Notice of Review

In accordance with section 751(a)(1) of the Act (19 U.S.C. 1675(a)(1)), the Department hereby gives notice that, if requested, it will commence an administrative review of this order. For further information regarding this review, contact Richard Moreland at (202) 377-2786.

This notice is published in accordance with section 706 of the Act (19 U.S.C. 1671e) and § 355.36 of the Commerce Regulations (19 CFR 355.36).

Gilbert B. Kaplan,

Acting Deputy Assistant Secretary for Import Administration.

October 16, 1985.

[FR Doc. 85-25154 Filed 10-21-85; 8:45 am]

BILLING CODE 3510-DS-M

[C-580-504]

#### Extension of the Deadline for Final Countervailing Duty Determination and Postponement of Hearing: Offshore Platform Jackets and Piles From Korea

**AGENCY:** Import Administration, International Trade Administration, Commerce.

**ACTION:** Notice.

**SUMMARY:** On August 29, 1985, we published a notice in the Federal Register extending the deadline for the final countervailing duty determination on offshore platform jackets and piles from Korea to correspond to the date of the final determinations in the antidumping investigations of the same products from Korea and Japan (50 FR 35108). This extension was made

pursuant to section 705(a)(1) of the Tariff Act of 1930, as amended by section 606 of the Trade and Tariff Act of 1984 (Pub. L. 98-573). On September 6, 1985, we postponed the preliminary antidumping duty determinations of offshore platform jackets and piles from Korea and Japan (50 FR 37566). With this postponement, the new date for the final antidumping duty determinations is January 29, 1986. Therefore, the date of the final countervailing duty determination is extended to correspond to the revised date of the final antidumping duty determinations.

The public hearing on the countervailing duty investigation which we announced would be held on November 6, 1985, is postponed and will now be held at 10:00 a.m. on December 4, 1985, at the U.S. Department of Commerce, Room 1851, 14th Street and Constitution Avenue NW., Washington, D.C.

**EFFECTIVE DATE:** October 22, 1985.

#### FOR FURTHER INFORMATION CONTACT:

Mary Martin or Rick Herring, Office of Investigations, Import Administration, International Trade Administration, U.S. Department of Commerce, 14th Street and Constitution Avenue, NW., Washington, D.C. 20230; telephone: (202) 377-3464 or 377-0187.

Gilbert B. Kaplan,

Acting Deputy Assistant Secretary for Import Administration.

October 16, 1985.

[FR Doc. 85-25153 Filed 10-21-85; 8:45 am]

BILLING CODE 3510-DS-M

#### Performance Review Board Membership

This notice announces the appointment by the Department of Commerce Under Secretary for International Trade, S. Bruce Smart, of the Performance Review Board for ITA. This is a revised list of membership which includes previous members as listed in the August 20, 1984 Federal Register announcement (49 FR 33040) with additional members added to serve out the remainder of the one year term. The purpose of the International Trade Administration PRB is to review performance actions for recommendations to the appointing authority as well as other related matters. The names of the PRB members are:

International Trade Administration  
Franklin Vargo, Deputy Assistant Secretary for Europe  
Brant Free, Director, Office of Service Industries



James Phillips, Deputy Assistant Secretary, for Capital Goods and International Construction  
 Marjory Searing, Director, Office of Industry Assessment  
 T. Fleetwood Mefford, Deputy Assistant Secretary, for Domestic Operations  
 Timothy Hauser, Director, Office of Multilateral Affairs  
 John Boidock, Director, Office of Export Administration  
 John Evans, Deputy to the Deputy Assistant Secretary (Management)  
 Michael Doyle, Director of Administration  
 Marilyn Wagner, Assistant General Counsel for Administration

Dated: October 16, 1985.

James T. King, Jr.  
 Personnel Officer, ITA  
 [FR Doc. 85-25080 Filed 10-21-85; 8:45 am]  
 BILLING CODE 3510-25-M

### National Bureau of Standards

[Docket No. 50601-5101]

#### Five Federal Information Processing Standards for Data Interchange on Flexible Disk Cartridges; Correction

AGENCY: National Bureau of Standards, Commerce.

ACTION: Final notice; correction.

SUMMARY: In FR Doc. 85-23227, appearing on pages 39745-39753 in the issue of Monday, September 30, 1985, make the following corrections:

1. The effective date of the five standards was omitted from the Implementation Schedule sections of each standard. The first sentence of the Implementation Schedule section for FIPS 114, 115, 116, 117, and 118 should read as follows: "This standard becomes effective April 1, 1986."

2. On page 39747, second column, insert "Using" in FIPS 115 title to read "200 mm (8 in) Flexible Disk Cartridge Track Format Using Modified Frequency Modulation Recording at 13262 bprad on Two Sides—1.9 tpm (48 tpi) for Information Interchange".

3. On page 39748, first column, three lines from bottom of page, correct section number to read "6.2.2.1".

4. On page 39751, first column, eleventh line from top, change "serve" to "serve".

5. On page 39752, first column, Qualifications paragraph b., ninth line, change "guideline" to "standard"; twenty-first line, change "X3.4-1979" to "X3.64-1979".

6. On page 39752, second column, subparagraph 7., tenth printed line, after "SPACE's" add "or 01", and in eleventh line delete "01".

FOR FURTHER INFORMATION CONTACT:  
 Mr. Michael D. Hogan, Center for Computer Systems Engineering, Institute for Computer Sciences and Technology, National Bureau of Standards, Gaithersburg, MD 20899, (301) 921-3165.

Dated: October 17, 1985.

Raymond G. Kammer,  
 Acting Director.  
 [FR Doc. 85-25088 Filed 10-21-85; 8:45 am]  
 BILLING CODE 3510-13-M

### National Oceanic and Atmospheric Administration

#### Permits; Foreign Fishing

This document publishes for public review a summary of applications received by the Secretary of State requesting permits for foreign vessels of fish in the fishery conservation zone under the Magnuson Fishery Conservation and Management Act (Magnuson Act, 16 U.S.C. 1801 *et seq.*)

Send comments on applications to:

Fees, Permits and Regulations Division (F/M12), National Marine Fisheries Service  
 Department of Commerce, Washington, DC 20235

or, send comments to the Fishery Management Council(s) which review the application(s), as specified below:

Douglas G. Marshall, Executive Director,  
 New England Fishery Management Council, 5 Broadway (Routh 1), Saugus, MA 01906, 617/231-0422;

John C. Bryson, Executive Director, Mid-Atlantic Fishery Management Council,  
 Federal Building Room 2115, 300 South New Street, Dover, DE 19901, 301/674-2331;

David H.C. Gould, Executive Director, South Atlantic Fishery Management Council,  
 Southpark Building, Suite 306, 1 Southpark Circle, Charleston, SC 29407, 803/571-1368;

Omar Munoz-Roure, Executive Director,  
 Caribbean Fishery Management Council,  
 Banco De Ponce Building, Suite 1108, Hato Rey, PR 00618, 809/753-6910;

Wayne E. Swingle, Executive Director, Gulf of Mexico Fishery Management Council,  
 Lincoln Center, Suite 881, 5401 West Kennedy Blvd., Tampa, FL 33609, 813/228-2815;

Joseph C. Greenley, Executive Director,  
 Pacific Fishery Management Council, 528 S.W. Mill Street, Portland, OR 97201, 503/221-6352;

Jim H. Branson, Executive Director, North Pacific Fishery Management Council, 411 W. Fourth Avenue, Suite 2D, Anchorage, AK 99510, 907/271-4060;

Kitty M. Simonds, Executive Director,  
 Western Pacific Fishery Management Council, 165 Bishop Street, Room 1405, Honolulu, HI 96813, 808/523-1368.

For further information contact John D. Kelley (Fees, Permits, and Regulations Division, 202-634-7432).

The Magnuson Act requires the Secretary of State to publish a notice of receipt of all applications for such permits summarizing the contents of the applications in the **Federal Register**. The National Marine Fisheries Service, under the authority granted in a memorandum of understanding with the Department of State effective November 29, 1983, issues the notice on behalf of the Secretary of State.

Individual vessel applications for fishing in 1986 were received June 1985, from the Government of the German Democratic Republic.

Dated: October 16, 1985.

Richard B. Roe,  
 Acting Director, Office of Fisheries Management.

Fishery codes and designation of Regional Fishery Management Councils which review applications for individual fisheries are as follows:

| Code and fishery  | Regional fishery management councils                                 |
|---|--|
| ABS—Atlantic Billfishes and Sharks.                         | New England, Mid Atlantic, South Atlantic, Gulf of Mexico, Caribbean |
| BSA—Bering Sea and Aleutian Islands Groundfish.             | North Pacific  |
| GOA—Gulf of Alaska.   | North Pacific  |
| SMT—Seamount Groundfish.                                    | Western Pacific  |
| SNA—Smalls (Bering Sea).                                    | North Pacific  |
| WOC—Pacific Groundfish (Washington, Oregon and California). | Pacific  |
| PBS—Pacific Billfishes and Sharks.                          | Western Pacific  |

Activity codes which specify categories of fishing operations applied for are as follows:

#### Activity code:

- 1..... Catching, processing and other support.
- 2..... Processing and other support only.
- 3..... Other support only.
- 4..... "Joint venture" in support of U.S. vessels.

| Nation, vessel name, vessel type             | Application number | Fishery | Activity |
|--|--------------------|---------|----------|
| Government of the German Democratic Republic |                    |         |          |
| WILLI BREDEL, large stern trawler.           | GC-85-0024         | NWA     | (1)(4)   |
| LUTTEN KLEIN, cargo transport.               | GC-85-0026         | NWA     | (1)(4)   |
| BODO UHSE, large stern trawler.              | GC-85-0040         | NWA     | (1)(4)   |
| RUDOLF LEONHARD, large stern trawler.        | GC-85-0048         | NWA     | (1)(4)   |
| GRANTZ, large stern trawler.                 | GC-85-0051         | NWA     | (1)(4)   |
| HANS MARCHWITZ, large stern trawler.         | GC-85-0052         | NWA     | (1)(4)   |
| BRUNO APITZ, large stern trawler.            | GC-85-0053         | NWA     | (1)(4)   |
| LUDWIG RENN, large stern trawler.            | GC-85-0054         | NWA     | (1)(4)   |



| Nation, vessel name, vessel type | Application number | Fishery | Activity |
|----------------------------------|--------------------|---------|----------|
| LICHTENHAGEN, cargo transport    | GC-85-0055         | NWA     | 1(4)     |

#### Joint Venture

German Democratic Republic—The Government of East Germany has submitted an application for a joint venture permit in the Northwest Atlantic Ocean Fishery. The operation is combined with a request for a 20,000 m.t. direct mackerel fishery scheduled to take place from January to March, 1986. The joint venture species request is for 7,500 m.t. of U.S. harvested mackerel. The American partner will be Joint Trawlers (North America), Ltd., P.O. Box 1209, 63 Main Street, Gloucester, MA 01930.

[FR Doc. 85-25142 Filed 10-21-85; 8:45 am]

BILLING CODE 3510-22-M

#### National Marine Fisheries Service; Agents or Tanners Holding Valid Certificates of Registration and Cancellation of Inactive Agents or Tanners Certificates

**AGENCY:** National Marine Fisheries Service, Commerce.

**ACTION:** Notice Listing Agents or Tanners Holding Valid Certificates of Registration and Cancellation of Inactive Agents or Tanners.

**SUMMARY:** Pursuant to the Provisions of the Marine Mammal Protection Act of 1972 (as amended) and the Regulations Governing the Taking and Importing of Marine Mammals, Certificates of Registration to act as an agent or tanner are issued by the National Marine Fisheries Service. The Certificates cover species of the order Cetacea (whales and porpoises) and Pinnipedia (seals and sea lions), other than the walrus. This Notice provides a current list of active Certificate holders and of those firms that no longer hold valid Certificates.

The following persons or organizations hold currently valid Certificates of Registration as Agent or Tanner:

Name/Address, Certificate No. and Tanner or Agent

Richard Haviland, Pingree Northwest, 1027 South Rose Street, Seattle, Washington, 98108, A-1, Agent;  
Roy Hendricks, P.O. Box 8122, 801 N. Bragaw Street, Anchorage, Alaska 99508, A-2, Agent;  
Martin James, Jr., Maruskiya's of Nome, Export & Mailorder, P.O. Box 895, Nome, Alaska 99762, A-3, Agent;

George L. Kritchen, Box 387, Cordova, Alaska 99574, A-4, Agent;

Jerome Wysocki, Wysocki's Taxidermy & Leather, 2451 N.W. 58th Street, Seattle, Washington 98107, A-5, Agent;

Larry Dean Amox, Sr., White Raven Trading Post, Box 2183, Kodiak, Alaska 99615, A-6, Agent;

Fred E. Chase, Chase Arctic Trading, P.O. Box 81990, Fairbanks, Alaska 99708, A-7, Agent;

Fredrick J. Woelkers, III, Alaska Research Company, P.O. Box 106, Seward, Alaska 99684, A-8, Agent;

Mr. & Mrs. Robert R. Blodgett, Teller Commercial Co., No. 2 Front Street, Teller, Alaska 98778, A-10, Agent;

John Merrick, Manager Lands & Resources, Koniag, Inc., Regional Native Corporation, Box 746, Kodiak, Alaska 99615, A-11, Agent;

Mr. & Mrs. Howard Knodel, Arctic Trading Post, P.O. Box 262, Nome, Alaska 99762, A-14, Agent;

Roy T. Johnson, Jonas Brothers, Inc., 1037 Broadway, Denver, Colorado 80203, A-15, Agent;

Clyde A. Gilbert and Tad K. Gilbert, 2938 Ambergate Drive, Anchorage, Alaska 99504, A-16, Agent;

Richard & Carolyn Stewart, The Bear's Den, 4828 Palermo Drive, S.W., Olympia, Washington 98502, A-19, Agent;

Gerald Collins, Spokane Glove and Tanning Co., East 10623 Trent Avenue, Spokane, Washington 99206, A-20, Agent;

Clifford L. Jeska, Silver Eagle Taxidermy, 724 W. 45th Avenue, Anchorage, Alaska 99503, A-21, Agent;

Donald R. Orcutt, 2801 Weaver Circle, Boise, Idaho 83704, A-22, Agent;

Leo Price, The Taxidermy Shop, 404 Etolinway, P.O. Box 1526, Sitka, Alaska 99835, A-24, Agent;

Darrell Farnen, President, D & C Expeditors, Inc., 5941 Arctic Blvd., Unit M, Anchorage, Alaska 99502, A-25, Agent;

Joseph J. Miguel, Jr., Game Hutch Taxidermy Studio, P.O. Box 933, Auke Bay, Alaska 99821, A-27, Agent;

H.B. Jones, AAA Taxidermy, Inc., 8240 Hartzell Road #4, Anchorage, Alaska 99507, A-28, 14T, Agent, Tanner

William R. Wertz, Administrative Officer, P.O. Box 317, Fairbanks Correctional Center, Fairbanks, Alaska 99781, A-29, Agent;

Louis K. Brunner, 6950 Crawford Drive, Anchorage, Alaska 99502, A-31, Agent;

Louis R. Schoaf, Schoaf's Taxidermy, 12121 Highway 62, Eagle Point, Oregon 97524, A-32, Agent;

Mary Lou Lindahl, General Manager, Alaska Native Arts & Crafts, 425 D Street, Anchorage Alaska 99501, A-33, Agent;

Dennis W. Knuth, Glacier Bear Taxidermy, Star Rt. B, Box 7644, Palmer, Alaska 99645, A-34, Agent;

Charles F. Sellers, 705 Muldoon Road, Space #111, Anchorage, Alaska 99504, A-35, Agent;

Carol Ayland, Director, The Bering Strait School District, P.O. Box 225, Unalakleet, Alaska 99684, A-36, Agent;

Craig & Lloyd Keefer, The Flying Fishermen, 9431 Dundee Circle, Anchorage, Alaska 99501, A-37, Agent;

Carol M. Gilley, Four Seasons Taxidermy, 40014 Reuben Leigh Road, Lowell, Oregon 97452, A-38, Agent;

Bill Coumbe, Community Education, Barrow High School, Pouch 8950, Barrow, Alaska 99723, A-39, Agent;

Lee Doris Martin, Owner, Northland Furs, Box 449, Kaslof, Alaska 99610, A-40, Agent;

Kenneth M. Paullin, Kodiak Taxidermy, 1914 Mill Bag Road, Kodiak, Alaska 99613, A-41, Agent;

Elva K. Amidon, SR Box 6013, Eagle River, Alaska 99577, A-42, Agent;

Tommy Ray, Owner, Ray's Taxidermy, 7329 Arctic Blvd., Anchorage, Alaska 99502, A-43, Agent;

Larry D. Thorne, Thorne & Taxidermy, P.O. Box 461, Bethel, Alaska 99559, A-44, 15T, Agent, Tanner;

Arnold Lewis, Vancouver Taxidermy & Royal Fur Dressing, 914 N.E., 163rd Avenue, Vancouver, Washington, 98684, A-45, Agent;

Johnnie R. Laird & Francis C. Laird, Harris River Fur Company, P.O. Box 84, Klawiah, Alaska 99925, A-46, Agent;

Miquel Ceja, Wildlife Fur Dressing Co., 1716 S. Paulson Road, Turlock, California 95380, A-47, Agent;

Robert Scherf, Northstar Products, 130 Seward Street, Juneau, Alaska 99801, A-48, Agent;

Ralph King, Frontier Tanning Company, 11500 Johns Road, Anchorage, Alaska 99515, A-38, 3T, Agent, Tanner;

Charles Croaker, President, New Method Fur Dressing Co., 131 Beacon Street, South, San Francisco, CA 94080, 5T, Tanner;

Jerome Knopp, Coast to Coast Fur, Inc., North 11520 Market Street, Mead, Washington 99021, 7T, Tanner;

Roy T. Johnson, Colorado Tanning and Fur Dressing Company, 1787 South Broadway, Denver, Colorado 80210, 9T, Tanner;

Patty Brakefield, American Fur Dressing Company, Inc., 10816 Newport



Highway, Spokane, Washington 98218, 10T, Tanner;  
Joy Goldsworthy, The Custom Tannery, Inc., 1170 Martin Avenue, Santa Clara, California 95050, 11T, Tanner.

The following firms and organizations have cancelled their Certificates of Registration as Agent or Tanner:

Name/Address, Certificate No. and Tanner or Agent

Jerome Wysocki, Wysocki's Taxidermy & Leather, 2451 N.W. 58th Street, Seattle, Washington 98107, 1T, Tanner, Surrendered;  
Danny E. Fadness, Dall-Alaskan Taxidermy, 2 Mile Farmers Loop Road, Fairbanks, Alaska 99701, 2T, Tanner, Surrendered;  
Morris L. Brynton, Acme Fur Dressing, 21215 24th Avenue, W., Anchorage, Alaska 99502, 4T, Tanner, Cancelled;  
Karl H. Puls, Royal Fur Dressing, P.O. Box 261, Woodinville, Washington, 98702, 6T, Tanner, Surrendered;  
Jack Wood & Charles Wood, Alaskan Custom Taxidermy, 8900 Lake Otis Parkway, Anchorage, Alaska 99507, A-8, Agent, Surrendered;  
Alaska Unorganized Borough, Box 1088, Nome, Alaska 99762, A-12, Agent, Cancelled;  
Don Stand, Kawerak, Inc., Arts & Crafts Co-op, Bering Straits Native Assoc., Box 505, Nome, Alaska 99762, A-13, 8T, Agent, Tanner, Surrendered;  
Ms. Laurine DeRusha, Whiskey Hollow Taxidermy, P.O. Box 115, Ward Cove, Alaska 99928, A-17, Agent, Surrendered;  
John E. Fenske, Box 8681, Anchorage, Alaska 99508, A-18, Agent, Cancelled;  
Gereth Stillman, Still's Mat-Valley Taxidermy, Box 42, Mercy Drive, Eagle River, Alaska 99577, 12T, Tanner, No longer at address;  
Jerome A. Murphy, P.O. Box 2121, Juneau, Alaska 99803, 13T, Tanner, Surrendered;  
Sgt. Larry Higbee, Department of Public Safety, Box 101, Point Hope, Alaska 99768, A-23, Agent, Surrendered;  
Dale D. Fick, Fick's Taxidermy, Anchorage, Alaska 99504, A-20, Agent, Surrendered;  
Terry Harris, Harris & Sons Taxidermy, 61300 Bremen Highway, Mishawaka, Indiana 46544, A-30, Agent, Surrendered.

**FOR FURTHER INFORMATION CONTACT:**  
Charles Karnella, Acting Chief,  
Protected Species Division, Office of  
Protected Species & Habitat  
Conservation, National Marine Fisheries  
Service, Washington, D.C. 20235, (202)  
634-7529.

Dated: October 16, 1985.  
Richard B. Roe,  
Director, Office of Fisheries Management,  
National Marine Fisheries Service.  
[FR Doc. 85-25176 Filed 10-21-85; 8:45 am]  
BILLING CODE 3510-22-M

#### **Marine Mammals; Issuance of Permit: Dr. Warren M. Zapol, Dr. Robert C. Schneider, and Dr. Donald Siniff**

On August 9, 1985, notice was published in the *Federal Register* (50 FR 32252) that an application had been filed by Dr. Warren Zapol et al., Harvard Medical School, Department of Anesthesia, Massachusetts General Hospital, Boston, Massachusetts 02114, for a permit to take crabeater seals, leopard seals, Weddell seals and Ross seals for scientific research.

Notice is hereby given that on October 11, 1985 as authorized by the provisions of the Marine Mammal Protection Act of 1972 (16 U.S.C. 1361-1407), the National Marine Fisheries Service issued a Permit for the above taking subject to certain conditions set forth therein.

The Permit is available for review by interested persons in the following offices:

Assistant Administrator for Fisheries,  
National Marine Fisheries Service, 3300  
Whitehaven Street, NW., Washington,  
DC; and Regional Director, Northeast  
Region, National Marine Fisheries  
Service, 14 Elm Street, Federal Building,  
Gloucester, Massachusetts 01930-3799.

Dated: October 16, 1985.  
Richard B. Roe,  
Director, Office of Fisheries Management,  
National Marine Fisheries Service.  
[FR Doc. 85-25175 Filed 10-21-85; 8:45 am]  
BILLING CODE 3510-22-M

#### **COMMISSION OF FINE ARTS**

##### **Meeting**

The Commission of Fine Arts will next meet in open session on Wednesday, November 13, 1985 at 10:00 a.m. in the Commission's offices at 708 Jackson Place, NW., Washington, DC 20006 to discuss various projects affecting the appearance of Washington, DC including buildings, memorials, parks, etc., also matters of design referred by other agencies of the government. Access for handicapped persons will be through the main entrance to the New Executive Office Building on 17th Street between Pennsylvania Avenue and H Street, NW.

Inquiries regarding the agenda and requests to submit written or oral

statements should be addressed to Mr. Charles Atherton, Secretary, Commission of Fine Arts, at the above address or call the above number.

Dated in Washington, DC October 16, 1985.  
Charles H. Atherton,  
Secretary.

[FR Doc. 85-25130 Filed 10-21-85; 8:45 am]  
BILLING CODE 6330-01-M

#### **COMMITTEE FOR THE IMPLEMENTATION OF TEXTILE AGREEMENTS**

##### **Guam; Import Limit for Certain Cotton, Wool and Man-Made Fiber Sweaters Assembled from Imported Parts**

October 17, 1985.

The Chairman of the Committee for the Implementation of Textile Agreements (CITA), under the authority contained in E.O. 11651 of March 3, 1972, as amended, has issued the directive published below to the Commissioner of Customs to be effective on November 1, 1985. For further information contact Ross Arnold, International Trade Specialist, Office of Textiles and Apparel, U.S. Department of Commerce, (202) 377-4212.

##### **Background**

On March 4, 1985, a notice was published in the *Federal Register* (50 FR 8649) announcing that, effective on April 15, 1985, cotton, wool and man-made fiber sweaters in Categories 345, 445, 446, 645, and 646, determined by the U.S. Customs Service to be products of foreign countries or foreign territories and exported from the U.S. insular possession of Guam and certified to have been assembled in Guam, may be entered into the United States for consumption, or withdrawn from warehouse for consumption, in an amount not to exceed 160,000 dozen. This limited exception was to be effective for sweaters exported from Guam during the period which began on November 1, 1984 and extends through October 31, 1985.

The purpose of this notice is to advise the public that this exception is being continued for goods exported on and after November 1, 1985 and extending through October 31, 1986. The amount is being increased to 161,600 dozen.

A certification will continue to be required and will be issued by the authorities in Guam prior to exportation as verification of assembly in Guam. A facsimile of the certification stamp was published in the *Federal Register* (50 FR 36645) on March 4, 1985 (50 FR 8649).



For those sweaters properly certified, no export visa or license will be required from the country of origin of the merchandise, and imports entered under this procedure will not be charged to limits established for exports from the country of origin. Exports of sweaters in Categories 345, 445, 446, 645 and 646, which are not accompanied by a certification and those in excess of 161,600 dozen, will require the appropriate visa or export license from the country of origin and will be subject to any other applicable restriction.

A description of the textile categories in terms of T.S.U.S.A. numbers was published in the *Federal Register* on December 13, 1982 (47 FR 55709), as amended on April 7, 1983 (48 FR 15175), May 3, 1983 (48 FR 19924), December 14, 1983 (48 FR 55607), December 30, 1983 (48 FR 57584), April 4, 1984 (49 FR 13397), June 28, 1984 (49 FR 26622), July 16, 1984 (49 FR 28754), November 9, 1984 (49 FR 44782), and in Statistical Headnote 5, Schedule 3 of the Tariff Schedules of the United States Annotated (1985).

Walter C. Lenahan,

Chairman, Committee for the Implementation of Textile Agreements.

October 17, 1985.

#### Committee for the Implementation of Textile Agreements

Commissioner of Customs,  
Department of the Treasury,  
Washington, D.C. 20229.

Dear Mr. Commissioner:

Under the terms of Section 204 of the Agricultural Act of 1956, as amended (7 U.S.C. 1854), and in accordance with the provisions of Executive Order 11651 of March 3, 1972, effective on November 1, 1985, you are directed to permit entry or withdrawal from warehouse for consumption in the United States of 161,600 dozen cotton, wool and man-made fiber textile products in Categories 345, 445, 446, 645 and 646, the product of any foreign country or foreign territory, as determined under Customs Regulation Part 12, section 12.130 and which have been certified as assembled in Guam and exported to the United States during the twelve-month period beginning on November 1, 1985 and extending through October 31, 1986. You are directed not to require any otherwise applicable export visa or license and not to charge against any otherwise applicable import restriction sweaters subject to this provision. A certification will be issued by the authorities in Guam prior to exportation as verification of assembly in Guam. A facsimile of the certification stamp has been provided.

Imports of cotton, wool and man-made fiber textile products in Categories 345, 445, 446, 645 and 646, assembled in Guam, but not of Guam origin which are not accompanied by a certification and those in excess of 161,600 dozen exported during the twelve-month period beginning on November 1, 1985

and extending through October 31, 1985 will require the appropriate visa or export license from the country of origin and will be charged to any applicable quota.

A description of the textile categories in terms of T.S.U.S.A. numbers was published in the *Federal Register* on December 13, 1982 (47 FR 55709), as amended on April 7, 1983 (48 FR 15175), May 3, 1983 (48 FR 19924), December 14, 1983 (48 FR 55607), December 30, 1983 (48 FR 57584), April 4, 1984 (49 FR 13397), June 28, 1984 (49 FR 26622), July 16, 1984 (49 FR 28754), November 9, 1984 (49 FR 44782), and in Statistical Headnote 5, Schedule 3 of the TARIFF SCHEDULES OF THE UNITED STATES ANNOTATED (1985).

The Committee for the Implementation of Textile Agreements has determined that this action falls within the foreign affairs exception to the rulemaking provisions of 5 U.S.C. 553(a)(1).

Sincerely,

Walter C. Lenahan,

Chairman, Committee for the Implementation of Textile Agreements.

[FR Doc. 85-25137 Filed 10-21-85; 8:45 am]

BILLING CODE 3510-DR-M

#### Adjustment of the Import Limits for Certain Wool Apparel Products Hungarian People's Republic;

October 17, 1985.

The Chairman of the Committee for the Implementation of Textile Agreements (CITA), under the authority contained in E.O. 11651 of March 3, 1972, as amended, has issued the directive published below to the Commissioner of Customs to be effective on October 23, 1985. For further information contact Diana Solkoff, International Trade Specialist, Office of Textiles and Apparel, U.S. Department of Commerce, (202) 377-4212.

#### Background

The Bilateral Wool Textile Agreement of February 15 and 25, 1983, as amended, between the Governments of the United States and the Hungarian People's Republic provides, among other things, for the borrowing of yardage from one agreement year with the amount used deducted from the same limit in the following agreement year. Accordingly, at the request of the Government of the Hungarian People's Republic, the limit for wool suit-type coats Category 433 is being increased by the application of carryforward to 7,948 dozen. This adjustment applies to goods exported in 1985.

A description of the textile categories in terms of T.S.U.S.A. numbers was published in the *Federal Register* on December 13, 1982 (47 FR 55709), as amended on April 7, 1983 (48 FR 15175), May 3, 1983 (48 FR 19924), December 14, 1983 (48 FR 55607), December 30, 1983

(48 FR 57584), April 4, 1984 (49 FR 13397), June 28, 1984 (49 FR 26622), July 16, 1984 (49 FR 28754), November 9, 1984 (49 FR 44782), and in Statistical Headnote 5, Schedule 3 of the Tariff Schedules of the United States Annotated (1985).

Walter C. Lenahan,

Chairman, Committee for the Implementation of Textile Agreements.

October 17, 1985.

#### Committee for the Implementation of Textile Agreements

Commissioner of Customs,  
Department of the Treasury,  
Washington, D.C. 20229.

Dear Mr. Commissioner:

On December 21, 1984, the Chairman, Committee for the Implementation of Textile Agreements, directed you to prohibit entry for consumption, or withdrawal from warehouse for consumption, of certain wool textile products, produced or manufactured in the Hungarian People's Republic and exported during the twelve-month period which began on January 1, 1985, in excess of the designated restraints limits. The Chairman further advised you that the limits are subject to adjustment.<sup>1</sup>

Effective on October 23, 1985, the directive of December 21, 1984 is hereby further amended to increase the limit previously established for wool textile products in Category 433 to 7,948 dozen.<sup>2</sup>

The Committee for the Implementation of Textile Agreements has determined that this action falls within the foreign affairs exception to the rulemaking provisions of 5 U.S.C. 553(a)(1).

Sincerely,

Walter C. Lenahan,

Chairman, Committee for the Implementation of Textile Agreements.

[FR Doc. 85-25139 Filed 10-21-85; 8:45 am]

BILLING CODE 3510-DR-M

#### Malaysia; Establishment of New Import Limits for Certain Man-Made Fiber Textile Products

October 17, 1985.

The Chairman of the Committee for the Implementation of Textile Agreements (CITA), under the authority contained in E.O. 11651 of March 3, 1972,

<sup>1</sup> The term "adjustment" refers to those provisions of the Bilateral Wool Textile Agreements of February 15 and 25, 1983, as amended, which provide, in part, that: (1) Certain limits may be exceeded by not more than five percent during an agreement year, provided the increase is compensated for by an equal decrease in equivalent square yards in another specific limit, as specified; (2) certain limits may be adjusted for carryover and carryforward; and (3) administrative arrangements or adjustments or adjustment may be made to resolve minor problems arising in the implementation of the agreement.

<sup>2</sup> The limit has not been adjusted to reflect any imports exported after December 31, 1984.



as amended, has issued the directive published below to the Commissioner of Customs to be effective on October 23, 1985. For further information contact Jane Corwin, International Trade Specialist, Office of Textiles and Apparel, U.S. Department of Commerce, (202) 377-4212.

#### Background

The Governments of the United States and Malaysia have agreed to amend their Bilateral Cotton, Wool and Man-Made Fiber Textile Agreement of July 1 and 11, 1985 to establish specific limits of 9,391,666 square yards for man-made fiber woven fabrics in Category 613, produced or manufactured in Malaysia and exported during the period which began on June 1, 1985 and extends through December 31, 1985; and 145,833 dozen pairs for man-made fiber gloves in Category 631, also produced or manufactured in Malaysia but exported during the period which began on August 1, 1985 and extends through December 31, 1985. The United States Government has decided to control imports in these categories at the agreed limits. The letter from the CITA Chairman to the Commissioner of Customs directs that imports be controlled at the designated limits.

A description of the textile categories in terms of T.S.U.S.A. numbers was published in the *Federal Register* on December 13, 1982 (47 FR 55709), as amended on April 7, 1983 (48 FR 15175), May 3, 1983 (48 FR 19924), December 14, 1983 (48 FR 55607), December 30, 1983 (48 FR 57584), April 4, 1984 (49 FR 13397), June 28, 1984 (49 FR 26622), July 16, 1984 (49 FR 28754), November 9, 1984 (49 FR 44782), and in Statistical Headnote 5, Schedule 3 of the Tariff Schedules of the United States Annotated (1985).

Walter C. Lenahan,  
Chairman, Committee for the Implementation of Textile Agreements.

October 17, 1985.

#### Committee for the Implementation of Textile Agreements

Commissioner of Customs,  
Department of the Treasury,  
Washington, D.C. 20229.

Dear Mr. Commissioner:

This directive amends, but does not cancel, the directive of August 14, 1985 from the Chairman of the Committee for the Implementation of Textile Agreements, which directed you to prohibit entry of certain cotton, wool and man-made fiber textile products, produced or manufactured in Malaysia.

Effective on October 23, 1985 the directive of August 14, 1985 is hereby amended to include the following restraint limits for man-made fiber textile products in Categories 613

and 631, exported during the periods indicated below:

| Category | Restraint limit <sup>1</sup> | Period                      |
|----------|------------------------------|-----------------------------|
| 613      | 9,391,666 square yards       | June 1—December 31, 1985.   |
| 631      | 145,833 dozen pairs          | August 1—December 31, 1985. |

<sup>1</sup>The limits have not been adjusted to account for any imports exported after May 31, 1985 (Category 613) or July 31, 1985 (Category 631). Charges for imports in Category 613 have amounted to 2,665,528 square yards for the period June through August 1985. There are zero charges to be applied to Category 631 for imports during the August 1-31, 1985 period.

Textile products in the foregoing categories which have been exported, in the case of 613, prior to June 1, 1985, and, in the case of Category 631, prior to August 1, 1985, shall not be subject to this directive.

Textile products in the foregoing categories which have been released from the custody of the U.S. Customs Service under the provisions of 19 U.S.C. 1448(b) or 1484(a)(1)(A) prior to the effective date of this directive shall not be denied entry under this directive.

The Committee for the Implementation of Textile Agreements has determined that these actions fall within the foreign affairs exception to the rulemaking provisions of 5 U.S.C. 553(a)(1).

Sincerely,

Walter C. Lenahan,

Chairman, Committee for the Implementation of Textile Agreements.

[FR Doc. 85-25138 Filed 10-21-85; 8:45 am]

BILLING CODE 3510-DR-M

#### Mexico; Increase in Import Levels for Certain Cotton and Wool Products

October 17, 1985.

The Chairman of the Committee for the Implementation of Textile Agreements (CITA), under the authority contained in E.O. 11651 of March 3, 1972, as amended, has issued the directive published below to the Commissioner of Customs to be effective on October 23, 1985. For further information contact Ann Fields, International Trade Specialist, Office of Textiles and Apparel, U.S. Department of Commerce, (202) 377-4212.

#### Background

The Bilateral Cotton, Wool and Man-Made Fiber Textile Agreement of February 26, 1979, as amended and extended, between the Governments of the United States and Mexico, provides consultation levels for certain categories, such as Category 340 (men's and boys' cotton shirts), 341 (women's girls' and infants' cotton blouses and shirts), and 447 (men's and boys' trousers), which may be adjusted upon agreement between the two governments. The Governments of the

United States and Mexico have agreed to increase these consultation levels to 100,000 dozen, 95,000 and 8,000 dozen, respectively, for the 1985 agreement year. The letter to the Commissioner of Customs which follows this notice implements the agreed increases for goods produced or manufactured in Mexico and exported during 1985, and directs that the increased levels for Categories 340 and 447 be controlled for the first time in 1985.

A description of the textile categories in terms of T.S.U.S.A. numbers was published in the *Federal Register* on December 13, 1982 (47 FR 55709), as amended on April 7, 1983 (48 FR 15175), May 3, 1983 (48 FR 19924), December 14, 1983 (48 FR 55607), December 30, 1983 (48 FR 57584), April 4, 1984 (49 FR 13397), June 28, 1984 (49 FR 26622), July 16, 1984 (49 FR 28754), November 9, 1984 (49 FR 44782), and in Statistical Headnote 5, Schedule 3 of the Tariff Schedules of the United States Annotated (1985).

Walter C. Lenahan,

Chairman, Committee for the Implementation of Textile Agreements.

October 17, 1985.

#### Committee for the Implementation of Textile Agreements

Commissioner of Customs,  
Department of the Treasury,  
Washington, D.C. 20229.

Dear Mr. Commissioner:

This directive amends, but does not cancel, the directive of December 21, 1984 which directed you to prohibit entry of certain cotton, wool and man-made fiber textile products, produced or manufactured in Mexico and exported during 1985.

Effective on October 23, 1985, the directive of December 21, 1984 is hereby amended to increase the restraint level previously established for textile products in Category 341 to 95,000 dozen.<sup>1</sup>

Also effective on October 23, 1985, the directive of December 21, 1984 is further amended to direct you to prohibit entry for consumption, or withdrawal from warehouse for consumption, of cotton and wool textile products in Categories 340 and 447, produced or manufactured in Mexico and exported during the twelve-month period which began on January 1, 1985 and extends through December 31, 1985, in excess of the following levels:

| Category | 12-month level <sup>1</sup> |
|----------|-----------------------------|
| 340      | 100,000 dozen.              |
| 447      | 8,000 dozen.                |

<sup>1</sup>The level has not been adjusted to reflect any imports exported after December 31, 1984.

Textile products in Categories 340 and 447 which have been exported to the United States prior to January 1, 1985 shall not be subject to this directive.



Textile products in Categories 340 and 447 which have been released from the custody of the U.S. Customs Service under the provisions of 19 U.S.C. 1448(b) or 1454(a)(1)(A) prior to the effective date of this directive shall not be denied entry under this directive.

The Committee for the Implementation of Textile Agreements has determined that these actions fall within the foreign affairs exception to the rulemaking provisions of 5 U.S.C. 553(a)(1).

Sincerely,

Walter C. Lenahan,

*Chairman, Committee for the Implementation of Textile Agreements.*

[FR Doc. 85-25135 Filed 10-21-85; 8:45 am]

BILLING CODE 3510-DR-M

#### **Northern Mariana Islands (CNMI); Import Limit for Certain Cotton, Wool and Man-Made Fiber Sweaters Assembled From Imported Parts**

October 17, 1985.

The Chairman of the Committee for the Implementation of Textile Agreements (CITA), under the authority contained in E.O. 11651 of March 3, 1972, as amended, has issued the directive published below to the Commissioner of Customs to be effective on November 1, 1985. For further information contact Ross Arnold, International Trade Specialist, Office of Textiles and Apparel, U.S. Department of Commerce, (202) 377-4212.

#### **Background**

On March 4, 1985, a notice was published in the *Federal Register* (50 FR 8650) which announced that, effective on April 15, 1985, cotton, wool and man-made fiber sweaters in Categories 345, 445, 446, 645, and 646, determined by the U.S. Customs Service to be products of foreign countries or foreign territories and exported from the Commonwealth of the Northern Mariana Islands (CNMI), and certified to have been assembled in the CNMI, may be entered into the United States for consumption, or withdrawn from warehouse for consumption, in an amount not to exceed 70,000 dozen. This limited exception was effective for sweaters exported from the CNMI during the period which began on November 1, 1984 and extends through October 31, 1985.

The purpose of this notice is to advise the public that this exception is being continued for goods exported on and after November 1, 1985 and extending through October 31, 1986 at a level not to exceed 73,500 dozen.

A certification will continue to be required and will be issued by the authorities in the CNMI prior to

exportation as verification of assembly in the CNMI. A facsimile of the certification stamp was published in the *Federal Register* on September 8, 1985 (50 FR 36645).

For those sweaters properly certified, no export visa or license will be required from the country of origin of the merchandise, and imports entered under this procedure will not be charged to limits established for exports from the country of origin. Exports of sweaters in Categories 345, 445, 446, 645 and 646, which are not accompanied by a certification, and those in excess of 73,500 dozen, will require the appropriate visa or export license from the country of origin and will be subject to any other applicable restriction.

A description of the textile categories in terms of T.S.U.S.A. numbers was published in the *Federal Register* on December 13, 1982 (47 FR 55709), as amended on April 7, 1983 (48 FR 15175), May 3, 1983 (48 FR 19924), December 14, 1983 (48 FR 55607), December 30, 1983 (48 FR 57584), April 4, 1984 (49 FR 13397), June 28, 1984 (49 FR 26622), July 16, 1984 (49 FR 28754), November 9, 1984 (49 FR 44782), and in Statistical Headnote 5, Schedule 3 of the Tariff Schedules of the United States Annotated (1985).

Walter C. Lenahan,

*Chairman, Committee for the Implementation of Textile Agreements.*

October 17, 1985.

#### **Committee for the Implementation of Textile Agreements**

Commissioner of Customs,  
*Department of the Treasury,*  
Washington, D.C. 20229.

Dear Mr. Commissioner:

Under the terms of section 204 of the Agricultural Act of 1956, as amended (7 U.S.C. 1854), and in accordance with the provisions of Executive Order 11651 of March 3, 1972, effective on November 1, 1985, you are directed to permit entry or withdrawal from warehouse for consumption in the United States of 73,500 dozen cotton, wool and man-made fiber textile products in Categories 345, 445, 446, 645 and 646, the product of any foreign country or foreign territory, as determined under Customs Regulation Part 12, section 12.130 and which have been certified as assembled in the Commonwealth of the Northern Mariana Islands (CNMI) and exported to the United States during the twelve-month period beginning on November 1, 1985 and extending through October 31, 1986. You are directed not to require any otherwise applicable export visa or license and not to charge against any otherwise applicable import restriction sweaters subject to this provision. A certification will be issued by the authorities in the CNMI prior to exportation as verification of assembly in the CNMI. A facsimile of the certification stamp has been provided.

Imports of cotton, wool and man-made fiber textile products in Categories 345, 445, 446, 645 and 646 assembled in the CNMI, but not of CNMI origin which are not accompanied by a certification and those in excess of 73,500 dozen exported during the twelve-month period beginning on November 1, 1985 and extending through October 31, 1985 will require the appropriate visa or export license from the country of origin and will be charged to any applicable quota.

A description of the textile categories in terms of T.S.U.S.A. numbers was published in the *Federal Register* on December 13, 1982 (47 FR 55709), as amended on April 7, 1983 (48 FR 15175), May 3, 1983 (48 FR 19924), December 14, 1983 (48 FR 55607), December 30, 1983 (48 FR 57584), April 4, 1984 (49 FR 13397), June 28, 1984 (49 FR 26622), July 16, 1984 (49 FR 28754), November 9, 1984 (49 FR 44782), and in Statistical Headnote 5, Schedule 3 of the Tariff Schedules of the United States Annotated (1985).

The Committee for the Implementation of Textile Agreements has determined that this action falls within the foreign affairs exception to the rulemaking provisions of 5 U.S.C. 553(a)(1).

Sincerely,

Walter C. Lenahan,

*Chairman, Committee for the Implementation of Textile Agreements.*

[FR Doc. 85-25140 Filed 10-21-85; 8:45 am]

BILLING CODE 3510-DR-M

#### **Romania; Increase in the Import Limit for Certain Man-Made Fiber Textiles**

October 17, 1985.

The Chairman of the Committee for the Implementation of Textile Agreements (CITA), under the authority contained in E.O. 11651 of March 3, 1972, as amended, has issued the directive published below to the Commissioner of Customs to be effective on October 23, 1985. For further information contact Diana Solkoff, International Trade Specialist, Office of Textiles and Apparel, U.S. Department of Commerce, (202) 377-4212.

#### **Background**

Under the terms of the Bilateral Wool and Man-Made Fiber Textile Agreement of September 3 and November 3, 1980, as amended, between the Governments of the United States and the Socialist Republic of Romania, and at the request of the Government of the Socialist Republic of Romania, the limit established for man-made fiber yarn in Category 604 is being increased by 7 percent swing and 6 percent carryforward to 3,169,430 pounds for goods produced or manufactured in Romania and exported during the twelve-month period which began on January 1, 1985 and extends through December 31, 1985. To account for the



increase being applied to Category 604, the limit for women's, girls' and infants' man-made fiber coats in Category 635 will be reduced to 29,740 dozen.

A description of the textile categories in terms of T.S.U.S.A. numbers was published in the *Federal Register* on December 13, 1982 (47 FR 55709), as amended on April 7, 1983 (48 FR 15175), May 3, 1983 (48 FR 19924), December 14, 1983 (48 FR 55607), December 30, 1983 (48 FR 57584), April 4, 1984 (49 FR 13397), June 28, 1984 (49 FR 26622), July 10, 1984 (49 FR 28754), November 9, 1984 (49 FR 44782), and in Statistical Headnote 5, Schedule 3 of the Tariff Schedules of the United States Annotated (1985).

Walter C. Lenahan,

Chairman, Committee for the Implementation of Textile Agreements.

October 17, 1985.

**Committee for the Implementation of Textile Agreements**

Commissioner of Customs,

Department of the Treasury,

Washington, D.C. 20229.

Dear Mr. Commissioner:

On December 21, 1984 the Chairman of the Committee for the Implementation of Textile Agreements, directed you to prohibit entry of certain wool and man-made fiber textile products, produced or manufactured in Romania, and exported during 1985 in excess of designated restraint limits. The Chairman further advised you that these limits are subject to adjustment.<sup>1</sup>

Effective on October 23, 1985, paragraph 1 of the directive of December 21, 1984 is hereby further amended to include adjusted limits of 3,169,430 pounds<sup>2</sup> for man-made fiber textile products in Category 604 and 29,740 dozen for man-made fiber textile products in Category 635, produced or manufactured in Romania and exported during the twelve-month period which began on January 1, 1985 and extends through December 31, 1985.

The Committee for the Implementation of Textile Agreements has determined that this action falls within the foreign affairs exception to the rulemaking provisions of 5 U.S.C. 553 (a)(1).

<sup>1</sup> The term "adjustment" refers to those provisions of the Bilateral Wool and Man-Made Fiber Textile Agreement of September 3, and November 3, 1980, as amended, between the Governments of the United States and the Socialist Republic of Romania which provide, in part, that: (1) Specific limits may be increased for carryover and carryforward up to 11 percent of the applicable category limit; (2) consultations may be held to adjust the restraint levels for categories not subject to specific limits; and (3) administrative arrangements or adjustments may be made to resolve minor problems arising in the implementation of the agreement.

<sup>2</sup> The limit has not been adjusted to reflect any imports exported after December 31, 1984.

Sincerely,

Walter C. Lenahan,

Chairman, Committee for the Implementation of Textile Agreements.

[FR Doc. 85-25136 Filed 10-21-85; 8:45 am]

BILLING CODE 3510-DR-M

**Requesting Public Comment on Bilateral Textile Consultations with the Government of Nepal on Category 337 (Cotton Playsuits)**

October 17, 1985.

On September 29, 1985, the United States Government, under section 204 of the Agricultural Act of 1956 (7 U.S.C. 1854), requested the Government of Nepal to enter into consultations concerning exports to the United States of cotton playsuits in Category 337, produced or manufactured in Nepal.

The purpose of this notice is to advise that, if no solution is agreed upon in consultations between the two governments within sixty days of the date of delivery of the aforementioned note, entry and withdrawal from warehouse for consumption of cotton textile products in Category 337, produced or manufactured in Nepal and exported during the twelve-month period which began on September 29, 1985 and extends through September 28, 1986 may be restrained at a level of 51,188 dozen.

A summary market statement follows this notice.

Anyone wishing to comment or provide data or information regarding the treatment of Category 337 is invited to submit such comments or information in ten copies to Mr. Walter C. Lenahan, Chairman, Committee for the Implementation of Textile Agreements, International Trade Administration, U.S. Department of Commerce, Washington, DC 20230. Because the exact timing of the consultations is not yet certain, comments should be submitted promptly. Comments or information submitted in response to this notice will be available for public inspection in the Office of Textiles and Apparel, Room 3100, U.S. Department of Commerce, 14th and Constitution Avenue, NW., Washington, DC, and may be obtained upon written request.

Further comment may be invited regarding particular comments or information received from the public which the Committee for the Implementation of Textile Agreements considers appropriate for further consideration.

The solicitation of comments regarding any aspect of the agreement or the implementation thereof is not a waiver in any respect of the exemption

contained in 5 U.S.C. 553(a)(1) relating to matters which constitute "a foreign affairs function of the United States."

Walter C. Lenahan,

Chairman, Committee for the Implementation of Textile Agreements.

**Nepal—Market Statement**

**Category 337—Cotton Playsuits**

**Sunsuits and Washsuits**

September 1985.

**Summary and Conclusions**

United States imports of Category 337 from Nepal were 57,600 dozens for the year-ending July 1985. These compared with 1,300 dozens for the same period one year earlier. Ninety-four percent or 54,300 dozens of the year ending July 1985 imports entered during the first seven months of 1985. This would be an annual rate of 98,700 dozens.

The sharp and substantial increase of low-valued imports of Category 337 from Nepal is disrupting the U.S. market.

**Imports**

U.S. imports of Category 337 from all sources increased 60 percent between 1979 and 1981 and then slowed to a 6.5 percent increase between 1981 and 1983. In 1984 imports rose 51.3 percent to reach a record of 2,768,000 dozens. In the twelve month period ending July 1985, imports of this category were 3,147,000 dozens, 26 percent higher than the same period one year earlier.

**U.S. Production and Import-to-Production Ratio**

Despite a growing market, domestic production of this category has been declining since 1981. Production in 1984, at 2,531,000 dozens, was off 15 percent from 1983 and 29 percent from 1981. The I/P for Category 337 rose steadily for several years, increasingly sharply between 1983 and 1984. The 1984 I/P was 109.4 percent, compared to 61.3 percent in 1983 and 33.1 percent in 1979.

[FR Doc. 85-25141 Filed 10-21-85; 8:45 am]

BILLING CODE 3510-DR-M

**COMMITTEE FOR PURCHASE FROM THE BLIND AND OTHER SEVERELY HANDICAPPED**

**Procurement List 1986; Addition and Deletions**

**AGENCY:** Committee for Purchase from the Blind and Other Severely Handicapped.

**ACTION:** Addition to the Deletions from Procurement List.

**SUMMARY:** This action adds to and deletes from Procurement List 1986 commodities and services to be provided by workshops for the blind and other severely handicapped.

**EFFECTIVE DATE:** October 22, 1985.

**ADDRESS:** Committee for Purchase from the Blind and Other Severely



Handicapped, Crystal Square 5, Suite 1107, 1755 Jefferson Davis Highway, Arlington, Virginia 22202-3509.

**FOR FURTHER INFORMATION CONTACT:** C.W. Fletcher, (703) 557-1145.

**SUPPLEMENTARY INFORMATION:** On June 7 and August 18, 1985, the Committee for Purchase from the Blind and Other Severely Handicapped published notices (50 FR 26028 and 50 FR 33094) of proposed additions to and deletions from Procurement List 1986, October 15, 1985 (50 FR 41809).

#### Addition

After consideration of the relevant matter presented, the Committee has determined that the commodity listed below is suitable for procurement by the Federal Government under 41 U.S.C. 46-48c, 85 Stat. 77 and 41 CFR 51-2.6.

I certify that the following action will not have a significant impact on a substantial number of small entities. The major factors considered were:

a. The action will not result in any additional reporting, recordkeeping or other compliance requirements.

b. The action will not have a serious economic impact on any contractors for the commodity listed.

c. The action will result in authorizing small entities to produce the commodity procured by the Government.

Accordingly, the following commodity is hereby added to Procurement List 1986:

Towel, Paper, 7920-00-823-9772 (For GSA National Capital Region (W) only)

#### Deletions

After consideration of the relevant matter presented, the Committee has determined that the commodities and services listed below are no longer suitable for procurement by the Federal Government under 41 U.S.C. 46-48c, 85 Stat. 77 and 41 CFR 51-2.6.

Accordingly, the following commodities and services are hereby deleted from Procurement List 1986:

#### Commodities

Circuit Card Assembly, 1430-00-409-7997

Pallet, Material Handling, 3990-00-599-5326 (Requirements for Mechanicsburg, Pennsylvania Depot only)

Circuit Card Assembly, 5826-00-237-9949

Pillow, Bed, 7210-00-619-8262

#### Services

Janitorial Service, Naval Air Station Miramar, San Diego, California

Janitorial/Custodial (Grass Cutting), U.S. Army Reserve Centers, Marcella Road, Hampton, Virginia, Butler Farm Road, Hampton, Virginia  
Seedling Harvesting, USDA, Forest Service, Humboldt Nursery, McKinleyville, California

C.W. Fletcher,

Executive Director.

[FR Doc. 85-25098 Filed 10-21-85; 8:45 am]

BILLING CODE 6820-33-M

#### Procurement List 1986; Proposed Additions and Deletions

**AGENCY:** Committee for Purchase from the Blind and Other Severely Handicapped.

**ACTION:** Proposed Additions to and Deletions from Procurement List.

**SUMMARY:** The Committee has received proposals to add to and delete from Procurement List 1986 commodities to be produced by and services to be provided by workshops for the blind and other severely handicapped.

**COMMENTS MUST BE RECEIVED ON OR BEFORE:** November 22, 1985.

**ADDRESS:** Committee for Purchase from the Blind and Other Severely Handicapped, Crystal Square 5, Suite 1107, 1755 Jefferson Davis Highway, Arlington, Virginia 22202-3509.

**FOR FURTHER INFORMATION CONTACT:** C.W. Fletcher, (703) 557-1145.

**SUPPLEMENTARY INFORMATION:** This notice is published pursuant to 41 U.S.C. 47(a)(2), 85 Stat. 77 and 41 CFR 51-2.6. Its purpose is to provide interested persons an opportunity to submit comments on the possible impact of the proposed actions.

#### Additions

If the Committee approves the proposed additions, all entities of the Federal Government will be required to procure the commodities listed below from workshops for the blind or other severely handicapped.

It is proposed to add the following commodities to Procurement List 1986, October 15, 1985 (50 FR 41809):

Shirt, Operating, Surgical, Sleeveless, 6532-00-299-9633, 6532-00-299-9634  
Mattress, 7210-00-NIB-0040, 38 x 75, Extra Firm-Twin, 7210-00-NIB-0041, 54 x 75, Extra Firm-Double, 7210-00-NIB-0042, 60 x 80, Extra Firm-Queen, 7210-00-NIB-0050, 76 x 80, Extra Firm-King, 7210-00-NIB-0049, 38 x 75, Firm-Twin, 7210-00-NIB-0048, 54 x 75,

Firm-Double, 7210-00-NIB-0047, 60 x 80, Firm-Queen, 7210-00-NIB-0051, 76 x 80, Firm-King

Boxspring, 7210-00-NIB-0043, 38 x 75, Twin, 7210-00-NIB-0044, 53 x 75, Double, 7210-00-NIB-0045, 60 x 80, Queen, 7210-00-NIB-0052, 76 x 80, King

#### Deletions

It is proposed to delete the following commodity and services from Procurement List 1986, October 15, 1985 (50 FR 41809):

#### Commodity

Pallet Warehouse, 3990-00-NSH-0011 (40" x 80") (Requirements for Army and Air Force Exchange Service, Oakland Army Base, California only)

#### Services

Custodial Service (Grounds Maintenance), U.S. Army Reserve Center, Memorial Parkway, Huntsville, Alabama  
Janitorial/Custodial, Jack Brooks Federal Building, U.S. Post Office—Court House, Willow and Broadway Streets, Beaumont, Texas

C.W. Fletcher,

Executive Director.

[FR Doc. 85-25099 Filed 10-21-85; 8:45 am]

BILLING CODE 6820-33-M

#### COMMODITY FUTURES TRADING COMMISSION

##### Advisory Committee on CFTC-State Cooperation; Meeting

This is to give notice, pursuant to section 10(a) of the Federal Advisory Committee Act, 5 U.S.C. App. I, 10(a), that the Commodity Futures Trading Commission's Advisory Committee on CFTC-State Cooperation will conduct a public meeting in the Fifth Floor Hearing Room at the Commission's Washington, D.C., headquarters located at Room 532, 2033 K Street, N.W., Washington, D.C. 20581, November 13, 1985, beginning at 10:00 a.m. and lasting until 4:00 p.m. The agenda will consist of a discussion of:

- I. Progress on North American Securities Administrators Association Model State Commodity Code.
- II. Update on CFTC-State relations, including Commission's State-Federal Liaison Unit.
- III. Possible reauthorization issues.
- IV. Public Statements & General Discussion.

The Advisory Committee was created by the Commodity Futures Trading Commission for the purpose of receiving advice and recommendations on matters



of joint concern to the States and the Commission arising under the Commodity Exchange Act, as amended. The purposes and objectives of the Advisory Committee are more fully set forth at 49 FR 17064 (April 23, 1984).

The meeting is open to the public. The Co-Chairman of the Advisory Committee, Kenneth M. Raisler, the General Counsel, is empowered to conduct the meeting in a fashion that will, in his judgment, facilitate the orderly conduct of business. Any member of the public who wishes to file a written statement with the Advisory Committee should mail a copy of the statement to the attention of: The Advisory Committee on CFTC-State Cooperation c/o Mr. Raisler, Commodity Futures Trading Commission, 2033 K Street, N.W. Washington, D.C. 20581, before the meeting. Members of the public who wish to make oral statements should also inform Mr. Raisler in writing at the latter address at least three business days before the meeting. Reasonable provision will be made, if time permits, for an oral presentation of no more than five minutes each in duration.

Issued by the Commission in Washington, D.C. on October 17, 1985.

Lynn K. Gilbert,

*Deputy Secretary of the Commission.*

[FR Doc. 85-24698 Filed 10-21-85; 8:45 am]

BILLING CODE 6351-01-M

## DEPARTMENT OF DEFENSE

### Department of the Air Force

#### USAF Scientific Advisory Board; Meeting

October 10, 1985.

The USAF Scientific Advisory Board Airlift Cross-Matrix Panel will meet at Scott AFB, IL on November 14-15, 1985. The meeting will convene from 11:00 a.m. to 5:00 p.m. on November 14 and 8:00 a.m. to 5:00 p.m. on November 15.

The purpose of the meeting will be to brief the Commander-in-Chief, Military Airlift Command, and senior staff on the results of the Scientific Advisory Board Special Operations Summer Study. Also, new Panel members will receive classified orientation briefings on Military Airlift Command programs.

The meeting concerns matters listed in section 552b(c) of Title 5, United States Code, specifically subparagraph (1) thereof, and accordingly, will be closed to the public.

For further information, contact the Scientific Advisory Board Secretariat at 202-697-8845.

Patsy J. Conner,

*Air Force Federal Register Liaison Officer.*

[FR Doc. 85-25161 Filed 10-21-85; 8:45 am]

BILLING CODE 3910-01-M

## DEPARTMENT OF ENERGY

### National Petroleum Council, U.S. Refinery Capability Task Group; Date Change for Meeting

The date and location of the August 1, 1985, eighth meeting of the U.S. Refinery Capability Task Group has been changed. The meeting will now be held on Thursday, October 24, 1985, starting at 8:30 a.m., in Salon 8 of the Wyndham Hotel, 12400 Greenspoint Drive, Houston, Texas. Notice of this meeting first appeared in 50 FR 27652, Friday, July 5, 1985 [FR DOC 85-16092 filed 7/3/85].

Issued at Washington, D.C. October 9, 1985.

Donald L. Bauer,

*Acting Assistant Secretary for Fossil Energy.*

[FR Doc. 85-25073 Filed 10-21-85; 8:45 am]

BILLING CODE 6450-01-M

### National Petroleum Council, Worldwide Refining Trends Task Group; Meeting

Notice is hereby given that the Worldwide Refining Trends Task Group will meet in November 1985. The National Petroleum Council was established to provide advice, information, and recommendations to the Secretary of Energy on matters relating to oil and natural gas or the oil and natural gas industries. The Worldwide Refining Trends Task Group will address previous Council refining studies and evaluate future refinery operations and their impact on petroleum markets. Its analysis and findings will be based on information and data to be gathered by the various task groups.

The Worldwide Refining Trends Task Group will hold its seventh meeting on Thursday, November 7, 1985, starting at 9:00 a.m., in the Lubbock Room of the Houston Airport Marriott Hotel, 18700 Kennedy Boulevard, Houston, Texas.

The tentative agenda for the Worldwide Refining Trends Task Group meeting follows:

1. Opening remarks by the Chairman and Government Cochairman.
2. Discuss the status of the Worldwide Refining Trends Task Group modeling work.
3. Discuss methodology and schedule for integration of Task Group data with

U.S. Refinery Capability Task Group work.

4. Discuss drafting of Task Group report and schedule for completion of Task Group assignment.

5. Discuss any other matters pertinent to the overall assignment from the Secretary of Energy.

The meeting is open to the public. The Chairman of the Worldwide Refining Trends Task Group is empowered to conduct the meeting in a fashion that will, in his judgment, facilitate the orderly conduct of business. Any member of the public who wishes to file a written statement with the Worldwide Refining Trends Task Group will be permitted to do so, either before or after the meeting. Members of the public who wish to make oral statements should inform Ms. Carolyn Klym, Office of Oil, Gas, Shale and Coal Liquids, Fossil Energy, 301/353/2709, prior to the meeting and reasonable provision will be made for their appearance on the agenda.

Summary minutes of the meeting will be available for public review at the Freedom of Information Public Reading Room, Room 1E-190, DOE Forrestal Building, 1000 Independence Avenue, SW., Washington, D.C., between the hours of 9:00 a.m. and 4:00 p.m., Monday through Friday, except Federal holidays.

Issued at Washington, D.C., on October 11, 1985.

Donald L. Bauer,

*Acting Assistant Secretary for Fossil Energy.*

[FR Doc. 85-25074 Filed 10-21-85; 8:45 am]

BILLING CODE 6450-01-M

## Economic Regulatory Administration

[ERA Docket No. 82-10-NG]

### Natural Gas Imports; Tennessee Gas Pipeline Co.; Application To Import Natural Gas From Canada

**AGENCY:** Economic Regulatory Administration, DOE.

**ACTION:** Notice of Amendment to Application to Import Natural Gas from Canada.

**SUMMARY:** The Economic Regulatory Administration (ERA) of the Department of Energy (DOE) gives notice that on September 17, 1985, Tennessee Gas Pipeline Company, a Division of Tenneco Inc. (Tennessee) filed a second amendment to its pending application in ERA Docket No. 82-10-NG to import from Canada up to 209,000 Mcf per day of natural gas. This revision is based on contract amendments dated April 30, 1985, and August 19, 1985, to the original



gas purchase agreement filed in this proceeding between Tennessee and KannGaz Producers Limited (KannGaz), one of two suppliers of the gas proposed to be imported. The contract amendments (1) establish a two-part demand/commodity pricing structure that yields a benchmark delivered cost to Tennessee for April 1985 of \$3.50 (U.S.) per MMBtu at 100 percent load factor; (2) provide for annual price reviews; (3) modify Tennessee's minimum annual take-or-pay obligation; and (4) reduce the daily maximum quantity proposed to be imported during the first contract year. The present application amendment deals solely with the volumes to be imported from KannGaz and does not affect Tennessee's gas purchase arrangement with its other Canadian supplier for the proposed imports, Canadian-Montana Pipeline Company (Canadian-Montana). Tennessee has not yet renegotiated the Canadian-Montana contract.

The amended application is filed with the ERA pursuant to section 3 of the Natural Gas Act and DOE Delegation Order No. 0204-111. Protests, motions to intervene, notices of intervention, and written comments are invited.

**DATES:** Protests, motions to intervene or notices of intervention, as applicable, and written comments are to be filed no later than 4:30 p.m., on November 21, 1985.

**FOR FURTHER INFORMATION CONTACT:**

P.J. Fleming, Office of Natural Gas Programs, Economic Regulatory Administration, Forrestal Building, Room GA-007, 1000 Independence Avenue, SW., Washington, D.C. 20585, (202) 252-9482.

James B. McRae, Natural Gas and Mineral Leasing, Office of General Counsel, Forrestal Building, Room 6E-042, 1000 Independence Avenue, SW., Washington, D.C. 20585, (202) 252-6667.

**SUPPLEMENTARY INFORMATION:** On August 10, 1982, Tennessee filed an application to import up to 309,000 Mcf per day of Canadian natural gas during the period from November 1, 1984, until October 31, 2001, as more fully described in the notice of application issued by the ERA on September 29, 1982 (47 FR 44135, October 6, 1982). The gas was to be purchased from three suppliers, Canadian-Montana, KannGaz and Ocelot Industries (Ocelot), under separate gas purchase contracts with deliveries beginning on November 1, 1984, for the first two contracts and on November 1, 1985, for the Ocelot contract. Tennessee would receive up to 84,000 Mcf of gas per day from Canadian-Montana, up to 125,000 Mcf

per day from KannGaz, and up to 100,000 Mcf per day from Ocelot. By the terms of the contracts the gas would be purchased at the price determined from time to time by the Canadian government for exports to the United States, then \$4.94 (U.S.) per MMBtu.

On January 27, 1983, the National Energy Board of Canada (NEB) issued a decision in its Omnibus Gas Export Hearing which, among other things, denied Ocelot permission to export gas from Canada. Due to the NEB's decision, Tennessee amended its application on May 2, 1983, to withdraw those volumes it proposed to import under the gas purchase contract with Ocelot (48 FR 29042, October 24, 1983). At that time, the Canadian export price was reduced from \$4.94 per MMBtu to \$4.40 per MMBtu and subsequently was further reduced under Canada's Volume Related Incentive Pricing Program (VIRP) to \$3.40 per MMBtu with respect to quantities taken in excess of 50 percent of the annual contract quantities (effectively providing a unit rate of \$3.90 per MMBtu for 100 percent load factor purchases).

The purchase agreement with KannGaz called for delivery of the gas at the interconnection of facilities of TransCanada Pipeline Ltd. (TransCanada) and Tennessee near Niagara Falls, New York. Tennessee originally applied to the Federal Energy Regulatory Commission (FERC) in Docket No. CP81-296-000, as amended, to build and operate additional facilities on its own pipeline system to receive and transport the volumes to be imported at Niagara Falls. Subsequently, Tennessee entered into a joint venture, the Niagara Interstate Pipeline System (NIPS), which would provide alternate facilities for those initially proposed by Tennessee (FERC Docket No. CP83-170-000, as amended). The NIPS application, along with other applications involving construction of facilities, transportation and sales arrangements relating to imports at Niagara Falls, is currently pending before the FERC in the consolidated proceedings of *Boundary Gas Inc., et al.* (Docket No. CP81-107-000, et al.)

On September 17, 1985, Tennessee filed with the ERA amendments to its gas purchase contract negotiated with KannGaz. The first amendment, dated April 30, 1985, provides for a change in the pricing provisions. This agreement establishes a new two-part demand/commodity pricing structure. Its components consist of (1) a base monthly demand charge of \$28.8958 per Mcf, subject to adjustment based on changes in the fixed cost of transporting the gas to the export point; and (2) a

commodity charge subject to semi-annual adjustment pursuant to a formula based on changes in the composite U.S. refiner acquisition cost of crude oil published in the DOE's *Monthly Energy Review*. The amendment establishes an initial base commodity charge of \$2.55 per MMBtu from which future adjustments will be calculated. Under the amendment, at 100 percent load factor, that price yields a cost at the international border for April 1985 of \$3.50 per MMBtu. If the demand charges are adjusted, an offsetting adjustment must be made in the commodity charge so that the 100 percent load factor price then in effect will not change.

The price and the pricing provisions in the amended gas purchase contract may be renegotiated annually or whenever Tennessee makes a new purchased gas adjustment (PGA) filing with the FERC whereby its average gas purchase cost varies by more than five percent from the PGA filing in effect as of April 1985 or the PGA filing in effect at the time of the last price renegotiation. Under the agreement, the redetermined price must be comparable to the price of competing energy sources in Tennessee's markets.

The original contract with KannGaz established an annual take-or-pay requirement of 75 percent of the quantity of gas made available to Tennessee in each contract year. According to the applicant, the new arrangement provides that Tennessee will purchase gas from KannGaz on a ratable basis with Tennessee's purchases from comparable domestic sources of natural gas, but the take-or-pay obligation cannot exceed 75 percent.

The second amendment to the KannGaz dated August 12, 1985, reduces the maximum daily quantity to be purchased by Tennessee during the first contract year to 110,000 Mcf. For subsequent years the daily quantity remains at 125,000 Mcf. The agreement further provides Tennessee the opportunity to purchase additional daily volumes of up to 15 percent of the contract demand. As a result of the delay in the completion of the facilities necessary for transporting the gas, the schedule of deliveries as originally anticipated has changed. Accordingly, the gas is proposed to be imported for a period of 15 contract years beginning on the date of first delivery.

The present application amendment deals solely with the volumes Tennessee has contracted to buy from KannGaz and does not affect its gas purchase arrangement with Canadian-Montana. According to Tennessee, it is continuing its efforts to renegotiate the Canadian-Montana contract. When Tennessee



concludes negotiations with Canadian-Montana and files its renegotiated contract, the ERA will assign a new document number to the portion of this proceeding relating to the volumes proposed to be imported under that amendment agreement. At that time, a notice of the contract revisions will be published in the *Federal Register* to give all parties who have previously filed motions to intervene and other interested persons an opportunity to evaluate the changes made.

At this time, it is not clear whether the issues raised previously in this case are still germane. Therefore, the parties to this proceeding are requested to review their earlier comments on Tennessee's application as they relate to the amended agreement with KannGaz and to submit any modifications to the ERA. If any parties continue to oppose the application, they must restate that opposition in order for it to be taken into consideration in the final decision. Parties may incorporate by reference comments previously filed. If any party wants additional procedures, even if a previous request was filed, the request for additional procedures should be included in the comments filed in response to this notice, together with the justification stipulated below, and in the ERA's procedural rules set forth in 10 CFR Part 590.

The decision on this application will be made consistent with the Secretary of Energy's gas import policy guidelines, under which the competitiveness of an import arrangement in the markets served is the primary consideration in determining whether it is in the public interest. (49 FR 8684, February 22, 1984). The objective of this policy, with its strong emphasis on competitive arrangements and contract flexibility, is to free commercial parties from undue government interference in determining contract terms and reflects the importance of buyer-seller negotiation. Parties who may oppose this application should comment in their responses on the issue of competitiveness as set forth in the policy guidelines. The applicant has asserted that the import arrangement with KannGaz is competitive. Parties opposing the arrangement bear the burden of overcoming this assertion.

#### Other Information

In response to this notice, any person may file a protest, motion to intervene or notice of intervention, as applicable, and written comments. Any person wishing to become a party to the proceeding and to have the written comments considered as the basis for any decision on the applicant must,

however, file a motion to intervene or notice of intervention. Persons who have already intervened in ERA Docket No. 82-10-NG need not file new motions, but should submit additional comments as appropriate. All motions for intervention filed in this docket up to this time shall be considered timely submissions. The filing of a protest with respect to this application will not serve to make the protestant a party to the proceeding, although protests and comments received from persons who are not parties will be considered in determining the appropriate procedural action to be taken on the application. All protests, motions to intervene, notices of intervention, and written comments must meet the requirements that are specified by the regulations in 10 CFR Part 590. They should be filed with the Natural Gas Division, Office of Fuels Programs, Economic Regulatory Administration, Room GA-033, RG-23, Forrestal Building, 1000 Independence Avenue, SW., Washington, D.C. 20585. They must be filed no later than 4:30 p.m., November 21, 1985.

A decision will be made on the basis of the information now in the record supplemented by comments filed in response to this notice. Additional procedures will be used as necessary to achieve a complete understanding of the facts and issues.

A party seeking intervention may request that additional procedures be provided, such as additional written comments, an oral presentation, conference, or a trial-type hearing. Any request to file additional written comments should explain why they are necessary. Any request for an oral presentation should identify the substantial question of fact, law, or policy at issue, show that it is material and relevant to a decision in the proceeding, and demonstrate why an oral presentation is needed. Any request for a conference should demonstrate why the conference would materially advance the proceeding. Any request for a trial-type hearing must show that there are factual issues genuinely in dispute that are relevant and material to a decision and that a trial-type hearing is necessary for a full and true disclosure of the facts.

If an additional procedure is scheduled, the ERA will provide notice to all parties. If no party requests additional procedures, a final opinion and order may be issued based on the official record, including the application and responses filed by parties pursuant to this notice, in accordance with 10 CFR § 590.316.

A copy of Tennessee's application is available for inspection and copying in the Natural Gas Programs Docket Room GA-033-B, at the above address. The docket room is open between the hours of 8:00 a.m. and 4:30 p.m., Monday through Friday, except Federal holidays.

Issued in Washington, D.C., on October 10, 1985.

Paula A. Daigneault,

Director, Natural Gas Division, Office of Fuels Programs.

[FR Doc. 82-25072 Filed 10-21-85; 8:45 am]

BILLING CODE 6450-01-M

#### Energy Information Administration

##### Publication of Alternative Fuel Price Ceilings and Incremental Price Threshold for High Cost Natural Gas

The Natural Gas Policy Act of 1978 (NGPA) (Pub. L. 95-621) signed into law on November 9, 1978, mandated a new framework for the regulation of most facets of the natural gas industry. In general, under Title II of the NGPA, interstate natural gas pipeline companies are required to pass through certain portions of their acquisition costs for natural gas to industrial users in the form of a surcharge. The statute requires that the ultimate costs of gas to the industrial facility should not exceed the cost of the fuel oil which the facility could use as an alternative.

Pursuant to Title II of the NGPA, section 204(e), the Energy Information Administration (EIA) herewith publishes for the Federal Energy Regulatory Commission (FERC) computed natural gas ceiling prices and the high cost gas incremental pricing threshold which are to be effective November 1, 1985. These prices are based on the prices of alternative fuels.

For further information contact: Leroy Brown, Jr., Energy Information Administration, 1000 Independence Avenue, SW., Room BE-034, Washington, D.C. 20585. Telephone: (202) 252-6077.

#### Section I

As required by FERC Order No. 50, computed prices are shown for the 48 contiguous States. The District of Columbia's ceiling is included with the ceiling for the State of Maryland. FERC, by an Interim Rule issued on April 2, 1981, in Docket No. RM79-21, revised the methodology for calculating the monthly alternative fuel price ceilings for State regions. Under the revised methodology, the applicable alternative fuel price ceiling published for each of the contiguous States shall be the lower



of the alternative fuel price ceiling for the State or the alternative fuel price ceiling for the multistate region in which the State is located.

The price ceiling is expressed in dollars per million British Thermal Units (BTU's). The method used to determine the price ceilings is described in Section III.

| State                       | Dollars per million BTU's |
|-----------------------------|---------------------------|
| Alabama                     | 3.49                      |
| Arizona <sup>1</sup>        | 3.70                      |
| Arkansas <sup>1</sup>       | 3.41                      |
| California <sup>1</sup>     | 3.70                      |
| Colorado <sup>2</sup>       | 3.50                      |
| Connecticut <sup>1</sup>    | 3.56                      |
| Delaware <sup>1</sup>       | 3.91                      |
| Florida                     | 3.64                      |
| Georgia <sup>1</sup>        | 3.71                      |
| Idaho <sup>2</sup>          | 3.50                      |
| Illinois                    | 3.02                      |
| Indiana <sup>1</sup>        | 3.13                      |
| Iowa <sup>1</sup>           | 3.11                      |
| Kansas                      | 2.85                      |
| Kentucky <sup>1</sup>       | 3.13                      |
| Louisiana                   | 3.37                      |
| Maine                       | 3.54                      |
| Maryland <sup>1</sup>       | 3.91                      |
| Massachusetts <sup>1</sup>  | 3.56                      |
| Michigan                    | 3.02                      |
| Minnesota <sup>1</sup>      | 3.11                      |
| Mississippi <sup>1</sup>    | 3.71                      |
| Missouri <sup>1</sup>       | 3.11                      |
| Montana <sup>2</sup>        | 3.50                      |
| Nebraska <sup>1</sup>       | 3.11                      |
| Nevada <sup>1</sup>         | 3.70                      |
| New Hampshire               | 3.47                      |
| New Jersey                  | 3.85                      |
| New Mexico                  | 3.22                      |
| New York <sup>2</sup>       | 3.91                      |
| North Carolina <sup>2</sup> | 3.71                      |
| North Dakota <sup>1</sup>   | 3.11                      |
| Ohio                        | 3.02                      |
| Oklahoma <sup>1</sup>       | 3.41                      |
| Oregon <sup>1</sup>         | 3.70                      |
| Pennsylvania                | 3.85                      |
| Rhode Island <sup>1</sup>   | 3.50                      |
| South Carolina <sup>1</sup> | 3.71                      |
| South Dakota <sup>1</sup>   | 3.11                      |
| Tennessee <sup>1</sup>      | 3.71                      |
| Texas <sup>1</sup>          | 3.41                      |
| Utah <sup>2</sup>           | 3.50                      |
| Vermont <sup>1</sup>        | 3.55                      |
| Virginia                    | 3.88                      |
| Washington                  | 3.66                      |
| West Virginia <sup>1</sup>  | 3.13                      |
| Wisconsin <sup>1</sup>      | 2.13                      |
| Wyoming <sup>2</sup>        | 3.50                      |

<sup>1</sup> Region based price as required by FERC Interim Rule, issued on April 2, 1981, in Docket No. RM-79-21.

<sup>2</sup> Region based price computed as the weighted average price of Regions E, F, G, and H.

## Section II. Incremental Pricing Threshold for High Cost Natural Gas

The EIA has determined that the volume-weighted average price for No. 2 distillate fuel oil landed in the greater New York City Metropolitan area during August 1985 was \$30.95 per barrel. In order to establish the incremental pricing threshold for high cost natural gas, as identified in the NGPA, Title II, section 203(a)(7), this price was multiplied by 1.3 and converted to its equivalent in millions of BTU's by dividing by 5.8. Therefore, the incremental pricing threshold for high cost natural gas, effective November 1, 1985, is \$6.94 per million BTU's.

## Section III. Method Used to Compute Price Ceilings

The FERC, by Order No. 50, issued on September 29, 1979, in Docket No. RM79-21, established the basis for determining the price ceilings required by the NGPA. FERC also, by Order No. 167, issued in Docket No. RM1-27 on July 24, 1981, made permanent the rule that established that only the price paid for No. 6 high sulfur content residual fuel oil would be used to determine the price ceilings. In addition, the FERC, by Order No. 181, issued on October 6, 1981, in Docket No. RM81-28, established that price ceilings should be published for only the 48 contiguous States on a permanent basis.

### A. Data Collected

The following data were required from all companies identified by the EIA as sellers of No. 6 high sulfur content (greater than 1 percent sulfur content by weight) residual fuel oil: for each selling price, the number of gallons sold to large industrial users in the months of June 1985, July 1985, and August 1985.<sup>3</sup> All reports of volume sold and price were identified by the State into which the oil was sold.

### B. Method Used to Determine Alternative Price Ceilings

#### (1) Calculation of Volume-Weighted Average Price

The prices which will become effective November 1, 1985, (shown in Section I) are based on the reported price of No. 6 high sulfur content residual fuel oil, for each of the 48 contiguous States, for each of the 3 months, June 1985, July 1985, and August 1985. Reported prices for sales in June 1985 were adjusted by the percent change in the nationwide volume-weighted average price from June 1985 to August 1985. Prices for July 1985 were similarly adjusted by the percent change in the nationwide volume-weighted average price from July 1985 to August 1985. The volume-weighted 3-month average of the adjusted June 1985 and July 1985, and the reported August 1985 prices were then computed for each State.

#### (2) Adjustment for Price Variation

States were grouped into the regions identified by the FERC (see Section III.C.). Using the adjusted prices and associated volumes reported in a region

during the 3-month period, the volume-weighted standard deviation of prices was calculated for each region. The volume-weighted 3-month average price (as calculated in Section III.B. (1) above) for each State was adjusted downward by two times this standard deviation for the region to form the adjusted weighted average price for the State.

#### (3) Calculation of Ceiling Price

The lowest selling price within the State was determined for each month of the 3-month period (after adjusting up or down by the percent change in oil prices at the national level as discussed in Section III.B.(1) above). The products of the adjusted low price for each month times the State's total reported sales volume for each month were summed over the 3-month period for each State and divided by the State's total sales volume during the 3 months to determine the State's average low price. The adjusted weighted average price (as calculated in Section III.B.(2)) was compared to this average low price, and the higher of the values was selected as the base for determining the alternative fuel price ceiling for each State. For those States which had no reported sales during one or more months of the 3-month period, the appropriate regional volume-weighted alternative fuel price was computed and used in combination with the available State data to calculate the State alternative fuel price ceiling base. The State's alternative fuel price ceiling base was compared to the alternative fuel price ceiling base for the multistate region in which the State is located and the lower of these two prices was selected as the final alternative fuel price ceiling base for the State. The appropriate lag adjustment factor (as discussed in Section III.B.4) was then applied to the alternative fuel price ceiling base. The alternative fuel price (expressed in dollars per gallon) was multiplied by 42 and divided by 6.3 to estimate the alternative fuel price ceiling for the State (expressed in dollars per million BTU's).

There were insufficient sales reported in Region G for the months of June 1985, July 1985, and August 1985. The alternative fuel price ceilings for the States in Region G were determined by calculating the volume-weighted average price ceilings for Region E, Region F, Region G, and Region H.

#### (4) Lag Adjustment

The EIA has implemented a procedure to partially compensate for the two-month lag between the end of the month for which data are collected and the beginning of the month for which ceiling

<sup>3</sup> Large Industrial User—A person/firm which purchases No. 6 fuel oil in quantities of 4,000 gallons or greater for consumption in a business, including the space heating of the business premises. Electric utilities, governmental bodies (Federal, State, or Local), and the military are excluded.



prices become effective. It was determined that *Platt's Oilgram Price Report* publication provides timely information relative to the subject. The prices found in *Platt's Oilgram Price Report* publication are given for each trading day in the form of high and low prices for No. 6 residual oil in 20 cities throughout the United States. The low posted prices for No. 6 residual oil in these cities were used to calculate a national and a regional lag adjustment factor. The national lag adjustment factor was obtained by calculating a weighted average price for No. 6 high sulfur residual fuel oil for the ten trading days ending October 16, 1985, and dividing that price by the corresponding weighted average price computed from prices published by *Platt's* for the month of August 1985. A regional lag adjustment factor was similarly calculated for four regions. These are: one for FERC Regions A and B combined; one for FERC Region C; one for FERC Regions D, E, and G combined; and one for FERC Regions F and H combined. The lower of the national or regional lag factor was then applied to the alternative fuel price ceiling for each State in a given region as calculated in Section III.B.(3).

**Listing of States by Region**

States were grouped by the FERC to form eight distinct regions as follows:

| Region A       | Region B      |
|----------------|---------------|
| Connecticut    | Delaware      |
| Maine          | Maryland      |
| Massachusetts  | New Jersey    |
| New Hampshire  | New York      |
| Rhode Island   | Pennsylvania  |
| Vermont        |               |
| Region C       | Region D      |
| Alabama        | Illinois      |
| Florida        | Indiana       |
| Georgia        | Kentucky      |
| Mississippi    | Michigan      |
| North Carolina | Ohio          |
| South Carolina | West Virginia |
| Tennessee      | Wisconsin     |
| Virginia       |               |
| Region E       | Region F      |
| Iowa           | Arkansas      |
| Kansas         | Louisiana     |
| Missouri       | New Mexico    |
| Minnesota      | Oklahoma      |
| Nebraska       | Texas         |
| North Dakota   |               |
| South Dakota   |               |
| Region G       | Region H      |
| Colorado       | Arizona       |
| Idaho          | California    |
| Montana        | Nevada        |
| Utah           | Oregon        |
| Wyoming        | Washington    |

Issued in Washington, DC, on October 17, 1985.

L.A. Pettis,

Acting Deputy Administrator, Energy Information Administration.

[FR Doc. 85-25227 Filed 10-21-85; 8:45 am]

BILLING CODE 6450-01-M

## Federal Energy Regulatory Commission

[Docket Nos. ER85-689-000 and ER85-707-000]

### Holyoke Water Power Co., and Holyoke Power and Electric Co.; Order Accepting for Filing and Suspending Rates, Noting Interventions, Denying Motions, Consolidating Dockets, and Establishing Hearing Procedures

Issued: October 15, 1985.

Before Commissioners: Raymond J. O'Connor, Chairman; A.G. Sousa and Charles G. Stalon.

On August 16, 1985, in Docket No. ER85-689-000, Holyoke Water Power Company (HWP) and Holyoke Power and Electric Company (HPE) submitted for filing changes to their agreements, respectively, with the City of Chicopee, Massachusetts (Chicopee) and the Town of South Hadley, Massachusetts (South Hadley). HWP proposes an increase for the partial requirements service presently provided to Chicopee under Contract Demand Rate No. 1 (CD-1) of approximately \$4,300,000 (39.6%). HPE proposes to change South Hadley from full requirements service to partial requirements service and to increase rates approximately \$1,200,000 under a new Contract Demand Rate No. 2 (CD-2).<sup>1</sup> HWP and HPE (collectively referred to as the Companies) operate on a completely integrated basis and therefore request that the filing be treated by the Commission on a consolidated basis. The Companies request an effective date of October 15, 1985, but ask that the rates be suspended for five months, until March

15, 1986,<sup>2</sup> or until the first day of commercial operation of the Millstone Unit No. 3 Nuclear Generating Unit, whichever is later.

Notice of the Companies' filing was published in the *Federal Register*,<sup>3</sup> with comments due on or before September 9, 1985. Chicopee and South Hadley (Cities) jointly filed a timely motion to intervene in this docket, and raise a variety of cost of service and rate design issues.<sup>4</sup> The Cities move to reject the filing on the grounds that the Companies' rate design violates the terms of their settlement agreement in Docket No. E-8843 and their service agreements with the Companies. Thus, they contend that the filing violates the *Mobile-Sierra*<sup>5</sup> doctrine. In support, the Cities note that the settlement provides for peaking power and base/intermediate power rates based on "reasonable allocations of the Company's costs of providing electric power having the different cost characteristics associated" with those services. The Cities contend that the rates are not cost-based, and that the Companies should be required to refile their rates so as to comply with the settlement agreement. In the alternative, the Cities move for summary disposition of the cash working capital component, decommissioning costs for Millstone Unit No. 3, as well as increases in decommissioning costs for Millstone Unit Nos. 1 and 2, on the grounds that these expenses are unsubstantiated and, in the case of decommissioning costs, have not received regulatory approval. The Cities request that the filing not be set for hearing on an expedited basis. Finally, the Cities request that the Commission institute an investigation pursuant to sections 206 and 306 of the Federal Power Act (FPA) to inquire into the justness and reasonableness of the purchased power costs flowed through the Northeast Utilities Generation and Transmission (NUG&T) Agreement.

<sup>1</sup> The Companies' proposed effective date, while intended to be sixty days after filing, falls one day short of the required minimum notice.

<sup>2</sup> 50 FR 35295 (1985).

<sup>3</sup> These issues include: (1) Excessive purchased power costs; (2) improper rate design; (3) excessive reserve capacity; and (4) excessive rate of return.

<sup>4</sup> *United Gas Pipe Line Co. v. Mobile Gas Service Corp.*, 350 U.S. 332; *FPC v. Sierra Pacific Power Co.*, 350 U.S. 348 (1956).

<sup>5</sup> See Attachment for rate schedule designations.



The intervenors concur in the Companies' requested suspension period, but ask that if the commercial operation date for Millstone Unit No. 3 is six months beyond November 1, 1986, that the Commission require the Companies to update their filing.

The Companies filed an answer to the Cities' intervention on September 20, 1985. While not opposing the intervention, the Companies do oppose the motion to reject and the motions for summary disposition. Additionally, the Companies oppose the Cities' requests to investigate the NUG&T Agreement, that the case not be set for expedited hearing, and that the Companies update their filing if Millstone Unit No. 3 is delayed six months beyond November 1, 1986.

#### Discussion

Pursuant to Rule 214 of the Commission's Rule of Practice and Procedure, the timely motion to intervene makes the Cities parties to this proceeding.

We shall deny the Cities' motions to reject the Companies' filing or require them to refile their rates. The language upon which the intervenors rely in support of their request is exceedingly broad in nature. What constitutes a "reasonable allocation" of costs raises issues which are more properly determined on the basis of an evidentiary hearing.

With respect to the Cities' motions for summary disposition, we shall deny them. The Cities rely upon the Commission's proposed rulemaking applicable to cash working capital<sup>6</sup> in support of their motion on this issue. The method contained in that rule is, at this time, simply a proposal. This is not a proper basis for summary disposition. With respect to the Millstone decommissioning costs, the fact that the Commission has not yet examined and approved these costs is not grounds for rejection of the expenses in the Companies' cost of service. If the intervenors believe that such costs are excessive or should be eliminated, they may pursue these matters at hearing.

Our review of the Companies filings indicates that the rates have not been shown to be just and reasonable, and may be unjust, unreasonable, unduly discriminatory or preferential, or otherwise unlawful. Accordingly, we shall accept the rates for filing and suspend them as ordered below.

<sup>6</sup>Notice of Proposed Rulemaking, Calculation of Cash Working Capital Allowance for Electric Utilities, Docket No. RM84-9-000, 49 FR 14384 (1984).

In *West Texas Utilities Company*, 18 FERC ¶ 61,189 (1982), we explained that where our preliminary review indicates that proposed rates may be unjust and unreasonable, and may be substantially excessive, as defined in *West Texas*, we would generally impose a maximum suspension. Here, our examination suggests that the proposed rates may yield substantially excessive revenues. Further, the Companies request a five month suspension of the proposed rates, and the customers concur in this request. Therefore, we shall accept the Companies' proposed rates for filing and suspend them, to become effective on the later of March 16, 1986, or the first day of commercial operation of the Millstone Unit No. 3, subject to refund.

With respect to the Cities' request that the Companies be required to update their filing if the commercial operation date of Millstone No. 3 is delayed six months beyond November 1, 1986, the Cities have provided no basis for requiring refiling. We shall therefore deny the request.

The Companies' filing includes an element in the purchased power costs comprising an allowance for decommissioning costs of Millstone Unit No. 1, 2, and 3.<sup>7</sup> These costs have also been included in a filing submitted in Docket No. ER85-707-000 by Western Massachusetts Electric Company.<sup>8</sup> Because we find that common questions of law and fact relating to Millstone Unit Nos. 1, 2, and 3 decommissioning costs may be presented in this docket and Docket No. ER85-707-000, we shall phase this issue in both dockets and consolidate them for purposes of hearing and decision.

The Cities request that the Commission not institute expedited procedures for the trial of this case. We believe that this decision is best left to the discretion of the Chief Administrative Law Judge.

The Cities further request that we institute an investigation, pursuant to sections 206 and 306 of the Federal Power Act, into the justness and reasonableness of the rates charged to the Companies as a result of the NUG&T Agreement. The NUG&T Agreement provides for a sharing of costs among

<sup>7</sup>The owners of Millstone No. 3 include: Central Maine Power Co., Central Vermont Public Service Co., Eastern Utilities Associates, New England Electric System, Connecticut Light and Power Co., Western Massachusetts Electric Co., Public Service Company of New Hampshire, United Illuminating Co., the Town of South Hadley, Mass., the Town of Chicopee, Mass., and three other municipalities.

<sup>8</sup>In addition, Millstone No. 3 decommissioning costs have been included by Connecticut Light & Power Company in Docket No. ER85-720-000. The Commission has not yet acted upon that filing, however.

the operating utilities of Northeast Utilities, Inc. a public utility holding company of which HWP and HWE are wholly-owned subsidiaries. We do not find that such an investigation has been shown to be warranted at this time. While the intervenors allege that the NUG&T Agreement passes on unjust and unreasonable costs, they have not supported their allegations in any detail. Further, we do not believe that the matter is properly pursued in the present docket, which concerns HWP's and HPE's rates to the Cities. An investigation of the NUG&T Agreement is a complex undertaking which should be pursued, if at all, in a separate proceeding. We shall therefore deny the Cities' request for an investigation; our denial, however, is without prejudice to their filing a complaint pursuant to section 306 of the Federal Power Act.

Finally, we note that HPE's proposed CD-2 rate with South Hadley contains an automatic tax adjustment clause provision. Implementation of any change under the tax adjustment clause will constitute a change in rate and require a timely filing with the Commission pursuant to Part 35 of our regulations.

#### The Commission orders:

(A) The Cities' motions to reject and for summary disposition are hereby denied.

(B) The Cities' request for a formal investigation of the NUG&T Agreement is hereby denied without prejudice.

(C) The Companies' proposed rates are hereby accepted for filing and are suspended, to become effective on the later of March 16, 1986, or the date of commercial operation of Millstone No. 3, subject to refund; the Cities' request with respect to updating the filing is denied, as discussed in the body of this order.

(D) The Companies shall notify the Commission within 10 days of the date of commercial operation of Millstone No. 3.

(E) Pursuant to the authority contained in and subject to the jurisdiction conferred upon the Federal Energy Regulatory Commission by section 402(a) of the Department of Energy Organization Act and by the Federal Power Act, particularly sections 205 and 206 thereof, and pursuant to the Commission's Rules of Practice and Procedure and the regulations under the Federal Power Act (18 CFR, Chapter I), a public hearing shall be held concerning the justness and reasonableness of the Companies' rates.

(F) The Commission staff shall serve top sheets in this proceeding within 10 days of the date of this order.



(G) Subdocket -000 of Docket No. ER85-689 is hereby terminated. Docket No. ER85-689-001 is assigned to the evidentiary proceeding ordered herein.

(H) The issues concerning nuclear decommissioning costs for Millstone Unit Nos. 1, 2, and 3 are hereby phased, as discussed in the body of the order.

(I) Docket Nos. ER85-689-001 and ER85-707-001 are consolidated, with respect to the issue of Millstone decommissioning costs, for purposes of hearing and decision.

(J) The Chief Administrative Law Judge shall designate one or more administrative law judges to preside over the separate and consolidated aspects of these dockets. The presiding judge(s) is authorized to establish procedural dates and to rule on all motions (except motions to dismiss) as provided in the Commission's Rules of Practice and Procedure.

(K) The Secretary shall promptly publish this order in the Federal Register.

By the Commission,

Kenneth F. Plumb,

Secretary.

[FR Doc. 85-25081 Filed 10-21-85; 8:45 am]

BILLING CODE 6717-01-M

[Docket No. ER85-692-000 et al]

**Southwestern Electric Power Co.;  
Order Accepting for Filing and  
Suspending Rates, Noting  
Intervention, Granting Waiver,  
Ordering Summary Disposition,  
Consolidating Dockets, and  
Establishing Hearing Procedures**

Issued: October 15, 1985.

On August 16, 1985, Southwestern Electric Power Company (SWEPCO) submitted for filing a proposed rate increase for firm power service to Cajun Electric Power Cooperative, Inc. (Cajun).<sup>1</sup> SWEPCO provides service to Cajun pursuant to a firm power agreement which provides for a formula rate. Beginning with the inservice date of SWEPCO's Pirkey Unit No. 1, the firm power agreement provides for an annual true-up to recompute the prior year's rate based on actual cost data, including SWEPCO's actual earned return. The rate developed under the true-up will also become the interim rate to be charged until the next true-up. Based on SWEPCO's 1984 data, SWEPCO proposes to increase the common equity component from 15.7%

to 18.77%.<sup>2</sup> This would increase revenues by about \$318,618. The firm power agreement provides that the revised rates will become effective on the first day of the month following the commercial operation date of SWEPCO's Pirkey Unit No. 1. SWEPCO states that Pirkey Unit No. 1 was placed in commercial operation on January 3, 1985, and, therefore, SWEPCO requests waiver of the notice requirements to permit an effective date of February 1, 1985.

Notice of the filing was published in the Federal Register<sup>3</sup> with comments, protests, or motions to intervene due on or before September 9, 1985. On September 4, 1985, Cajun filed a request for an extension of time to file a motion to intervene, which was granted. On September 12, 1985, Cajun filed a timely motion to intervene. Cajun requests suspension of the filing on the grounds that the formula calculations, for items other than return on equity, reflect projected costs for a period beyond December 1, 1985, in contravention of the contract, and that the return on equity is excessive.

On September 27, 1985, SWEPCO filed a timely response to Cajun's motion. While not opposing Cajun's motion to intervene, the Company opposes the use of a test year not ending March 31, 1986, and contends that it should be allowed the use of an 18.77% return on common equity.

**Discussion**

Pursuant to Rule 214 of the Commission's Rules of Practice and Procedure (18 CFR 385.214), the timely motion to intervene serves to make Cajun a party to this proceeding.

Our review indicates that SWEPCO has used the wrong time frame for computing its interim charges. SWEPCO's contract with Cajun provides that charges will be based on the calendar year 1985 or the twelve months succeeding the in-service date of

<sup>1</sup> SWEPCO acknowledges the Commission's policy, announced in recent SWEPCO orders, not to permit the operation of automatically adjusting return on common equity formulae such as that incorporated in the 1982 Agreement Between SWEPCO and Cajun and accepted for filing the Docket No. ER83-68-000. Accordingly, SWEPCO seeks a fixed return on common equity. SWEPCO filing includes revisions in the formulas attached to and incorporated in the 1982 Agreement. SWEPCO states that where the originally filed formulas provided for the return on common equity to be adjusted on an annual basis, the replacement formula sheets reflect, at all appropriate places, a fixed return on common equity of 18.77%, the result of the formula calculation for use in 1985. SWEPCO adds that it will not change such return on common equity in the future without filing and notice to the Commission.

<sup>2</sup> 50 FR 35296 (1985).

Pirkey Unit No. 1 whichever is later. SWEPCO contends that the use of a test year ending March 31, 1986 is appropriate because it is consistent with its contract with Cajun, which permits the parties to make adjustments in order to take into account a first contract year of less than twelve months and because the filings were prepared in 1984, when it was anticipated that the test year would begin commercial operation on January 3, 1985. SWEPCO should have used calendar year 1985 to compute its interim charges, in accordance with the terms of its contract. Accordingly, we shall order summary disposition with respect to this issue.

Our review of SWEPCO's submittal indicates that the rates<sup>4</sup> have not been shown to be just and reasonable and may be unjust, unreasonable, unduly discriminatory or preferential, or otherwise unlawful. Accordingly, we shall accept the rates for filing and suspend them as ordered below.

In *West Texas Utilities Company*, 18 FERC ¶61,189 (1982), we explained that where our preliminary examination indicates that the proposed rates may be unjust and unreasonable, and may be substantially excessive, as defined in *West Texas*, we would generally impose a maximum suspension. Here, our examination suggests that the proposed rates may yield substantially excessive revenues. We shall therefore suspend SWEPCO's rates, as modified, for five months.

SWEPCO requests waiver of the notice requirements to permit an effective date of February 1, 1985. In support of the requested waiver, SWEPCO states that, under the agreement, it was obligated to provide the customer with estimated monthly charges under the formula rate prior to March 15, 1985, and that the estimated charges under the formula rate were submitted to the customer on January 31, 1985, six weeks prior to the date required under the contract. SWEPCO states that, while it could have simultaneously filed the estimated 1985 charges with the Commission, SWEPCO delayed filing the proposed charges to afford the customer the opportunity to review the proposed charges and resolve any questions or concerns.

<sup>4</sup> In Docket No. ER83-68-000, the Director of the Commission's Office of Electric Power Regulation accepted SWEPCO's contract with Cajun for filing, but directed the company to file a new request for return on common equity when the equity component of the formula rate exceeded 15.7%. The revised rates in the present docket reflect only such a change in equity return. Therefore, only the return on equity component of the rates shall be at issue in this case. The revenues associated with a return on equity in excess of 15.7% shall be subject to refund.

<sup>1</sup> SWEPCO's submittal is designated as Supplement No. 1 to Rate Schedule FERC No. 85.



directly with SWEPCO. The customer reviewed the proposed charges and pointed out certain errors to SWEPCO and executed a letter of concurrence dated August 1, 1985, supporting the requested effective date. SWEPCO corrected the errors noted by Cajun and tendered its filing on August 16, 1985. SWEPCO's efforts to accommodate and obtain Cajun's consent prior to filing with the Commission accounts for SWEPCO's delay in filing. Therefore, we find that SWEPCO has demonstrated good cause for waiver and shall grant SWEPCO's request. Accordingly, we shall suspend SWEPCO's rates, as modified by summary disposition, for five months to become effective on July 1, 1985, subject to refund, as noted above.

We find that common questions of law and fact may be presented in Docket Nos. ER85-424-001, ER85-425-001, ER85-468-001, ER85-534-001 and ER85-692-000. As a result, we shall consolidate this docket with Docket Nos. ER85-424-001, *et al.*, for purposes of hearing and decision.

*The Commission orders:*

(A) SWEPCO's request for waiver of the notice requirements is hereby granted.

(B) Summary disposition is hereby ordered, as noted in the body of this order, with respect to the time frame for computing interim charges.

(C) SWEPCO's proposed rates, as modified by summary disposition, are hereby accepted for filing and suspended for five months from February 1, 1985, to become effective on July 1, 1985, subject to refund.

(D) Pursuant to the authority contained in and subject to the jurisdiction conferred upon the Federal Energy Regulatory Commission by section 402(a) of the Department of Energy Organization Act and by the Federal Power Act, particularly sections 205 and 206 thereof, and pursuant to the Commission's Rules of Practice and Procedure and the regulations under the Federal Power Act (18 CFR, Chapter I), a public hearing shall be held concerning the justness and reasonableness of SWEPCO's rates.

(E) Subdocket -000 in Docket No. ER85-692 is hereby terminated, and Docket No. ER85-692-001 is assigned to the evidentiary hearing ordered herein.

(F) Docket Nos. ER85-424-001, ER85-425-001, ER85-468-001, ER85-534-001 and ER85-692-001 are hereby consolidated for purposes of hearing and decision.

(G) The presiding administrative law judge designated to preside in Docket Nos. ER85-424-001, *et al.* shall determine procedures best suited to

accommodate consolidation of this docket with the pending proceeding.

(H) The Secretary shall promptly publish this order in the Federal Register.

By the Commission.

Kenneth F. Plumb,

Secretary.

[FR Doc. 85-25082, Filed 10-21-85; 8:45 am]

BILLING CODE 6717-01-M

[Projects Nos. 5248-999 *et al.*]

**West Slope Power Co. *et al.*;  
Availability of Final Environmental  
Impact Analysis Report**

October 17, 1985.

Pursuant to section 306 of the Energy and Water Appropriation Act of 1983 (Pub. L. 98-50), the staff of the Federal Energy Regulatory Commission has prepared a comprehensive water resources analysis covering Merced, Mariposa, Madera and Fresno Counties, California: The Final Environmental Impact Analysis Report of Small-scale Hydroelectric Development in Selected Watersheds in the Upper San Joaquin River Basin.

The Final Environmental Impact Analysis Report discusses the impacts of 12 hydroelectric projects on selected target resources in watersheds of the Upper San Joaquin River Basin. The geographical scope of this report is limited to creeks specifically mentioned in Section 306 of Public Law 98-50 and to creeks in adjacent areas. The Final Environmental Impact Analysis Report describes: (1) Teh projects under study and alternatives; (2) important target resources in the study area; (3) anticipated impacts on those resources and mitigative measures to eliminate or lessen those impacts; (4) unavoidable adverse impacts on target resources; and (5) Staff's responses to public comments on the draft environmental impact report.

Copies of the document may be ordered from: Division of Public Information, Federal Energy Regulatory Commission, 825 North Capitol Street, NE, Washington, D.C. 20426. For further information, please contact Thomas N. Russo (202) 376-1976 or George C. O'Connor, Jr. (202) 357-8132.

Kenneth F. Plumb,

Secretary.

[FR Doc. 85-25085 Filed 10-21-85; 8:45 am]

BILLING CODE 6717-01-M

[Docket Nos. CP85-883-000 *et al.*]

**Northwest Pipeline Corp. *et al.*;  
Natural Gas Certificate Filings**

Take notice that the following filings have been made with the Commission.

**1. Northwest Pipeline Corporation**

[Docket No. CP85-883-000]

October 10, 1985.

Take notice that on September 17, 1985, Northwest Pipeline Corporation (Northwest), 295 Chipeta Way, Salt Lake City, Utah 84110, filed in Docket No. CP85-883-000 a request pursuant to § 157.205 of the Commission's Regulations under the Natural Gas Act (18 CFR 157.205) for permission and approval to abandon a sales lateral and meter station along with related service previously provided to Cascade Natural Gas Company (Cascade) under the authorization issued in Docket No. CP82-433-000 pursuant to Section 7 of the Natural Gas Act, all as more fully set forth in the request on file with the Commission and open to public inspection.

Northwest proposes to abandon its Lime sales lateral and Lime sales meter station located in Baker County, Oregon, and the related service provided thereby to Cascade.

It is stated that the Lime lateral and meter station were constructed in 1959 and that the Lime lateral consists of approximately 1.6 miles of 4½ inch O.D. pipeline in Baker County, Oregon, extending from Northwest's mainline to Cascade's customer, the Portland Cement plant.

It is explained that by letter dated June 19, 1985, Cascade informed Northwest that it did not object to the retirement of the Lime lateral facilities. Northwest states that the Portland Cement plant, the only customer ever served from these facilities, relocated its plant to Durkee, Oregon, in 1980, and that no service through the Lime lateral and meter station has occurred since that time.

Northwest explained that it sold up to 300 million Btu of gas to Cascade at the Lime meter station under Rate Schedule ODL-1. It is asserted that in Docket No. CP85-843-000, Northwest filed an application requesting authority, *inter alia*, to reallocate this ODL-1 maximum daily delivery obligation of 300 million Btu from the Lime meter station to a new delivery point proposed therein.

It is stated that Northwest would remove approximately 1,600 feet of 4½ inch O.D. pipeline, retire in place approximately 6,950 feet of 4½ inch O.D. pipeline, and remove the sales



meter station piping, building, and chainlink fencing. The meter station site would be restored, to the extent possible, to a natural state.

Comment date: November 25, 1985, in accordance with Standard Paragraph G at the end of this notice.

## 2. Southern Natural Gas Company

[Docket No. CP85-899-000]

October 10, 1985.

Take notice that on September 23, 1985, Southern Natural Gas Company (Southern), Post Office Box 2563, Birmingham, Alabama 35202-2563, filed in Docket No. CP85-899-000 a request pursuant to § 157.205 of the Regulations under the Natural Gas Act (18 CFR 157.205) for authorization to abandon certain facilities and to construct, install and operate certain other facilities in connection with a change in delivery point, under the authorization issued in Docket No. CP82-406-000 pursuant to Section 7 of the Natural Gas Act, all as more fully set forth in the request which is on file with the Commission and open to public inspection.

Southern states that Alabama Gas Corporation (Alagasco) provides natural gas service to the City of Birmingham, Alabama, and surrounding areas by purchases it makes from Southern at twelve measuring stations, which together constitute the Birmingham area delivery point in the currently effective Exhibit A to the service agreement between Southern and Alagasco dated September 19, 1969. It is further stated that although said Exhibit A provides that Southern would deliver gas to Alagasco at the Genery Gap meter station at a delivery pressure of 250 psig, Southern is unable to maintain contract pressure during peak hours due to undersized station piping. In order to deliver gas to Alagasco at the Genery Gap Meter Station at the contract delivery pressure, Southern proposes to abandon the metering and regulating facilities at the station and to construct and operate replacement facilities.

Southern states that the total estimated cost of the abandonment and subsequent construction and installation is approximately \$131,599. Southern further states that there would be no increase in the Birmingham area contract demand associated with the proposed replacement.

Southern states that in conjunction with the proposed replacement, Southern and Alagasco have agreed to change Alagasco's contract delivery pressure to line pressure, but not less than 250 psig nor more than 500 psig. Southern asserts that (1) it has sufficient capacity to accomplish deliveries at the

delivery point without detriment or disadvantage to its other customers; (2) deliveries would have no significant impact on Southern's peak day and annual deliveries; and (3) the activities proposed herein are not prohibited by any existing tariff of Southern.

Comment date: November 25, 1985, in accordance with Standard Paragraph G at end of this notice.

## 3. United Gas Pipe Line Company

[Docket No. CP85-908-000]

October 10, 1985.

Take notice that on September 24, 1985, United Gas Pipe Line Company (United), P.O. Box 1478, Houston, Texas 77001, filed in Docket No. CP85-908-000 an application pursuant to section 7 of the Natural Gas act for a certificate of public convenience and necessity authorizing the transportation of natural gas and construction of certain natural gas facilities, all as more fully set forth in the application which is on file with the Commission and open to public inspection.

United states that parts of its system in Louisiana have become plagued by the presence of excess hydrocarbon liquids. United indicates that when the liquefiables contained in the raw gas condense in the pipeline, which occurs primarily in the winter months, there can be a significant drop of pressure in the pipeline system. In addition, United states that there is a possibility that some customers could receive fluids during deliveries of gas. Accordingly, United asserts that the removal of these excess liquids and liquefiable hydrocarbons which form is necessary for the safe and efficient operation of United's system.

United states that it has entered into gas processing agreements with PetroUnited Products, Inc. (PetroUnited). It is indicated that PetroUnited would own, and United would install and operate, two gas processing plants connected with United's system in Calcasieu and Livingston Parishes, Louisiana. Pursuant to the agreements, each of which covers one plant, United proposes to deliver its total gas streams to PetroUnited, and PetroUnited would process the gas and extract and retain liquids and liquefiable hydrocarbons of a thermal content of up to 4,500 billion Btu's at each plant per day. It is explained that as payment for the gas removed by processing, PetroUnited would deliver to United, at mutually agreeable points, a thermally equivalent volume of pipeline quality gas.

United states that in order to connect PetroUnited's plants to United's systems, United seeks authorization to

install and operate two tap valves for each plant at a cost of \$150,000, which would be financed from funds on hand. United also indicates that PetroUnited would reimburse United for all construction and operating costs of the pipeline facilities.

United also requests that the Commission declare that PetroUnited's purchase of supplies to be used in payment for the gas that processing removes and the redelivery of that gas to United would not subject PetroUnited or its supplier to Natural Gas Act jurisdiction.

Comment date: October 30, 1985, in accordance with Standard Paragraph F at the end of this notice.

## 4. Columbia Gas Transmission Corporation and Columbia Gulf Transmission Company

[Docket No. CP85-893-000]

October 11, 1985.

Take notice that on September 20, 1985, Columbia Gas Transmission Corporation (Columbia Transmission), 1700 MacCorkle Avenue, S.E., Charleston, West Virginia 25314, and Columbia Gulf Transmission Company (Columbia Gulf), 3805 West Alabama Avenue, Houston, Texas 77027, hereinafter referred to jointly as Applicants, filed in Docket No. CP85-893-000 a request pursuant to § 157.205 of the Commission's Regulations under the Natural Gas Act (18 CFR 157.205) for authorization to transport natural gas on behalf of United States Steel Corporation (US Steel) under their certificates issued in Docket Nos. CP83-76-000 and CP83-496-000, respectively, pursuant to Section 7 of the Natural Gas Act, all as more fully set forth in their request on file with the Commission and open to public inspection.

Applicants propose to transport on behalf of US Steel up to 16.65 billion Btu equivalent of natural gas per day for delivery to US Steel's Haverhill, Ohio, plant and up to 40 billion Btu equivalent of natural gas per day for delivery to US Steel's Lorain, Ohio, plant in accordance with gas transportation agreements dated July 1, 1985. It is explained that US Steel would purchase gas from Yankee Resources, Inc., and TXO Production Corp. pursuant to gas sales agreements dated April 8, 1985, and July 1, 1985, respectively. It is explained that the gas sales agreements reflect that Yankee and TXO would make their gas supplies available to US Steel from undesignated sources. It is further explained that the gas would be received by Columbia Gulf from US Steel's designees, (1) Natural Gas Pipeline Company of America at the



Texaco Henry plant, Vermilion Parish, Louisiana, (2) ANR Pipeline Company at Patterson, Louisiana, and Centerville, Louisiana, and (3) United Gas Pipeline Company at Erath or Olla, Louisiana, and gas would be delivered in exchange therefor to Columbia Transmission at existing points of interconnection. Columbia Transmission proposes in turn to redeliver equivalent quantities to Columbia Gas of Ohio, Inc. (COH), the distributor, for ultimate delivery to US Steel for use as boiler fuel and process gas in the plants.

Applicants also request flexible authority to add or delete receipt/delivery points associated with sources of gas acquired by US Steel. The flexible authority requested applies only to points related to sources of gas supply, not to delivery points in the market area. Applicants will file a report providing certain information with regard to the addition or deletion of sources of gas as further detailed in the application and any additional sources of gas would only be obtained to constitute the transportation quantities herein and not to increase those quantities.

Columbia Gulf proposes to charge the applicable rate set forth in its Rate Schedule T-2 for its transportation service: offshore to Kentucky—23.92 cents per dt equivalent of gas and 1.69 percent of the total quantity of gas delivered into its system would be retained for company-use and unaccounted-for gas; lateral onshore to Kentucky—14.28 cents per dt equivalent of gas and 1.50 percent retained; Rayne, Louisiana, to Kentucky—12.76 cents per dt equivalent of gas and 1.50 percent retained; and Corinth, Mississippi, to Kentucky—6.38 cents per dt equivalent of gas and 0.75 percent retained.

Columbia Transmission proposes to charge the applicable rate set forth in its Rate Schedule TS-1 for its transportation service: gas received from Columbia Gulf at Leach, Kentucky—21.16 cents per dt equivalent; gas received from Columbia Gulf at receipt points other than Leach, Kentucky—29.93 cents per dt equivalent; whichever is applicable and provided the volumes are within the total daily entitlements (TDE) of COH, Columbia Transmission's existing purchaser customer. However, it is indicated that Columbia Transmission would charge 32.50 cents per dt equivalent for gas it receives from Columbia Gulf at Leach, Kentucky, and 41.27 cents per dt equivalent for gas received from receipt points other than Leach, Kentucky, if the volumes are in excess of COH's TDE. Additionally, Columbia Transmission proposes to charge the GRI rate for all

the gas transported, as set forth in its Rate Schedule TS-1. Columbia Transmission further states it would retain 2.43 percent of the total quantity of gas delivered into its system for company-use and unaccounted-for gas, as set forth in its Rate Schedule TS-1.

Applicants advise that the proposed transportation service commenced on June 27, 1985, pursuant to the self-implementing provisions of § 157.209.

Comment date: November 25, 1985, in accordance with Standard Paragraph G at the end of this notice.

#### 5. National Fuel Gas Supply Corporation

[Docket No. CP85-887-000]

October 11, 1985.

Take notice that on September 17, 1985, National Fuel Gas Supply Corporation (National), Ten Lafayette Square, Buffalo, New York 14203, filed in Docket No. CP85-887-000 a request pursuant to § 157.205 of the Regulations under the Natural Gas Act (18 CFR 157.205) for authorization to add two additional emergency points of delivery to its affiliate, Natural Fuel Gas Distribution Corporation (Distribution), under the certificate issued in Docket No. CP83-4-000 pursuant to Section 7 of the Natural Gas Act, all as more fully set forth in the request on file with the Commission and open to public inspection.

National proposes to construct emergency sales tap facilities in Bradford Township, McKeon County, Pennsylvania, and deliver 11,000 Mcf per day to Distribution during emergency conditions. National states that the proposed deliveries will serve existing markets and will have no impact on its peak day and annual deliveries.

Comment date: November 25, 1985, in accordance with Standard Paragraph G at the end of this notice.

#### 6. Tennessee Gas Pipeline Company A Division of Tenneco Inc.

[Docket No. CP85-900-000]

October 11, 1985.

Take notice that on September 23, 1985, Tennessee Gas Pipeline Company, a Division of Tenneco Inc. (Tennessee), P.O. Box 2511, Houston, Texas 77001, filed in Docket No. CP85-900-000 a request pursuant to § 157.205 of the Regulations under the Natural Gas Act (18 CFR 157.205) for authorization to construct and operate one sales tap and appurtenant facilities to serve an existing customer, Consolidated, Gas Transmission Corporation (Consolidated), under Tennessee's certificate issued in Docket No. CP82-413-000 pursuant to section 7 of the

Natural Gas Act, all as more fully set forth in the request on file with the Commission and open to public inspection.

Tennessee states that pursuant to Consolidated's request, it has agreed to establish a new delivery point in Zone 5 of its system near the Town of Hopewell in Ontario County, New York. Tennessee further states that the new delivery point is necessary to enable Consolidated to serve the additional requirements of its customers in the Canandaigua, New York, area. It is indicated that all costs associated with the construction of the proposed new delivery point would be borne by Consolidated. Such costs are estimated to be \$167,500.

The proposed facilities, it is asserted, would consist of a side valve assembly on Tennessee's existing pipeline, gas measurement facilities, and pipeline connecting facilities. It is explained that the maximum daily volume to be delivered at the proposed point would be 16,000 Mcf.

Tennessee does not propose to increase or decrease the total daily and/or annual volumes it is authorized to deliver to Consolidated. Tennessee asserts that the establishment of the proposed new delivery point is not prohibited by its currently effective tariff and that it has sufficient capacity to accomplish the deliveries at the proposed new delivery point without detriment or disadvantage to any of Tennessee's other customers.

Comment date: November 25, 1985, in accordance with Standard Paragraph G at the end of this notice.

#### 7. Trunkline Gas Company

[Docket No. CP84-577-017]

October 11, 1985.

Take notice that on September 19, 1985, Trunkline Gas Company (Applicant), P.O. Box 1642, Houston, Texas 77001, filed in Docket No. CP84-577-017 a request pursuant to section 7 of the Natural Gas Act and § 157.205 of the Regulations under the Natural Gas Act (18 CFR 157.205) for authorization to make an off-system sale of natural gas. The request is pursuant to authorization granted by the Commission's order issued October 29, 1984, in Docket No. CP84-577-000, authorizing a sales for take-or-pay relief program (STOPR), all as more fully set forth in the request which is on file with the Commission and open for public inspection.

Applicant proposes to make an off-system sale of gas to N-Ren Corporation (N-Ren), an industrial end-user. Pursuant to the terms of an industrial gas contract dated May 17, 1985,



between Applicant and N-Ren. Applicant states it would deliver up to 32,000 Mcf of gas per day, on an interruptible basis, to Midwestern Gas Transmission Company (Midwestern), for the account of N-Ren, at an existing point of interconnection between Applicant and Midwestern near Potomac, Vermilion County, Illinois. Pursuant to a transportation agreement dated September 9, 1985, between Applicant and Midwestern, Midwestern would deliver the gas to Northern Illinois Gas Company (NI-Gas), for the account of N-Ren, at an existing point of interconnection near Joliet, Illinois. It is explained, NI-Gas would then deliver the gas to N-Ren's facility in East Dubuque, Illinois, it is indicated.

It is stated that the sales price is \$2.7024 per dt equivalent of gas. It is explained that the sales price consists of Applicant's average cost of gas, the GRI surcharge, Midwestern's transportation charge, and an added margin pursuant to the authorization in the STOPR order.

It is stated that the service is conditioned upon the availability of capacity sufficient to provide service without detriment to Applicant's existing customers. The term of the service under the authorization sought herein would be from the date of the first delivery, with termination to coincide with the expiration under the STOPR program, it is indicated.

Comment date: November 25, 1985, in accordance with Standard Paragraph G at the end of this notice.

#### Standard Paragraphs:

F. Any person desiring to be heard or make any protest with reference to said filing should on or before the comment date file with the Federal Energy Regulatory Commission, 825 North Capitol Street, N.E., Washington, D.C.

20426, a motion to intervene or a protest in accordance with the requirements of the Commission's Rules of Practice and Procedure (18 CFR 385.211 and 385.214) and the Regulations under the Natural Gas Act (18 CFR 157.10). All protests filed with the Commission will be considered by it in determining the appropriate action to be taken but will not serve to make the protestants parties to the proceeding. Any person wishing to become a party to a proceeding or to participate as a party in any hearing therein must file a motion to intervene in accordance with the Commission's Rules.

Take further notice that, pursuant to the authority contained in and subject to jurisdiction conferred upon the Federal Energy Regulatory Commission by Sections 7 and 15 of the Natural Gas Act and the Commission's Rules of Practice and Procedure, a hearing will be held without further notice before the Commission or its designee on this filing if no motion to intervene is filed within the time required herein, if the Commission on its own review of the matter finds that a grant of the certificate is required by the public convenience and necessity. If a motion for leave to intervene is timely filed, or if the Commission on its own motion believes that a formal hearing is required, further notice of such hearing will be duly given.

Under the procedure herein provided for, unless otherwise advised, it will be unnecessary for the applicant to appear or be represented at the hearing.

G. Any person or the Commission's staff may, within 45 days after the issuance of the instant notice by the Commission, file pursuant to Rule 214 of the Commission's Procedural Rules (18 CFR 385.214) a motion to intervene or

notice of intervention and pursuant to § 157.205 of the Regulations under the Natural Gas Act (18 CFR 157.205) a protest to the request. If no protest is filed within the time allowed therefor, the proposed activity shall be deemed to be authorized effective the day after the time allowed for filing a protest. If a protest is filed and not withdrawn within 30 days after the time allowed for filing a protest, the instant request shall be treated as an application for authorization pursuant to Section 7 of the Natural Gas Act.

Kenneth F. Plumb,  
Secretary.

[FR Doc. 85-25086 Filed 10-21-85; 8:45 am]

BILLING CODE 6717-01-M

[Docket No. RP85-148-006 et al.]

#### Transcontinental Gas Pipe Line Corp. et al.; Filing of Pipeline Refund Reports and Refund Plans

October 11, 1985.

Take notice that the pipelines listed in the Appendix hereto have submitted to the Commission for filing proposed refund reports or refund plans. The date of filing, docket number, and type of filing are also shown on the Appendix.

Any person wishing to do so may submit comments in writing concerning the subject refund reports and plans. All such comments should be filed with the Federal Energy Regulatory Commission, 825 North Capitol Street, N.E., Washington, D.C. 20426, on or before October 21, 1985. Copies of the respective filings are on file with the Commission and available for public inspection.

Kenneth F. Plumb,  
Secretary.

#### Appendix

| Filing date | Company                                 | Docket No.   | Type filing |
|-------------|---|--------------|-------------|
| 9/17/85     | Transcontinental Gas Pipe Line Corp.    | RP85-148-006 | Report      |
| 9/20/85     | Louisiana-Nevada Transit Co.            | RP85-142-001 | Report      |
| 9/23/85     | Midwestern Gas Transmission Co.         | RP82-117-010 | Report      |
| 9/23/85     | Natural Gas Pipeline Co. of America     | RP71-125-013 | Report      |
| 9/26/85     | Alabama-Tennessee Natural Gas Co.       | RP85-117-004 | Report      |
| 9/26/85     | Northern Natural Gas Co.                | RP85-113-002 | Btu *       |
| 9/30/85     | Williston Basin Interstate Pipeline Co. | RP85-97-002  | Btu *       |
| 10/2/85     | Southern Natural Gas Co.                | RP90-136-009 | Report      |

\* Refunds resulting from Btu Measurement Adjustments. Each company will retain its basic docket number and future related filings receive new sub-docket numbers.

#### TENNESSEE GAS PIPELINE CO.—CONSTRUCTION COST ESTIMATE

[Project: 3.5 miles—30" pipeline loop from MLV 325 + 1.1 to MLV 325 + 4.6, Sussex County NJ]

| Item No. | Description                         | Unit | Quantity | Unit Cost | Amount    | Total dollars |
|----------|-------------------------------------|------|----------|-----------|-----------|---------------|
| 1        | Total right of way cost             | Lot  | 1        |           | \$199,000 |               |
| 2        | Preliminary survey                  | Lot  | 1        |           | \$9,300   |               |
|          | Total                               |      |          |           |           | \$208,300     |
|          | Material:                           |      |          |           |           |               |
| 3        | 30" O.D. X 407" W.T., GR. X-60 pipe | L.F. | 2,700    | \$51.36   | 138,700   |               |
| 4        | 30" O.D. X 488" W.T., GR. X-60 pipe | L.F. | 3,000    | 59.86     | 179,600   |               |
| 5        | 30" O.D. X 585" W.T., GR. X-60 pipe | L.F. | 13,100   | 74.81     | 980,000   |               |



## TENNESSEE GAS PIPELINE CO.—CONSTRUCTION COST ESTIMATE—Continued

{Project: 3.5 miles—30" pipeline loop from MLV 325 + 1.1 to MLV 325 + 4.6, Sussex County NJ}

| Item No. | Description                        | Unit | Quantity | Unit Cost | Amount    | Total dollars |
|----------|------------------------------------|------|----------|-----------|-----------|---------------|
| 6        | Pipe costing                       | L.F. | 18,800   | 11.23     | 211,100   |               |
| 7        | Casing                             | L.F. | 200      | 89.00     | 17,800    |               |
| 8        | Station valve assembly w/tie-over  | Each | 1        |           | 243,600   |               |
| 9        | End of line assembly               | Each | 1        |           | 60,000    |               |
| 10       | Miscellaneous and Freight          | Lot  | 1        |           | 100,900   |               |
|          | Total Material                     |      |          |           |           | 1,931,700     |
|          | Installation:                      |      |          |           |           |               |
| 11       | Lay, string and test               | L.F. | 18,800   | 82.62     | 1,553,300 |               |
| 12       | Casing                             | L.F. | 200      | 305.00    | 67,000    |               |
| 13       | Road Crossing                      | L.F. | 250      | 165.00    | 41,300    |               |
| 14       | Station valve assembly w/tie-over  | Each | 1        |           | 120,000   |               |
| 15       | End of line assembly               | Each | 1        |           | 22,000    |               |
| 16       | Test manifold                      | Each | 2        | 5,000.00  | 10,000    |               |
| 17       | Padding                            | L.F. | 6,800    | 5.10      | 34,700    |               |
| 18       | Performance Bond                   | Lot  | 1        |           | 15,800    |               |
| 19       | x-ray                              | Lot  | 1        |           | 32,800    |               |
| 20       | Load gas                           | Lot  | 1        |           | 39,200    |               |
|          | Total installation                 |      |          |           |           | 1,930,100     |
|          | Other costs                        |      |          |           |           |               |
| 21       | Company supervision and inspection | Lot  | 1        |           |           | 157,700       |
| 22       | Contingencies                      | Lot  | 1        |           |           | 211,200       |
|          | Total direct cost—1985             |      |          |           |           | 4,439,000     |

[FR Doc. 85-25084 Filed 10-21-85; 8:45 am]

BILLING CODE 6717-01-M

[Docket No. ST85-1459-000 et al.]

**Tennessee Gas Pipeline Co. et al.; Self-Implementing Transactions**

October 16, 1985.

Take notice that the following transactions have been reported to the Commission as being implementing pursuant to Part 284 of the Commission's Regulations and sections 311 and 312 of the Natural Gas Policy Act of 1978 (NGPA). The "Recipient" column in the following table indicates the entity receiving or purchasing the natural gas in each transaction.

The "Part 284 Subpart" column in the following table indicates the type of transaction. A "B" indicates transportation by an interstate pipeline pursuant to § 284.102 of the Commission's Regulations.

A "C" indicates transportation by an intrastate pipeline pursuant to § 284.122 of the Commission's Regulations. In those cases where Commission approval of a transportation rate is sought pursuant to § 284.123(b)(2), the table lists the proposed rate and expiration date for the 150-day period for staff action. Any person seeking to participate in the proceeding to approve

a rate listed in the table should file a petition to intervene with the Secretary of the Commission.

A "D" indicates a sale by an intrastate pipeline pursuant to Section 284.142 of the Commission's Regulations and section 311(b) of the NGPA. Any interested person may file a complaint concerning such sales pursuant to § 284.147(d) of the Commission's Regulations.

An "E" indicates an assignment by an intrastate pipeline pursuant to § 284.163 of the Commission's Regulations and section 312 of the NGPA.

An "F(157)" indicates transportation by an interstate pipeline for an end-user pursuant to § 157.209 of the Commission's Regulations.

A "G" indicates transportation by an interstate pipeline on behalf of another interstate pipeline pursuant to a blanket certificate issued under § 284.221 of the Commission's Regulations.

A "G(LT)" or "G(LS)" indicates transportation, sales or assignments by a local distribution company pursuant to a blanket certificate issued under § 284.222 of the Commission's Regulations.

A "G(HT)" or "G(HS)" indicates transportation, sales or assignments by a Hinshaw Pipeline pursuant to a blanket certificate issued under

§ 284.222 of the Commission's Regulations.

A "C/F(157)" indicates intrastate pipeline transportation which is incidental to a transportation by an interstate pipeline to an end-user pursuant to a blanket certificate under 18 CFR § 157.209. Similarly, a "G/F(157)" indicates such transportation performed by a Hinshaw Pipeline or distributor.

Any person desiring to be heard or to make any protests with reference to a transaction reflected in this notice should on or before November 1, 1985, file with the Federal Energy Regulatory Commission, 825 North Capitol Street, N.E., Washington, D.C. 20426, a motion to intervene or a protest in accordance with the requirements of the Commission's Rules of Practice and Procedure (18 CFR 385.211 or 385.214). All protests filed with the Commission will be considered by it in determining the appropriate action to be taken but will not serve to make the protestants party to a proceeding. Any person wishing to become a party to a proceeding or to participate as a party in any hearing therein must file a motion to intervene in accordance with the Commission's Rules.

Kenneth F. Plumb,  
Secretary.

| Docket No. | Transporter/seller                   | Recipient                          | Date filed | Subpart | Expiration date <sup>2</sup> | Transportation rate (¢/MMBtu) |
|------------|--------------------------------------|------------------------------------|------------|---------|------------------------------|-------------------------------|
| ST85-1459  | Tennessee Gas Pipeline Co.           | Connecticut Natural Gas Corp.      | 08-01-85   | B       |                              |                               |
| ST85-1460  | Transcontinental Gas Pipe Line Corp. | Jersey Central Power and Light Co. | 08-01-85   | F(157)  |                              |                               |
| ST85-1461  | Natural Gas Pipeline Co. of America  | Houston Pipe Line Co.              | 08-01-85   | B       |                              |                               |
| ST85-1462  | Gas Gathering Corp.                  | Monterey Pipeline Co.              | 08-19-85   | B       |                              |                               |
| ST85-1463  | El Paso Natural Gas Co.              | Southern California Gas Co.        | 08-01-85   | B       |                              |                               |



| Docket No. <sup>1</sup> | Transporter/owner                    | Recipient                                      | Date filed | Subpart | Expiration date <sup>2</sup> | Transportation rate (¢/MMBtu) |
|-------------------------|--------------------------------------|--|------------|---------|------------------------------|-------------------------------|
| ST85-1464               | Northern Natural Gas Co.             | Allied Chemical                                | 08-01-85   | F(157)  |                              |                               |
| ST85-1465               | Trunkline Gas Co.                    | Cresole Gas Pipeline Co.                       | 08-01-85   | B       |                              |                               |
| ST85-1466               | Trunkline Gas Co.                    | Deith Gas Pipeline Corp.                       | 08-01-85   | B       |                              |                               |
| ST85-1467               | Panhandle Eastern Pipe Line Co.      | Kraft, Inc.                                    | 08-02-85   | F(157)  |                              |                               |
| ST85-1468               | Texas Gas Transmission Corp.         | Diamond-Bathurst, Inc.                         | 08-02-85   | F(157)  |                              |                               |
| ST85-1469               | Trunkline Gas Co.                    | Valero Transmission Co.                        | 08-02-85   | B       |                              |                               |
| ST85-1470               | Texas Gas Transmission Corp.         | Kraft, Inc.                                    | 08-02-85   | F(157)  |                              |                               |
| ST85-1471               | Deith Gas Pipeline Corp.             | Spindletop Gas Distribution System             | 08-02-85   | C       |                              |                               |
| ST85-1472               | Deith Gas Pipeline Corp.             | Elizabethtown Gas Co.                          | 08-02-85   | C       |                              |                               |
| ST85-1473               | Natural Gas Pipeline Co. of America  | Northern Petrochemical Co.                     | 08-02-85   | F(157)  |                              |                               |
| ST85-1474               | Natural Gas Pipeline Co. of America  | General Tire and Rubber Co.                    | 08-02-85   | F(157)  |                              |                               |
| ST85-1475               | El Paso Natural Gas Co.              | CAN-AM Corp.                                   | 08-05-85   | F(157)  |                              |                               |
| ST85-1476               | Panhandle Eastern Pipe Line Co.      | Clark Material Systems Tech. Co.               | 08-05-85   | F(157)  |                              |                               |
| ST85-1477               | Transok, Inc.                        | United Gas Pipe Line Co.                       | 08-02-85   | C       | 12-30-85                     | 21.75                         |
| ST85-1478               | Valero Interstate Transmission Co.   | Petrolina Gas Pipeline Co.                     | 08-05-85   | B       |                              |                               |
| ST85-1479               | Northern Natural Gas Co.             | Long Island Lighting Co.                       | 08-05-85   | B       |                              |                               |
| ST85-1480               | Oklahoma Natural Gas Co.             | Panhandle Eastern Pipeline Co.                 | 08-06-85   | C       | 01-03-86                     | 10.00                         |
| ST85-1481               | Northwest Central Pipeline Corp.     | Conoco, Inc.                                   | 08-06-85   | F(157)  |                              |                               |
| ST85-1482               | Texas Gas Transmission Corp.         | Diamond-Bathurst, Inc.                         | 08-06-85   | F(157)  |                              |                               |
| ST85-1483               | Transok, Inc.                        | American Distribution Co.                      | 08-06-85   | C       | 01-03-86                     | 21.75                         |
| ST85-1484               | THC Pipeline Co.                     | Southern Natural Gas Co.                       | 08-07-85   | G       |                              |                               |
| ST85-1485               | Tennisco Gas Supply Co.              | Pacific Lighting Gas Supply Co.                | 08-07-85   | C       |                              |                               |
| ST85-1486               | Channel Industries Gas Co.           | Pacific Lighting Gas Supply Co.                | 08-07-85   | C       |                              |                               |
| ST85-1487               | Channel Industries Gas Co.           | THC Pipeline Co.                               | 08-07-85   | C       |                              |                               |
| ST85-1488               | Exxon Gas System, Inc.               | Humble Gas Transmission Co.                    | 08-07-85   | C       |                              |                               |
| ST85-1489               | ANR Pipeline Co.                     | Pacific Lighting Gas Supply Co.                | 08-07-85   | B       |                              |                               |
| ST85-1490               | ANR Pipeline Co.                     | Deith Gas Pipeline Corp.                       | 08-07-85   | B       |                              |                               |
| ST85-1491               | Texas Eastern Transmission Corp.     | Long Island Lighting Co.                       | 08-07-85   | B       |                              |                               |
| ST85-1492               | Natural Gas Pipeline Co. of America  | Good Samaritan Hospital                        | 08-07-85   | F(157)  |                              |                               |
| ST85-1493               | Panhandle Eastern Pipe Line Co.      | Ralston Purina Co.                             | 08-08-85   | F(157)  |                              |                               |
| ST85-1494               | Panhandle Eastern Pipe Line Co.      | Northern Illinois Gas Co.                      | 08-08-85   | B       |                              |                               |
| ST85-1495               | Panhandle Eastern Pipe Line Co.      | Reinforced Plastics, DiversiTech General, Inc. | 08-08-85   | F(157)  |                              |                               |
| ST85-1496               | Trunkline Gas Co.                    | Texas Eastern Transmission Corp.               | 08-08-85   | G       |                              |                               |
| ST85-1497               | Panhandle Eastern Pipe Line Co.      | Cuyahoga County Hospital System                | 08-08-85   | F(157)  |                              |                               |
| ST85-1498               | Algonquin Gas Transmission Co.       | Boston Gas Co.                                 | 08-08-85   | B       |                              |                               |
| ST85-1499               | Algonquin Gas Transmission Co.       | Connecticut Light and Power Co.                | 08-08-85   | B       |                              |                               |
| ST85-1500               | Algonquin Gas Transmission Co.       | Providence Gas Co.                             | 08-08-85   | B       |                              |                               |
| ST85-1501               | Algonquin Gas Transmission Co.       | Boston Gas Co.                                 | 08-08-85   | B       |                              |                               |
| ST85-1502               | Transcontinental Gas Pipe Line Corp. | System Fuels, Inc.                             | 08-08-85   | F(157)  |                              |                               |
| ST85-1503               | Columbia Gas Transmission Corp.      | York Hospital                                  | 08-09-85   | F(157)  |                              |                               |
| ST85-1504               | Columbia Gas Transmission Corp.      | United States Steel Corp.                      | 08-09-85   | F(157)  |                              |                               |
| ST85-1505               | Columbia Gas Transmission Corp.      | Stauffer Chemical Co.                          | 08-09-85   | F(157)  |                              |                               |
| ST85-1506               | Columbia Gas Transmission Corp.      | H. H. Robertson Co.                            | 08-09-85   | F(157)  |                              |                               |
| ST85-1507               | Columbia Gas Transmission Corp.      | Henover Brands, Inc.                           | 08-09-85   | F(157)  |                              |                               |
| ST85-1508               | Columbia Gas Transmission Corp.      | J. T. Baker Chemical Co.                       | 08-09-85   | F(157)  |                              |                               |
| ST85-1509               | Columbia Gas Transmission Corp.      | Bethlehem Steel Corp.                          | 08-09-85   | F(157)  |                              |                               |
| ST85-1510               | Columbia Gulf Transmission Corp.     | Bethlehem Mines Corp.                          | 08-09-85   | F(157)  |                              |                               |
| ST85-1511               | Columbia Gulf Transmission Corp.     | Bethlehem Steel Corp.                          | 08-09-85   | F(157)  |                              |                               |
| ST85-1512               | Columbia Gulf Transmission Corp.     | Stauffer Chemical Co.                          | 08-09-85   | F(157)  |                              |                               |
| ST85-1513               | Columbia Gulf Transmission Corp.     | United States Steel Corp.                      | 08-09-85   | F(157)  |                              |                               |
| ST85-1514               | Columbia Gulf Transmission Corp.     | York Hospital                                  | 08-09-85   | F(157)  |                              |                               |
| ST85-1515               | Columbia Gulf Transmission Corp.     | Michigan Consolidated Gas Co.                  | 08-12-85   | D       |                              |                               |
| ST85-1516               | Anadarko Production Co.              | Sohio Chemical Co.                             | 08-12-85   | F(157)  |                              |                               |
| ST85-1517               | Northwest Central Pipeline Corp.     | Pacific Lighting Gas Supply Co.                | 08-12-85   | B       |                              |                               |
| ST85-1518               | Northwest Central Pipeline Corp.     | Illinois Power Co.                             | 08-12-85   | B       |                              |                               |
| ST85-1519               | Northwest Central Pipeline Corp.     | Natural Gas Pipeline Co. of America            | 08-12-85   | G       |                              |                               |
| ST85-1520               | Texas Eastern Transmission Corp.     | Good Samaritan Hospital                        | 08-12-85   | F(157)  |                              |                               |
| ST85-1521               | Trunkline Gas Co.                    | Pacific Lighting Gas Supply Co.                | 08-12-85   | C       |                              |                               |
| ST85-1522               | Deith Gas Pipeline Corp.             | Natural Gas Pipeline Co. of America            | 08-12-85   | C       |                              |                               |
| ST85-1523               | Deith Gas Pipeline Corp.             | El Paso Natural Gas Co.                        | 08-13-85   | C       | 01-10-86                     | 25.20                         |
| ST85-1524               | Producer's Gas Co.                   | Southern California Gas Co.                    | 08-13-85   | B       |                              |                               |
| ST85-1525               | ANR Pipeline Co.                     | Mid Louisiana Gas Co.                          | 08-13-85   | G       |                              |                               |
| ST85-1526               | Tennessee Gas Pipeline Co.           | Consolidated Edison of NY, Inc.                | 08-09-85   | C       |                              |                               |
| ST85-1527               | United Texas Transmission Co.        | City of Colorado Springs, Co.                  | 08-13-85   | C       |                              |                               |
| ST85-1528               | MGTC, Inc.                           | St. Anthony Hospital                           | 08-13-85   | F(157)  |                              |                               |
| ST85-1529               | Williston Basin Inter. Pipeline Co.  | Ralston Purina Co.                             | 08-09-85   | F(157)  |                              |                               |
| ST85-1530               | Michigan Gas Storage Co.             | Philadelphia Electric Co.                      | 08-07-85   | C       |                              |                               |
| ST85-1531               | United Texas Transmission Co.        | Central Hudson Gas and Electric Co.            | 08-09-85   | C       |                              |                               |
| ST85-1532               | United Texas Transmission Co.        | Public Service Electric and Gas Co.            | 08-09-85   | C       |                              |                               |
| ST85-1533               | United Texas Transmission Co.        | New Jersey Natural Gas Co.                     | 08-09-85   | C       |                              |                               |
| ST85-1534               | United Texas Transmission Co.        | Boston Gas Co.                                 | 08-09-85   | C       |                              |                               |
| ST85-1535               | United Texas Transmission Co.        | Southwest Gas Corp.                            | 08-09-85   | B       |                              |                               |
| ST85-1536               | El Paso Natural Gas Co.              | Pacific Lighting Gas Supply Co.                | 08-09-85   | B       |                              |                               |
| ST85-1537               | Natural Gas Pipeline Co. of America  | Essex County Gas Co.                           | 08-12-85   | B       |                              |                               |
| ST85-1538               | Tennessee Gas Pipeline Co.           | Cresole Gas Pipeline Corp.                     | 08-13-85   | B       |                              |                               |
| ST85-1539               | Trunkline Gas Co.                    | Brooklyn Union Gas Co.                         | 08-13-85   | B       |                              |                               |
| ST85-1540               | Honeywell Storage Corp.              | United States Steel Corp.                      | 08-14-85   | F(157)  |                              |                               |
| ST85-1541               | Natural Gas Pipeline Co. of America  | Armour-Dial, Inc.                              | 08-13-85   | F(157)  |                              |                               |
| ST85-1542               | Midwestern Gas Transmission Co.      | Hebron Brick Co.                               | 08-13-85   | F(157)  |                              |                               |
| ST85-1543               | Williston Basin Inter. Pipeline Co.  | Reynolds Metals Co.                            | 08-13-85   | F(157)  |                              |                               |
| ST85-1544               | Williston Basin Inter. Pipeline Co.  | Granite State Gas Transmission, Inc.           | 08-14-85   | G       |                              |                               |
| ST85-1545               | Tennessee Gas Pipeline Co.           | Boston Gas Co.                                 | 08-14-85   | B       |                              |                               |
| ST85-1546               | Texas Eastern Transmission Corp.     | Kansas Power and Light Co.                     | 08-14-85   | C       |                              |                               |
| ST85-1547               | Consumers Power Co.                  | Kansas Power and Light Co.                     | 08-14-85   | B       |                              |                               |
| ST85-1548               | Michigan Gas Storage Co.             | Good Samaritan Hospital                        | 08-12-85   | F(157)  |                              |                               |
| ST85-1549               | Panhandle Eastern Pipe Line Co.      | Armour-Dial, Inc.                              | 08-14-85   | F(157)  |                              |                               |
| ST85-1550               | Panhandle Eastern Pipe Line Co.      | Armour-Dial, Inc.                              | 08-14-85   | F(157)  |                              |                               |
| ST85-1551               | Trunkline Gas Co.                    | Valero Industrial Gas Co., et al               | 08-15-85   | C       |                              |                               |
| ST85-1552               | Intrastate Gathering Corp.           | Guardian Industries Corp.                      | 08-16-85   | F(157)  |                              |                               |
| ST85-1553               | Equitable Gas Co.                    | Westar Transmission Co.                        | 09-16-85   | B       |                              |                               |
| ST85-1554               | El Paso Natural Gas Co.              | Transwestern Pipeline Co.                      | 08-16-85   | G       |                              |                               |
| ST85-1555               | Northern Natural Gas Co.             |  |            |         |                              |                               |



| Docket No. 1 | Transporter/seller                   | Recipient  | Date filed | Subpart | Expiration date 2 | Transportation rate (¢/MMBtu) |
|--------------|--------------------------------------|--|------------|---------|-------------------|-------------------------------|
| ST85-1556    | Texas Eastern Transmission Corp.     | New Jersey Natural Gas Co.                         | 08-18-85   | B       |                   |                               |
| ST85-1557    | Texas Eastern Transmission Corp.     | Central Hudson Gas and Electric Co.                | 08-18-85   | B       |                   |                               |
| ST85-1558    | Texas Eastern Transmission Corp.     | Long Island Lighting Co.                           | 08-18-85   | B       |                   |                               |
| ST85-1559    | Michigan Gas Storage Co.             | DiversiTech General, Inc.                          | 08-18-85   | F(157)  |                   |                               |
| ST85-1560    | Michigan Gas Storage Co.             | Michigan Gas Utilities                             | 08-18-85   | B       |                   |                               |
| ST85-1561    | Sea Robin Pipeline Co.               | Mississippi River Transmission Corp.               | 08-18-85   | G       |                   |                               |
| ST85-1562    | Delhi Gas Pipeline Corp.             | Texas Gas Transmission Corp.                       | 08-19-85   | C       |                   |                               |
| ST85-1563    | Panhandle Eastern Pipe Line Co.      | Guardian Industries Corp.                          | 08-19-85   | F(157)  |                   |                               |
| ST85-1564    | Trunkline Gas Co.                    | THC Pipeline Co.                                   | 08-19-85   | B       |                   |                               |
| ST85-1565    | Tennessee Gas Pipeline Co.           | New Orleans Public Service, Inc.                   | 08-18-85   | B       |                   |                               |
| ST85-1566    | Endevco Pipeline Co.                 | Natural Gas Pipeline Co. of America                | 08-19-85   | C       |                   |                               |
| ST85-1567    | Transcontinental Gas Pipe Line Corp. | Monterey Pipeline Co.                              | 08-19-85   | B       |                   |                               |
| ST85-1568    | United Gas Pipe Line Co.             | Humble Gas Transmission Co.                        | 08-19-85   | B       |                   |                               |
| ST85-1569    | Panhandle Eastern Pipe Line Co.      | BASF Wyandotte Corp.                               | 08-19-85   | F(157)  |                   |                               |
| ST85-1570    | Texas Eastern Transmission Corp.     | Long Island Lighting Co.                           | 08-19-85   | B       |                   |                               |
| ST85-1571    | Riverway Gas Pipeline Co.            | Faustina Pipe Line Co.                             | 08-20-85   | C       |                   |                               |
| ST85-1572    | Acadian Gas Pipeline System          | LGS Intrastate, Inc.                               | 08-21-85   | C       |                   |                               |
| ST85-1573    | ANR Pipeline Co.                     | Pacific Lighting Gas Supply Co.                    | 08-21-85   | B       |                   |                               |
| ST85-1574    | Panhandle Eastern Pipe Line Co.      | Michigan Gas Utilities Co.                         | 08-21-85   | B       |                   |                               |
| ST85-1575    | Trunkline Gas Co.                    | Michigan Gas Utilities Co.                         | 08-21-85   | B       |                   |                               |
| ST85-1576    | Delhi Gas Pipeline Corp.             | Northern Illinois Gas Co.                          | 08-22-85   | C       |                   |                               |
| ST85-1577    | Delhi Gas Pipeline Corp.             | Michigan Gas Utilities Co.                         | 08-22-85   | C       |                   |                               |
| ST85-1578    | Transcontinental Gas Pipe Line Corp. | E. I. DuPont de Nemours and Co.                    | 08-22-85   | F(157)  |                   |                               |
| ST85-1579    | Panhandle Eastern Pipe Line Co.      | Dresser Industries, Inc.                           | 08-22-85   | F(157)  |                   |                               |
| ST85-1580    | Panhandle Eastern Pipe Line Co.      | North Star Steel Co.                               | 08-22-85   | F(157)  |                   |                               |
| ST85-1581    | Panhandle Eastern Pipe Line Co.      | Frito-Lay, Inc.                                    | 08-22-85   | F(157)  |                   |                               |
| ST85-1582    | Trunkline Gas Co.                    | UGI Corp.  | 08-22-85   | B       |                   |                               |
| ST85-1583    | Panhandle Eastern Pipe Line Co.      | N-Ren Corp.  | 08-22-85   | F(157)  |                   |                               |
| ST85-1584    | Trunkline Gas Co.                    | N-Ren Corp.  | 08-22-85   | F(157)  |                   |                               |
| ST85-1585    | Panhandle Eastern Pipe Line Co.      | Northern Indiana Public Service Co.                | 08-22-85   | B       |                   |                               |
| ST85-1586    | Trunkline Gas Co.                    | Northern Indiana Public Service Co.                | 08-22-85   | B       |                   |                               |
| ST85-1587    | Natural Gas Pipeline Co. of America  | Mississippi River Transmission Corp.               | 08-23-85   | G       |                   |                               |
| ST85-1588    | United Gas Pipe Line Co.             | Tex-La Gas Co.                                     | 08-23-85   | B       |                   |                               |
| ST85-1589    | United Gas Pipe Line Co.             | Boston Gas Co.                                     | 08-23-85   | B       |                   |                               |
| ST85-1590    | Northern Natural Gas Co.             | Expanza Transmission Co.                           | 08-23-85   | B       |                   |                               |
| ST85-1591    | Texas Gas Transmission Corp.         | Mohasco Carpet Corp.                               | 08-23-85   | F(157)  |                   |                               |
| ST85-1592    | Southern Natural Gas Co.             | Archer Daniels Midland Co.                         | 08-23-85   | F(157)  |                   |                               |
| ST85-1593    | Columbia Gas Transmission Corp.      | New Jersey Natural Gas Co.                         | 08-23-85   | B       |                   |                               |
| ST85-1594    | Columbia Gas Transmission Corp.      | Chevron U.S.A., Inc.                               | 08-23-85   | F(157)  |                   |                               |
| ST85-1595    | Columbia Gas Transmission Corp.      | Sharp Canning Co.                                  | 08-23-85   | F(157)  |                   |                               |
| ST85-1596    | Columbia Gas Transmission Corp.      | Sharp Canning Co.                                  | 08-23-85   | F(157)  |                   |                               |
| ST85-1597    | Columbia Gas Transmission Corp.      | Libby-Owens-Ford Co.                               | 08-23-85   | F(157)  |                   |                               |
| ST85-1598    | United Gas Pipe Line Co.             | Mississippi River Transmission Corp.               | 08-23-85   | G       |                   |                               |
| ST85-1599    | Columbia Gas Transmission Corp.      | Lancaster Colony Corp.                             | 08-23-85   | F(157)  |                   |                               |
| ST85-1600    | Columbia Gas Transmission Corp.      | Jessop Steel Co.                                   | 08-23-85   | F(157)  |                   |                               |
| ST85-1601    | Columbia Gas Transmission Corp.      | Chevron U.S.A., Inc.                               | 08-23-85   | F(157)  |                   |                               |
| ST85-1602    | Northwest Central Pipeline Corp.     | Board of Public Utilities, City of Springfield, MO | 08-26-85   | F(157)  |                   |                               |
| ST85-1603    | Northern Natural Gas Co.             | Expanza Transmission Co.                           | 08-26-85   | B       |                   |                               |
| ST85-1604    | Delhi Gas Pipeline Corp.             | Pacific Lighting Gas Supply Co.                    | 08-26-85   | C       |                   |                               |
| ST85-1605    | Delhi Gas Pipeline Corp.             | Pacific Lighting Gas Supply Co.                    | 08-26-85   | C       |                   |                               |
| ST85-1606    | Equitable Gas Co.                    | Metaltch   | 08-26-85   | F(157)  |                   |                               |
| ST85-1607    | Producer's Gas Co.                   | Cincinnati Gas and Electric Co.                    | 08-26-85   | D       |                   |                               |
| ST85-1608    | Producer's Gas Co.                   | Pacific Lighting Gas Supply Co.                    | 08-26-85   | D       |                   |                               |
| ST85-1609    | Arkla Energy Resources               | GITGO Petroleum Corp.                              | 08-26-85   | F(157)  |                   |                               |
| ST85-1610    | Natural Gas Pipeline Co. of America  | Baltimore Gas and Electric Co., et al              | 08-26-85   | B       |                   |                               |
| ST85-1611    | Northwest Central Pipeline Corp.     | Phillips Petroleum Co.                             | 08-27-85   | F(157)  |                   |                               |
| ST85-1612    | Tennessee Gas Pipeline Co.           | Bethlehem Steel Corp.                              | 08-28-85   | F(157)  |                   |                               |
| ST85-1613    | United Gas Pipe Line Co.             | Cities Service Co.                                 | 08-28-85   | F(157)  |                   |                               |
| ST85-1614    | Transcontinental Gas Pipe Line Corp. | Alabama Gas Corp.                                  | 08-28-85   | B       |                   |                               |
| ST85-1615    | Transcontinental Gas Pipe Line Corp. | City of Greenwood, SC                              | 08-28-85   | B       |                   |                               |
| ST85-1616    | Columbia Gulf Transmission Co.       | E. I. DuPont de Nemours & Co.                      | 08-28-85   | F(157)  |                   |                               |
| ST85-1617    | Northern Natural Gas Co.             | Pacific Lighting Gas Supply Co.                    | 08-29-85   | B       |                   |                               |
| ST85-1618    | Northern Natural Gas Co.             | Florida Gas Transmission Co.                       | 08-29-85   | G       |                   |                               |
| ST85-1619    | Northern Natural Gas Co.             | Pacific Lighting Gas Supply Co.                    | 08-29-85   | B       |                   |                               |
| ST85-1620    | Northern Natural Gas Co.             | Southern California Gas Co.                        | 08-29-85   | B       |                   |                               |
| ST85-1621    | Mississippi Fuel Co.                 | Florida Gas Transmission Co.                       | 08-29-85   | C       | 01-28-86          | 14.63                         |
| ST85-1622    | Algonquin Gas Transmission Co.       | Orange and Rockland Utilities, Inc.                | 08-29-85   | B       |                   |                               |
| ST85-1623    | Colorado Interstate Gas Co.          | Reynolds Metals Co.                                | 08-29-85   | F(157)  |                   |                               |
| ST85-1624    | Algonquin Gas Transmission Co.       | Central Hudson Gas and Electric Co.                | 08-29-85   | B       |                   |                               |
| ST85-1625    | Lone Star Gas Co.                    | Pacific Lighting Gas Supply Co.                    | 08-29-85   | C       |                   |                               |
| ST85-1626    | Lone Star Gas Co.                    | Elizabethtown Gas Co.                              | 08-29-85   | C       |                   |                               |
| ST85-1627    | Tennessee Gas Pipeline Co.           | Orange and Rockland Utilities, Inc.                | 08-30-85   | B       |                   |                               |
| ST85-1628    | Tennessee Gas Pipeline Co.           | Louisiana State Gas Corp.                          | 08-30-85   | B       |                   |                               |
| ST85-1629    | Texas Eastern Transmission Corp.     | Channel Industries Gas Co.                         | 08-30-85   | B       |                   |                               |
| ST85-1630    | Lone Star Gas Co.                    | Pacific Lighting Gas Supply Co.                    | 08-29-85   | B       |                   |                               |
| ST85-1631    | ANR Pipeline Co.                     | Pacific Lighting Gas Supply Co.                    | 08-30-85   | B       |                   |                               |
| ST85-1632    | ANR Pipeline Co.                     | United States Steel Corp.                          | 08-30-85   | F(157)  |                   |                               |
| ST85-1633    | Natural Gas Pipeline Co. of America  | Pacific Lighting Gas Supply Co., et al             | 08-30-85   | B       |                   |                               |
| ST85-1634    | Natural Gas Pipeline Co. of America  | Illinois Power Co.                                 | 08-30-85   | B       |                   |                               |
| ST85-1635    | MIGC, Inc.                           | City of Colorado Springs, CO                       | 08-30-85   | B       |                   |                               |
| ST85-1636    | Delhi Gas Pipeline Corp.             | Mississippi River Transmission Corp.               | 08-30-85   | C       |                   |                               |
| ST85-1637    | Delhi Gas Pipeline Corp.             | Southern Natural Gas Co.                           | 08-30-85   | C       |                   |                               |
| ST85-1638    | Delhi Gas Pipeline Corp.             | Natural Gas Pipeline Co. of America                | 08-30-85   | C       |                   |                               |
| ST85-1639    | Columbia Gulf Transmission Co.       | Durkee Famous Foods, SCM Corp.                     | 08-30-85   | F(157)  |                   |                               |
| ST85-1640    | Columbia Gulf Transmission Co.       | Federal Mogul Corp.                                | 08-30-85   | F(157)  |                   |                               |
| ST85-1641    | Columbia Gulf Transmission Corp.     | Hussey Cooper, Ltd.                                | 08-30-85   | F(157)  |                   |                               |
| ST85-1642    | Columbia Gulf Transmission Corp.     | W. R. Grace and Co.                                | 08-30-85   | F(157)  |                   |                               |
| ST85-1643    | Columbia Gulf Transmission Corp.     | Federal Mogul Corp.                                | 08-30-85   | F(157)  |                   |                               |
| ST85-1644    | Columbia Gulf Transmission Corp.     | W. R. Grace and Co.                                | 08-30-85   | F(157)  |                   |                               |
| ST85-1645    | Columbia Gulf Transmission Corp.     | Durkee Famous Foods, SCM Corp.                     | 08-30-85   | F(157)  |                   |                               |
| ST85-1646    | United Gas Pipe Line Co.             | First Chemical Corp.                               | 08-30-85   | F(157)  |                   |                               |
| ST85-1647    | Midwestern Gas Transmission Corp.    | Olin Corp.   | 08-30-85   | F(157)  |                   |                               |



| Docket No. <sup>1</sup> | Transporter/seller                   | Recipient                           | Date filed | Subpart | Expiration date <sup>2</sup> | Transportation rate (\$/MMBtu) |
|-------------------------|--------------------------------------|-------------------------------------|------------|---------|------------------------------|--------------------------------|
| ST85-1648               | Texas Gas Transmission Corp.         | Kroger Co.                          | 08-30-85   | F(157)  |                              |                                |
| ST85-1649               | Tennessee Gas Pipeline Co.           | City of Holly Springs, MS           | 08-30-85   | B       |                              |                                |
| ST85-1650               | El Paso Natural Gas Co.              | Pacific Lighting Gas Supply Co.     | 08-30-85   | B       |                              |                                |
| ST85-1651               | Transcontinental Gas Pipe Line Corp. | Public Service Electric and Gas Co. | 08-30-85   | B       |                              |                                |
| ST85-1652               | Transcontinental Gas Pipe Line Corp. | Dayton Power and Light Co.          | 08-30-85   | B       |                              |                                |
| ST85-1653               | Southern Natural Gas Co.             | Atlanta Gas Light Co.               | 08-30-85   | B       |                              |                                |
| ST85-1654               | Southern Natural Gas Co.             | Owens-Illinois, Inc.                | 08-30-85   | F(157)  |                              |                                |
| ST85-1655               | Southern Natural Gas Co.             | Columbia Nitrogen Corp., et al.     | 08-30-85   | F(157)  |                              |                                |
| ST85-1656               | Southern Natural Gas Co.             | Cherokee Brick and Tile Co.         | 08-30-85   | F(157)  |                              |                                |
| ST85-1657               | Southern Natural Gas Co.             | A.P. Green Refractories             | 08-30-85   | F(157)  |                              |                                |
| ST85-1658               | Columbia Gulf Transmission Co.       | Yorktowne Paper Mills, Inc.         | 08-30-85   | F(157)  |                              |                                |
| ST85-1659               | Columbia Gulf Transmission Co.       | W.R. Grace and Co.                  | 08-30-85   | F(157)  |                              |                                |
| ST85-1660               | Columbia Gulf Transmission Co.       | W.R. Grace and Co.                  | 08-30-85   | F(157)  |                              |                                |

Exxon Gas System, Inc., filed the following Petition for Rate Approval subsequent to their initial report. The rate petition is noticed at this time to give interested parties the appropriate 150-day comment period.

|          |                        |                             |          |   |          |       |
|----------|------------------------|-----------------------------|----------|---|----------|-------|
| ST85-769 | Exxon Gas System, Inc. | Humble Gas Transmission Co. | 08-15-85 | C | 01-12-86 | 12.50 |
|----------|------------------------|-----------------------------|----------|---|----------|-------|

<sup>1</sup> The noticing of these filings does not constitute a determination of whether the filings comply with the Commission's Regulations.

<sup>2</sup> The intrastate pipeline has sought Commission approval of its transportation rate pursuant to § 248.123(b)(2) of the Commission's Regulations (18 CFR 284.123(b)(2)). Such rates are deemed fair and equitable if the Commission does not take action by the date indicated.

[FR Doc. 85-25083 Filed 10-21-85; 8:45 am]  
BILLING CODE 6417-01-M

[Docket Nos. QF85-732-000 et al.]

**Equitable Gas Co. et al.; Small Power Production and Cogeneration Facilities; Qualifying Status; Certificate Applications, etc.**

Comment date: Thirty days from publication in the *Federal Register*, in accordance with standard Paragraph E at the end of this notice.

Take notice that the following filings have been made with the Commission.

**1. Equitable Gas Company**

October 10, 1985.

[Docket No. QF85-732-000]

On September 25, 1985, Equitable Gas Company (Applicant) a division of Equitable Resources, Inc., of 420 Boulevard of the Allies, Pittsburgh, Pennsylvania 15219 submitted for filing an application for certification of a facility as a qualifying cogeneration facility pursuant to § 292.207 of the Commission's regulations. No determination has been made that the submittal constitutes a complete filing.

The topping-cycle cogeneration facility will be located at the Applicant's address in Pittsburgh, Pennsylvania. The facility will contain two (2) reciprocating engine generator sets combined with heat recovery boilers. The low pressure steam from the boilers and heat from the engine jacket water, will be utilized in the building for space and water heating and also in the absorption chillers for air conditioning. The primary energy source will be natural gas. The electric power production capacity of the facility will be 700 kW. The installation of the facility is expected to begin in November, 1985.

**2. Cogentrix of Virginia, Inc. (Allied Corporation)**

October 10, 1985.

[Docket No. QF85-736-000]

On September 30, 1985, Cogentrix of Virginia, Inc. (Applicant), of Two Parkway Plaza, Suite 290, Charlotte, North Carolina 28210 submitted for filing an application for certification of a facility as a qualifying cogeneration facility pursuant to § 292.207 of the Commission's regulations. No determination has been made that the submittal constitutes a complete filing.

The proposed topping-cycle cogeneration facility will be located at the Allied Corporation, Fibers Division plant, Hopewell, Virginia 23860. The facility will contain Six (6) stoker-fired boilers and two (2) condensing/extraction steam turbine-generators. The extracted steam will be utilized for process needs at the Allied Corporation chemical manufacturing plant. The primary energy source will be coal. The net electric power production capacity of the facility will be 77,800 kW. The facility is scheduled to start commercial operation on or about December 31, 1987.

**3. Klondike Equity Enterprises, Inc. (Klondike III)**

October 10, 1985.

[Docket No. QF85-740-000]

On September 30, 1985, Paul R. Sorenson, President, Klondike Equity Enterprises, Inc. (Applicant) of Box 100 Newport Beach, California 92662 submitted for filing an application for certification of a facility known as Klondike III as a qualifying cogeneration facility pursuant to § 292.207 of the Commission's regulations. No determination has been made that the submittal constitutes a complete filing.

The proposed topping-cycle Klondike III cogeneration facility is located South of the intersection of Crown Valley Parkway and Cabot Road, Orange County, California. The facility will contain a combustion turbine-generator, a two pressure level heat recovery boiler (HRB) and an extraction steam turbine-generator. The extracted steam together with low pressure steam from the HRB will be supplied to the absorption refrigeration equipment and heating needs at the athletic facility. The net electric power production of the facility will be 27,6045 kW. The primary energy source will be natural gas. The facility is scheduled to start commercial operation in Spring of 1987.

**4. Mat-Su Energy Corporation**

October 10, 1985.

[Docket No. QF85-721-000]

On September 23, 1984, Mat-Su Energy Corporation (Applicant), of General Delivery, Wasilla, Alaska 99687 submitted for filing an application for certification of a facility as a qualifying small power production facility pursuant to § 292.207 of the Commission's regulations. No determination has been made that the submittal constitutes a complete filing.

The facility will be located in Matanuska-Susitna Burrough, Willow, Alaska. The primary energy source will be biomass in the form of wood pellets. The electric power production capacity will be 12 megawatts. The facility has no plans for the use of natural gas, oil or coal.

**5. Pacific Light Energy System**

October 10, 1985.

[Docket No. QF85-726-000]

On September 24, 1985, Pacific Lighting Energy Systems (Applicant), of



6055 East Washington Boulevard, Suite 600, City of Commerce, California 90040 submitted for filing an application for certification of a facility as a qualifying small power production facility pursuant to § 292.207 of the Commission's regulations. No determination has been made that the submittal constitutes a complete filing.

The facility will be located at the Byxbee Park landfill, 2380 Embarcadero Road, Palo Alto, California. The primary energy source will be biomass in the form of landfill gas, generated from the anaerobic digestion by methanogenic bacteria of refuse and other solid wastes deposited in a sanitary landfill. The electric power production capacity will be approximately 2.2 megawatts. No use of natural gas, oil, or coal is planned by the facility.

#### 6. Remedial Resource Recovery

October 10, 1985.

[Docket No. QF85-733-000]

On September 26, 1985, Remedial Resource Recovery (Applicant), of East Conway Road, Center Conway, New Hampshire 03813 submitted for filing an application for certification of a facility as a qualifying small power production facility pursuant to § 292.207 of the Commission's regulations. No determination has been made that the submittal constitutes a complete filing.

The facility will be located in Center Conway, New Hampshire. The primary energy source will be biomass in the form of municipal and industrial solid wastes and wood chips bark. The net electric power production capacity of the facility will be 3.5 megawatts. There is no planned usage of natural gas, oil, or coal by the facility.

#### 7. The Energy Systems Company, Inc.

October 11, 1985.

[Docket No. QF85-737-000]

On September 30, 1985, The Energy Systems Company, Inc. (Applicant), of 1810 Craig Road, Suite 201, St. Louis, Missouri 63146, submitted for filing an application for certification of a facility as a qualifying small power production facility pursuant to § 292.207 of the Commission's regulations. No determination has been made that the submittal constitutes a complete filing.

The topping-cycle cogeneration facility will be located at Schuylkill County, Pennsylvania. It will consist of a circulating fluidized bed boiler and an extraction condensing steam turbine generating unit. Extraction steam produced by the facility will be used for product drying and space heating in industrial process facilities. The net electric power production capacity of

the facility will be 40 MW. The primary energy source will be anthracite waste. The installation of the facility will begin at mid-year 1986.

#### 8. Union Underwear Co., Inc.

October 11, 1985.

[Docket No. QF85-727-000]

On September 23, 1985, Union Underwear Co., Inc. (Applicant), One Fruit of the Loom Drive, Bowling Green, Kentucky 42102-0780, submitted for filing an application for certification of a facility as a qualifying cogeneration facility pursuant to § 292.207 of the Commission's regulations. No determination has been made that the submittal constitutes a complete filing.

The topping-cycle cogeneration facility will be located at St. Martinville, Louisiana. It will consist of two steam boilers and one steam turbine generating unit. The facility will produce process steam for a textile manufacturing facility. The electric power production capacity of the facility will be 460 kW. The primary energy source will be natural gas. The installation of the facility will be in early 1986.

#### 9. Painted Wind Developers

October 11, 1985.

[Docket No. QF85-738-000]

On September 30, 1985, Painted Hills Wind Developers (Applicant), of 112 South Curry Street, Tehachapi, California 93561 submitted for filing an application for certification of a facility as a qualifying small power production facility pursuant to § 292.207 of the Commission's regulations. No determination has been made that the submittal constitutes a complete filing.

The facility is located in the San Geronio Pass near Palm Springs, California. The primary energy source is wind. The facility consists of 170 wind turbine generators with a rated capacity of 100 kilowatts each and 61 wind turbine generators with a rated capacity of 65 kilowatts each, for a net electric power production capacity of approximately 23 megawatts.

#### 10. Basic American Foods

October 11, 1985.

[Docket No. QF85-735-000]

On September 30, 1985, Basic American Foods (Applicant) of 550 Kearny Street, Suite 1000, San Francisco, California 94108, submitted for filing an application for certification of a facility as a qualifying cogeneration facility pursuant to § 292.207 of the Commission's regulations. No

determination has been made that the submittal constitutes a complete filing.

The combined-cycle cogeneration facility will be located in King City, California. It will consist of a combustion turbine generation unit with a heat recovery steam generator, and an extraction steam turbine generating unit. Extraction steam produced will be used in the food processing plant. The primary energy source will be natural gas. The electric power production capacity of the facility will be 121 MW. Installation of the facility will commence in mid-September of 1987.

#### 11. CoGen Kern Bluff, Inc.

October 11, 1985.

[Docket No. QF85-728-000]

On September 25, 1985, CoGen Kern Bluff, Inc. (Applicant), P.O. Box 19398, Houston, Texas 77224, submitted for filing an application for certification of a facility as a qualifying cogeneration facility pursuant to § 292.207 of the Commission's regulations. No determination has been made that the submittal constitutes a complete filing.

The topping-cycle cogeneration facility will be located at Kern County, California. It will consist of a combustion turbine generating unit with a heat recovery steam boiler. Steam produced will be used for enhanced oil recovery by Petro-Lewis Corporation. The electric power production capacity of the facility will be 45 MW. The primary energy source will be natural gas. The installation of the facility will be in April 1986.

#### 12. Cogenic Energy Systems, Inc.

October 11, 1985.

[Docket No. QF85-716-000]

On September 20, 1985, Cogenic Energy Systems, Inc. (Applicant) of 135 Haven Avenue, Port Washington, New York 11050, submitted for filing an application for certification of a facility as a qualifying cogeneration facility pursuant to § 292.207 of the Commission's regulations. No determination has been made that the submittal constitutes a complete filing.

The topping cycle cogeneration facility will be installed at the Quality Inn, Lancaster, Pennsylvania. The facility will consist of an internal combustion engine, an induction generator and waste heat recovery equipment. The maximum electric power production capacity of the facility will be 150 kW. The primary source of energy will be natural gas.



**Standard Paragraph**

E. Any person desiring to be heard or to protest said filing should file a motion to intervene or protest with the Federal Energy Regulatory Commission, 825 North Capitol Street, N.E., Washington, D.C. 20426, in accordance with Rules 211 and 214 of the Commission's Rules of Practice and Procedure (18 CFR 385.211 and 385.214). All such motions or protests should be filed on or before the comment date. Protests will be considered by the Commission in determining the appropriate action to be taken, but will not serve to make protestants parties to the proceeding. Any person wishing to become a party must file a motion to intervene. Copies of this filing are on file with the Commission and are available for public inspection.

Kenneth F. Plumb,

Secretary.

[FR Doc. 85-25087 Filed 10-21-85; 8:45 am]

BILLING CODE 6717-01-M

**Western Area Power Administration****Final Allocations of the 3.125 Percent (50 MW) of Transfer Capability on the California-Oregon Transmission Project Among Non-Federal Public Entities**

**AGENCY:** Western Area Power Administration, Department of Energy.

**ACTION:** Announcement of Final Allocations of the 3.125 Percent (50 MW) of Transfer Capability on the California-Oregon Transmission Project among Non-Federal Public Entities.

**SUMMARY:** On August 7, 1985, the Final Applicant Eligibility Criteria, Terms and Conditions, and procedures governing the allocation of the 3.125 percent of transfer capability were announced (50 FR 31912). The August 7 Federal Register notice also was an announcement of proposed allocations of the 3.125 percent of transfer capability. A public comment forum on these subjects was held on August 21 and written comments were due by September 6, 1985.

The Western Area Power Administration (Western) has reviewed each of the comments submitted in writing or presented orally at the public comment forum. After serious consideration of the comments received, Western has decided to finalize the proposed allocations without change. Western's responses to the comments are contained in this Federal Register notice.

**ADDRESS:** For further information contact: Mr. David G. Coleman, Area Manager, Sacramento Area Office,

Western Area Power Administration, 1825 Bell Street, Sacramento, CA 95825, Telephone (916) 978-4418.

**Final Allocations**

The proposed allocations set forth in the Federal Register notice (50 FR 31912), August 7, 1985, are adopted as final allocations without change. The basis for selection of selection of each allottee and the determination of the amount of an allocation, as set forth in the August 7 Federal Register notice, are adopted by reference. The following final allocations are percentages of total transfer capability of the project. The megawatt values are stated for reference only and are based on an estimated transfer capability of 1,600 MW.

| Entity   | Final allocation (percent/MW) |
|--|-------------------------------|
| 1. Southern San Joaquin Valley Power Authority | 2.0625/33                     |
| 2. Trinity County Public Utility District      | 0.3125/ 5                     |
| 3. Shasta Dam Area Public Utility District     | 0.4375/ 7                     |
| 4. San Juan Suburban Water District            | 0.0625/ 1                     |
| 5. El Dorado Hills Community Services District | 0.1875/ 3                     |
| 6. Carmichael Water District                   | 0.0625/ 1                     |
| Total  | 3.125 /50                     |

**Response to Comments**

The following comments and responses are those that deal solely with the proposed allocations. Several comments were received on the Final Applicant Eligibility Criteria and the Final Terms and Conditions. The opportunity to comment on the proposed criteria and proposed terms and conditions, set forth in the June 3, 1985, Federal Register notice (50 FR 23356), was provided during the 30-day comment period which ended July 3, 1985. Comments submitted during this period were considered when the Final Criteria and Final Terms and Conditions were written. Therefore, unless used by a commenter in its comments on the allocations, comments seeking a substantive change to the Final Applicant Eligibility Criteria and Final Terms and Conditions are considered to be not timely filed, and no responses are provided.

In responding to the comments, Western used as guidance Title III of the Energy and Water Development Appropriation Act for fiscal year 1985 (Pub. L. 98-360), the legislative history of Pub. L. 98-360, particularly the Conference Report (Report 98-866, 98th Congress, 2nd Session), the Memorandum of Understanding (MOU) dated December 19, 1984, for the financing, construction, and operation of a new alternating current 500-kV

transmission line from the Pacific Northwest to California (California-Oregon Transmission Project or COTP, also known as the "Harold T. (Bizz) Johnson California Pacific Northwest Intertie line" pursuant to Pub. L. 99-88), the Memorandum of Decision (MOD) of the Secretary of Energy dated February 7, 1985, and the letter dated May 4, 1985, signed by Eric J. Fygi, Acting General Counsel for the Department of Energy (Fygi letter), which clarifies certain issues raised by some of the original participants in response to the MOD.

**Comment 1:** Allocations to the El Dorado Hills Community Services District (El Dorado), the Shasta Dam Area Public Utility District (Shasta PUD), and the Trinity County Public Utility District (Trinity PUD).

The Pacific Gas and Electric Company (PG&E) urged reconsideration of the allocations to El Dorado and Trinity PUD on the basis that they have no need for transfer capability from the Northwest. Regarding El Dorado, PG&E states that criterion 4—by the inservice date of the project, the applicant must either be an irrigation or water district with pumping load or a utility which owns and operates its electric system—excludes this applicant because it is not an irrigation or water district, and has not supplied electricity in the past, does not do so currently, and there is no "persuasive evidence" that it will in the future.

PG&E, in addition to stating that Trinity PUD has no need for the transfer capability, points out that there is no economic benefit to this allottee when the cost of Northwest power is compared to the cost of Central Valley Project (CVP) preference power under its first preference entitlement. PG&E states that "the only rational thing TCPUD could rationally do (with its allocation) is assign it . . . and make some money on the deal . . . that would be grossly inequitable" to other proposed utilities and the current participants who would use the project "to transmit economical power, not to reap windfall profits".

The Southern San Joaquin Valley Power Authority (San Joaquin) questioned the allocation to El Dorado as an allotment to a nonirrigation entity with no electric utility obligation, and the allocations to Trinity PUD and Shasta PUD, because they are two CVP customers who are served lower cost CVP power and "do not have present demands for the proposed intertie allocations above their CVP power source".

Shasta PUD states that the allocation to El Dorado, which is trying to acquire



an electrical system, would be at the expense of themselves and "is inconceivable".

**Response 1:** El Dorado acquired the legal authority to obtain electric utility responsibility in 1982 (California Government Code, Title 6, section 61601.11). As provided by Final Applicant Eligibility criterion 4, El Dorado has until the inservice date of the project to acquire ownership and operational responsibility of its distribution system. However, first on the critical path is compliance with Final Term and Condition 3, which requires payment of its share of all upfront costs within 3 months after announcement of the final allocations, unless otherwise agreed between El Dorado and the other participants. If El Dorado fails to meet this condition, its allocation will be revoked for redistribution in accordance with Final Terms and Conditions 4 and 5. El Dorado has stated that it will comply with these Terms and Conditions. Western does not have, pursuant to these Terms and Conditions, a basis for revoking the allocation to El Dorado. For these reasons, Western reaffirms its allocation to El Dorado.

PGandE and San Joaquin raise the issue of allocating based on the economic benefit of the transfer capability to the Trinity PUD. Western has considered these comments. However, Western believes that the allocation to Trinity PUD is consistent with the Fygi letter in regard to non-Federal public entities that had responded to the Federal Register notices about the project, but had been unable to participate in the MOU negotiations. Furthermore, the need and usage of an allocation of transfer capability are time related. While Trinity PUD may be able to meet its current demands with CVP power, its resource plan could include utilization of its allocation.

Although Shasta PUD is a current customer of the CVP, its allocation of preference power is slightly less than its current demand. Therefore, San Joaquin's comment about Shasta PUD not having a demand above its CVP allocation is not accurate. Also, Shasta PUD's load is expected to grow another 10 megawatts by 1995.

**Comment 2a:** Shasta PUD questioned the "lion's share of power" allocated to the members of San Joaquin when transmission service to these entities has not yet been answered.

**Response 2a:** The Fygi letter states that:

Congress intended for irrigation districts to have an opportunity to participate . . . in the

Project . . . His (the Secretary's) primary intent was to ensure that any such entities which qualified and paid their pro-rata participation share would receive net economic benefits proportionally equivalent to the other participants. The MOD was not intended to impose wheeling precedents, but rather to ensure such participants the full economic benefit of the bargain Congress had decided they were eligible to make.

The Fygi letter went on further to state that the economic arrangements were to be worked out among the parties in conjunction with these allocation proceedings. In these proceedings, Western is affording an opportunity to participate. The issue of wheeling is not a matter addressed in this proceeding.

**Comment 2b:** PGandE's comment on the allocation to San Joaquin:

The demonstrated need and economic condition of the agricultural community should prevail over those who not only have no demonstrated need but already have access to preference power sufficient to supply all their needs for the reasonably foreseeable future.

Accordingly, PGandE urged Western to reconsider its allocations to Trinity PUD and El Dorado, and to allot their allocations to other more needy applicants.

**Response 2b:** Western agrees that the irrigation districts have a need, but disagrees that their needs should prevail over El Dorado and Trinity PUD. Need is a relative matter which Western has evaluated. Western has provided the majority of the 3.125 percent of transfer capability to San Joaquin which represents a number of irrigation districts.

**Comment 3:** Shasta PUD demanded reconsideration of its 20 megawatt allocation request using as arguments: (1) Based on population statistics, the allocation should have been 22 to 23 megawatts; (2) its proximity to the proposed project will allow a direct tap; (3) power from the nearby Shasta and Keswick CVP facilities has been exported to other entities in lieu of Shasta PUD; and (4) CVP customers who are faced with the potential withdrawal of CVP power should be considered first, not new customers.

**Response 3:** Population size alone is not necessarily a reasonable basis for an allocation. Proximity to the project and an allottee's status as a CVP customer are not relevant to affording eligible entities within the marketing area an opportunity to participate. The reference to exportation of power from the Shasta and Keswick power facilities apparently alludes to "county of origin" legislation enacted by Congress for other areas near CVP generation. Should

Congress enact a law reserving CVP power for usage within Shasta County, Western would carry out the legislative desire. However, the absence of such legislation does not mean that Shasta PUD should have a greater right to project transfer capability. Western, in allocating transfer capability in this Federal Register notice, is carrying out the intention of the Secretary of Energy as expressed in the MOD of February 7, 1985. The Secretary did not intend that an allocation of transfer capability be related to an entity's status as an existing CVP power customer.

**Comment 4:** The announcement of the final allocations of transfer capability in relation to Final Term and Condition 3.

PGandE, Southern California Edison (SCE), and the Transmission Agency of Northern California (TANC) expressed their concern that the announcement of the final allocations may occur before the Project Development Agreement (PDA) is executed. Under Final Term and Condition 3, the allottees are required, unless otherwise agreed between the allottees and current participants, to enter into applicable agreements within 3 months of the announcement. Execution of the PDA, as of the approaching publication date of the final allocations, was imminent. The Project Management Committee has substantially approved this agreement. However, the publication of the final allocations would likely occur before all the parties executed the PDA, causing concern that the PDA may be reopened for negotiation.

**Response 4:** Western's publication of the final allocations is being done to allow the allottees as much lead time as possible to prepare and to become participants in the project. However, Western shares the concern to avoid delay of the project as expressed by PGandE, TANC, and SCE. Therefore, Western includes the PDA as an "applicable agreement" as used in Term and Condition 3. We note, however, that these allocations are pursuant to the MOU, MOD, and Fygi letter, and are not assignments under the PDA.

**Comment 5:** Ramona Water District (Ramona) requested that it be reconsidered for an allocation because a recent management reorganization caused it to miss the filing deadline.

**Response 5:** Western is sympathetic to Ramona's failure to make the filing deadline. However, the recent managerial reorganization was entirely internal to Ramona. Western must establish deadlines for applications to achieve certainty and finality in the allocation of resources. The enforcement of such a deadline is fair and equitable



to those entities that applied in a timely manner. Although Western regrets that Ramona missed the deadline, reconsideration would create an undesirable precedent and is accordingly denied.

#### National Environmental Policy Act

Western is required to conduct an environmental evaluation of certain power marketing actions in compliance with the National Environmental Policy Act (NEPA) of 1969, and the DOE regulations published in the Federal Register (45 FR 20694, as amended). Under the DOE guidelines, Western has determined that this action is not a major Federal action in the context of NEPA and the DOE regulations, and clearly has no significant environmental effects. Therefore, no further environmental documentation is required.

#### Availability of Information

All brochures, studies, comments, letters, memorandums, and other documents made or kept by Western for the development of these rules will be available for inspection and copying at the Sacramento Area Office, Western Area Power Administration, 1825 Bell Street, Sacramento, California 95825, (916) 978-4418.

Issued at Golden, Colorado, October 10, 1985.

William H. Clagett,  
Administrator.

[FR Doc. 85-25075 Filed 10-21-85; 8:45 am]  
BILLING CODE 6450-01-M

#### Collbran Project: Proposed Power Rate Adjustment

**AGENCY:** Western Area Power Administration, Department of Energy.  
**ACTION:** Notice of Proposed Power Rate Adjustment—Collbran Project, Colorado.

**SUMMARY:** The Western Area Power Administration (Western) is proposing a rate increase for power and energy from the Collbran Project (Collbran). The rate increase is required to cover increased annual operating expenses and to repay the Federal investment in the project. The proposed rate for all project power is 22.7 mills per kWh. The present rate is 19.3 mills per kWh for firm and nonfirm energy. A brochure explaining the need for a rate increase and outlining the methodology used in developing the current proposed rate will be distributed to the single Collbran power customer and other interested parties. Since the proposed Collbran rate adjustment is a "minor" rate adjustment as defined by

the current official procedures for public participation in general rate adjustments, public information and comment forums are not required. However, an informal public meeting will be held. (A "minor" rate adjustment in this case "is for a power system which has either annual sales normally less than 100 million kilowatthours or an installed capacity of less than 20,000 kilowatts," both of which apply to Collbran.) After public discussions and review of public comments, Western will decide on a final proposed rate.

**DATES:** The consultation and comment period will begin with publication of this notice in the Federal Register and will end 30 days thereafter or 15 days after the close of the public meeting. The proposed rate will go into effect about January 1, 1986.

An informal public meeting, at which Western will outline the reasons for the rate increase, will be held at the Salt Lake City Area Office, 438 East 200 South, Salt Lake City, Utah, beginning at 1 p.m. on November 5, 1985. Western will answer questions and accept comments at this meeting. Written comments should be received by the end of the consultation and comment period to be assured of consideration. Written comments may be submitted at the public meeting or sent to the address below.

**FOR FURTHER INFORMATION CONTACT:** Mr. Lloyd Greiner, Area Manager, Salt Lake City Area Office, Western Area Power Administration, P.O. Box 11606, Salt Lake City, UT 84147, (801) 524-5493.

**SUPPLEMENTARY INFORMATION:** Power rates for Collbran are established pursuant to the Department of Energy Organization Act of August 4, 1977 (42 U.S.C. section 7101, et seq.); the Reclamation Act of 1902 (42 U.S.C. section 372, et seq.), as amended and supplemented by subsequent enactments, particular section 9(c) of the Reclamation Project Act of 1939 (43 U.S.C. section 485h(c)); and the acts specifically applicable to the project or system involved.

The Secretary of Energy delegated to the Deputy Secretary of the Department of Energy, by Delegation Order No. 0204-108 (48 FR 55664, December 14, 1983), the authority to confirm, approve, and place in effect on an interim basis power and transmission rates for Western. The delegation order also gave the Federal Energy Regulatory Commission the authority to make a final decision either confirming and approving, disapproving, or remanding such rates.

Procedures for public participation in rate adjustments for power marketed by

Western (10 CFR Part 903) were published in the Federal Register (50 FR 37835, September 18, 1985).

#### Availability of Information

All brochures, studies, comments, letters, memorandums, and other documents made or kept by Western for the purpose of developing the proposed rate are and will be available for inspection and copying at the Salt Lake City Area Office.

#### Regulatory Flexibility Analysis

Pursuant to the Regulatory Flexibility Act of 1980 (5 U.S.C. section 602, et seq.) each agency, when required by 5 U.S.C. section 553 to publish a proposed rule, is further required to prepare and make available for public comment an initial regulatory flexibility analysis to describe the impact of the proposed rule on small entities. In this instance, the rate adjustment for Collbran relates to nonregulatory services provided by Western at a particular rate. Under 5 U.S.C. section 601(2), rates or services of particular applicability are not considered "rules" within the meaning of the act. Since the rate for Collbran power is of limited applicability and is being set in accordance with specific regulations and legislation under particular circumstances, Western believes that no flexibility analysis is required.

#### Determination Under Executive Order 12291

The Department of Energy has determined that this is not a major rule because it does not meet the criteria of section 1(b) of Executive Order 12291 (48 FR 13193, February 19, 1981). Western has an exemption from sections 3, 4, and 7 of Executive Order 12291.

#### Environmental Evaluation

In compliance with the National Environmental Policy Act of 1969 (NEPA), Council of Environmental Quality (CEQ) regulations, and the Department of Energy guidelines, Western conducts environmental evaluations of certain rate and allocation actions. Western will compare the proposed power rate increase to the rate of inflation in the period since the existing power rate was placed in effect. If the proposed power rate increase exceeds the rate of inflation, an Environmental Assessment will be prepared and copies will be sent to interested persons upon request. If the proposed power rate increase does not exceed the rate of inflation, a memorandum to this effect will be



prepared and copies will be sent to interested persons upon request.

Issued at Golden, Colorado, October 11, 1985.

William H. Clagett,  
Administrator.

[FR Doc. 85-25076 Filed 10-21-85; 8:45 am]

BILLING CODE 6450-01-M

### Colorado River Storage Project; Proposed Adjustment of Transmission Rates

**AGENCY:** Western Area Power Administration, Department of Energy.

**ACTION:** Notice of Proposed Adjustment of Transmission Rates.

**SUMMARY:** The Western Area Power Administration (Western) is proposing to adjust the Colorado River Storage Project (CRSP) firm and nonfirm transmission rates. The proposed adjustments would increase the firm transmission rate from the present \$10.27 to \$15.94 per kilowatt-year and the nonfirm transmission rate from the present 2.0 to 3.1 mills per kilowatthour. The adjustment results in a less than 1 percent change in annual revenues, and is therefore a minor rate adjustment as defined by the current procedures for public participation in rate adjustment. A brochure will be distributed to all CRSP customers and other interested parties, and an informal public meeting will be held in accordance with the current procedures for public participation in rate adjustments. Information in support of the need for and derivation of the proposed rate adjustments is explained in detail in the brochure.

**DATES:** The effective date of the rate adjustments is estimated to be the beginning of the January 1986, billing period. Western will outline the reasons for the rate increases and the public will be afforded an opportunity to comment orally or in writing on the proposed rate increases at an informal meeting which will be held: November 6, 1985, 8:30 a.m., Marriot Hotel, 75 South West Temple, Salt Lake City, Utah. Interested persons will be afforded an opportunity to consult with and make comments to Western during the consultation and comment period, which begins on the date of publication of the notice and ends 30 days thereafter or 15 days after the close of the public meeting. Written comments may be submitted at the meeting or may be submitted at the address below, but should be received at that address by the end of the consultation and comment period.

**FOR FURTHER INFORMATION CONTACT:** Mr. Lloyd M. Greiner, Area Manager, Salt Lake City Area Office, Western Area Power Administration, P.O. Box 11606, Salt Lake City, UT 84147, (810) 524-5493.

**SUPPLEMENTARY INFORMATION:** Transmission rates for CRSP are established pursuant to the Department of Energy Organization Act of August 4, 1977 (42 U.S.C. section 7101 et seq.); Colorado River Storage Project Act (43 U.S.C. section 620 et seq.); the Reclamation Act of 1902 (43 U.S.C. section 372 et seq.), as amended and supplemented by subsequent enactments, particularly section 9(c) of the Reclamation Project Act of 1939 (43 U.S.C. section 485h(c)); and the acts specifically applicable to the project or system involved.

The Secretary of Energy delegated to the Deputy Secretary of the Department of Energy by Delegation Order No. 0204-108 (48 FR 5564, December 14, 1983), the authority to confirm, approve, and place in effect on an interim basis, power and transmission rates for Western. The delegation order also gave the Federal Energy Regulatory Commission the authority to make a final decision either to confirm and approve, remand, or disapprove such rates.

Procedures for public participation in rate adjustments for power markets by Western (10 CFR Part 903) were published in the Federal Register (50 FR 37835, September 18, 1985).

#### Availability of Information

All brochures, studies, comments, letters, memorandums, and other documents made or kept by Western for the purpose of developing the proposed rate are and will be available for inspection and copying at the Salt Lake City Area Office.

#### Regulatory Flexibility Analysis

Pursuant to the Regulatory Flexibility Act of 1980 (5 U.S.C. section 601 et seq.) each agency, when required by 5 U.S.C. section 553 to publish a proposed rule, is further required to prepare and make available for public comment an initial regulatory flexibility analysis to describe the impact of the proposed rule on small entities. In this instance, the rate adjustment for CRSP power relates to nonregulatory services provided by Western.

Under 5 U.S.C. section 601(2), rates of services of particular applicability are not considered "rules" within the meaning of the act. Since the proposed rate of CRSP power is of limited applicability and is being set in accordance with specific legislation under particular circumstances, Western

believes that no flexibility analysis is required.

#### Environmental Evaluation

In compliance with the National Environmental Policy Act of 1969 (NEPA), Council of Environmental Quality (CEQ) regulations, and the Department of Energy guidelines, Western conducts environmental evaluations of certain rate and allocation actions. Western will compare the proposed power rate increase to the rate of inflation in the period since the existing power rate was placed in effect. If the proposed power rate increase exceeds the rate of inflation, an Environmental Assessment will be prepared and copies will be sent to interested persons upon request. If the proposed power rate increase does not exceed the rate of inflation, a memorandum to this effect will be prepared and copies will be sent to interested persons upon request.

#### Determination Under Executive Order 12291

The Department of Energy has determined that this is not a major rule because it does not meet the criteria of section 1(b) of Executive Order 12291 (46 FR 13193, February 19, 1981). Western has an exemption from sections 3, 4, and 7 of Executive Order 12291.

#### Conclusion

Following the consultation and comment period and after consideration of comments received, the Deputy Secretary will issue a rate order confirming and approving the rates to be placed in effect on an interim basis and promptly submit such rates to the Federal Energy Regulatory Commission for confirmation and approval on a final basis.

Issued in Golden, Colorado, October 11, 1985.

William H. Clagett,  
Administrator.

[FR Doc. 85-25077 Filed 10-21-85; 8:45 am]

BILLING CODE 6450-01-M

### ENVIRONMENTAL PROTECTION AGENCY

[OPTS-51590; TSH-FRL 2904-7]

#### Certain Chemicals Premanufacture Notices

##### Correction

In FR Doc. 85-23115, beginning on page 39167 in the issue of Friday, September 27, 1985, make the following corrections:

1. On page 39168, second column:



a. In P-85-1441, seventh line, "sulfphenyl]" should read "sulfophenyl]".

b. In P-85-1442, third line, "Bonzoic" should read "Benzoic".

2. On page 39170, first column, in P-85-1464, in the *Toxicity Data* paragraph, "gO<sub>2</sub>" should read "gO<sub>2</sub>" in the third and seventh lines.

3. On page 39170, in the first and second columns, the "greater-than" symbol (">") should be replaced with a "lesser-than" symbol ("<") in the *Environmental Release/Disposal* paragraphs of the following PMN's: P-85-1465, P-85-1466, P-85-1467, P-85-1468, and P-85-1469.

4. On page 39170 and 39171, beginning in the third column of page 39170, "1 kb/batch" should read "1 kg/batch" in the *Environmental Release/Disposal* paragraphs of the following PMN's: P-85-1471, P-85-1472, P-85-1473, P-85-1474, P-85-1475, P-85-1476.

5. On page 39171, first column, in the *Use/Production* paragraphs of P-85-1475 and P-85-1476, insert "Industrial" between "(S)" and "Polyurethane".

6. On page 39171, third column, the PMN following P-85-1487 should be headed "P-85-1488".

BILLING CODE 1505-01-M

[OPTS-51593; FRL-2914-1]

### Certain Chemicals Premanufacture Notices

**AGENCY:** Environmental Protection Agency (EPA).

**ACTION:** Notice.

**SUMMARY:** Section 5(a)(1) of the Toxic Substances Control Act (TSCA) requires any person who intends to manufacture or import a new chemical substance to submit a premanufacture notice (PMN) to EPA at least 90 days before manufacture or import commences. Statutory requirements for section 5(a)(1) premanufacture notices are discussed in EPA statements of the final rule published in the *Federal Register* of May 13, 1983 (48 FR 21722). This notice announces receipt of twenty PMNs and provides a summary of each.

**DATES:** Close of Review Period:

P 86-20, 86-23, 86-24 and 86-25—January 1, 1986

P 86-26, 86-27, 86-28, 86-29 and 86-30—January 4, 1986

P 86-31, 86-32, 86-33, 86-34, 86-35 and 86-36—January 5, 1986

P 86-37, 86-38, 86-39 and 86-40—January 6, 1986

P 86-41—January 7, 1986

Written comments by:

P 86-20, 86-23, 86-24 and 86-25—

December 2, 1985

P 86-26, 86-27, 86-28, 86-29 and 86-30—

December 5, 1985

P 86-31, 86-32, 86-33, 86-34, 86-35 and

86-36—December 6, 1985

P 86-37, 86-38, 86-39 and 86-40—

December 7, 1985

P 86-41—December 8, 1985

**ADDRESS:** Written comments, identified by the document control number "[OPTS-51593]" and the specific PMN number should be sent to: Document Control Officer (TS-793), Confidential Data Branch, Information Management Division, Office of Toxic Substances, Environmental Protection Agency, Rm. E-201, 401 M St., SW, Washington, DC 20460, (202) 382-3532.

### FOR FURTHER INFORMATION CONTACT:

Wendy Cleland-Hamnett, Premanufacture Notice Management Branch, Chemical Control Division (TS-794), Office of Toxic Substances, Environmental Protection Agency, Rm. E-611, 401 M St., SW, Washington, DC 20460, (202) 382-3725.

**SUPPLEMENTARY INFORMATION:** The following notice contains information extracted from the non-confidential version of the submission provided by the manufacturer on the PMNs received by EPA. The complete non-confidential document is available in the Public Reading Room E-107 at the above address.

### P 86-20

*Manufacturer.* Confidential.

*Chemical.* (G) Magnesim borate.

*Use/Production.* (G) Ceramic raw material. Prod. range. Confidential.

*Toxicity Data.* No data submitted.

*Exposure.* Confidential.

*Environmental Release/Disposal.* Confidential.

### P 86-23

*Manufacturer.* Velsico Chemical Corporation.

*Chemical.* (S) 1,2-Dichloroethanol, acetate.

*Use/Production.* (S) Site-limited and industrial intermediate in the synthesis of another chemical. Prod. range. Confidential.

*Toxicity Data.* No data submitted.

*Exposure.* Manufacture and processing: dermal, a total of 2 workers, up to 1/2 hr/da, up to 100 da/yr.

*Environmental Release/Disposal.* Release to air.

### P 86-24

*Importer.* CIBA-GEIGY Corporation.

*Chemical.* (G) Triazinyl piperidine derivative.

*Use/Import.* (G) Heat and light stabilizer for polymers. Import range. Confidential.

*Toxicity Data.* Acute oral: Male—5,000; Female—>2,000 mg/kg; Both—>2,000 mg/kg; Irritation: Skin—Non-irritant; Eye—Strong.

*Exposure.* Processing: dermal, a total of 20 workers, up to 1-2 hrs/da, up to 200 da/yr.

*Environmental Release/Disposal.* No release anticipated.

### P 86-25

*Manufacturer.* Borg-Warner Chemicals, Inc.

*Chemical.* (G) Polyetheramide.

*Use/Production.* (G) Industrial automotive, electronic and electrical applications and general molded or extruded articles. Prod. range. Confidential.

*Toxicity Data.* No data submitted.

*Exposure.* Manufacture and processing: dermal, a total of 65 workers, up to 8 hrs/da, up to 200 da/yr.

*Environmental Release/Disposal.* No release.

### P 86-26

*Manufacturer.* Allied Corporation.

*Chemical.* (G) Aromatic quaternary ammonium salts of phosphoric acid esters.

*Use/Production.* (S) Industrial surfactant in a solvent drying system. Prod. range. Confidential.

*Toxicity Data.* Acute oral: Between 5 - 10 mL/kg and between 2 - > 4 mL/kg; Acute dermal: > 2.0 mL/kg; Irritation: Skin - Mild to severe; Skin sensitizer: Strong.

*Exposure.* Manufacture and processing: dermal.

*Environmental Release/Disposal.* No release.

### P 86-27

*Manufacturer.* Confidential.

*Chemical.* (G) Polymeric diphenylmethane diisocyanate prepolymer.

*Use/Production.* (S) Industrial flame retardant cross-linking agent for castor oil modified polyols for potting and encapsulation of electrical components. Prod. range. Confidential.

*Toxicity Data.* No data submitted.

*Exposure.* Manufacture: dermal, a total of 6 workers, up to 8 hrs/da, up to 10 da/yr.

*Environmental Release/Disposal.* Trace amount released to air with 1 kg/batch to land. Disposal by Resource Conservation and Recovery Act procedures (RCRA).



**P 86-28**

*Importer.* E. I. du Pont de Nemours and Company, Inc.

*Chemical.* (G) Aliphatic, aromatic copolyester.

*Use/Import.* (G) Open, non-dispersive use. Import range. Confidential.

*Toxicity Data.* No data submitted.

*Exposure.* Processing: dermal, a total of 6 workers.

*Environmental Release/Disposal.* Release to land. Disposal by publicly owned treatment works (POTW), incineration and approved landfill.

**P 86-29**

*Manufacturer.* Lilly Industrial Coatings, Inc.

*Chemical.* (G) Methyl methacrylate, styrene, ethyl acrylate, polyfunctional monomer polymer.

*Use/Production.* (G) Industrial liquid paint, open, non-dispersive use. Prod. range. 56,000–66,000 kg/yr.

*Toxicity Data.* No data submitted.

*Exposure.* Manufacture and processing: dermal, a total of 28 workers, up to 12 hrs/da, up to 40 da/yr.

*Environmental Release/Disposal.* Less than 1 to 15 kg/batch released to air. Disposal by POTW and incineration.

**P 86-30**

*Manufacturer.* Confidential.

*Chemical.* (G) Unsaturated polyester.

*Use/Production.* (G) Dipping compound. Prod. range. Confidential.

*Toxicity Data.* No data submitted.

*Exposure.* Confidential.

*Environmental Release/Disposal.* Confidential.

**P 86-31**

*Manufacturer.* Confidential.

*Chemical.* (G) Polymethylene polyphenylisocyanate prepolymer.

*Use/Production.* (S) Industrial cross-linking agent for polyols used for potting and encapsulation applications. Prod. range. Confidential.

*Toxicity Data.* No data (on the PMN substance) submitted.

*Exposure.* Manufacture: dermal, a total of 6 workers, up to 8 hrs/da, up to 10 da/yr.

*Environmental Release/Disposal.* Trace released to air with 2 kg/batch to land. Disposal by RCRA procedures.

**P 86-32**

*Manufacturer.* E. I. du Pont de Nemours and Company, Inc.

*Chemical.* (G) Triarylphosphite.

*Use/Production.* (G) Catalyst raw material (contain use). Prod. range. Confidential.

*Toxicity Data.* No data submitted.

*Exposure.* Confidential.

*Environmental Release/Disposal.* Confidential.

**P 86-33**

*Manufacturer.* Confidential.

*Chemical.* (G) Polyester resin.

*Use/Production.* (G) Open, non-dispersive use. Prod. range. Confidential.

*Toxicity Data.* No data submitted.

*Exposure.* Processing: dermal, a total of 5 workers, up to 1 hr/da, up to 30 da/yr.

*Environmental Release/Disposal.* 1 to 10 kg/batch released to land. Disposal by landfill.

**P 86-34**

*Manufacturer.* E. I. du Pont de Nemours and Company, Inc.

*Chemical.* (G)

Tetrakis(triarylphosphite)nickel (0).

*Use/Production.* (G) Catalyst. Prod. range. Confidential.

*Toxicity Data.* No data submitted.

*Exposure.* Confidential.

*Environmental Release/Disposal.* Confidential.

**P 86-35**

*Manufacturer.* Confidential.

*Chemical.* (G) Phosphonate silylated silicate.

*Use/Production.* (G) Anticoalescing agent. Prod. range. Confidential.

*Toxicity Data.* Acute oral: 1.85 g/kg; Irritation: Skin—Slight, Eye—Severe.

*Exposure.* Manufacture: dermal, a total of 4 workers, up to 12 hrs/da, up to 8 da/yr.

*Environmental Release/Disposal.* 0.5 to 60.0 kg released to land. Disposal by incineration and landfill.

**P 86-36**

*Manufacturer.* Confidential.

*Chemical.* (G) Substituted epoxy resin.

*Use/Production.* (G) Industrial coatings polymer. Prod. range. 9,000–50,000 kg/yr.

*Toxicity Data.* No data submitted.

*Exposure.* Manufacture and processing: dermal, a total of 35 workers, up to 8 hrs/da, up to 30 da/yr.

*Environmental Release/Disposal.* 5 to 130 kg/batch released to land. Disposal by incineration and landfill.

**P 86-37**

*Manufacturer.* Chattem Chemicals.

*Chemical.* Cobalt-aluminum organometallic compound.

*Use/Production.* (G) Ingredient in coatings formulations. Prod. range. Confidential.

*Toxicity Data.* No data submitted.

*Exposure.* Manufacture: dermal, a total of 4 workers.

*Environmental Release/Disposal.* 1 kg/batch released to land. Disposal by solidification and landfill.

**P 86-38**

*Manufacturer.* The Dexter Corporation.

*Chemical.* (G) Organosilazane.

*Use/Production.* (G) Sealing Agent. Prod. range. Confidential.

*Toxicity Data.* No data submitted.

*Exposure.* Confidential.

*Environmental Release/Disposal.* 0.02 kg/batch released to air. Disposal by POTW.

**P 86-39**

*Manufacturer.* The Dexter Corporation.

*Chemical.* (G) Organosilazane.

*Use/Production.* (G) Sealing coating. Prod. range. Confidential.

*Toxicity Data.* No data submitted.

*Exposure.* Confidential.

*Environmental Release/Disposal.* 0.2 kg/batch released to air. Disposal by POTW.

**P 86-40**

*Manufacturer.* Confidential.

*Chemical.* (G) Formaldehyde, reaction products with aliphatic carboxylic acid, aromatic amines and oxygen.

*Use/Production.* (G) Open, non-dispersive use. Prod. range. Confidential.

*Toxicity Data.* No data submitted.

*Exposure.* Confidential.

*Environmental Release/Disposal.* Confidential. Disposal by POTW.

**P 86-41**

*Manufacturer.* Confidential.

*Chemical.* (G) Polyalkylene oxide, aliphatic diisocyanate prepolymer.

*Use/Production.* (G) Reactive elastomer. Prod. range. Confidential.

*Toxicity Data.* No data submitted.

*Exposure.* Confidential.

*Environmental Release/Disposal.* Confidential.

Dated: October 15, 1985.

Linda A. Travers,  
Acting Director, Information Management  
Division.

[FR Doc. 85-25126 Filed 10-21-85; 8:45 am]  
BILLING CODE 6560-50-M

[OPTS-59205; FRL-2914-2]

### Certain Chemicals Premanufacture Exemption Applications

AGENCY: Environmental Protection Agency (EPA).

ACTION: Notice.



**SUMMARY:** EPA may upon application exempt any person from the premanufacture notification requirements of section 5(a) or (b) of the Toxic Substance Control Act (TSCA) to permit the person to manufacture or process a chemical for test marketing purposes under section 5(h)(1) of TSCA. Requirements for test marketing exemption (TME) applications, which must either be approved or denied within 45 days of receipt, are discussed in EPA's final rule published in the *Federal Register* of May 13, 1983 (48 FR 21722). This notice, issued under section 5(h)(6) of TSCA, announces receipt of three applications for an exemption, provides a summary, and request comments on the appropriateness of granting each of the exemptions.

**DATE:** Written comment by: November 6, 1985.

**ADDRESS:** Written comments, identified by the document control number "[OPTS-59205]" and the specified TME number should be sent to: Document Control Officer (TS-793), Confidential Data Branch, Information Management Division, Office of Toxic Substances, Environmental Protection Agency, Rm. E-201, 401 M Street, SW., Washington, DC 20460, (202-382-3532).

**FOR FURTHER INFORMATION CONTACT:** Wendy Cleland-Hamnett, Premanufacture Notice Management Branch, Chemical Control Division (TS-794), Office of Toxic Substances, Environmental Protection Agency, Rm. E-611, 401 M Street, SW., Washington, DC 20460, (202-382-3725).

**SUPPLEMENTARY INFORMATION:** The following notice contains information extracted from the non-confidential version of the submission provided by the manufacturer on the TMEs received by EPA. The complete non-confidential document is available in the Public Reading Room E-107 at the above address.

T 86-1

**Close of review Period:** November 22, 1985.

**Manufacturer:** Confidential.

**Chemical:** (G) Anhydride adducted with a polypropylene glycol.

**Use/Production/Import:** (G) Epoxy cross-linking agent for potting, sealing and encapsulation of electrical components. Prod. range. Confidential.

**Toxicity Data:** Acute oral: 1,600 mg/kg; Irritation: Skin—Moderate.

**Exposure:** Confidential.

**Environmental Release/Disposal:** No data submitted.

T 86-2

**Close of Review Period:** November 22, 1985.

**Manufacturer:** The Dexter Corporation.

**Chemical:** (G) Organosilazane.

**Use/Production:** (G) Sealing coating. Prod. range. Confidential.

**Toxicity Data:** No data submitted.

**Exposure:** Confidential.

**Environmental Release/Disposal:** Confidential.

T 86-3

**Close of Review Period:** November 22, 1985.

**Manufacturer:** The Dexter Corporation.

**Chemical:** (G) Organosilazane.

**Use/Production:** (G) Sealing coating. Prod. range. Confidential.

**Toxicity Data:** No data submitted.

**Exposure:** Confidential.

**Environmental Release/Disposal:** Confidential.

**Dated:** November 15, 1985.

**Linda A. Travers,**

*Acting Director, Information Management Division.*

[FR Doc. 85-25127 Filed 10-21-85; 8:45 am]

**BILLING CODE 6560-50-M**

[OPTS-59736; FRL-2914-3]

### Certain Chemicals Premanufacture Notices

**AGENCY:** Environmental Protection Agency (EPA).

**ACTION:** Notice.

**SUMMARY:** Section 5(a)(1) of the Toxic Substances Control Act (TSCA) requires any person who intends to manufacture or import a new chemical substance to submit a premanufacture notice (PMN) to EPA at least 90 days before manufacture or import commences. Statutory requirements for section 5(a)(1) premanufacture notices are discussed in EPA statements of the final rule published in the *Federal Register* of May 13, 1983 (48 FR 21722). In the *Federal Register* of November 11, 1984, (49 FR 46066) (40 CFR 723.250), EPA published a rule which granted a limited exemption from certain PMN requirements for certain types of polymers. PMNs for such polymers are reviewed by EPA within 21 days of receipt. This notice announces receipt of two such PMNs and provides a summary of each.

**DATES:** Close of Review Period:

Y 86-5—October 29, 1985

Y 86-6—October 30, 1985

### FOR FURTHER INFORMATION CONTACT:

Wendy Cleland-Hamnett, Chemical Control Division (TS-794), Office of Toxic Substances, Environmental Protection Agency, Rm. E-611, 401 M St., SW., Washington, DC 20460, (202-382-3725).

**SUPPLEMENTARY INFORMATION:** The following notice contains information extracted from the non-confidential version of the submission by the manufacturer on the exemptions received by EPA. The complete non-confidential document is available in the Public Reading Room E-107 at the above address between 8:00 a.m. and 4:00 p.m., Monday through Friday, excluding legal holidays.

Y 86-5

**Manufacturer:** Confidential.

**Chemical:** (G) Silicone modified alkyd polymer.

**Use/Production:** (G) Polymeric binder for baked finishes. Prod. range. Confidential.

**Toxicity Data:** No data submitted.

**Exposure:** No data submitted.

**Environmental Release/Disposal:** No data submitted.

86-6

**Importer:** Celanese Specialty Chemicals.

**Chemical:** (G) Starch grafted sodium polyacrylate.

**Use/Import:** (S) Commercial absorbent polymer for use in nonwoven products and as an industrial soil conditioner. Import range. Confidential.

**Toxicity Data:** Acute oral: Male (Mice)—6,406 mg/kg; Male (Rat)—6,640 mg/kg; Irritation: (Skin—Non-irritant; Eye—irritant; Allergenicity test (Guinea pig): Contact allergenic; Cumulative contact enhancement test (Guinea pig): Non-contact allergenic; Skin sensitization: Non-irritant. Vaginal mucosa irritation study: Non-anoscopic and non-microscopic.

**Exposure:** No data submitted.

**Environmental Release/Disposal:** No data submitted.

**Dated:** October 15, 1985.

**Linda A. Travers,**

*Acting Director, Information Management Division.*

[FR Doc. 85-25125 Filed 10-21-85; 8:45 am]

**BILLING CODE 6560-50-M**



**FEDERAL HOME LOAN BANK BOARD****Golden Pacific Savings and Loan Association, Windsor, CA; Appointment of Receiver***Correction*

In FR Doc. 85-23667, appearing on page 40450 in the issue of Thursday, October 3, 1985, make the following correction:

In the first column, eighth and ninth lines, "Family Federal Savings and Loan Association" should read "Golden Pacific Savings and Loan Association".

BILLING CODE 1505-01-M

**Farmers Savings Bank, Davis, CA; Appointment of Receiver**

Notice is hereby given that pursuant to the authority contained in section 406(c)(1)(B) of the National Housing Act, as amended, 12 U.S.C. 1729(c)(1)(B) (1982), the Federal Home Loan Bank Board appointed the Federal Savings and Loan Insurance Corporation as sole receiver for Farmers Savings Bank, Davis, California, on October 11, 1985.

Dated: October 17, 1985.

Jeff Sconyers,  
Secretary.

[FR Doc. 85-25129 Filed 10-21-85; 8:45 am]

BILLING CODE 6720-01-M

**FEDERAL MARITIME COMMISSION****Indemnification of Passengers for Nonperformance of Transportation; Issuance of Certificate (Performance)**

Notice is hereby given that the following have been issued a Certificate of Financial Responsibility for Indemnification of Passengers for Nonperformance of Transportation pursuant to the provisions of section 3, Pub. L. 87-777 (80 Stat. 1357, 1358) and Federal Maritime Commission General Order 20, as amended (45 CFR Part 540): Exploration Cruise Lines, Inc., One Busch Place, St. Louis, Missouri 63118.

Dated: October 17, 1985.

Bruce A. Dombrowski,  
Acting Secretary.

[FR Doc. 85-25187 Filed 10-21-85; 8:45 am]

BILLING CODE 9730-01-M

**FEDERAL RESERVE SYSTEM****Agency Forms Under Review**

October 16, 1985.

**Background**

On June 15, 1984, the Office of Management and Budget (OMB) delegated to the Board of Governors of the Federal Reserve System (Board) its approval authority under the Paperwork Reduction Act of 1980, as per 5 CFR 1320.9, "to approve of and assign OMB control numbers to collection of information requests and requirements conducted or sponsored by the Board under conditions set forth in 5 CFR 1320.9." Board-approved collections of information will be incorporated into the official OMB inventory of currently approved collections of information. A copy of the SF 83 and supporting statement and the approved collection of information instrument(s) will be placed into OMB's public docket files. The following forms, which are being handled under this delegated authority, have received initial Board approval and are hereby published for comment. At the end of the comment period, the proposed information collection, along with an analysis of comments and recommendations received, will be submitted to the Board for final approval under OMB delegated authority.

**DATE:** Comments must be received within fifteen working days of the date of publication in the *Federal Register*.

**ADDRESS:** Comments, which should refer to the OMB Docket number (or Agency form number in the case of a new information collection that has not yet been assigned an OMB number), should be addressed to Mr. William W. Wiles, Secretary, Board of Governors of the Federal Reserve System, 20th and C Streets, NW., Washington, DC 20551, or delivered to room B-2223 between 8:45 a.m. and 5:15 p.m. Comments received may be inspected in room B-1122 between 8:45 a.m. and 5:15 p.m., except as provided in § 261.6(a) of the Board's Rules Regarding Availability of Information, 12 CFR 261.6(a).

A copy of the comments may also be submitted to the OMB desk officer for the Board: Robert Neal, Office of Information and Regulatory Affairs, Office of Management and Budget, New Executive Office Building, Room 3208, Washington, DC 20503.

**FOR FURTHER INFORMATION CONTACT:** A copy of the proposed form, the request

for clearance (SF 83), supporting statement, instructions, and other documents that will be placed into OMB's public docket files once approved may be requested from the agency clearance officer, whose name appears below. Federal Reserve Board Clearance Officer—Cynthia Glassman—Division of Research and Statistics, Board of Governors of the Federal Reserve System, Washington, DC 20551 (202-452-3822).

*Proposal to approve under OMB delegated authority the implementation of the following report:*

1. Report title: Report on Total Foreign Exchange Turnover

Agency form number: FR 3036A, B, & C

OMB Docket number: 7100-0215  
Frequency: One-time survey for month of March 1986

Reporters: 135 banks, 10 brokers, and 19 nonbank financial institutions

Small businesses are not affected.  
General description of report: This information collection is voluntary and is given confidential treatment [5 U.S.C. 552(b)(4) & (b)(8)].

This survey will gather information for March 1986 on turnover volume in the U.S. foreign exchange market from 135 banking institutions, 10 brokers, and 19 nonbank financial institutions. The information will materially assist in the evaluation of market conditions and in the implementation of monetary policy.

Board of Governors of the Federal Reserve System, October 16, 1985.

William W. Wiles,  
Secretary of the Board.

[FR Doc. 85-25069 Filed 10-21-85; 8:45 am]

BILLING CODE 6210-01-M

**American National Financial Corp. et al.; Formations of, Acquisitions by and Mergers of Bank Holding Companies**

The companies listed in this notice have applied for the Board's approval under section 3 of the Bank Holding Company Act (12 U.S.C. 1842) and § 225.14 of the Board's Regulation Y (12 CFR 225.14) to become a bank holding company or to acquire a bank or bank holding company. The factors that are considered in acting on the applications are set forth in section 3(c) of the Act (12 U.S.C. 1842(c)).

Each application is available for immediate inspection at the Federal Reserve Bank indicated. Once the application has been accepted for



processing, it will also be available for inspection at the offices of the Board of Governors. Interested persons may express their views in writing to the Reserve Bank or to the offices of the Board of Governors. Any comment on an application that requests a hearing must include a statement of why a written presentation would not suffice in lieu of a hearing, identifying specifically any questions of fact that are in dispute and summarizing the evidence that would be presented at a hearing.

Unless otherwise noted, comments regarding each of these applications must be received not later than November 8, 1985.

**A. Federal Reserve Bank of Atlanta** (Robert E. Heck, Vice President) 104 Marietta Street, NW., Atlanta, Georgia 30303:

1. *American National Financial Corporation*, Panama City, Florida; to become a bank holding company by acquiring 100 percent of the voting shares of The American National Bank, Panama City, Florida.

**B. Federal Reserve Bank of San Francisco** (Harry W. Green, Vice President) 101 Market Street, San Francisco, California 94105:

1. *Verde Valley Bancorp, Inc.*, Cottonwood, Arizona; to become a bank holding company by acquiring 80 percent of the voting shares of The Bank of Verde Valley, Cottonwood, Arizona (In Organization).

Board of Governors of the Federal Reserve System, October 16, 1985.

**James McAfee,**

*Associate Secretary of the Board.*

[FR Doc. 85-25067 Filed 10-21-85; 8:45 am]

BILLING CODE 6210-01-M

#### **Manufacturers Hanover Corp.; Application To Engage de Novo in Nonbanking Activities**

The company listed in this notice has filed an application under § 225.23(a)(3) of the Board's Regulation Y (12 CFR 225.23(a)(3)) for the Board's approval under section 4(c)(8) of the Bank Holding Company Act (12 U.S.C. 1843(c)(8)) and § 225.21(a) of Regulation Y (12 CFR 225.21(a)) to commence or to engage *de novo*, either directly or through a subsidiary, in a nonbanking activity. Unless otherwise noted, such activities will be conducted throughout the United States.

The application is available for immediate inspection at the Federal Reserve Bank indicated. Once the application has been accepted for processing, it will also be available for inspection at the offices of the Board of

Governors. Interested persons may express their views in writing on the question whether consummation of the proposal can "reasonably be expected to produce benefits to the public, such as greater convenience, increased competition, or gains in efficiency, that outweigh possible adverse effects, such as undue concentration of resources, decreased or unfair competition, conflicts of interests, or unsound banking practices." Any request for a hearing on this question must be accompanied by a statement of the reasons a written presentation would not suffice in lieu of a hearing, identifying specifically any questions of fact that are in dispute, summarizing the evidence that would be presented at a hearing, and indicating how the party commenting would be aggrieved by approval of the proposal.

Comments regarding the application must be received at the Reserve Bank indicated or the offices of the Board of Governors not later than November 15, 1985.

**A. Board of Governors of the Federal Reserve System**, (William W. Wiles, Secretary) Washington, D.C. 20551:

1. *Manufacturers Hanover Corporation*, New York, New York; to engage through its subsidiary, *Manufacturers Hanover Futures, Inc.*, New York, New York, in the activities of the execution and clearance of stock index futures contracts, options of stock index futures contracts, and municipal bond index futures contracts, and the provision of advisory services with respect to municipal bond index futures contracts. This application may be inspected at the Federal Reserve Bank of New York. These activities have been approved by Board Order as permissible for bank holding companies. *J.P. Morgan & Co. Incorporated*, 71 Federal Reserve Bulletin 251 (1985); *Bankers Trust New York Corporation*, 71 Federal Reserve Bulletin 111 (1985).

Board of Governors of the Federal Reserve System, October 16, 1985.

**James McAfee,**

*Associate Secretary of the Board.*

[FR Doc. 85-25068 Filed 10-21-85; 8:45 am]

BILLING CODE 6210-01-M

#### **HARRY S. TRUMAN SCHOLARSHIP FOUNDATION**

##### **Scholarships; Closing Date for Nominations From Eligible Institutions of Higher Education**

Notice is hereby given that, pursuant to the authority contained in the Harry S. Truman Memorial Scholarship Act, Pub. L. 93-642 (20 U.S.C. 2001),

nominations are being accepted from eligible institutions of higher education for Truman Scholarships. Procedures are prescribed at 45 CFR Part 1801, and were published in the *Federal Register* on June 19, 1976 (43 FR 26366).

In order to be assured of consideration, all documentation in support of nominations must be received by the Truman Scholarship Review Committee, CN 6302, Princeton, N.J. 08541-6302 postmarked no later than Sunday, December 1, 1985.

**Malcolm C. McCormack,**

*Executive Secretary.*

[FR Doc. 85-25192 Filed 10-21-85; 8:45 am]

BILLING CODE 9500-01-M

#### **DEPARTMENT OF HEALTH AND HUMAN SERVICES**

##### **Food and Drug Administration**

[Docket No. 85D-0480]

##### **Draft Guideline for the Design of Clinical Trials for Evaluation of the Safety and Efficacy of Allergenic Products for Therapeutic Uses; Availability of Guideline**

**AGENCY:** Food and Drug Administration.  
**ACTION:** Notice.

**SUMMARY:** The Food and Drug Administration (FDA) is announcing the availability of a draft guideline to assist manufacturers in designing clinical studies to assess the safety and efficacy of allergenic products for therapeutic use. FDA is making the draft guideline available for public comment to assist the agency in developing a final guideline. The guideline, when issued in final form, may be relied on by allergenic manufacturers and sponsors in designing clinical studies. The draft guideline was prepared by FDA's Center for Drugs and Biologics.

**DATE:** Written comments by January 21, 1986.

**ADDRESSES:** Requests for a copy of the draft guideline and written comments regarding the draft guideline to the Dockets Management Branch (HFA-305), Food and Drug Administration, Rm. 4-62, 5600 Fishers Lane, Rockville, MD 20857.

**FOR FURTHER INFORMATION CONTACT:** Howard P. Muller, Center for Drugs and Biologics (HFN-362), Food and Drug Administration, 5600 Fishers Lane, Rockville, MD 20857, 301-443-5220.

**SUPPLEMENTARY INFORMATION:** FDA is announcing the availability of a draft guideline to assist allergenic biological product manufacturers and investigational sponsors in designing



clinical studies to assess the safety and efficacy of allergenic products for therapeutic use. This draft guideline does not address the design of clinical studies for allergenic products for diagnostic uses.

FDA is making this draft guideline available for public comment before making it the formal position of the agency. If, following the receipt of comments, the agency concludes that the draft guideline, as revised, reflects acceptable criteria for use in designing clinical studies to assess the safety and efficacy of allergenic products for therapeutic uses, the guideline will be made final, and FDA will announce its availability under § 10.90(b) (21 CFR 10.90(b)).

Section 10.90(b) provides for the use of guidelines to establish procedures of general applicability that are not legal requirements but are acceptable to the agency. A person who follows a guideline can be assured that his or her conduct will be acceptable to the agency. A person may also choose to use alternative procedures even though they are not provided for in the guideline. A person who chooses to do so may discuss the matter further with the agency to prevent an expenditure of money and effort for work that the agency may later determine to be unacceptable. Therefore, interested persons are encouraged to use this opportunity to submit comments on the draft guideline if they have suggestions for its revision.

Interested persons may, on or before January 21, 1986, submit written comments on the draft guideline to the Dockets Management Branch (address above). These comments will be considered in determining whether amendments to, or revisions of, the draft guideline are warranted. Two copies of any comments are to be submitted, except that individuals may submit one copy. Comments are to be identified with the docket number found in brackets in the heading of this document. The draft guideline and received comments may be seen in the Dockets Management Branch between 9 a.m. and 4 p.m., Monday through Friday. Requests for a single copy of the draft guideline should be sent to the Dockets Management Branch.

Dated: October 16, 1985.

Marvin H. Shumate,

Acting Associate Commissioner for Regulatory Affairs.

[FR Doc. 85-25062 Filed 10-17-85; 10:39 am]

BILLING CODE 4190-01-M

## DEPARTMENT OF THE INTERIOR

### Bureau of Indian Affairs

[DEIS 85-48]

#### Availability of a Draft Environmental Impact Statement on the Proposed Ojo Line Extension 345 kV Overhead Transmission Line and Substation in North-Central New Mexico

**AGENCY:** Bureau of Indian Affairs, Interior.

**ACTION:** Notice.

**SUMMARY:** This notice advises the public that the Draft Environmental Impact Statement (DEIS) on the proposed Ojo Line Extension 345 kV Overhead Transmission Line and Substation in North-Central New Mexico is available for public review. The Public Service Company of New Mexico (PNM) is proposing to be granted rights-of-way and easement for either, (1) 45.18 to 47.40 miles of 345 kV overhead transmission line from a new substation in the Los Alamos Area, and then continuing on into PNM's existing Norton Switching Station (the Coyote-Los Alamos-Norton Alternative); or (2) 45.48 to 50.83 miles of overhead 345 kV transmission line from PNM's existing Ojo Switching Station to Norton Station, and a 345 kV line from Norton Station to a new substation in the Los Alamos area (the Ojo-Norton-Los Alamos Alternative).

**DATES:** Written comments are due January 2, 1986. Two public meetings will be held on November 27 and December 4, 1985, from 6 p.m. to 9 p.m. A public hearing will be held on December 11, 1985, at 6 p.m. The meetings and hearing will be held at the Santa Fe County Commission Chambers, 102 Grant, Santa Fe, New Mexico.

**ADDRESSES:** Comments should be addressed to Mr. Jose A. Zuni, Area Director, Albuquerque Area Office, P.O. Box 8327, Albuquerque, New Mexico 87198.

Public meetings will be held for the purpose of answering questions on the Draft Environmental Impact Statement and the project from 6 p.m. to 9 p.m., November 27 and December 4, 1985, in the Santa Fe County Commission Chambers, 102 Grant, Santa Fe, New Mexico. A public hearing will be held for the purpose of receiving oral comments on December 11, 1985, at 6 p.m., in the Santa Fe County Commission Chambers, 102 Grant, Santa Fe, New Mexico.

**FOR FURTHER INFORMATION CONTACT:** Mr. William C. Allan, Area

Environmental Protection Specialist, Albuquerque Area Office, Bureau of Indian Affairs, P.O. Box 8327, Albuquerque, New Mexico 87198, telephone (505) 766-3374. Individuals wishing copies of this Draft Environmental Impact Statement should immediately contact the above named individual.

**SUPPLEMENTARY INFORMATION:** The Bureau of Indian Affairs (BIA), Department of the Interior, has prepared a DEIS on the proposal to approve rights-of-way for the Public Service Company of New Mexico on lands belonging to the Pueblos of Santa Clara and Pojoaque and lands administered by the U.S. Forest Service, the Bureau of Land Management, and the Department of Energy.

This action is designed to satisfy two needs: (1) Public Service Company of New Mexico and Plains Electrical Generation and transmission Cooperative, Inc.'s Transmission requirements and system reliability needs for North-Central New Mexico, and (2) the transmission needs of the Los Alamos Service Area.

This action will result in more reliable electrical service to an area of increasing development and will also result in impacts to the visual character of the area, effects upon wildlife, vegetative cover, erosion, residents lifestyles, property values and sales.

The principal alternatives under consideration that were analyzed and evaluated in the Draft Environmental Impact Statement are: (A) No action, (B) Approval of proposed rights-of-way and necessary construction for four alternative routes.

Other government agencies and members of the public contributed to the planning and evaluation of the proposal and the preparation of this Environmental Impact Statement (EIS). The Notice of Intent was published in the *Federal Register* on July 24, 1984. Six scoping meetings were held. One on September 6, 1984, in Santa Fe, New Mexico, was announced in the *Federal Register*. Other meetings at Espanola, Cuba, Los Alamos, and at the Pueblos of Santa Clara and San Ildefonso, were announced in local newspapers. Cooperating Agencies include the Department of Energy, the U.S. Forest Service, and the Bureau of Land Management.

Agencies and individuals are urged to provide comments on the DEIS as soon as possible. All comments received by the dates above will be considered in preparation of the final EIS for this proposed action.



Dated: October 15, 1985.

Hazel E. Elbert,

Acting Deputy Assistant Secretary—Indian Affairs.

[FR Doc. 85-25091 Filed 8-21-85; 8:45 am]

BILLING CODE 4310-02-M

## Bureau of Land Management

### Information Collection Submitted to the Office of Management and Budget for Review Under the Paperwork Reduction Act

The proposal for the collection of information listed below has been submitted to the Office of Management and Budget for approval under the provisions of the Paperwork Reduction Act (44 U.S.C. Chapter 35). Copies of the proposed collection of information and related forms and explanatory material may be obtained by contracting the Bureau's clearance officer at the phone number listed below. Comments and suggestions on the requirement should be made within 30 days directly to the Bureau's clearance officer and to the Office of Management and Budget, Interior Department Desk Officer, Washington, DC 20503, telephone (202) 395-7340.

Title: 43 CFR 4120.3-2, Cooperative Agreements.

Abstract: Respondents supply information to obtain authority to construct and/or maintain range improvements on the public lands in cooperation with Bureau programs.

Bureau Form Number: 4120-6.

Frequency: Occasionally.

Description of Respondents:

Permittees or lessees authorized to graze livestock on public lands.

Annual Responses: 600.

Annual Burden Hours: 102.

Bureau Clearance Officer (alternate):

Rebecca Daugherty (202) 653-8853.

Dated: October 1, 1985.

Billy R. Templeton,

Assistant Director, Renewable Resources.

[FR Doc. 85-25079 Filed 10-21-85; 8:45 am]

BILLING CODE 4310-34-M

### Realty Action; Noncompetitive leasing of Public Land in Amador County, CA

AGENCY: Bureau of Land Management, Interior.

ACTION: Notice of a noncompetitive lease of Public Land in Amador County, California.

SUMMARY: The Bureau of Land Management, Folsom Resource Area, Bakersfield District, California proposes to issue a 30-year lease to the Goose Hill

Gun Club for use of the following described parcel of public land:

Mount Diablo Meridian, California

T. 5 N., R. 10 E.;

Sec. 16, NW ¼ SW ¼;

Sec. 17, N ½ N ½ SE ¼ and N ½ S ½ NE ¼ SE ¼;

Containing 90 acres.

The Goose Hill Gun Club has expressed an interest in releasing exotic deer on their private land and would like the animals to use this 90-acre tract, which is surrounded on three sides by their property.

The proposal would authorize introduced exotic deer to roam freely from the Club's private property across the adjacent public land described above.

The terms and conditions applicable to the proposed lease are as follows:

1. The lessee shall limit access to the subject public land via club lands to the following individuals:

a. BLM personnel (with minimum 24-hour prior notice).

b. Non-Bureau personnel authorized by the lessor to monitor or study soils within the subject public land (with minimum 48-hour prior notice).

2. The lessee shall construct and maintain a five-strand wire fence on Goose Hill Gun Club property along the north and east boundaries of the subject land. The fence is to be constructed in accordance with specifications to be provided by the lessor.

3. The lessee shall not allow supplemental feeding or development of water sources on the subject land.

4. The lessee shall maintain and use the existing access road and not construct any new roads.

5. The lessee shall prohibit the discharge of firearms on the subject land by club personnel or members, except to pursue wounded or sick animals.

6. The lessee shall assure that the average utilization of vegetation on the subject land will not exceed 50%. Should utilization exceed 50%, the lessee shall install within 15 days of written notification a restrictive hog wire sheep fence along the boundary of the subject land. Disputes of over-utilization will be arbitrated by biologists from BLM, Goose Hill and wildlife extension specialists from U.C. Davis.

7. The lessee shall not use predator control measures on the subject land.

8. The lessee will not assign any rights or privileges granted by this lease without the prior approval of the lessor and will not speculate in the privileges herein granted. Subleasing of this property or any portion thereof is not permitted.

9. The lessee shall observe all Federal, State, County, and other laws, regulations, and ordinances which are applicable to the premises.

10. The lease is subject to cancellation by the lessor for failure of the lessee to perform or observe any of the terms and conditions hereof.

11. The lessee shall be responsible for the removal of all exotic animals upon termination of the lease.

Information concerning the proposed lease is available at the Folsom Resource Area Office, 63 Natoma Street, Folsom, California 95630. For a period of 45 days from the date of publication of this notice, interested parties may submit comments to the District Manager, Bakersfield District Office, Bureau of Land Management, 800 Truxtun Avenue, Bakersfield, California 93301.

Any adverse comments will be evaluated by the District Manager who may vacate or modify this realty action and issue a final determination. In the absence of any action by the District Manager, this realty action will become a final determination.

Dated: October 11, 1985.

David N. Harris,

Acting Area Manager.

[FR Doc. 85-25172 Filed 10-21-85; 8:45 am]

BILLING CODE 4310-40-M

## Fish and Wildlife Service

### Information Collection Submitted to the Office of Management and Budget for Review Under the Paperwork Reduction Act

The proposal for the collection of information listed below has been submitted to the Office of Management and Budget (OMB) for approval under the provisions of the Paperwork Reduction Act (44 U.S.C. Chapter 35). Copies of the proposed information collection requirement and related forms and explanatory material may be obtained by contacting the Service's clearance officer at the phone number listed below. Comments and suggestions on the requirements should be made directly to the Service clearance officer and the OMB Interior Desk Officer, Washington, D.C. 20503, telephone 202-395-7313.

Title: Bird Band Recovery Report.

Abstract: The report is used by licensed bird banders, wildlife agency personnel, scientific cooperators and hunters, when banded or marked birds are shot or found dead or injured. Band data is used by Federal, State, and Provincial personnel, conservation



organizations, and scientific cooperators to aid in the study of population size, mortality and survival rates, longevity and migration patterns of birds. Band recovery information is also used in the preparation of the annual United States and Canadian Wildlife Service's hunting and shooting regulations.

Form Number: 3-1807.

Frequency: On occasion.

Description of Respondents:

Individuals and households, licensed bird banders, wildlife agency personnel and scientific cooperators.

Annual Responses: 50,000.

Annual Burden Hours: 2,500.

Service Clearance Officer: Arthur J. Ferguson, telephone 202-653-7499, Room 859, Riddell Building, U.S. Fish and Wildlife Service, Washington, D.C. 20240.

Dated: September 10, 1985.

Don W. Minnich,

Acting Associate Director—Wildlife Resources.

[FR Doc. 85-25117 Filed 10-21-85; 8:45 am]

BILLING CODE 4310-55-M

### Minerals Management Service

#### Environmental Documents Prepared for Proposed Oil and Gas Operations on the Gulf of Mexico Outer Continental Shelf (OCS); Availability

**AGENCY:** Minerals Management Service, U.S. Department of the Interior.

**ACTION:** Notice of the Availability of Environmental Documents Prepared for OCS Mineral Exploration and

Production Proposals on the Gulf of Mexico OCS.

**SUMMARY:** The Minerals Management Service (MMS), in accordance with Federal Regulations (40 CFR 1501.4 and 1506.6) that implement the National Environmental Policy Act (NEPA), announces the availability of NEPA-related Environmental Assessments (EAs) and Findings of No Significant Impact (FONSI), prepared by the MMS for the following oil and gas exploration and production activities proposed on the Gulf of Mexico OCS. This listing oil proposals for which FONSI were prepared by the Gulf of Mexico OCS in the three month period preceding this Notice.

| Activity/operator   | Location  | Date           |
|---|---|----------------|
| Freeport Sulphur Co., unit development/production sulphur activity, Leases OCS 024, 025, 031, and 034; SEA No. U-402. | Grand Isle Area, Blocks 16, 17, 22, and 23; offshore Louisiana  | July 31, 1985  |
| Marathon Oil Co., four exploratory wells, OCS-G 6421; SEA No. N-2162  | Destin Dome Block 203; 53 miles southwest of Panama City, FL  | July 19, 1985  |
| Exxon Co., U.S.A., five exploratory wells, OCS-G 6413; SEA No. N-2163   | Destin Dome Block 115; 50 miles southwest of Panama City, FL  | July 11, 1985  |
| CNG Producing Co., four exploratory wells, OCS-G 6256 and 6258; SEA Nos. N-2200 and N-2201.                           | High Island Area, East Addition, South Extension, Blocks A-364 and A-377; 112 miles southeast of Galveston, TX, and 125 miles southwest of Cameron, LA, respectively. | Aug. 19, 1985  |
| Amoco Production Co., five exploratory wells, OCS-G7371 and 7372; SEA No. N-2158.                                     | High Island Area, East Addition, South Extension, Blocks A-395 and A-396; 117 miles southeast of the Texas coastline.   | Aug. 5, 1985   |
| Marathon Oil Co., geophysical exploration for mineral resources, OCS-G 7014 and 7022; SEA No. L85-120.                | Green Canyon Blocks 108 and 152; 90 miles offshore Louisiana  | July 19, 1985  |
| Walter Oil & Gas Corp., 1.9 miles of 6-inch gas and condensate pipeline; SEA No. P-8042                               | Sabine Pass Block 7 and West Cameron Block 53; offshore Louisiana   | Do.            |
| Amoco Production Co., 1.96 miles of 6-inch natural gas pipeline; SEA No. P-8043.                                      | High Island Blocks 67, 53, and 52; offshore Texas   | July 24, 1985  |
| FMP Operating Co., 8.42 miles of 8-inch natural gas pipeline; SEA No. P-8044  | South Marsh Island Area, South Addition, Blocks 174 and 175; and Eugene Island Area, South Addition, Block 378, 380, and 381; offshore Louisiana                      | July 29, 1985  |
| Conoco Inc., 13.16 miles of 8-inch crude oil pipeline; SEA No. P-8045   | Ewing Bank Area, Block 505 and Grand Isle Area, Blocks 82, 83, 84, 75, 72, and 63; offshore.  | Do.            |
| Tennessee Gas Pipeline Co., 1.03 miles of 10-inch natural gas pipeline; SEA No. P-8046                                | Vermilion Area, Block 245; offshore Louisiana   | July 19, 1985  |
| Tennessee Gas Pipeline Co., 1.29 miles of 8-inch natural gas pipeline; SEA No. P-8047                                 | East Cameron Area, Block 33; offshore Louisiana   | July 10, 1985  |
| ANR Pipeline Co., 0.72 miles of 8-inch natural gas pipeline; SEA No. P-8048   | Eugene Island Area, Block 159; offshore Louisiana   | July 24, 1985  |
| Sarnaden Oil Corp., 9.20 miles of 16-inch natural gas pipeline; SEA No. P-8049  | Brazos Area, South Addition, Blocks A-52, A-51, A-50, and Brazos Area, Blocks A-40, A-41, and A-22; offshore Texas.   | Aug. 6, 1985   |
| Tennessee Gas Pipeline Co., 1.33 miles of 10-inch natural gas pipeline; SEA No. P-8050                                | East Cameron Area, Block 66; offshore Louisiana   | July 19, 1985  |
| Southern Natural Gas Co., 3.6 miles of 8-inch natural gas pipeline; SEA No. P-8051                                    | Main Pass Area, Blocks 64 and 57; offshore Louisiana  | Aug. 9, 1985   |
| Southern Natural Gas Co., 1.19 miles of 8-inch natural gas pipeline; SEA No. P-8052                                   | Main Pass Area, Block 129; offshore Louisiana   | Do.            |
| ARCO Oil and Gas Co., 11.66 miles of 6-inch pipeline; SEA No. P-8054  | Ship Shoal Area, Blocks 178, 170, 180, 171, 179, and 169; offshore Louisiana  | Aug. 23, 1985  |
| Transcontinental Gas Pipeline Corp., 6.63 miles of 12-inch natural gas and condensate pipeline; SEA No. P-8055        | High Island Area, Blocks A-20, 232, 231, 205, and 206; offshore Texas   | Aug. 27, 1985  |
| Tennessee Gas Pipeline Co., 1.73 miles of 6-inch natural gas pipeline; SEA No. P-8056                                 | Ship Shoal Area, Blocks 145 and 144; offshore Louisiana   | Sept. 10, 1985 |
| Tennessee Gas Pipeline Co., 7.74 miles of 8-inch natural gas pipeline; SEA No. P-8057                                 | Ship Shoal Area, Blocks 97, 110, 111, and 120; offshore Louisiana   | Aug. 28, 1985  |
| ARCO Oil and Gas Co., 6.39 miles of 6-inch pipeline; SEA No. P-8058   | Ship Shoal Area, Blocks 322, 331, and South Timbalier Area, South Addition, Blocks 300 and 299.   | Aug. 23, 1985  |
| Seagull Energy E&P Inc., 3.2 miles of 6-inch natural gas and condensate pipeline; SEA No. P-8059                      | Galveston Area, Block 385 and 390; offshore Texas   | Aug. 26, 1985  |
| Transcontinental Gas Pipeline Corp., 7.07 miles of 12-inch natural gas and condensate pipeline; SEA No. P-8060        | Brazos Area, Blocks 453, 452, 451, and 474; offshore Texas  | Aug. 27, 1985  |
| Transcontinental Gas Pipeline Corp., 0.88 miles of 8-inch natural gas condensate pipeline; SEA No. P-8061             | Brazos Area, Blocks 437 and 452; offshore Texas   | Aug. 26, 1985  |
| ANR Pipeline Co., 3.26 miles of 6-inch natural gas pipeline; SEA No. P-8062   | Eugene Island Area, Blocks 95, 84, and 99; offshore Louisiana   | Sept. 9, 1985  |
| InterNorth, Inc., 2.1 miles of 24-inch natural gas pipeline; SEA No. P-8063   | Matagorda Island Area, Blocks 622 and 623; offshore Texas   | Sept. 11, 1985 |
| Texas Eastern Gas Pipeline Co., 4.04 miles of 10-inch natural gas pipeline; SEA No. P-8064                            | High Island Area, South Addition, Blocks A-550, A-551, and A-568; offshore Texas  | Sept. 10, 1985 |
| Texas Eastern Gas Pipeline Co., 3.5 mile of 10-inch natural gas pipeline; SEA No. P-8276                              | High Island Area, South Addition, Blocks A-550, A-551, and A-568; offshore Texas  | Do.            |
| ARCO Oil and Gas Co., 4.47 miles of 16-inch gas pipeline; SEA No. P-8278  | High Island Area, Blocks 116, 87, 88, and 71; offshore Texas  | Sept. 16, 1985 |
| Corpus Christi Oil and Gas Co., 6.23 miles of 6-inch natural gas pipeline; SEA No. P-8277                             | Main Pass Area, Blocks 98, 99, 96, and 95; offshore Louisiana   | Sept. 20, 1985 |

Persons interested in reviewing environmental documents for the proposals listed above or obtaining information about EAs and FONSI's

prepared for activities on the Gulf of Mexico OCS are encouraged to contact the MMS office in the Gulf of Mexico OCS Region.

**FOR FURTHER INFORMATION CONTACT:** Regional Supervisor, Leasing and Environment (LE), Gulf of Mexico OCS Region, Minerals Management Service,



Post Office Box 7944, Metairie, Louisiana 70010, Telephone (504) 838-0755.

**SUPPLEMENTARY INFORMATION:** The MMS prepares EAs and FONSI for proposals which relates to exploration for and the development/production of oil and gas resources on the Gulf of Mexico OCS. The EAs examine the potential environmental effects of activities described in the proposals and present MMS conclusions regarding the significance of those effects. Environmental Assessments are used as a basis for determining whether or not approval of the proposals constitutes major Federal actions that significantly affect the quality of the human environment in the sense of NEPA 102(2)(C). A FONSI is prepared in those instances where the MMS finds that approval will not result in significant effects on the quality of the human environment. The FONSI briefly presents the basis for that finding and includes a summary or copy of the EA.

This notice constitutes the public notice of availability of environmental documents required under the NEPA Regulations.

Dated: October 3, 1985.

John L. Rankin,

Regional Director, Gulf of Mexico OCS Region.

[FR Doc. 85-25078 Filed 10-21-85; 8:45 am]

BILLING CODE 4310-MR-M

## National Park Service

### National Capital Memorial Advisory Committee; Meeting

Notice is hereby given in accordance with the Federal Advisory Committee Act that a meeting of the National Capital Memorial Advisory Committee will be held on Thursday, November 14, 1985, at 1:00 p.m., at the National Capital Planning Commission, Commission's Meeting Room, 10th Floor, 1325 G Street, NW., Washington, D.C.

The Committee was established for the purpose of preparing and recommending to the Secretary broad criteria, guidelines and policies for memorializing persons and events on Federal lands in the National Capital Region (as defined in the National Capital Planning Act of 1952, as amended), through the media of monuments, memorials and statues. It is to examine each memorial proposal for adequacy and appropriateness, make recommendations to the Secretary with respect to site location on Federal land in the National Capital Region and to serve as an information focal point for those seeking to erect memorials on

Federal land in the National Capital Region.

The members of the Committee are as follows:

William Penn Mott, Chairman, Director, National Park Service, Washington, D.C.

Glen Urquhart, Chairman, National Capital Planning Commission, Washington, D.C.

George H. White, Architect of the Capitol, Washington, D.C.

Honorable Armistead J. Maupin, Acting Chairman, American Battle Monuments Commission, Washington, D.C.

J. Carter Brown, Chairman, Commission of Fine Arts, Washington, D.C.

Marion S. Barry, Jr., Mayor of the District of Columbia, Washington, D.C.

L. L. Mitchell, Commissioner, Public Buildings Service, Washington, D.C.

The purpose of the meeting will be to review (1) H.J. Res. 167 "to authorize the Armored Force Memorial;" (2) S. 961/H.R. 2457 "establishment of a memorial to Martin Luther King, Jr.;" (3) S.J. Res. 184/S. 1223 "to authorize the Korean War Memorial;" (4) H.J. Res. 36/S.J. Res. 156 "establishment of a memorial to honor women who have served in the Armed Forces of the United States;" (5) H.J. Res. 142/S.J. Res. 143 "to authorize the Black Revolutionary War Patriots Memorial in Constitution Gardens;" (6) H.R. 77 "to authorize the General Mikhailovich Memorial;" (7) S. 1107/H.R. 2440 "to authorize Third Infantry Division Memorial;" (8) H.R. 2887/S. 1379 "to authorize monument gift of Kingdom of Morocco;" (9) H.R. 2601 "to authorize Hyam Salomon Memorial;" (10) S.J. Res. 200 "to authorize Glider Pilots Memorial;" (11) naming of a park in the District of Columbia in honor of Julius Hobson; and (12) Francis Scott Key Memorial. Also, the Committee will consider for adoption its revised guidelines and criteria which were published in the *Federal Register* on April 1, 1985.

The meeting will be open to the public. Any person may file with the Committee a written statement concerning the matters to be discussed. Persons who wish to file a written statement or testify at the meeting or who want further information concerning the meeting may contact Mr. John G. Parsons, Associate Regional Director, Land Use Coordination, National Capital Region, at 202-426-7750. Minutes of the meeting will be available for public inspection four weeks after the meeting at the Office of Land Use Coordination, National

Capital Region, Room 206, 1100 Ohio Drive, SW., Washington, D.C. 20242.

Dated: October 16, 1985.

Manus J. Fish, Jr.,

Regional Director, National Capital Region.

[FR Doc. 85-25158 Filed 10-21-85; 8:45 am]

BILLING CODE 4310-70-M

### National Register of Historic Places; Notice on NHL Boundaries

October 7, 1985.

The National Park Service has been working to establish boundaries for all National Historic Landmarks for which no specific boundary was identified at the time of designation and therefore are without a clear delineation of the amount of property involved. The results of such designation make it important that we define specific boundaries for each landmark.

In accordance with the National Historic Landmark program regulations 36 CFR Part 65, the National Park Service notifies owners, public officials and other interested parties and provides them with an opportunity to make comments on the proposed boundaries.

The 60-day comment period on the attached National Historic Landmark has ended, and the boundaries have been established. Copies of the documentation of the landmark and its boundaries, including maps, may be obtained from Jerry L. Rogers, Associate Director, Cultural Resources, and Keeper of the National Register of Historic Places, National Park Service, P.O. Box 37127, Washington, D.C. 20013-7127, Attention: Chief of Registration (Phone: 202-343-9536).

Carol D. Shull,

Chief of Registration, National Register of Historic Places, Interagency Resources Division.

Huguenot Street Historic District, New Paltz, Ulster County, NY

Beginning at the southern corner of Section 86.033, Block 2, Lot 14 in the Village of New Paltz, Ulster County, New York; thence proceeding northwest to the western corner of 86.033/2/14 and northeast along the northwestern edge of 86.033/2/14, 86.033/2/11 and 86.033/2/1 (the southeastern edge of Huguenot Street) to a point where a projection of the southwestern line of 86.033/1/4 intersects with the southeastern edge of Huguenot Street; thence proceeding northwest along the latter line to the western corner of 86.033/1/4; thence proceeding northeast along the western line of 86.033/1/4, north along the western lines of 86.033/1/5 and 86.033/1/6, west and north along the southern and western lines of 86.033/1/6, north along the western lines of 86.033/1/6 and 86.025/1/12.2, and 200 feet north along



the western line of 86.025/1/12.1; thence proceeding east along a line of convenience approximately 30 feet north of the Reformed Church of New Paltz to the western edge of 86.025/2/15 (the eastern edge of Huguenot Street); thence proceeding north along the western edge of 86.025/2/15, and north, east, south and west around the perimeter of 86.025/2/1 to the northeastern corner of 86.025/2/15; thence proceeding south along the eastern edge of 86.025/2/15 and along a continuation of that line across the driveway of 86.025/2/9; thence proceeding east, south and west around the perimeter of 86.025/2/14 to a point where a projection of the eastern line of 86.033/1/7 intersects with the southern edge of 86.025/14; thence proceeding south along the latter line to the southeastern corner of 86.033/1/7, and east, southwest and northwest around the perimeter of 86.033/1/12 to a point where a projection of the southeastern lines of 86.033/2/1 and 86.033/2/14 intersects with the southwestern edge of 86.033/1/12 (on the northeastern edge of North Front Street); thence proceeding southwest along the latter to the point of beginning.

[FR Doc. 85-25160 Filed 10-21-85; 8:45 am]

BILLING CODE 4310-70-M

## National Register of Historic Places; Notification of Pending Nominations

Nominations for the following properties being considered for listing in the National Register were received by the National Park Service before October 12, 1985. Pursuant to § 60.13 of 36 CFR Part 60 written comments concerning the significance of these properties under the National Register criteria for evaluation may be forwarded to the National Register, National Park Service, U.S. Department of the Interior, Washington, DC 20243. Written comments should be submitted by November 6, 1985.

Carol D. Shull,

Chief of Registration, National Register.

### CALIFORNIA

#### El Dorado County

Placerville, *Hattie (Gold Bug), Priest & Silver Pine Mines and Stampmill*, 2501 Bedford Ave.

#### Marin County

Inverness vicinity, *Point Reyes Lifeboat Rescue Station-1927*, Drake's Bay, Point Reyes National Seashore

#### Orange County

Santa Ana, *Harmon-McNeil House*, 322 E. Chestnut St.

### COLORADO

#### Pueblo County

Pueblo, *Barnsdollar-Gann House*, 1906 Court St.

Pueblo, *Black, Dr. John A., House Complex*, 102 W. Pitkin Ave.

Pueblo, *Farris Hotel*, 315 N. Union Ave.

Pueblo, *Fitch Block—Stockgrowers' Bank Building*, 227 N. Santa Fe Ave.

Pueblo, *Montgomery Ward Building*, 225 N. Main St.

Pueblo, *Rice, Ward, House*, 1825 Grand Ave.

Pueblo, *Tooke—Nuckolls House*, 38 Carlile

### FLORIDA

#### Polk County

Lakeland, *South Lake Morton Historic District*, Bounded by Lake Morton Dr. & Palmetto St., Ingraham Ave., McDonald St., Johnson Ave., Lake Hollingsworth Dr., Balmar St. and Tennessee Ave.

### HAWAII

#### Maui County

Hana vicinity, *Honokalani Village*, Hana Highway

Wailuku, *Halekii-Pihana Heiau*, Hea Pl., off Kuhio Pl. from Waiehu Beach Rd.

### INDIANA

#### Clay County

Harmony, *Coal Company Store*, S. Harmony Rd.

### LOUISIANA

#### East Baton Rouge Parish

Baton Rouge, *Main Street Historic District*, 442—660 Main St.

#### Terrebonne Parish

Thibodaux vicinity, *Ducros Plantation House*, LA 20

### MASSACHUSETTS

#### Hampshire County

Northampton, *Building at 8—22 Graves Avenue*, 8—22 Graves Ave.

#### Worcester County

Worcester, *Richmond, Willard, Apartment Block (Worcester MRA)*, 43 Austin St.  
Worcester, *Russell (The) (Worcester MRA)*, 49 Austin St.

### MINNESOTA

#### St. Louis County

Duluth, *Duluth State Normal School Historic District*, E. Fifth St.

#### Traverse County

Wheaton, *Murphy, Frank, House*, 801 Broadway Ave.

### NEW HAMPSHIRE

#### Belknap County

Barnstead, *Foss, Oscar, Memorial Library*, Main St.

#### Grafton County

Bath, *Brick Store*, Main St.  
Enfield, *Hewitt House*, US 4

#### Hillsborough County

Manchester, *Hoyt Shoe Factory*, 477 Silver St. & 170 Lincoln St.  
Manchester, *Kimball Brothers Shoe Factory*, 335 Cypress St.

#### Merrimack County

Concord, *Millville School*, 2 Fiske Rd.

### NEW JERSEY

#### Bergen County

New Milford, *Demarest—Bloomer House*, 147 River Edge Ave.

### OKLAHOMA

#### Garvin County

Pauls Valley, *Garvin County Courthouse (County Courthouses of Oklahoma TR)*, Courthouse Sq. & Grant Ave.

### PENNSYLVANIA

#### Philadelphia County

Philadelphia, *Garden Court Historic District (Boundary Increase)*, 4526—4534 & 4537—4539 Osage Ave.

### TENNESSEE

#### Bedford County

Normandy, *Normandy Historic District*, Roughly bounded by Maple & Poplar Sts., Tullahoma Rd., College St., & Old Manchester Rd.

#### Fayette County

Macon vicinity, *Mebane—Nuckolls House*, Macon-Collierville Rd.

#### Hawkins County

Pressmen's Home, *Pressmen's Home Historic District*, TN 94

#### Knox County

Knoxville vicinity, *Boyd—Harvey House*, Harvey Rd.

#### Lincoln County

Petersburg, *Petersburg Historic District*, Irregular pattern along High, Russell, College, Church, Railroad, Town, Water and Oak Sts.

#### Marshall County

Verona, *Verona Methodist Episcopal Church South*, Verona-Berlin Rd.

#### Putnam County

Cookeville, *Cookeville Railroad Depot*, Broad & Cedar Sts.

Cookeville, *Henderson Hall*, Dixie Ave., Tennessee Technological University

#### Sullivan County

Bristol, *Shelby Street Station Post Office*, 620 Shelby St.

#### Sumner County

Gallatin vicinity, *Jameson, James B., House*, TN 25

### TEXAS

#### Bexar County

San Antonio, *Havana (The)*, 1015 Navarro St.

#### Harris County

Houston, *Cohn, Arthur B., House*, 1711 Rusk Ave.

#### Willacy County

Lyford, *Old Lyford High School*, High School Circle



**VERMONT****Addison County**

Starksboro Village, *Starksboro Village Meeting House*, VT 116  
 Starksboro, *South Starksboro Friends Meeting House and Cemetery*, Dan Sargent Rd.

**VIRGINIA****Bedford County**

Forest vicinity, *St. Stephen's Episcopal Church*, VA 663

**Botetourt County**

Fincastle vicinity, *Wiloma*, Off US 220

**Culpeper County**

Culpeper, *Greenwood*, 1931 Orange Rd.

**Hanover County**

Studley vicinity, *Williamsville*, Off VA 615

**Lynchburg (Independent City)**

*Blackwater Aqueduct (James River and Kanawha Canal Sites in Lynchburg Virginia TR)*, Between Norfolk & Western Railroad Tracts & Chesapeake & Ohio Railroad tracts, crossing Blackwater Creek  
*Upper Portion of Lower Basin and Ninth Street Bridge (James River and Kanawha Canal Sites in Lynchburg Virginia TR)*, Between Jefferson St. & James River, & between 11th St. & 9th St.  
*Waterworks Dam and James River Dam and Guard Locks (James River and Kanawha Canal Sites in Lynchburg Virginia TR)*, Griffin Foundry Company at end of Daniel's Island

**Richmond (Independent City)**

*Home For Needy Confederate Women*, 301 N. Sheppard St.

**Suffolk (Independent City)**

*Building at 216 Bank Street*, 216 Bank St.

**Washington County**

Emory vicinity, *Emory and Henry College*, VA 609

**WISCONSIN****Kenosha County**

*Wehmhoff Mound (47-Kn-15)*

[FR Doc. 85-25159 Filed 10-21-85; 8:45 am]

BILLING CODE 4310-70-M

### **Intention To Extend a Concession Contract; Bryce-Zion Trail Rides, Inc.**

Pursuant to the provisions of section 5 of the Act of October 9, 1965 (79 Stat. 969; 16 U.S.C. 20), public notice is hereby given that sixty (60) days after the date of publication of this notice, the Department of Interior, through the Director of the National Park Service, proposes to extend a concession contract with Bryce-Zion Trail Rides, Inc., authorizing it to continue to provide saddle service, commercial guide, pack service and pack trips and services for the public at Bryce Canyon and Zion National Parks, Utah for a period of one

(1) year from January 1, 1986 through December 31, 1986, pending execution of a new contract.

This contract extension has been determined to be categorically excluded from the procedural provisions of the National Environmental Policy Act and no environmental document will be prepared.

The foregoing concessioner has performed its obligations to the satisfaction of the Secretary under an existing contract which expires by limitation of time on December 31, 1985, and therefore, pursuant to the Act of October 9, 1965, as cited above, is entitled to be given preference in the renewal of the contract and in the negotiation of a new contract as defined in 38 CFR 51.5.

The Secretary will consider and evaluate all proposals received as a result of this notice. Any proposal, including that of the existing concessioner, must be postmarked or hand delivered on or before the sixtieth (60) day following publication of this notice to be considered and evaluated.

Interested parties should contact the Regional Director, Rocky Mountain Region, 655 Parfet Street, Denver, Colorado, 80225, for information as to the requirements of the proposed contract.

Dated: August 16, 1985.

**Harold P. Danz,**

*Acting Regional Director, Rocky Mountain Region.*

[FR Doc. 85-25157 Filed 10-21-85; 8:45 am]

BILLING CODE 4310-70-M

### **INTERNATIONAL TRADE COMMISSION**

[Investigation No. 337-TA-212]

#### **Certain Convertible Rowing Exercisers; Commission Determination To Deny Petition for Reconsideration**

**AGENCY:** International Trade Commission.

**ACTION:** Denial of petition for reconsideration.

**SUMMARY:** The Commission has determined to deny complainant's petition for reconsideration of an earlier determination, which denied motions to terminate the investigation as to five respondents on the ground that the motions were not in conformance with the Commission's rules.

**FOR FURTHER INFORMATION CONTACT:** Jack Simmons, Esq., Office of the General Counsel, telephone 202-523-0493.

**SUPPLEMENTARY INFORMATION:** On various dates, complainant Diversified Products, Inc., and each of five respondents moved to terminate the investigation as to those respondents on the basis of consent order agreements and proposed consent orders. The presiding administrative law judge (ALJ) issued an initial determination (ID) granting the motions. The Commission reversed the ID on the ground that the Commission investigative attorney was not a party to the motions nor in support of the motions as required by Commission rule § 211.20 (19 CFR 211.20).

On Sept. 12, 1985, complainant filed a petition for reconsideration. The Commission has determined to deny the petition for reconsideration on the ground that it does not comply with rule § 210.60 (19 CFR 210.60) in that it raised no new question upon which the petitioner had no opportunity to submit arguments. The issues raised in the petition for reconsideration are among the issues addressed at length before the ALJ.

Hearing impaired individuals are advised that information on this matter can be obtained by contacting the Commission's TDD terminal on 202-523-0002.

Issued: October 7, 1985.

By order of the Commission.

**Kenneth R. Mason,**  
*Secretary.*

[FR Doc. 85-25122 Filed 10-21-85; 8:45 am]

BILLING CODE 7020-02-M

[Investigation No. 337-TA-201]

#### **Certain Products With "Gremlin" Character Depictions; Commission Decision To Review and Reverse an Initial Determination Amending the Complaint and Notice of Investigation To Add a Count of Common-Law Trademark Infringement**

**AGENCY:** International Trade Commission.

**ACTION:** Review and reversal of an initial determination amending the complaint and notice of investigation to add a count of common-law trademark infringement.

**SUMMARY:** The Commission has determined to review and reverse the administrative law judge's (ALJ's) initial determination (ID) (Order No. 12) in the above-captioned investigation granting the Commission investigative attorney's (IA's) motion to amend the complaint and notice of investigation to add a



count of common-law trademark infringement.

**FOR FURTHER INFORMATION CONTACT:** William E. Perry, Esq., Office of General Counsel, U.S. International Trade Commission, 701 E Street, N.W., Washington, D.C. 20436, telephone 202-523-0499.

**SUPPLEMENTARY INFORMATION:** On July 25, 1984, complainant Warner Bros., Inc. (Warner), filed a complaint under section 337 of the Tariff Act of 1930 (19 U.S.C. 1337). On August 22, 1984, the Commission instituted an investigation under section 337 to determine whether there are unfair methods of competition and unfair acts in the importation of certain "Gremlin" character depictions into the United States, or in their sale, by reason of alleged: (1) Infringement of U.S. Copyright Reg. No. VAU 54-951; (2) infringement of U.S. Copyright Reg. No. VAU 54-592; and (3) infringement of U.S. Copyright Reg. No. PAU 214-201, the effect or tendency of which is to destroy or substantially injure an industry, efficiently and economically operated, in the United States.

On January 23, 1985, the IA filed a motion requesting amendment of the complaint and notice of investigation to add a count of common-law trademark infringement. Complainant Warner opposed the motion. Before the presiding administrative law judge (ALJ) could rule on the motion, the Court of Appeals for the Federal Circuit stayed the Commission's investigation. After the stay was lifted on July 15, 1985, the ALJ on July 26, 1985, issued an ID granting the IA's motion to amend the complaint and notice of investigation. The Commission received no petitions for review of the ID from any party to the investigation or comments from any government agency.

On August 28, 1985, the Commission determined to review and reverse the ID amending the complaint and notice of investigation to add a count of common-law trademark infringement.

The authority for the Commission's action is contained in section 337 of the Tariff Act of 1930 and in Commission rules 210.55 and 210.56, 19 CFR 210.55 and 210.56.

Notice of this investigation was published in the *Federal Register* of August 30, 1984 (49 FR 34422).

Copies of the Commission's Action and Order and all other nonconfidential documents filed in connection with this investigation are available for inspection during official business hours (8:45 a.m. to 5:15 p.m.) in the Office of

the Secretary, U.S. International Trade Commission, 701 E Street NW., Washington, D.C. 20436, telephone 202-523-0161.

Issued: October 11, 1985.

By order of the Commission.

Kenneth R. Mason,

Secretary.

[FR Doc. 85-25121 Filed 10-21-85; 8:45 am]

BILLING CODE 7020-02-M

## INTERSTATE COMMERCE COMMISSION

[Vol. -5452]

### Motor Carrier Applications to Consolidate, Merge or Acquire Control Under 49 U.S.C. 11343-11344

The following applications seek approval to consolidate, purchase, merge, lease operating rights and properties, or acquire control of motor carriers pursuant to 49 U.S.C. 11343 or 11344. Also, applications directly related to these motor finance applications (such as conversions, gateway eliminations, and securities issuances) may be involved.

The applications are governed by 49 CFR 1182.1.

Persons wishing to oppose an application must follow the rules under 49 CFR 1182.2. If the protest includes a request for oral hearing, the request shall meet the requirements of 49 CFR 1182.3 and shall include the required certification. Failure seasonably to oppose will be construed as a waiver of opposition and participation in the proceeding.

In the absence of legally sufficient protests as to the finance application or to any application directly related thereto filed within 45 days of publication (or, if the application later becomes unopposed), appropriate authority will be issued to each applicant (unless the application involves impediments) upon compliance with certain requirements which will be set forth in a notification of effectiveness of this decision-notice.

Applicant(s) must comply with all conditions set forth in the grant or grants of authority within the time period specified in the notice of effectiveness of this decision-notice, or the application of a non-complying applicant shall stand denied.

### Findings

The findings for these applications are set forth at 49 CFR 1182.6.

MC-F-16712, filed September 12, 1985. Commuter Bus Line, Inc. (Commuter)

(1515 Jefferson Street, Hoboken, NJ 07030)—Purchase (Portion)—Domenico Bus Service, Inc. (Domenico) [71 New Hook Access Road, Bayonne, NJ 07002]. Representative: Sidney J. Leshin, 325 Fifth Avenue, New York, NY 10016. Commuter (MC-162133) seeks authority to purchase a portion of the operating authority of Domenico (MC-118648), specifically the regular route passenger authority contained in its Sub-No. 17 certificate. This certificate authorizes the transportation of passengers and their baggage (1) between Perth Amboy, NJ, and New York, NY: From Perth Amboy over Outerbridge Crossing to New York, and return over the same route; (2) between Bayonne, NJ, and New York, NY, serving all intermediate points: From Bayonne over Bayonne Bridge to New York, and return over the same route; and (3) between Staten Island, NY, and Keansburg, NJ, serving all intermediate points: From Staten Island over city streets via Perth Amboy, South Amboy, Morgan, Lawrence Harbor, Cliffwood Beach, Keyport, and Union Beach, NJ, to Keansburg, and return over the same route. The certificate also provides for incidental charter operations pursuant to the provision now codified at 49 U.S.C. 10932(c) and describes an alternate route for operating convenience only, between Sayreville, NJ, and Keyport, NJ, serving no intermediate points: From junction New Jersey Highways 35 and U.S. Highway 9 in Sayreville over access roads to Garden State Parkway, then over Garden State Parkway to access roads in Raritan Township, NJ, then over access roads and connecting roads to junction New Jersey Highway 35 and 36 in Keyport, and return over the same route. Frank Tedesco and Josephine Tedesco control Commuter by reason of their ownership of all of its common stock. In No. MC-F-15375, dated October 5, 1983, they were authorized to continue in control of Academy Bus Tours, Inc., Consolidated Bus Service, Inc., and New York—Keansburg—Long Beach Bus Co., Inc. (subsequently renamed Academy Lines, Inc.), holding authority respectively in No. MC-165004, No. MC-144124, and No. MC-106207.

Decided: October 10, 1985.

James H. Bayne,

Secretary.

[FR Doc. 85-25093 Filed 10-21-85; 8:45 am]

BILLING CODE 7035-01-M



[Finance Docket No. 30737]

**The Baltimore and Ohio Railroad Co.;  
Trackage Rights Exemption; Seaboard  
System Railroad, Inc.**

Seaboard System Railroad, Inc., will agree to grant overhead trackage rights to The Baltimore and Ohio Railroad Company between Hillsdale, IN and Danville, IL. The trackage rights will be effective upon the commencement date specified in the subject trackage rights agreement.

This notice is filed under 49 CFR 1180.2(d)(7). Petitions to revoke the exemption under 49 U.S.C. 10505(d) may be filed at any time. The filing of a petition to revoke will not stay the transaction.

Decided: October 10, 1985.

By the Commission, Heber P. Hardy,  
Director, Office of Proceedings.

James H. Bayne,

Secretary.

[FR Doc. 85-25094 Filed 10-21-85; 8:45 am]

BILLING CODE 7035-01-M

[Docket No. AB-12 (Sub-No. 92)]

**Southern Pacific Transportation Co.;  
Abandonment; Lassen County CA;  
Findings**

The Commission has found that the public convenience and necessity permit the Southern Pacific Transportation Company to abandon its 22.65 mile line of railroad between Wendel (milepost 359.50) and Susanville (milepost 382.15) in Lassen County, CA.

A certificate will be issued authorizing this abandonment unless within 15 days after this publication the Commission also finds that: (1) A financially responsible person has offered assistance (through subsidy or purchase) to enable the rail service to be continued; and (2) it is likely that the assistance would fully compensate the railroad.

Any financial assistance offer must be filed with the Commission and the applicant no later than 10 days from publication of this Notice. The following notation shall be typed in bold face on the lower left-hand corner of the envelope containing the offer: "Rail Section, AB-OFA." Any offer previously made must be remade within this 10-day period.

Information and procedures regarding financial assistance for continued rail

service are contained in 49 U.S.C. 10905 and 49 CFR 1152.27.

James H. Bayne,

Secretary.

[FR Doc. 85-25096 Filed 10-21-85; 8:45 am]

BILLING CODE 7035-01-M

[Docket No. AB-10 (Sub-No. 33X)]

**Wabash Railroad Co. Abandonment  
and Norfolk and Western Railway Co.,  
Discontinuance of Operations in  
Adams County, IL; Exemption**

**AGENCY:** Interstate Commerce  
Commission.

**ACTION:** Notice of Exemption.

**SUMMARY:** The Interstate Commerce Commission exempts from the requirements of 49 U.S.C. 10903, *et seq.*, the discontinuance of operations and abandonment by, respectively, Norfolk and Western Railway Company and Wabash Railroad Company, of approximately 3,573 feet of main line and approximately 13,327 feet of side and other tracks at Quincy, Adams County, IL, subject to employee protective conditions.

**DATES:** This exemption will be effective on November 21, 1985. Petitions to stay must be filed by November 6, 1985, and petitions for reconsideration must be filed by November 18, 1985.

**ADDRESSES:** Send pleadings referring to Docket No. AB-10 (Sub-No. 33X) to:

- (1) Office of the Secretary, Case Control Branch, Interstate Commerce Commission, Washington, DC 20423;
- (2) Angelica D. Lloyd, 204 South Jefferson Street, Roanoke, VA 24042-0069.

**FOR FURTHER INFORMATION CONTACT:**  
Louis E. Gitomer, (202) 275-7245.

**SUPPLEMENTARY INFORMATION:**  
Additional information is contained in the Commission's decision. To purchase a copy of the full decision, write to T.S. InfoSystems, Inc., Room 2229, Interstate Commerce Commission Building, Washington, DC 20423, or call 289-4357 (DC Metropolitan area) or toll free (800) 424-5403.

Decided: September 30, 1985.

By the Commission, Chairman Taylor, Vice Chairman Gradison, Commissioners Sterrett, Andre, Simmons, Lamboley, and Strenio.

James H. Bayne,

Secretary.

[FR Doc. 85-25095 Filed 10-21-85; 8:45 am]

BILLING CODE 7035-01-M

[Docket Nos. AB-72 (Sub-6); (AB-105 (Sub-No.6))]

**Sacramento Northern Railway—  
Abandonment and Discontinuance of  
Service—In Sutter and Butte Counties,  
CA and the Western Pacific Railroad  
Co.—Discontinuance of Service—in  
Sutter and Butte Counties, CA**

The Commission has found that the public convenience and necessity permit: (1) The abandonment by Sacramento Northern Railway of its lines of railroad between (a) milepost 140.28 at or near Yuba City and milepost 149.324 at Live Oak, and (b) between milepost 177.592 at Durham and milepost 185.76 at Chico, (2) the discontinuance of service by Western Pacific Railroad Company over the lines described above; and (3) the discontinuance of service by Sacramento Northern Railway and Western Pacific Railroad Company (a) between milepost 149.324 at Live Oak and milepost 177.592 at Durham, performed under a trackage rights agreement between Sacramento Northern Railway and the Southern Pacific Transportation Company and (b) between milepost 0.00 at Chico and milepost 2.68 at the Chico Municipal Airport, performed under a lease agreement between Sacramento Northern Railway and the Airport Commission of the City of Chico. The entire line known as the Chico Branch, consists of a total distance of 48.16 miles in Sutter and Butte Counties, CA.

A abandonment certificate will be issued authorizing this abandonment and discontinuance of service unless within 15 days after this publication the Commission also finds that: (1) A financially responsible person has offered financial assistance (through subsidy or purchase) to enable the rail service to be continued; and (2) it is likely that the assistance would fully compensate the railroads.

Any financial assistance offer must be filed with the Commission and the applicants no later than 10 days from publication of this Notice. The following notation shall be typed in bold face on the lower left-hand corner of the envelope containing the offer: "Rail Section, AB-OFA." Any offer previously made must be remade within this 10-day period.

Information and procedures regarding financial assistance for continued rail



service are contained in 49 U.S.C. 10905 and 49 CFR Part 1152.

James H. Bayne,  
Secretary.

[FR Doc. 85-25242 Filed 10-21-85; 8:45 am]

BILLING CODE 7035-01-M

## DEPARTMENT OF JUSTICE

### Antitrust Division

#### Software Productivity Consortium; Notification Filed Pursuant to the National Cooperative Research Act of 1984

Notice is hereby given, pursuant to section 6(a) of National Cooperative Research Act of 1984, Pub. L. No. 98-462 ("the Act"), that the Software Productivity Consortium has filed a written notification simultaneously with the Attorney General and the Federal Trade Commission disclosing (1) the identities of the parties to the venture and (2) the nature and objectives of the venture. The notification was filed for the purpose of invoking the Act's provisions limiting the recovery of antitrust plaintiffs to actual damages under specified circumstances. Pursuant to section 6(b) of the Act, the identities of the parties to the Software Productivity Consortium and its general areas of planned activities are given below.

The Software Productivity Consortium consists of the following firms: Allied Corporation; The Boeing Company; Ford Aerospace and Communications Corporation; General Dynamics Corporation; Grumman Aerospace Corporation; Lockheed Missiles & Space Company, Inc.; McDonnell Douglas Corporation; Northrop Corporation; TRW Inc.; United Technologies Corporation; and Vitro Corporation. The purpose of the current effort is to undertake research and developmental engineering in advanced technologies relating to productivity tools and techniques to be used in the development of complex computer software.

Joseph H. Widmar,

Director of Operations, Antitrust Division.

[FR Doc. 85-25189 Filed 10-21-85; 8:45 am]

BILLING CODE 4410-01-M

#### Plough, Inc.; Notification Filed Pursuant to the National Cooperative Research Act of 1984—Deet Joint Research Venture

Notice is hereby given pursuant to section 6(a) of the National Cooperative Research Act of 1984, Public Law No.

98-462 ("the Act"), that Plough, Inc. has filed a written notification simultaneously with the Attorney General and the Federal Trade Commission disclosing: (1) The identities of the parties to the Deet Joint Research Venture and (2) the nature and objectives of the Deet Joint Research Venture. The notification was filed for the purpose of invoking the Act's provisions limiting the recovery of antitrust plaintiffs to actual damages under specified circumstances. Pursuant to Section 6(b) of the Act, the identities of the parties to the Deet Joint Research Venture and its general areas of planned activities are provided below.

The parties to the Deet Joint Research Venture are as follows:

Airosol Company, Inc.;  
Bayer AG;  
Chemical Specialties Manufacturers Association, Inc.;  
Fuller Brush Company;  
Lehn & Fink Products Group, Sterling Drug, Inc.;  
McLaughlin Gormley King Company;  
Miles Laboratories, Inc.;  
Mohawk Laboratories, Inc.;  
Morflex Chemical Company, Inc.;  
Mowatt Sporting Goods;  
"Ole Time" Woodsman, Division Pete Rickard, Inc.;  
Plough, Inc.;  
S.C. Johnson and Son, Inc.;  
Speer Products, Inc.;  
Virginia Chemicals Inc., a subsidiary of Celanese Corp.;  
Wisconsin Pharmacal, a division of Badger Pharmacal, Inc.

The objective of the Deet Joint Research Venture is to sponsor and conduct research on the pesticide ingredient N, N-Diethyl-metololamide and related isomers (more commonly referred to as "DEET") and to submit the results of the research to the United States Environmental Protection Agency ("EPA") in connection with EPA's amendment to the DEET Pesticide Registration Standard. This research on DEET, which is the active ingredient in certain commercially-available insect repellent products, will be conducted pursuant to the Notice and the Amended Notice issued by the EPA on December 22, 1980 and March 12, 1985, respectively.

Joseph H. Widmar,

Director of Operations, Antitrust Division.

[FR Doc. 85-25191 Filed 10-21-85; 8:45 am]

BILLING CODE 4410-01-M

#### Alexander & Baldwin, Ltd., et al.; Proposed Termination of Final Judgment

Notice is hereby given that Alexander & Baldwin, Inc. (formerly Alexander & Baldwin, Ltd.) (A&B), C. Brewer and Company, Ltd. (Brewer), Amfac, Inc. (formerly American Factors Ltd.) (Amfac), and Matson Navigation Company, Inc. (successor to Matson Navigation Company) (Matson) (moving defendants) have filed with the United States District Court for the District of Hawaii a motion to terminate the final judgment in *United States v. Alexander & Baldwin, Ltd., et al.*, Civil No. 2235; and the Department of Justice ("Department"), in a stipulation also filed with the Court, has consented to termination of the judgment, but has reserved the right to withdraw its consent for at least seventy (70) days after the publication of this notice. The complaint in the case, filed on January 20, 1964, alleged that the above-named defendants, as well as defendant Castle & Cooke, Inc. (C&C), had substantially lessened and restrained actual and potential competition. The judgment, entered on August 17, 1964, enjoins: (1) C&C, Brewer and Amfac from holding or acquiring any assets or stock of Matson; (2) A&B from selling any Matson stock to C&C, Brewer, Amfac, Theo. H. Davies & Co., Ltd. (Davies), the California and Hawaiian Sugar Company (formerly California and Hawaiian Sugar Refining Corporation) (C and H), any shipping line calling regularly at Hawaiian ports and any corporation regularly engaged in shipping pineapple from Hawaiian ports; (3) interlocking directors, officers or executive employees be prohibited (a) between C&C, Amfac, Brewer or their subsidiaries on the one hand and Matson or Davies on the other, (b) between Matson on the one hand and C and H, Davies, any American shipping line calling regularly at Hawaiian ports or any of their subsidiaries on the other, (c) among A&B, C&C, Amfac and Brewer and (d) between A&B and Davies; and (4) A&B from discussing with C&C, Brewer, Amfac or Davies which ocean carrier shall be used by C&C, Brewer, Amfac or Davies for their shipments of products or goods from or to the Hawaiian Islands.

The Department has filed with the Court a memorandum setting forth the reasons why the Department believes that termination of the judgment would serve the public interest. Copies of the complaint and final judgment, moving defendants' motion papers, the stipulation containing the government's consent, the Department's memorandum



and all further papers filed with the Court in connection with this motion will be available for inspection at the Legal Procedure Unit of the Antitrust Division, Room 7233, Department of Justice, Tenth Street and Pennsylvania Avenue, NW., Washington, D.C. 20530 (telephone: 202/633-2481), and at the Office of the Clerk of the United States District Court for the District of Hawaii, U.S. Courthouse, 300 Ala Moana Boulevard, Room C-304, Honolulu, Hawaii 96850. Copies of any of these materials may be obtained from the Legal Procedure Unit upon request and payment of the copying fee set by Department of Justice regulations.

Interested persons may submit comments regarding the proposed termination of the decree to the Department. Such comments must be received within sixty days, and will be filed with the Court. Comments should be addressed to Elliott M. Seiden, Chief, Transportation Section, Antitrust Division, Department of Justice, P.O. Box 481, Washington, D.C. 20044 (telephone: (202) 724-6349).

Joseph H. Widmar,

Director of Operations, Antitrust Division

[FR Doc. 85-25190 Filed 10-21-85; 8:45 am]

BILLING CODE 4410-01-M

## DEPARTMENT OF LABOR

### The Steering Subcommittee of the Labor Advisory Committee for Trade Negotiations and Trade Policy Meeting

Pursuant to the provisions of the Federal Advisory Committee Act (Pub. L. 92-463 as amended), notice is hereby given of a meeting of the Steering Subcommittee of the Labor Advisory Committee for Trade Negotiations and Trade Policy.

Date, time and place: November 12, 1985, 9:30 a.m., Rm. S4215 A&B Frances Perkins, Department of Labor Building, 200 Constitution Avenue, NW., Washington, D.C.

Purpose: To discuss trade negotiations and trade policy of the United States.

This meeting will be closed under the authority of section 10(d) of the Federal Advisory Committee Act. The Committee will hear and discuss sensitive and confidential matters concerning U.S. trade negotiations and trade policy.

For further information, contact: Fernand Lavalée, Executive Secretary,

Labor Advisory Committee, Phone: (202) 523-6565.

Signed at Washington, D.C., this 16th day of October.

Robert W. Searby,

Deputy Under Secretary, International Affairs.

[FR Doc. 85-25169 Filed 10-21-85; 8:45 am]

BILLING CODE 4510-29-M

### Office of the Secretary

#### Negotiated Rulemaking Advisory Committee on 4,4'-Methylenedianiline (MDA); Establishment

In accordance with the provisions of the Federal Advisory Committee Act (FACA), as amended (5 U.S.C. App. I), and after consultation with the General Services Administration (GSA), I have determined that the establishment of the Negotiated Rulemaking Advisory Committee on MDA is in the public interest in connection with the performance of duties imposed on the Department by the Occupational Safety and Health Act (OSH Act) (84 Stat. 1590, 29 U.S.C. 651 et seq.).

The Committee will advise the Secretary of Labor regarding the building of consensus by affected interests on issues associated with a proposed OSHA standard on MDA.

The Committee will consist of 15 members and proportionately include representatives of the following affected interests: manufacturers of MDA; primary users of MDA; secondary users of MDA; trade associations; labor organizations; public interest/consumer groups; state and/or local officials; and Federal safety and health officials.

The Committee will function solely as an advisory body and in compliance with the provisions of FACA. Accordingly, its charter will be filed 15 days from the date of this notice.

Interested persons who may wish to file comments or nominations for participation on the Committee are referred to a more lengthy notice published elsewhere in the notices section of today's *Federal Register*. This notice, published by OSHA, is entitled, "Notice of Intent to Form Negotiated Rulemaking Advisory Committee to Develop A Proposed Rule; Request for Representation." It discusses the substantive and procedural aspects of this Committee in greater detail including information regarding public participation.

Signed at Washington, D.C., this 16th day of October 1985.

William E. Brock,

Secretary of Labor.

[FR Doc. 85-25166 Filed 10-21-85; 8:45 am]

BILLING CODE 4510-26-M

### Employment and Training Administration

#### Investigations Regarding Certifications of Eligibility To Apply for Worker Adjustment Assistance; Advanced Hemstitching et. al.

Petitions have been filed with the Secretary of Labor under section 221 (a) of the Trade Act of 1974 ("the Act") and are identified in the Appendix to this notice. Upon receipt of these petitions, the Director of the Office of Trade Adjustment Assistance, Employment and Training Administration, has instituted investigations pursuant to section 221 (a) of the Act.

The purpose of each of the investigations is to determine whether the workers are eligible to apply for adjustment assistance under Title II, Chapter 2, of the Act. The investigations will further relate, as appropriate, to the determination of the date on which total or partial separations began or threatened to begin and the subdivision of the firm involved.

The petitioners or any other persons showing a substantial interest in the subject matter of the investigations may request a public hearing, provided such request is filed in writing with the Director, Office of the Trade Adjustment Assistance, at the address shown below, not later than November 1, 1985.

Interested persons are invited to submit written comments regarding the subject matter of the investigations to the Director, Office of Trade Adjustment Assistance, at the address shown below, not later than November 1, 1985.

The petitions filed in this case are available for inspection at the Office of the Director, Office of Trade Adjustment Assistance, Employment and Training Administration, U.S. Department of Labor, 601 D Street, NW., Washington, DC 20213.

Signed at Washington, DC, this 15th day of October 1985.

Marvin M. Fooks,

Director, Office of Trade Adjustment Assistance.



## APPENDIX

| Petitioner: Union/workers or former workers of—                               | Location           | Date received | Date of petition | Petition No. | Articles produced  |
|---|--------------------|---------------|------------------|--------------|--|
| Advanced Hemstitching (ILGWU)   | New York, NY       | 10/7/85       | 9/20/85          | TA-W-16,536  | Womens blouses & jackets.  |
| Arkwright Finishing Plant, United Merchants (company)                         | Fall River, MA     | 9/30/85       | 9/27/85          | TA-W-16,537  | Finish Grieve fabrics.   |
| Blue Circle Atlantic Inc. (workers)   | Ravenna, NY        | 10/8/85       | 9/25/85          | TA-W-16,538  | Portland cement.   |
| Boris Smoler & Sons (workers)   | Chicago, IL        | 10/10/85      | 10/9/85          | TA-W-16,539  | Ladies wearing apparel—mainly dresses.   |
| Cascade Handle Co. (IWA)  | Noth Bend, OR      | 9/30/85       | 9/25/85          | TA-W-16,540  | Wooden handles—for brooms, mops.   |
| Eagle Manufacturing Co., Inc. (workers)                                       | Hialeah, FL        | 10/4/85       | 9/20/85          | TA-W-16,541  | Womens shoes—casual.   |
| Green River Steel Corp. (USWA)  | Owensboro, KY      | 10/4/85       | 9/30/85          | TA-W-16,542  | Steel bloom, billets and bars.   |
| Houze Glass Corp. (AFGW)  | Point Marion, PA   | 10/7/85       | 9/27/85          | TA-W-16,543  | Decorate drinking glasses & coffee mugs.   |
| Powell Enterprises, Inc. (workers)  | McGeary, WA        | 9/30/85       | 9/26/85          | TA-W-16,544  | Cedar shakes and shingles.   |
| Reynolds Metals Co. (USWA)  | Corpus Christi, TX | 10/7/85       | 10/4/85          | TA-W-16,545  | Aluminum ingots.   |
| Ruli Mould & Machine (workers)  | Lancaster, OH      | 10/3/85       | 9/30/85          | TA-W-16,546  | Glass moulds & equipment.  |
| Columbian Cutlery Co., Inc. (company)   | Reading, PA        | 10/4/85       | 9/27/85          | TA-W-16,547  | Hedge, shears and pruning shears.  |
| AT&T Technology Systems (IBEW)  | Reading, PA        | 9/30/85       | 9/26/85          | TA-W-16,548  | Linear bipolar integrated circuits, optoelectronic devices and magnetic bubble memories. |
| AT&T Technology Systems (IBEW)  | Allentown, PA      | 10/7/85       | 9/30/85          | TA-W-16,549  | Electronic semiconductors.   |
| Charlton Sportswear, Inc. (workers)   | Charlton, PA       | 10/7/85       | 9/30/85          | TA-W-16,550  | Ladies skirts, slacks.   |
| Compo Industries (company)  | Moonachie, NJ      | 10/8/85       | 10/2/85          | TA-W-16,551  | Man made leather.  |
| Connor Forest Industries (wood-workers Union)                                 | Laona, WI          | 10/7/85       | 9/27/85          | TA-W-16,552  | Wood flooring, lumber, juvenile furniture.   |
| Driver Harris Co. (USWA)  | Harrison, NJ       | 10/8/85       | 10/3/85          | TA-W-16,553  | Specialty alloy wire.  |
| Elegant Sportswear Inc. (ILGWU)   | Elizabeth, NJ      | 10/8/85       | 10/3/85          | TA-W-16,554  | Ladies, blouses, shirts, dresses.  |
| Kimble Products Div. of Owens Illinois, Inc. (Glass Pottery & Allied Workers) | Pittston, PA       | 10/3/85       | 9/29/85          | TA-W-16,555  | Pharmaceutical glass—vials, ampules & cartridges.  |
| Marion Power Shovel/Deesser   | Marion, OH         | 9/30/85       | 9/20/85          | TA-W-16,556  | Carbon alloy steel casting.  |

[FR Doc. 85-25163 Filed 10-21-85; 8:45 am]  
BILLING CODE 4510-30-M

#### Determinations Regarding Eligibility to Apply for Worker Adjustment Assistance; Great Western Sugar Co., et. al.

In accordance with section 223 of the Trade Act of 1974 (19 U.S.C. 2273) the Department of Labor herein presents summaries of determinations regarding eligibility to apply for adjustment assistance issued during the period October 7, 1985–October 11, 1985.

In order for an affirmative determination to be made and a certification of eligibility to apply for adjustment assistance to be issued, each of the group eligibility requirements of section 222 of the Act must be met.

(1) That a significant number or proportion of the workers in the workers' firm, or an appropriate subdivision thereof, have become totally or partially separated.

(2) That sales or production, of both, of the firm or subdivision have decreased absolutely, and

(3) That increases of imports of articles like or directly competitive with articles produced by the firm or appropriate subdivision have contributed importantly to the separations, or threat thereof, and to the absolute decline in sales or production.

#### Negative Determinations

In each of the following cases the investigation revealed that criterion (3) has not been met. A survey of customers indicated that increased imports did not contribute importantly to worker separations at the firm.

TA-W-16,061; Great Western Sugar Co., Loveland, CO

TA-W-16,062; Great Western Sugar Co., Denver, CO

TA-W-16,105; Great Western Sugar Co., Fort Morgan, CO

TA-W-16,129; Great Western Sugar Co., Sterling, CO

TA-W-16,150; Great Western Sugar Co., Goodland, KS

TA-W-16,194; Great Western Sugar Co., Greeley, CO

TA-W-16,215; Great Western Sugar Co., Baynard, NE

TA-W-16,216; Great Western Sugar Co., Ovid, CO

TA-W-16,424; Great Western Sugar Co., Billings, MT

In the following cases the investigation revealed that criterion (3) has not been met for the reasons specified.

TA-W-16,029; Butler County Mushroom Farm, Inc., Cabot, PA

Aggregate U.S. imports of fresh mushrooms are negligible.

TA-W-16,030; Butler County Mushroom Farm, Inc., Worthington, PA

Aggregate U.S. imports of fresh mushrooms are negligible.

TA-W-16,156; Anaconda Minerals Co., Nevada Moly Operations, Tonapah, NV

Aggregate U.S. imports of molybdenum compounds did not increase as required for certification.

#### Affirmative Determinations

TA-W-16,073; LTV Steel Co., Aliquippa Works, Aliquippa, PA

A certification was issued covering all workers of the firm engaged in the production of tin mill products and structural shaps separated on or

after May 31, 1984. For all other workers of the firm except those producing hot rolled bars, a certification was issued covering all workers separated on or after March 23, 1985.

TA-W-16,166; Peerless Audio Manufacturing Corp., Leominster, MA

A certification was issued covering all workers of the firm separated on or after December 1, 1984.

TA-W-16,176; Martin Shirt Co., Inc., Shenandoah, PA

A certification was issued covering all workers of the firm separated on or after June 26, 1984.

TA-W-16,031; Damsel Manufacturing Co., Inc., West Hazleton, PA

A certification was issued covering all workers of the firm separated on or after December 1, 1984.

TA-W-16,088; Louisiana-Pacific Corp., Seaway Div., Mohawk, MI

A certification was issued covering all workers of the firm separated on or after September 1, 1984.

TA-W-16,076; Soule Steel Co., Carson, CA

A certification was issued covering all workers of the firm separated on or after May 31, 1984.

TA-W-16,051; Thomson Co., Parsons, TN

A certification was issued covering all workers of the firm separated on or after January 1, 1985.

TA-W-16,052; Thomson Co., Lexington, TN

A certification was issued covering all workers of the firm separated on or after January 1, 1985.

TA-W-16,153; Thomson Co., Eloy, AZ

A certification was issued covering all workers of the firm separated on or



after January 1, 1985.

I hereby certify that the aforementioned determinations were issued during the period October 7, 1985–October 11, 1985. Copies of these determinations are available for inspection in Room 6434, U.S. Department of Labor, 601 D Street, NW., Washington, DC during normal business hours or will be mailed to persons who write to the above address.

Dated: October 15, 1985.

Marvin M. Fooks,

Director, Office of Trade Adjustment Assistance.

[FR Doc. 85-25164 Filed 10-21-85; 8:45 am]

BILLING CODE 4510-30-M

[TA-W-15,844]

**Affirmative Determination Regarding Application for Reconsideration; Philips ECG, Inc., Seneca Falls, NY**

The United Steelworkers after being granted a filing extension, requested administrative reconsideration of the Department of Labor's Negative Determination Regarding Eligibility to Apply for Workers Adjustment Assistance on behalf of workers and former workers of Philips ECG, Inc., Seneca Falls, New York. The determination was published in the Federal Register on July 23, 1985 (50 FR 30032).

The application claims that Philips ECG's parent company will import by the end of this over half of its 1985 orders for 13 inch picture tubes for television sets.

**Conclusion**

After careful review of the application, I conclude that the claim is of sufficient weight to justify reconsideration of the Department of Labor's prior decision. The application is therefore granted.

Signed at Washington, DC, this October 10, 1985.

Robert O. Deslongchamps,

Director, Office of Legislation and Actuarial Services, UIS.

[FR Doc. 85-25165 Filed 10-21-85; 8:45 am]

BILLING CODE 4510-30-M

**Job Training Partnership Act: Migrant and Seasonal Farmworker Programs; Availability of Report**

**AGENCY:** Employment and Training Administration, Labor.

**ACTION:** Notice of the availability of the Report of The Department of Labor's

Interagency Task Force on Farmworker Population Data; request for comments.

**SUMMARY:** The Employment and Training Administration (ETA) is announcing the availability of the Interagency Task Force Report on Farmworker Population Data and is requesting comments. The Report is being reviewed by the Department of Labor to assist in determining what refinements or improvements, if any, should be considered in the current formula for allocating Job Training Partnership Act (JTPA) migrant and seasonal farmworker program funds.

**DATE:** Written comments on this Notice and the Report are invited from the public. Copies of the Report are available upon request. Requests for copies should be submitted in writing no later than November 21, 1985. Written comments must be received on or before December 6, 1985.

**ADDRESS:** Requests for copies of the Report should be submitted to: Leonard Gilman, Office of Special Targeted Programs, ETA, U.S. Department of Labor, Room 6122, Patrick Henry Building, 601 D Street, NW., Washington, DC 20213. Send written comments to: Paul A. Mayrand, Director, Office of Special Targeted Programs, ETA, U.S. Department of Labor, Room 6122, Patrick Henry Building, 601 D Street, NW., Washington, DC 20213.

**FOR FURTHER INFORMATION CONTACT:** Charles C. Kane, Chief, Division of Seasonal Farmworker Programs. Telephone: (202) 376-1226.

**SUPPLEMENTARY INFORMATION:** Section 162(a) of the Job Training Partnership Act (JTPA) requires that "All allotments and allocations under this Act shall be based on the latest available data and estimates satisfactory to the Secretary. All data relating to economically disadvantaged and low income persons shall be based on 1980 Census or later data."

To assist in determining whether refinements or improvements should be considered for the current data base, the Department of Labor formed an Interagency Task Force in November of 1983 to study relevant farmworker data questions and submit a report to the Department. The Report is the result of that effort. The next cycle for the allocation of JTPA section 402 funds will occur in Fiscal Year 1986 for JTPA Program Year 1986, which begins on July 1, 1986. The Report provides an overview of the historical background on data bases and allocation formulas used in previous years and examines participant eligibility as defined by legislation and regulations pursuant

thereto. The Report identifies several issues related to the allocation formula methodology. These issues involve selection of the data base, income criterion, farm industries or occupations included in the data base, and the inclusion of dependents in the data base. The Report discusses various options related to these issues. The issues addressed in the Report are as follows:

1. Selection of the appropriate data base.
2. Inclusion or exclusion of farm operators, farm managers, and farmworker supervisors in or from the data base.
3. Use of Standard Occupational codes (SOC) or Standard Industrial Codes (SIC).
4. Use of Lower Level Standard Income Levels (LLSIL) versus Department of Health and Human Services (HHS) poverty index as the total income criterion.
5. Inclusion or exclusion of dependents in or from the data base.

Signed at Washington, DC, this October 16, 1985.

Paul A. Mayrand,

Director, Office of Special Targeted Programs.

[FR Doc. 85-25168 Filed 10-21-85; 8:45 am]

BILLING CODE 4510-30-M

**Occupational Safety and Health Administration**

[Docket No. H-040]

**Occupational Exposure to 4, 4'-Methylenedianiline (MDA)**

**AGENCY:** Occupational Safety and Health Administration (OSHA), Labor.

**ACTION:** Notice of Intent To Form Negotiated Rulemaking Advisory Committee To Develop A Proposed Rule: Request for Representation.

**SUMMARY:** OSHA announces its intent to establish an MDA Negotiated Rulemaking Advisory Committee (the "Committee") under the Federal Advisory Committee Act (FACA) and section 7(b) of the Occupational Safety and Health Act (OSH Act) to negotiate issues associated with the development of a Notice of Proposed Rulemaking on MDA. The Committee will include representatives of the parties interested in, or affected by, the outcome of the proposed rule. OSHA also solicits interested parties to submit their nominations for membership or requests for representation on the Committee.



**DATE:** OSHA must receive written comments and requests for membership or representation by November 21, 1985.

**ADDRESS:** All written comments directed to OSHA Docket No. H-040 should be sent, in triplicate, to the following address: Docket Office, Rm N-3663, 200 Constitution Ave. NW., Washington, D.C., 20210; Telephone (202) 523-7894.

Requests for membership or representation on the Committee should be sent to Clarence Page, OSHA Division of Consumer Affairs, Rm. N-3662, 200 Constitution Ave. NW., Washington, D.C. 20210; Telephone: (202) 523-8024.

**FOR FURTHER INFORMATION CONTACT:** Mr. James F. Foster, OSHA, U.S. Department of Labor, Office of Public Affairs, Room N-3641, 200 Constitution Avenue, NW., Washington, D.C., 20210; Telephone: (202) 523-8151.

#### **SUPPLEMENTARY INFORMATION:**

##### **I. Background**

OSHA announced its intention to regulate workplace exposures to the MDA in an Advance Notice of Proposed Rulemaking (ANPR) (48 FR 42836; September 20, 1983). This effort was undertaken jointly by the Environmental Protection Agency (EPA) and OSHA. The comments and information received in response to the EPA ANPR were submitted to EPA Docket No. OPTS-64000A. All relevant materials from this docket are now a part of the OSHA Docket (H-040). OSHA has analyzed the material submitted in response to the ANPR, including the risk assessment performed by EPA and the technological and economic feasibility documents. As a result of this analysis, the Agency has preliminarily determined that a significant risk is associated with worker exposure to MDA. This determination is consistent with the evaluation of risk estimates by EPA. As a result of these preliminary assessments, the Agency has targeted MDA for rulemaking. In the fall of 1984, OSHA indicated that negotiated rulemaking would be used to assist in the development of a proposed rule for MDA.

In preparation for developing a rule for MDA, OSHA has initiated rulemaking activities which include the development of a risk assessment, a technological and economic feasibility analysis, a health effects summary, and a summary of the components needed in a proposed standard. This material is being generated for use by the Committee, although OSHA will also be relying on this information for rulemaking activities.

On July 5, 1985, EPA published a Federal Register notice, in accordance with EPA's section 9 TSCA provisions (50 FR 27674) which described the risks of MDA and requested that OSHA respond to EPA within 180 days of the publication of this notice. Under section 9(a)(1) of the Toxic Substances Control Act (TSCA) provisions, EPA is prohibited from taking any regulatory action pending a response to the report from the other Federal Agency (OSHA, in this case). OSHA may take one of five possible actions: (1) Issue an "order" within the EPA deadline stating that the activities EPA has described do not present the "unreasonable risk" EPA has attributed to them; (2) "initiate" within 90 days of its response to EPA action to "protect against" the risk identified by EPA; (3) determine that its law does not authorize action to prevent or reduce the unreasonable risk to a sufficient extent; (4) explicitly defer to EPA despite the existence of adequate authority on its part, presumably on the ground that action by EPA is preferable on practical or public policy grounds; (5) do nothing, in which case EPA, once the deadline has expired, remains free to act as before (50 FR 27676).

OSHA has begun to prepare a formal response to EPA's Federal Register notice and expects to publish its decision within the 180 days requested by EPA. OSHA also intends to offer negotiated rulemaking as one of the regulatory options which the Agency is pursuing.

This notice announces OSHA's intent to use negotiated rulemaking to develop a proposed MDA rule. This notice also sets forth the basic concepts of negotiated rulemaking and outlines the participant selection criteria which OSHA expects to use. This notice allows 30 days for interested parties to request appointment to the Committee. If a sufficient number of individuals do not express a desire to participate in negotiated rulemaking activities or if the Mediator believes that the parties expressing interest do not adequately represent the issues herein described, OSHA will set aside the negotiated rulemaking approach and continue with traditional rulemaking activities.

**A. The Concept of Negotiated Rulemaking.** The Administrative Conference of the United States (ACUS), in its recent Recommendation 82-4 addressing problems associated with traditional rulemaking, noted:

Experience indicates that if the parties in interest were to work together to negotiate the text of a proposed rule, they might be able in some circumstances to identify the major issues, gauge their importance to the respective parties, identify the information

and data necessary to resolve the issues, and develop a rule that is acceptable to the respective interests, all within the contours of the substantive statute. (47 FR 30708, June 18, 1982; 1 CFR 305.82-4).

OSHA notes that other regulatory agencies, such as the Federal Aviation Administration and EPA, have made effective use of negotiated rulemaking. OSHA is announcing its intent to begin this "Negotiated Rulemaking" project to explore the extent to which negotiations among interested parties could serve as a useful supplement to its current rulemaking process.

OSHA is optimistic that this process can result in the development of sound workplace regulation by using all parties' resources more productively and by fostering cooperation among the affected parties. The Agency has requested the assistance of the Federal Mediation and Conciliation Service (FMCS) to guide in this consensus building effort.

In selecting MDA as a potential substance for negotiated rulemaking, OSHA considered the criteria used by other regulatory agencies, which recommend that a subject should have certain characteristics to be a candidate for regulatory negotiation (See 49 FR 17576, 17579; April 24, 1984). Specifically, the ideal candidate must:

- Be at the pre-proposal stage of development;
- Have a relatively small number of identifiable parties representing the interests of all affected parties who will negotiate in good faith;
- Present specific issues for which sufficient information/technology, etc., is at hand for resolution; and
- Have a time factor which lends some urgency to the issuance of the proposed regulation.

Having carefully evaluated the suggested candidates in light of these selection criteria, the Agency has chosen MDA as an item suitable for negotiation.

Further, unless it is inconsistent with our statutory requirements or is otherwise unjustified, OSHA plans to use the consensus reached through the negotiation process as the basis for its NPRM for MDA.

While the Committee's work product will likely serve as the basis for a proposed rule, it will not negate the need for adherence to traditional rulemaking procedures. This negotiated rulemaking procedure is supplemental to the normal section 6(b) rulemaking procedures specified in the OSH Act and is intended to aid OSHA in developing a proposed standard for occupational exposure to MDA.



Following publication in the *Federal Register*, interested parties will retain their rights of notice and comment, participation in an informal hearing (if requested), and judicial review. OSHA anticipates, however, that the pre-proposal consensus built by this Committee will effectively narrow the issues in the subsequent rulemaking to only those which truly remain in controversy.

**B. Reasons for Selecting MDA as a Candidate for Negotiated Rulemaking.** MDA has been identified by the National Toxicology Program (NTP) as an animal carcinogen. Animal studies, epidemiological evidence, structure-activity relationships, and mutagenicity studies all indicate that MDA is a potential human carcinogen. Several thousand workers may be at risk due to dermal and respiratory exposure to MDA in the workplace.

MDA is manufactured and converted to methylene diphenyl diisocyanate (MDI) in a liquid state in an enclosed system. Little or no dermal exposure is expected in this instance, although respiratory exposure occurs through inhalation of vapor. When MDA is used to make other products, the chemical is handled in dry form. In these work settings, dermal exposure is of principal concern although respiratory exposure may occur through inhalation of MDA dust. OSHA has promulgated no regulation which sets a permissible exposure limit for MDA.

OSHA believes that MDA meets the selection criteria for negotiated rulemaking for the following reasons. It is at the pre-proposal phase of development; affected interests are limited in number and readily identifiable; it is likely that parties involved would negotiate this item in good faith; and sufficient information is available to resolve the key issues.

**C. Negotiating Consensus.** OSHA wishes to stress that it approaches this negotiated rulemaking project as a consensus-building effort. In enacting the OSH Act of 1970, Congress stated:

The Secretary, in promulgating standards dealing with toxic materials or harmful physical agents . . . shall set the standard which most adequately assures, to the extent feasible, on the basis of the best available evidence, that no employee will suffer material impairment of health or functional capacity. . . . [section 6(b)(5), 29 U.S.C. 655, 84 Stat. 1594]

OSHA believes that a standard can be promulgated which is consistent with the duties imposed by the OSH Act and which reflects the consensus views of affected interests. By bringing together, at the earliest point in the development of a standard, representatives of

affected interests who will participate collaboratively in collecting data, analyzing issues and drafting regulatory language. OSHA expects to produce a valid, effective standard without undue delay.

As noted above, OSHA is confident that consensus is attainable. OSHA will provide the Committee with background material covering information which is already in the MDA docket and with typical requirements for OSHA health standards. The participants—including OSHA—will consider the health risks associated with specific exposure levels, the technological and economic feasibility considerations associated with specific control measures, and other related issues. Guided by an experienced Mediator from the FMCS, the participants will work to resolve issues, rank priorities and identify acceptable solutions. Committee meetings would be conducted in accordance with FACA, as amended (5 U.S.C. App. I), which provides for open meetings, filing of written statements by interested persons before or after meetings, presentation of oral statements where time permits, and retention of meeting records.

Ultimately, OSHA anticipates that, through the consensus-building process, the Committee would produce the regulatory text and supporting rationale for a proposed standard for occupational exposure to MDA.

**D. Some Key Issues for Negotiation.** OSHA expects that key issues to be addressed as part of these negotiations will include:

1. Scope and Application of the Standard. Should a regulation be established for both primary and secondary users of MDA? Should different provisions be set for those dermally exposed from those exposed through inhalation? Are suitable substitutes available for MDA?

2. Definitions. What, if any, definitions would be necessary for establishing a regulation for MDA? For example, should a definition of MDA include its salts?

3. Permissible Exposure Limits. Should exposure limits be established for both inhalation and dermal exposure? What exposure levels present a significant occupational cancer risk? What exposure levels result in hepatic or cardiac disorders? What PEL should be set by the standard? Are ceiling levels necessary? Are ceiling limits appropriate?

4. Exposure Monitoring. Are there adequate methods for monitoring airborne concentrations of MDA? Are the sampling procedures now available accurate and readily useable? Are the

monitoring results representative of the total employee exposure? Do they take into consideration dermal exposure? With what frequency should additional monitoring be done? Should biological monitoring be done? When is it not necessary to monitor?

6. Compliance Program. What compliance method(s) should be used to control employee exposure? Should a work practice program be established? Should shower provisions be included? Are rigid hygiene practices necessary? Should regulated areas be established, with only authorized personnel admitted?

7. Respiratory Protection. Is the use of respirators needed? What type? At what point should respirators be donned? What are the limitations of use? What effectiveness can be expected?

8. Protective Clothing. What type of protective clothing should be worn when handling MDA? What procedures should be used in disposing of the MDA contaminated clothing? Are there glove types which are impervious to MDA? How frequently should protective clothing be changed?

9. Emergency Situations. What defines an emergency situation with respect to MDA exposure? What procedures should be followed when an emergency situation occurs?

10. Medical Surveillance. Is medical surveillance necessary? Which employees should be covered by the medical surveillance provisions? Who should perform the necessary examinations? What types of examinations or testing are necessary for employees exposed to MDA? Is urinary cytology appropriate? How frequently should medical examinations be given?

11. Information and Training. What training program should be provided for worker exposed to MDA? What information should be conveyed to workers to enable them to protect themselves?

12. Feasibility. What is the feasibility of complying with an established permissible exposure limit solely through the use of engineering controls and work practices? Would supplementary respiratory use also be needed?

13. Risk. Are available cancer risk assessments of workers exposed to MDA based on reasonable assumptions?

The Committee may consider other issues as they arise during the negotiations or in response to comments on this announcement.



## II. Negotiation Procedures

The following proposed procedures and guidelines based on 29 CFR Part 1912 would apply to this process. They may be augmented as a result of comments received in response to this notice or during the negotiation process.

**A. Notice of Intent to Establish An MDA Negotiated Rulemaking Advisory Committee.** Committees which are established by U.S. government action or affirmatively supported and "utilized" by the federal government through institutional arrangements which amount to the adoption of the groups as preferred sources of advice on specific issues or policies are subject to the requirements of FACA. A Negotiated Rulemaking Advisory Committee is such a "preferred source" and, thus, is subject to FACA. Accordingly, OSHA announces its intent to establish this Committee in accordance with the requirements of FACA, Section 7(b) of the OSH Act, and 29 CFR Part 1912.

**B. Committee Notice.** After evaluating the comments on this announcement and the requests for representation, OSHA will issue a committee notice. That notice will announce the establishment of the Committee and the membership of the Committee unless, after reviewing the comments, it is determined that such an action is inappropriate. The negotiation process will begin once the Committee membership roster is published in the *Federal Register*.

**C. Interests Involved.** The following interests have been tentatively identified which might be represented in these negotiations:

- Manufacturers;
- Primary Users;
- Secondary Users;
- Trade associations;
- The Federal Government;
- Public interest/consumer groups;
- State and/or local Government officials; and
- Labor organizations.

One purpose of this notice is to determine whether the regulations would substantially affect interests which are not listed above. OSHA invites comments and suggestions on this list of interests. OSHA does not believe that each potentially affected group must participate directly in the negotiations, nevertheless each affected interest must be adequately represented. Furthermore, even though the above list appears to enumerate more industry interests than labor interests, the actual constitution of the Committee must have balanced representation.

**D. Participants.** The negotiating group will not exceed 15 participants,

including the Mediator. A larger number than this would make it difficult to conduct effective negotiations.

Requests for appointment to membership on the Committee are solicited. The Committee has been established under section 7(b) of the OSH Act of 1970 to advise the Secretary of Labor on matters relating to occupational exposure to MDA. The Committee in this instance will be attempting to reach consensus on several regulatory issues that can serve as the basis for the notice of proposed rulemaking. Therefore, it is expected that individual participants will have substantial expertise and will ably represent the viewpoints of their respective interests. Those who wish to be appointed as members of the Committee should submit a request to OSHA, detailing the interest they represent and how that interest would be affected by the rule. They should also attach a statement listing their title/position, organizational affiliation, address, telephone number, experience and qualifications.

OSHA has also allocated funds which are to be used as per diem and travel expenses by any member who qualifies for funding.

Following is a list of potential participants who have been tentatively identified by OSHA and the Mediator:

- Chemical Manufacturers Association
- The Society of the Plastics Industry, Inc.
- International Isocyanate Institute
- United Steel Workers of America
- Oil, Chemical and Atomic Workers International Union
- International Chemical Workers Union
- AFL/CIO
- UAW
- Environmental Protection Agency
- National Institute for Occupational Safety and Health

This list of potential parties is not presented as a complete or exclusive list from which committee members will be selected, nor does inclusion on the list of potential parties mean that a party on the list has agreed to participate. The list merely indicates parties that OSHA and the mediator have tentatively identified as having an interest in the outcome of the MDA negotiated rulemaking process. It is the very purpose of this notice to inform additional potential participants of this process and afford them the opportunity to request representation in the negotiations. Comments and suggestions on this tentative list are invited.

**E. Good Faith Negotiation.** Since participants should be willing to

negotiate in good faith and have authority to do so, each organization should designate a senior official to represent its interests.

**F. Mediator.** This individual will not be involved with the substantive development of the regulation. The Mediator's role is to:

- Help the negotiation process run smoothly;
- Help participants define and reach consensus;
- Chair the actual negotiations; and
- Determine the feasibility of negotiating particular issues.

OSHA has accepted the appointment of Mr. James R. Williams, National Representative, FMCS, to serve as Mediator.

**G. OSHA Representative.** The OSHA representative will be a full and active participant in the consensus building negotiations. The representative will meet regularly with various senior OSHA officials, briefing them on the negotiations and receiving their input, in order to effectively represent the Agency's position regarding the issues before the Committee. Additionally, the OSHA representative will present the negotiators with aggregated record evidence on an issue by issue basis for their consideration. The Committee may also consult OSHA's representative with regard to the Agency's regulatory needs, appropriate boundaries of consideration, or technical information. Such information could include the areas of economic and technological feasibility, health implications, or principles of industrial hygiene. The OSHA representative, together with the Mediator, will also be responsible for coordinating the administrative and committee support functions to be performed by OSHA's Division of Consumer Affairs.

**H. Tentative Schedule.** Once the Committee has been selected, OSHA will publish a schedule of the meetings. The first meeting will focus largely on procedural matters. These will include agreement on: dates, times, and locations of future meetings; and identification of, and determination of how best to address the principal issues for resolution.

To prevent delays which might postpone timely issuance of the proposal, OSHA intends to terminate the Committee's activities if it does not reach consensus within six months of the first meeting. The process may end earlier if the Mediator so recommends.

**I. Committee Procedures.** Under the general guidance and direction of the Mediator, and subject to any applicable legal requirements including 29 CFR Part



1912, the Committee will establish the detailed procedures for committee meetings which it considers most appropriate.

**J. Record of Meetings.** In accordance with FACA's requirements, OSHA will keep a record of all committee meetings. This record will be placed in the public docket for this rulemaking. Committee meetings will be announced in the *Federal Register* and will generally be open to the public.

**K. Definition of Consensus.** The goal of the negotiating process is consensus. OSHA expects the participants to establish their own working definition of the term.

**L. Feasibility of Consensus.** OSHA and the Mediator have examined the issues and interests involved and have made a preliminary inquiry among representatives of the identified interests to determine whether it is possible to reach agreement on:

- Individuals to represent those interests;
- The preliminary scope of the issues to be addressed; and
- A schedule for developing a NPRM. (Currently identified issues and interests are listed above.) On the basis of this preliminary inquiry, the Mediator and OSHA believe that negotiation can be successful on this rule and that the participants can adequately represent the affected interests. In the event the Committee is unable to reach consensus on a proposal, OSHA will promptly develop its own proposal.

**M. Agency Action.** As noted above, the Agency intends to use the Committee's consensus as the basis for the NPRM. OSHA expects to issue the proposed rule developed by the Committee, unless the consensus is inconsistent with OSHA's statutory authority or is not appropriately justified. In that event, the Agency will explain the reason for its decision.

#### Public Participation

Requests for participation should be submitted to Clarence Page, Division of Consumer Affairs, Occupational Safety and Health Administration, U.S. Department of Labor, Room N-3662, 200 Constitution Avenue, N.W., Washington, D.C. 20210, no later than November 21, 1985.

All other written comments, including comments on the appropriateness of using negotiated rulemaking to develop a proposed rule of MDA, should be directed to OSHA Docket No. H-040, and sent in triplicate to the following address: OSHA Docket Office, U.S. Department of Labor, Rm N-3663, 200 Constitution Ave., N.W., Washington, D.C. 20210; Telephone (202) 523-7894.

This Notice was prepared under the direction of Patrick R. Tyson, Acting Assistant Secretary of Labor for Occupational Safety and Health, 200 Constitution Avenue, N.W., Washington, D.C. 20210. It is issued pursuant to section 6(b) and 7(b) of the Occupational Safety and Health Act (84 Stat. 1593; 29 U.S.C. 655, 656) Secretary's Order No. 9-83 (48 FR 35736) and 29 CFR Part 1912.

Signed at Washington, D.C., this 17th day of October 1985.

Patrick R. Tyson,  
Acting Assistant Secretary of Labor.  
[FR Doc. 85-25167 Filed 10-21-85; 8:45 am]  
BILLING CODE 4510-26-M

## NATIONAL COMMISSION FOR EMPLOYMENT POLICY

### Meeting

**ACTION:** Notice of meeting.

**SUMMARY:** Under the provisions of the Federal Advisory Committee Act (Pub. L. 92-463, as amended), notice is hereby given of the fortieth meeting of the National Commission for Employment Policy at the Hyatt Regency Crystal City Hotel, 2799 Jefferson Davis Highway, Arlington, Virginia.

**DATES:** November 21, 1985—9:00 a.m.—3:45 p.m.; November 22, 1985—9:00 a.m.—11:30 a.m.

**STATUS:** This meeting will be open to the public.

**MATTERS TO BE DISCUSSED:** The main agenda item on Thursday morning will be a Commission discussion of a draft policy statement and supporting staff report on "Computers in the Workplace." The discussion will be concluded Thursday afternoon; updates on other projects will then be presented. Friday morning's session will be concerned with a briefing on the final phase of a study of JTPA implementation, which has been copponsored by NCEP and three private foundations, an update on proposed legislation, and future meeting plans.

**FOR FURTHER INFORMATION, CONTACT:** Ms. Patricia W. McNeil, Director, National Commission for Employment Policy, 1522 K Street, NW., Suite 300, Washington, DC 20005, (202) 724-1545.

**SUPPLEMENTARY INFORMATION:** The National Commission for Employment Policy is authorized by the Job Training Partnership Act (Pub. L. 97-300). The act gives the Commission the broad responsibility of advising the President and public. Handicapped individuals wishing to attend should contact Velada Waller of the Commission Staff so that

appropriate accommodations can be made.

People wishing to submit written statements to the Commission that are germane to the agenda may do so, provided that such statements are in reproducible form and are submitted to the Director at least 5 days before the meeting or not more than 7 days after the meeting.

In addition, members of the general public may request to make oral presentations to the Commission, time permitting. Such statements must be applicable to the announced agenda and written application must be submitted to the Director at least 5 days before the meeting. This application should include: name and address of applicant, subject of presentation, relation to agenda, amount of time needed, individual's qualifications to speak on the subject, and a statement justifying the need for an oral rather than written statement.

The Commission Chairman has the right to decide to what extent public oral presentations may be permitted at the meeting. Oral presentations will be limited to statements of fact and views and shall not include any questioning of the Commissioners or other participants unless these questions have been specifically approved by the Chairman.

Minutes of the meeting and materials prepared for it will be available for public inspection at the Commission's offices, 1522 K Street, NW., Suite 300, Washington, DC 20005.

Signed in Washington, DC, this 11th day of October 1985.

Patricia W. McNeil,  
Director.

[FR Doc. 85-25170 Filed 10-21-85; 8:45 am]  
BILLING CODE 4510-30-M

## NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES

### Federal Council on the Arts and the Humanities, Arts and Artifacts Indemnity Panel; Advisory Committee Meeting

Pursuant to the provisions of the Federal Advisory Committee Act (Pub. L. 92-463, as amended), notice is hereby given that a meeting of the Arts and Artifacts Indemnity Panel of the Federal Council on the Arts and the Humanities will be held at 1100 Pennsylvania Avenue, NW., Washington, D.C. 20506, in Room 714, from 9:00 a.m. to 5:30 p.m., on November 26, 1985.

The purpose of the meeting is to review applications for certificates of indemnity submitted to the Federal



Council on the Arts and the Humanities for exhibitions beginning after January 1, 1986.

Because the proposed meeting will consider commercial and financial data and because it is important to keep values of objects, methods of transportation and security measures confidential, pursuant to the authority granted me by the Chairman's Delegation of Authority to Close Advisory Committee Meetings, dated April 16, 1978, I have determined that the meeting would fall within exemptions (4) and (9) of 5 U.S.C. 552(b), and that it is essential to close the meeting to protect the free exchange of internal views and to avoid interference with the operation of the Committee.

It is suggested that those desiring more specific information contact the Advisory Committee Management Officer, Stephen J. McCleary, 1100 Pennsylvania Avenue, NW., Washington, D.C. 20506, or call 202/786-0322.

Stephen J. McCleary,  
Advisory Committee Management Officer.  
[FR Doc. 85-25162 Filed 10-21-85; 8:45 am]  
BILLING CODE 7535-01-M

## NATIONAL SCIENCE FOUNDATION

### Advisory Panel on Decision and Management Science;

In accordance with the Federal Advisory Committee Act, Pub. L. 92-463, as amended, the National Science Foundation announces the following meeting:

Name: Advisory Panel on Decision and Management Science  
Date/Time: November 7-8, 1985, 8:30 a.m. to 5:00 p.m.

Place: National Science Foundation, 1800 G St., Washington, DC 20550, Room 628

Contact Person: Dr. Robert M. Thrall (202) 357-7569 or Dr. Vincent T. Covello (202) 357-7417, Program Directors, National Science Foundation, Room 335

Purpose of Advisory Panel: To provide advice and recommendation concerning research in Decision and Management Science

Agenda: Closed: to review and evaluate research proposals as part of the selection process for awards

Reason for Closing: The proposals being reviewed include information of a proprietary or confidential nature, including technical information; financial data, such as salaries; and personal information concerning individuals associated with the proposals. These matters are within exemptions (4) and (6) of 5 U.S.C. 552(b)(c), Government in the Sunshine Act.

Authority to Close Meeting: This determination was made by the Committee Management Officer pursuant to provisions

of section 10(d) of Pub. L. 92-463. The Committee Management Officer was delegated the authority to make such determinations by the Director, NSF on July 6, 1979.

M. Rebecca Winkler,  
Committee Management Officer.  
October 17, 1985.

[FR Doc. 85-25179 Filed 10-21-85; 8:45 am]  
BILLING CODE 7555-01-M

### Advisory Panel for Integrative Neural Systems; Meeting

In accordance with the Federal Advisory Committee Act, as amended, Pub. L. 92-463, The National Science Foundation announces the following meeting:

Name: Advisory Panel for Integrative Neural Systems.

Date & Time: November 6, 7, & 8, 1985: 9:00 a.m. to 5:00 p.m. each day.

Place: National Science Foundation, 1800 G St. NW., Washington, DC. Meeting is to be held in the conference room 523.

Type of Meeting: Closed.

Contact Person: Dr. Nathaniel G. Pitts, Program Director for Integrative Neural Systems, Room 320, National Science Foundation, Washington, DC 20550, Telephone (202) 357-7041.

Purpose of Meeting: To provide advice and recommendation concerning support for research in the Integrative Neural Systems Program.

Agenda: Closed—To review and evaluate research proposals as part of the selection process for awards.

Reason for Closing: The proposals being reviewed include information of a proprietary or confidential nature, including technical information; financial data, such as salaries; and personal information concerning individuals associated with the proposals. These matters are within exemptions (4) and (6) of 5 U.S.C. 552(b)(c), Government in the Sunshine Act.

Authority to Close Meeting: This determination was made by the Committee Management Officer pursuant to provisions of section 10(d) of Pub. L. 92-463. The Committee Management Officer was delegated the authority to make such determinations by the Director, NSF on July 6, 1979.

M. Rebecca Winkler,  
Committee Management Officer.  
October 17, 1985.

[FR Doc. 85-25181 Filed 10-21-85; 8:45 am]  
BILLING CODE 7555-01-M

### Advisory Panel for Memory and Cognitive Processes; Meeting

In accordance with the Federal Advisory Committee Act, Pub. L. 92-463, the National Science Foundation announces the following meeting:

Name: Advisory Panel for Memory and Cognitive Processes.

Date & Time: November 7 and 8, 1985: 9:00 a.m.-5:00 p.m. each day.

Place: Lombardy Towers Conference Room, 2019 Eye Street, NW., Washington, DC 20006.

Type of Meeting: Closed.

Contact Person: Dr. Joseph L. Young, Program Director, Memory and Cognitive Processes Program, Room 320, National Science Foundation, Washington, DC 20550, (202) 357-9898.

Purpose of Meeting: To provide advice and recommendations concerning support for research in memory and processes or scientific discipline.

Agenda: To review and evaluate research proposals as part of the selection process for awards.

Reason for Closing: The proposals being reviewed include information of a proprietary or confidential nature, including technical information; financial data, such as salaries, and personal information concerning individuals associated with the proposals. These matters are within exemptions (4) and (6) of 5 U.S.C. 552(b)(c), Government in the Sunshine Act.

Authority to Close Meeting: This determination was made by the Committee Management Officer pursuant to provisions of section 10(d) of Pub. L. 92-463. The Committee Management Officer was delegated the authority to make such determinations by the Director, NSF, on July 6, 1979.

October 17, 1985.

M. Rebecca Winkler,  
Committee Management Officer.  
[FR Doc. 85-25178 Filed 10-21-85; 8:45 am]

BILLING CODE 7555-01-M

### Advisory Panel for Molecular and Cellular Neurobiology Program; Meeting

In accordance with the Federal Advisory Committee Act, as amended, Pub. L. 92-463, the National Science Foundation announces the following meeting:

Name: Advisory Panel for Molecular and Cellular Neurobiology Program.

Date & Time: November 6, 7, and 8, 1985; 9:00 a.m.—5:00 p.m. each day.

Place: National Science Foundation, 1800 G. Street, NW., Room 1242B, Washington, DC 20550.

Type of Meeting: Closed.

Contact Person: Stephen Morris, Program Director for Molecular and Cellular Neurobiology Program, National Science Foundation, Room 320, Washington, DC 20550, Telephone (202) 357-7471.

Purpose of Meeting: To provide advice and recommendations concerning support for research in Neurobiology.

Agenda: To review and evaluate research proposals as part of the selection process for awards.

Reason for Closing: The proposals being reviewed include information of the



proprietary or confidential nature, including technical information; financial data, such as salaries, and personal information concerning individuals associated with the proposals. These matters are within exemptions (4) and (6) of 5 U.S.C. of 552b(c), Government in the Sunshine Act.

**Authority to Close Meeting:** This determination was made by the Committee Management Officer pursuant to provisions of section 10(d) of Pub. L. 92-463. The Committee Management Officer was delegated the authority to make such determinations by the Director, NSF, on July 6, 1979.

October 17, 1985.

M. Rebecca Winkler,

*Committee Management Officer.*

[FR Doc. 85-25180 Filed 10-21-85; 8:45 am]

BILLING CODE 7555-01-M

### Earth Sciences Proposal Review Panel Meeting

In accordance with the Federal Advisory Committee Act, as amended, Pub. L. 92-463, the National Science Foundation announces the following meeting:

**Name:** Earth Sciences Proposal Review Panel.

**Date and time:** November 6, 7, and 8, 1985; 8:30 a.m. to 5:00 p.m. each day.

**Place:** The National Science Foundation, Room 543, 1800 G Street, NW., Washington, DC 20550.

**Type of Meeting:** Closed.

**Contact:** Dr. James Fred Hays, Division Director, Earth Sciences, Room 602, National Science Foundation, Washington, DC 20550. Telephone: (202) 357-7958.

**Purpose of Committee:** To provide advice and recommendations concerning support for research in Earth Sciences.

**Agenda:** To review and evaluate research proposals and projects as part of the selection process for awards.

**Reason for Closing:** The proposals being reviewed include information of proprietary or confidential nature, including technical information, financial data, such as salaries, and personal information concerning individuals associated with the proposals. These matters are within exemptions (4) and (6) of 5 U.S.C. 552b(c), Government in the Sunshine Act.

**Authority:** This determination was made by the Committee Management Officer pursuant to provisions of section 10(d) of Pub. L. 92-463. The Committee Management Officer was delegated the authority to make such determinations by the Director, NSF, on July 6, 1979.

M. Rebecca Winkler,

*Committee Management Officer.*

October 17, 1985.

[FR Doc. 85-25177 Filed 10-21-85; 8:45 am]

BILLING CODE 7555-01-M

### NUCLEAR REGULATORY COMMISSION

#### State of Iowa; Staff Assessment of Proposed Agreement Between the NRC and the State of Iowa

**Note.**—This document was originally published in the issue of October 1, 1985 at 50 FR 40078. It is reprinted at the request of the Nuclear Regulatory Commission.

**AGENCY:** U.S. Nuclear Regulatory Commission.

**ACTION:** Notice of Proposed Agreement with State of Iowa.

**SUMMARY:** Notice is hereby given that the U.S. Nuclear Regulatory Commission is publishing for public comment the NRC staff assessment of a proposed agreement received from the Governor of the State of Iowa for the assumption of certain of the Commission's regulatory authority pursuant to section 274 of the Atomic Energy Act of 1954, as amended.

A staff assessment of the State's proposed program for control over sources of radiation is set forth below as supplementary information to this notice. A copy of the program narrative, including the referenced appendices, appropriate State legislation and Iowa regulations, is available for public inspection in the Commission's public document room at 1717 H Street, NW., Washington, DC. Exemptions from the Commission's regulatory authority, which would implement this proposed agreement, have been published in the Federal Register and codified as Part 150 of the Commission's regulations in Title 10 of the Code of Federal Regulations.

**DATES:** Comments must be received on or before October 31, 1985.

**ADDRESSES:** Written comments may be submitted to the Rules and Procedures Branch, Division of Rules and Records, Office of Administration, U.S. Nuclear Regulatory Commission, Washington, DC 20555. Comments may also be delivered to Room 4000, Maryland National Bank Building, Bethesda, Maryland from 8:15 a.m. to 5:00 p.m. Monday through Friday. Copies of comments received may be examined at the NRC Public Document Room, 1717 H Street, NW., Washington, DC.

**FOR FURTHER INFORMATION CONTACT:** Joel O. Lubenau, Office of State Programs, U.S. Nuclear Regulatory Commission, Washington, DC 20555, telephone: 301-492-9887.

**SUPPLEMENTARY INFORMATION:** Assessment of Proposed Iowa Program to Regulate Certain Radioactive Materials Pursuant to section 274 of the Atomic Energy Act of the 1954, as amended.

The Commission has received a proposal from the Governor of Iowa for the State to enter into an agreement with the NRC whereby the NRC would relinquish and the State would assume certain regulatory authority pursuant to section 274 of the Atomic Energy Act of 1954, as amended.

Section 274e of the Atomic Energy Act of 1954, as amended, requires that the terms of the proposed agreement be published for public comment once each week for four consecutive weeks. Accordingly, this notice will be published four times in the Federal Register.

#### I. Background

A. Section 274 of the Atomic Energy Act of 1954, as amended, provides a mechanism whereby the NRC may transfer to the States certain regulatory authority over agreement materials<sup>1</sup> when a State desires to assume this authority and the Governor certifies that the State has an adequate regulatory program, and when the Commission finds that the State's program is compatible with that of the NRC and is adequate to protect the public health and safety. Section 274g directs the Commission to cooperate with the States in the formulation of standards for protection against radiation hazards to assure that State and Commission programs for radiation protection will be coordinated and compatible. Further, section 274j provides that the Commission shall periodically review such agreements and actions taken by the States under the agreements to ensure compliance with the provisions of this section.

B. In a letter dated August 22, 1985, Governor Terry E. Branstad of the State of Iowa requested that the Commission enter into an agreement with the State pursuant to section 274 of the Atomic Energy Act of 1954, as amended, and proposed that the agreement become effective on January 1, 1986. The Governor certified that the State of Iowa has a program for control of radiation hazards which is adequate to protect the public health and safety with respect to the materials within the State covered by the proposed agreement, and that the State of Iowa desires to assume regulatory responsibility for such materials. The text of the proposed agreement is shown in Appendix A and the narrative portion of the program description is shown in Appendix B.

<sup>1</sup> A. Byproduct materials as defined in 11e(1); B. Byproduct materials as defined in 11e(2); C. Source materials; and D. Special nuclear materials in quantities not sufficient to form a critical mass.



The specific authority requested is for (1) byproduct material as defined in section 11e(1) of the Act, (2) source material and (3) special nuclear material in quantities not sufficient to form a critical mass. The State does not wish to assume authority over uranium milling activities nor the commercial disposal of low-level radioactive waste. The State, however, reserves the right to apply at a future date to NRC for an amended agreement to assume authority in these areas. The nine articles of the proposed agreement cover the following areas:

- I. Lists the materials covered by the agreement
- II. Lists the Commission's continued authority and responsibility for certain activities
- III. Allows for future amendment of the agreement
- IV. Allows for certain regulatory changes by the Commission
- V. References the continued authority of the Commission for common defense and security for safeguards purposes
- VI. Pledges the best efforts of the Commission and the State to achieve coordinated and compatible programs
- VII. Recognizes reciprocity of licenses issued by the respective agencies
- VIII. Sets forth criteria for termination or suspension of the agreement
- IX. Specifies the effective date of the agreement

C. Section 136C, the Code, H.F. 2110 authorizes the State Department of Health to issue licenses to, and perform inspections of, users of radioactive materials under the proposed agreement and otherwise carry out a total radiation control program. Iowa radiation control regulations, Health Department (470) Chapters 38 to 41, adopted by the Iowa State Board of Health on May 8, 1985 under authority of Section 136C.3, The Code, provides standards, licensing, inspection, enforcement and administrative procedures for agreement and non-agreement materials. Pursuant to 470-39.53, the regulations are not applicable to agreement materials until the effective date of the agreement. The regulations provide for the State to license and inspect users of naturally-occurring and accelerator-produced radioactive materials.

D. The environmental radiation activities with which the Department has been involved in conjunction with the University of Iowa Hygienic Laboratory include a general environmental surveillance program and a radiological surveillance program for the Duane Arnold power reactor site under contract with NRC. The State has the capability of developing site specific environmental surveillance programs when needed and has authority to charge its licensees a fee to recover the costs of such programs.

The Department has also been involved in registration and inspection of x-ray uses since 1980 including restrictions on healing arts x-ray screening practices and involvement in the U.S. FDA studies such as the Dental Exposure Normalization Technique (DENT). In 1983, Iowa established minimum training standards for diagnostic radiographers.

## II. NRC Staff Assessment of Proposed Iowa Program for Control of Agreement Materials

Reference: Criteria for Guidance of States and NRC in Discontinuance of NRC Regulatory Authority and Assumption Thereof by States Through Agreement.<sup>2</sup>

### Objectives

1. *Protection.* A State regulatory program shall be designed to protect the health and safety of the people against radiation hazards.

Based upon the analysis of the State's proposed regulatory program the staff believes the Iowa proposed regulatory program for agreement materials is adequately designed to protect the health and safety of the public against radiation hazards.

### Radiation Protection Standards

2. *Standards.* The State regulatory program shall adopt a set of standards for protection against radiation which shall apply to byproduct, source and special nuclear materials in quantities not sufficient to form a critical mass.

Statutory authority to formulate and promulgate rules for controlling exposure to sources of radiation is contained in section 136C, The Code. In accordance with that authority, the State adopted radiation control regulations on May 8, 1985 which include radiation protection standards which would apply to byproduct, source and special nuclear materials in quantities not sufficient to form a critical mass upon the effective date of an agreement between the State and the Commission pursuant to section 274b of the Atomic Energy Act of 1954, as amended.

Reference: Iowa State Department of Health radiation control regulations 470-38 to 41.

3. *Uniformity in Radiation Standards.* It is important to strive for uniformity in technical definitions and terminology, particularly as related to such things as

units of measurement and radiation dose. There shall be uniformity of maximum permissible doses and levels of radiation and concentrations of radioactivity, as fixed by 10 CFR Part 20 of the NRC regulations based on officially approved radiation protection guides.

Technical definitions and terminology contained in the Iowa Radiation Control Regulations including those related to units of measurement and radiation doses are uniform with those contained in 10 CFR Part 20.

Reference: Iowa 470-38.2, 39.2.

4. *Total Occupational Radiation Exposure.* The regulatory authority shall consider the total occupational radiation exposure of individuals, including that from sources which are not regulated by it.

The Iowa regulations cover all sources of radiation within the State's jurisdiction and provide for consideration of the total radiation exposure of individuals from all sources of radiation in the possession of a licensee or registrant.

Reference: Iowa 470-40.1, 40.5.

5. *Surveys, Monitoring.* Appropriate surveys and personnel monitoring under the close supervision of technically competent people are essential in achieving radiological protection and shall be made in determining compliance with safety regulations.

The Iowa requirements for surveys to evaluate potential exposures from sources of radiation and the personnel monitoring requirements are uniform with those contained in 10 CFR Part 20.

References: Iowa 470-40.8 and 40.9.

6. *Labels, Signs, Symbols.* It is desirable to achieve uniformity in labels, signs, and symbols, and the posting thereof. However, it is essential that there be uniformity in labels, signs, and symbols affixed to radioactive products which are transferred from person to person.

The prescribed radiation labels, signs, and symbols are uniform with those contained in 10 CFR Parts 20, 30 thru 32 and 34.

The Iowa posting requirements are also uniform with those of Part 20.

References: Iowa 470-39.23, 39.25, 39.36, 39.40, 40.9, and 41.4.

7. *Instruction.* Persons working in or frequenting restricted areas shall be instructed with respect to the health risks associated with exposure to radioactive materials and in precautions to minimize exposure. Workers shall have the right to request regulatory authority inspections as per 10 CFR Part 19, § 19.16 and to be represented during

<sup>2</sup>NRC Statement of Policy published in the Federal Register January 23, 1981 (46 FR 7540-7546), a correction was published July 16, 1981 (46 FR 36909) and a revision of Criterion 9 published in the Federal Register July 21, 1983 (48 FR 33376).



inspections as specified in § 19.14 of 10 CFR Part 19.

The Iowa regulations contain requirements for instructions and notices to workers that are uniform with those of 10 CFR Part 19.

Reference: Iowa 470-40.21.

8. *Storage.* Licensed radioactive material in storage shall be secured against unauthorized removal.

The Iowa regulations contain a requirement for security of stored radioactive material.

Reference: Iowa 470-40.12.

9. *Radioactive Waste Disposal.* (a) Waste disposal by material users. The standards for the disposal of radioactive materials into the air, water and sewer, and burial in the soil shall be in accordance with 10 CFR Part 20. Holders of radioactive material desiring to release or dispose of quantities or concentrations of radioactive materials in excess of prescribed limits shall be required to obtain special permission from the appropriate regulatory authority.

Requirements for transfer of waste for the purpose of ultimate disposal at a land disposal facility (waste transfer and manifest system) shall be in accordance with 10 CFR Part 20.

The waste disposal standards shall include a waste classification scheme and provisions for waste form, applicable to waste generators, that is equivalent to that contained in 10 CFR Part 61.

(b) Land Disposal of waste received from other persons. The State shall promulgate regulations containing licensing requirements for land disposal of radioactive waste received from other persons which are compatible with the applicable technical definitions, performance objectives, technical requirements and applicable supporting sections set forth in 10 CFR Part 61. Adequate financial arrangements (under terms established by regulation) shall be required of each waste disposal site licensee to ensure sufficient funds for decontamination, closure and stabilization of a disposal site. In addition, Agreement State financial arrangements for long-term monitoring and maintenance of a specific site must be reviewed and approved by the Commission prior to relieving the site operator of licensed responsibility (Section 151(a)(2), Pub. L. 97-425).

Iowa Radiation Control Regulations contain provisions relating to the disposal of radioactive materials into the air, water and sewer and burial in soil which are essentially uniform with those of 10 CFR Part 20. In a letter dated August 8, 1985 to NRC the Department committed to adopting certain clarifying

amendments to their regulations to conform them more closely to 10 CFR Parts 20 and 61 and, in the interim, will impose license conditions to ensure uniformity with these Parts. Iowa, at this time, does not propose to regulate the commercial land disposal of low-level radioactive waste.

References: Iowa 470-40.7, 40.14 to 40.17, 40.19 and letter dated August 8, 1985 from J. Eure, Director, Environmental Health Section, Iowa Department of Health to J. Lubenau, NRC.

10. *Regulation Governing Shipment of Radioactive Materials.* The State shall to the extent of its jurisdiction promulgate regulations applicable to the shipment of radioactive materials, such regulations to be compatible with those established by the U.S. Department of Transportation and other agencies of the United States whose jurisdiction over interstate shipment of such materials necessarily continues. State regulations regarding transportation of radioactive materials must be compatible with 10 CFR Part 71.

The Iowa regulations are uniform with those contained in NRC regulations 10 CFR Part 71.

References: Iowa 470-39.76 to 39.39.

11. *Records and Reports.* The State regulatory program shall require that holders and users of radioactive materials (a) maintain records covering personnel radiation exposures, radiation surveys, and disposals of materials; (b) keep records of the receipt and transfer of the materials; (c) report significant incidents involving the materials, as prescribed by the regulatory authority; (d) make available upon request of a former employee a report of the employee's exposure to radiation; (e) at request of an employee advise the employee of his or her annual radiation exposure; and (f) inform each employee in writing when the employee has received radiation exposure in excess of the prescribed limits.

The Iowa regulations require the following records and reports by licensees and registrants:

(a) Records covering personnel radiation exposures, radiation surveys, and disposals of materials.

(b) Records of receipt and transfer of materials.

(c) Reports concerning incidents involving radioactive materials.

(d) Reports to former employees of their radiation exposure.

(e) Reports to employees of their annual radiation exposure.

(f) Reports to employees of radiation exposure in excess of prescribed limits.

Reference: Iowa 470-38.4, 40.20, 40.21.

12. *Additional Requirements and Exemptions.* Consistent with the overall criteria here enumerated and to accommodate special cases and circumstances, the State regulatory authority shall be authorized in individual cases to impose additional requirements to protect health and safety, or to grant necessary exemptions which will not jeopardize health and safety.

The Iowa Department of Health is authorized to impose upon any licensee or registrant, by rule, regulation, or order such requirements in addition to those established in the regulations as it deems appropriate or necessary to minimize danger to public health and safety or property.

Reference: Iowa 470-38.7.

The Department may also grant such exemptions from the requirements of the regulations as it determines are authorized by law and will not result in undue hazard to public health and safety or property.

Reference: Iowa 470-38.3.

#### Prior Evaluation of Uses of Radioactive Materials

13. *Prior Evaluation of Hazards and Uses, Exceptions.* In the present state of Knowledge, it is necessary in regulating the possession and use of byproduct, source and special nuclear materials that the State regulatory authority require the submission of information on, and evaluation of, the potential hazards and the capability of the user or possessor prior to his receipt of the materials. This criterion is subject to certain exceptions and to continuing reappraisal as knowledge and experience in the atomic energy field increase. Frequently there are, and increasingly in the future there may be, categories of materials and uses as to which there is sufficient knowledge to permit possession and use without prior evaluation of the hazards and the capability of the possessor and user. These categories fall into two groups—those materials and uses which may be completely exempt from regulatory controls, and those materials and uses in which sanctions for misuse are maintained without pre-evaluation of the individual possession or use. In authorizing research and development or other activities involving multiple uses of radioactive materials, where an institution has people with extensive training and experience, the State regulatory authority may wish to provide a means for authorizing board use of materials without evaluating each specific use.



Prior to the issuance of a specific license for the use of radioactive materials, the Iowa Department of Health will require the submission of information on, and will make an evaluation of, the potential hazards of such uses, and the capability of the applicant.

References: Iowa 470-39.1 to 39.3, 39.28 to 39.56; Iowa Program Description, "Licensing and Registration."

Provision is made for the issuance of general licenses for byproduct, source and special nuclear materials in situations where prior evaluation of the licensee's qualifications, facilities, equipment and procedures is not required. The regulations grant general licenses under the same circumstances as those under which general licenses are granted in the Commission's regulations.

References: Iowa 470-30.12 to 39.26, 39.57, 39.58, 39.79 to 39.85.

**14. Evaluation Criteria.** In evaluating a proposal to use radioactive materials, the regulatory authority shall determine the adequacy of the applicant's facilities and safety equipment, his training and experience in the use of the materials for the purpose requested, and his proposed administrative controls. States should develop guidance documents for use by license applicants. This guidance should be consistent with NRC licensing and regulatory guides for various categories of licensed activities.

In evaluating a proposal to use agreement materials, the Iowa Department of Health will determine that:

(1) The applicant is qualified by reason of training and experience to use the material in question for the purpose requested in accordance with the regulations in such a manner as to minimize danger to public health and safety or property;

(2) The applicant's proposed equipment, facilities, and procedures are adequate to minimize danger to public health and safety or property; and

(3) The issuance of the license will not be inimical to the health and safety of the public.

Other special requirements for the issuance of specific licenses are contained in the regulations.

References: Iowa 470-39.30 to 39.45.

**15. Human Use.** The use of radioactive materials and radiation on or in humans shall not be permitted except by properly qualified persons (normally licensed physicians) possessing prescribed minimum experience in the use of radioisotopes or radiation.

The Iowa regulations require that the use of radioactive material (including sealed sources) on or in humans shall be

by a physician having substantial experience in the handling and administration of radioactive material and, where applicable, the clinical management of radioactive patients.

Reference: Iowa 470-39.31.

#### Inspection

**16. Purpose, Frequency.** The possession and use of radioactive materials shall be subject to inspection by the regulatory authority and shall be subject to the performance of tests, as required by the regulatory authority. Inspection and testing is conducted to determine and to assist in obtaining compliance with regulatory requirements. Frequency of inspection shall be related directly to the amount and kind of material and type of operation licensed, and it shall be adequate to insure compliance.

Iowa materials licensees will be subject to inspection by the Department of Health. Upon instruction from the Department, licensees shall perform or permit the Department to perform such reasonable tests and surveys as the Department deems appropriate or necessary. The frequency of inspections is dependent upon the type and scope of the licensed activities and will be at least as frequent as inspections of similar licenses by NRC.

References: Iowa 470-38.5 and 38.6; Iowa Program Description, "Inspection Program."

**17. Inspections Compulsory.** Licensees shall be under obligation by law to provide access to inspectors.

Iowa regulations state that licensees shall afford the Department at all reasonable times opportunity to inspect sources of radiation and the premises and facilities wherein such sources of radiation are used or stored.

Reference: Iowa 470-38.5.

**18. Notification of Results of Inspection.** Licensees are entitled to be advised of the results of inspections and to notice as to whether or not they are in compliance.

Following Department inspections, each licensee will be notified in writing of the results of the inspection. The letters and written notices indicate if the licensee is in compliance and if not, list the areas of noncompliance.

Reference: Iowa Program Description, "Compliance and Enforcement."

#### Enforcement

**19. Enforcement.** Possession and use of radioactive materials should be amenable to enforcement through legal sanctions, and the regulatory authority shall be equipped or assisted by law with the necessary powers for prompt enforcement. This may include, as

appropriate, administrative remedies looking toward issuance of orders requiring affirmative action or suspension or revocation of the right to possess and use materials, and the impounding of materials; the obtaining of injunctive relief; and the imposing of civil or criminal penalties.

The Iowa Department of Health is equipped with the necessary powers for prompt enforcement of the regulations. Where conditions exist that create a clear presence of a hazard to the public health that requires immediate action to protect human health and safety, the Department may issue orders to reduce, discontinue or eliminate such conditions. The Department actions may also include impounding of radioactive material, imposition of a civil penalty, revocation of a license, and requesting County Attorney or Attorney General to seek injunctions and convictions for criminal violations.

References: Iowa 470-38.7, 38.8, 38.9, 38.11; Iowa Program Description, "Compliance and Enforcement."

#### Personnel

**20. Qualifications of Regulatory and Inspection Personnel.** The regulatory agency shall be staffed with sufficient trained personnel. Prior evaluation of applications for licenses or authorizations and inspection of licensees must be conducted by persons possessing the training and experience relevant to the type and level of radioactivity in the proposed use to be evaluated and inspected.

To perform the functions involved in evaluation and inspection, it is desirable that there be personnel educated and trained in the physical and/or life sciences, including biology, chemistry, physics and engineering, and that the personnel have had training and experience in radiation protection. The person who will be responsible for the actual performance of evaluation and inspection of all of the various uses of byproduct, source and special nuclear material which might come to the regulatory body should have substantial training and extensive experience in the field of radiation protection.

It is recognized that there will also be persons in the program performing a more limited function in evaluation and inspection. These persons will perform the day-to-day work of the regulatory program and deal with both routine situations as well as some which will be out of the ordinary. These people should have a bachelor's degree or equivalent in the physical or life sciences, training in health physics, and approximately



two years of actual work experience in the field of radiation protection.

The foregoing are considered desirable qualifications for the staff who will be responsible for the actual performance of evaluation and inspection. In addition, there will probably be trainees associated with the regulatory program who will have an academic background in the physical or life sciences as well as varying amounts of specific training in radiation protection but little or no actual work experience in this field. The background and specific training of these persons will indicate to some extent their potential role in the regulatory program. These trainees, of course, could be used initially to evaluate and inspect those applications of radioactive materials which are considered routine or more standardized from the radiation safety standpoint, for example, inspection of industrial gauges, small research programs, and diagnostic medical programs. As they gain experience and competence in the field, the trainees could be used progressively to deal with the more complex or difficult types of radioactive material applications. It is desirable that such trainees have a bachelor's degree or equivalent in the physical or life sciences and specific training in radiation protection. In determining the requirement for academic training of individuals in all of the foregoing categories, proper consideration should be given to equivalent competency which has been gained by appropriate technical and radiation protection experience.

It is recognized that radioactive materials and their uses are so varied that the evaluation and inspection functions will require skills and experience in the difference disciplines which will not always reside in one person. The regulatory authority should have the composite of such skills either in its employ or at its command, not only for routine functions, but also for emergency cases.

#### a. Number of Personnel

There are approximately 170 NRC specific licenses in the State of Iowa. Under the proposed agreement, the State would assume responsibility for about 155 of these licenses. The Department's Radiological Health Program is currently staffed with six professional persons. Five individuals will be assigned line and supervisory duties in the materials program. We estimate the State will need to apply between 1.6 to 2.1 staff-years of effort to the program. The present personnel together with their assigned responsibilities are as follows:

**John A. Eure:** Director, Environmental Health Section. Responsible for administration and supervision of Environmental Health Section.

**Donald A. Flater:** Coordinator, Radiological Health Program. Responsible for administration and supervision of the radiological health program.

**David Russell Myers:** Environmental Specialist III. Supervises field inspection staff and conducts inspections.

**Bruce W. Hokel:** Environmental Specialist II. Currently conducts inspections and under consideration as lead person for licensing.

**Richard L. Welke:** Environmental Specialist I. Currently conducts inspections.

**Paul E. Koehn:** Environmental Specialist I. Currently in training.

Total personnel time devoted to radioactive materials is expected to be at least 2 person-years.

#### b. Training

The academic and specialized short course training for those persons involved in the administration, licensing and inspection of radioactive materials is shown below.

**Donald A. Flater—B.S. Radiological Sciences and Administration,** George Washington University.

**Transportation of Radioactive Materials,** November 1984, U.S. Nuclear Regulatory Commission.

**Advanced Medical Imaging Technology Workshop,** September 1984, Conference of Radiation Control Program Directors, Inc.

**Inspection Procedures,** July 1984, U.S. Nuclear Regulatory Commission.

**Principles of Epidemiology,** March, 1984, Centers for Disease Control.

**Applied Epidemiology,** February 1984, Centers for Disease Control and Iowa State Department of Health.

**Orientation Course in Licensing Practices and Procedures for State Regulatory Personnel,** September 1983, U.S. Nuclear Regulatory Commission.

**Radiological Defense Officer Course,** June 1983, Iowa Office of Disaster Services.

**Radiological Monitoring Home Study Course,** May 1983, Federal Emergency Management Agency.

**Medical Use of Radionuclides,** April 1983, U.S. Nuclear Regulatory Commission.

**Radiological Emergency Planning Course,** March 1981, Federal Emergency Management Agency.

**Radiological Emergency Response Operations for Radiological Emergency Response Teams,** January 1981, U.S. Nuclear Regulatory Commission.

**Dose Projection Accident Assessment and Protective Action Decision Making for Radiological Emergency Response,** March 1980, U.S. Nuclear Regulatory Commission.

**David Russell Myers—B.S. Biology,** Grandview College.

**Computed Tomography Dosimetry Training Course,** May 1985, University of Missouri, Kansas City School of Medicine, Food and Drug Administration Center for Medical Devices and Radiological Health.

**FDA Regional Training,** September 1984, Mayo Clinic.

**Inspection Procedures,** July 1984, U.S. Nuclear Regulatory Commission.

**Health Physics and Radioactive Materials,** June 1984, Oak Ridge Associated Universities.

**Medical Use of Radionuclides,** June 1984, Oak Ridge Associated Universities.

**Principles of Epidemiology,** March 1984, Centers for Disease Control and Iowa State Department of Health.

**Applied Epidemiology,** February 1984, Centers for Disease Control and Iowa State Department of Health.

**Emergency Management Institute Radiological Accident Assessment Course,** August 1982, National Emergency Training Center.

**Radiological Defense Officer Course,** May 1982, Federal Emergency Management Agency.

**Radiological Emergency Response Operations Course,** January 1981, U.S. Nuclear Regulatory Commission.

**Diagnostic X-Ray Survey Training Program,** June 1980, U.S. Army Academy of Health Sciences.

**Bruce W. Hokel—B.S., Fisheries and Wildlife,** Iowa State University.

**Introduction to Licensing Practices and Procedures,** U.S. Nuclear Regulatory Commission.

**Nuclear Transportation for State Regulatory Personnel,** U.S. Nuclear Regulatory Commission.

**Principles of Licensing,** one week on-the-job training with staff of NRC, Region III.

**Safety Aspects of Industrial Radiography for State Regulatory Personnel,** U.S. Nuclear Regulatory Commission.

**Orientation Course in Licensing Practices,** U.S. Nuclear Regulatory Commission.

**Health Physics and Radiation Protection,** Oak Ridge Associated Universities.

**Basic Radiological Health,** University of Texas Health Center.

**X-Ray Compliance Testing,** Fort Sam Houston.



*Radiological Incidents Emergency Response, Nuclear Test Site—Mercury, Nevada.*

*Principles of Epidemiology, Centers for Disease Control.*

*Applied Epidemiology, Centers for Disease Control.*

*Richard L. Welke—B.A. Biology, University of Minnesota.*

*Medical Use of Radionuclides, June 1985, U.S. Nuclear Regulatory Commission.*

*FEMA Nuclear Power Plant Off-Site Radiological Accident Assessment Course, November 1985.*

*FDA Training Course for Diagnostic X-Ray Compliance Surveys, September 1984.*

*NIOSH Non-Ionizing-Ionizing Radiation 583/584, April 1984.*

*Paul E. Koehn—B.S. Science, Upper Iowa University.*

*Fundamental Course for Radiological Response Teams, March 1985.*

*Fundamental Course for Radiological Monitors, March 1985.*

#### c. Experience

Since receiving a Bachelor of Science in Sanitary Engineering from the University of Illinois in February, 1957, Mr. Eure has been actively engaged as an Environmental Health Engineer in the field of public health. His experience has been primarily in the areas of radiological health and water supply and pollution control from a technical, administrative and supervisory aspect.

In July, 1960, he was accepted into the Regular Corps of the U.S. Public Health Service and was reassigned to the University of Texas for graduate training in Sanitary Engineering. In September of 1961, he received a Master of Science Degree in Sanitary Engineering with a minor in Bacteriology and was subsequently assigned to the Occupational Health Division of the Texas Department of Health as a resident in radiological health.

In March, 1984, he was assigned to the New York City Office of Radiation Control. A number of potentially hazardous situations were investigated during this assignment including lost radioactivity sources, sale of radium pills for internal use and high energy accelerator accident involving excessive exposure to employees. During the course of another occupational health investigation it was determined that television receivers intended for household use were emitting high levels of x-radiation. This finding and subsequent investigation efforts identified the need for Federal control of Electronic Products and resulted in Congressional enactment of the Radiation Control for Health and Safety Act of 1968—Pub. L. 90-602.

In July 1968, he was assigned to the Bureau of Radiological Health headquarters in Rockville, Maryland. Here he was engaged in emergency planning activities and developed a model plan which has served as a guide for the development of many State emergency plans, engaged in regulatory activities associated with the Radiation Control for Health and Safety Act, and was assigned successively more responsible positions and management of a national program of surveillance of electronic products.

In July, 1979, he retired from the USPHS, and was appointed as the Director of Radiological Health at the Iowa State Department of Health. Here he established a comprehensive program in Radiological Health which is now fully operational. In October, 1981, he was appointed as Director of Environmental Health within this Department and assumed the responsibility of administering programs in public health engineering including sanitation, consumer safety and work related disease in addition to radiation protection. He is currently engaged in expanding the work related disease functions of his section.

His professional certifications include Licensed Professional Engineer-Texas, Diplomate American Academy of Environmental Engineers and Fellow of the American Public Health Association.

Mr. Flater has been employed by the Department of Health since 1980 in increasingly reasonable positions. Prior to coming to Iowa, he was employed by the FDA Bureau of Radiological Health where he received two "Commendable Service Awards."

Mr. Myers has been with the Iowa radiation control program since 1980.

Mr. Hokel has been with the program since 1983.

Mr. Koehn joined the program in February, 1985.

Reference: Iowa Program Description, Appendix IV, B.

21. *Conditions Applicable to Special Nuclear Material, Source Material and Tritium.* Nothing in the State's regulatory program shall interfere with the duties imposed on the holder of the materials by the NRC, for example, the duty to report to the NRC, on NRC prescribed forms (1) transfers of special nuclear material, source material and tritium and (2) periodic inventory data.

The State's regulations do not prohibit or interfere with the duties imposed by the NRC on holders of special nuclear material owned by the U.S. Department of Energy or licensed by NRC, such as the responsibility of licensees to supply to the NRC reports of transfer and inventory.

Reference: Iowa 470-38.1 and 38.3.

22. *Special Nuclear Material Defined.* The definition of special nuclear material in quantities not sufficient to form a critical mass, as contained in the Iowa Radiation Control Regulations, is uniform with the definition in 10 CFR Part 150.

Reference: Iowa 470-38.2, Definition of Special Nuclear Material in Quantities Not Sufficient to Form a Critical Mass.

#### Administration

23. *Fair and Impartial Administration.* The Iowa statute and regulations provide for administrative and judicial review of actions taken by the Department of Health.

Reference: Section 136C, The Code, Iowa 470-38.9, 38.12, 39.56, 40.21.

24. *State Agency Designation.* The Iowa Department of Health has been designated as the State's radiation control agency.

References: Section 136, The Code.

25. *Existing NRC Licenses and Pending Applications.* The Department has made provision to continue NRC licenses in effect temporarily after the transfer of jurisdiction. Such licenses will expire either 90 days after receipt from the Department of a notice of expiration or on the date of expiration specified in the Federal license, whichever is earlier.

Reference: Iowa 470-39.53.

26. *Relations with Federal Government and Other States.* There should be an interchange of Federal and State information and assistance in connection with the issuance of regulations and licenses or authorizations, inspection of licensees, reporting of incidents and violations, and training and education problems.

The proposed agreement declares that the State will use its best efforts to cooperate with the NRC and the other Agreement States in the formulation of standards and regulatory programs for the protection against hazards of radiation and to assure that the State's program will continue to be compatible with the Commission's program for the regulation of like materials.

Reference: Governor Branstad's letter dated August 26, 1985, Proposed Agreement between the State of Iowa and the Nuclear Regulatory Commission, Article VI.

27. *Coverage, Amendments, Reciprocity.* The proposed Iowa agreement provides for the assumption of regulatory authority over the following categories of materials within the State:



(a) Byproduct materials, as defined by Section 11e(1) of the Atomic Energy Act, as amended.

(b) Source materials.

(c) Special nuclear materials in quantities not sufficient to form a critical mass.

Reference: Proposed Agreement, Article I.

Provision has been made by Iowa for the reciprocal recognition of licenses to permit activities within Iowa of persons licensed by other jurisdictions. This reciprocity is like that granted under 10 CFR Part 150.

Reference: Iowa 470-39.57.

28. *NRC and Department of Energy Contractors.* The State's regulations provide that certain NRC and DOE contractors or subcontractors are exempt from the State's requirements for licensing and registration of sources of radiation which such persons receive, possess, use, transfer, or acquire. Reference: Iowa 470-38.3.

### III. Staff Conclusion

Section 274d of the Atomic Energy Act of 1954, as amended, states:

"The Commission shall enter into an agreement under subsection b of this section with any State if:

(1) The Governor of that State certifies that the State has a program for the control of radiation hazards adequate to protect the public health and safety with respect to the materials within the State covered by the proposed agreement, and that the State desires to assume regulatory responsibility for such materials; and

(2) The Commission finds that the State program is in accordance with the requirements of subsection o, and in all other respects compatible with the Commission's program for the regulation of such materials, and that the State program is adequate to protect the public health and safety with respect to the materials covered by the proposed amendment."

The Staff has concluded that the State of Iowa meets the requirements of section 274 of the Act. The State's statutes, regulations, personnel, licensing, inspection and administrative procedures are compatible with those of the Commission and adequate to protect the public health and safety with respect to the materials covered by the proposed agreement. Since the State is not seeking authority over uranium milling activities, subsection o is not applicable to the proposed Iowa agreement.

Dated at Bethesda, Maryland, this 24th day of September 1985.

For the U.S. Nuclear Regulatory Commission.

G. Wayne Kerr,

Director, Office of State Programs.

### Appendix A

#### Proposed Agreement Between the United States Nuclear Regulatory Commission and the State of Iowa for Discontinuance of Certain Commission Regulatory Authority and Responsibility Within the State Pursuant to Section 274 of the Atomic Energy Act of 1954, as Amended

WHEREAS, The United States Nuclear Regulatory Commission (hereinafter referred to as the Commission) is authorized under section 274 of the Atomic Energy Act of 1954, as amended (hereinafter referred to as the Act), to enter into agreements with the Governor of any State providing for discontinuance of the regulatory authority of the Commission within the State under Chapters 6, 7, and 8, and section 161 of the Act with respect to byproduct materials as defined in sections 11e. (1) and (2) of the Act, source materials, and special nuclear materials in quantities not sufficient to form a critical mass; and

WHEREAS, The Governor of the State of Iowa is authorized under Chapter 136C, Code of Iowa, to enter into this Agreement with the Commission; and

WHEREAS, The Governor of the State of Iowa certified on —, 1985, that the State of Iowa (hereinafter referred to as the State) has a program for the control of radiation hazards adequate to protect the public health and safety with respect to the materials within the State covered by this Agreement, and that the State desires to assume regulatory responsibility for such materials; and

WHEREAS, The Commission found on —, that the program of the State for the regulation of the materials covered by this Agreement is compatible with the Commission's program for the regulation of such materials and is adequate to protect the public health and safety; and

WHEREAS, The State and the Commission recognize the desirability and importance of cooperation between the Commission and the State in the formulation of standards for protection against hazards of radiation and in assuring that State and Commission programs for protection against hazards of radiation will be coordinated and compatible; and

WHEREAS, The Commission and the State recognize the desirability of reciprocal recognition of licenses and exemptions from licensing of those materials subject to this Agreement; and

WHEREAS, This Agreement is entered into pursuant to the provisions of the Atomic Energy Act of 1954, as amended;

NOW, THEREFORE, It is hereby agreed between the Commission and the Governor of the State, acting in behalf of the State, as follows:

### Article I

Subject to the exceptions provided in Articles II, IV, and V, the Commission shall discontinue, as of the effective date of this Agreement, the regulatory authority of the Commission in the State under Chapters 6, 7, and 8, and section 161 of the Act with respect to the following materials:

A. Byproduct materials as defined in section 11e.(1) of the Act;

B. Source materials; and

C. Special nuclear materials in quantities not sufficient to form a critical mass.

### Article II

This Agreement does not provide for discontinuance of any authority and the Commission shall retain authority and responsibility with respect to regulation of:

A. The construction and operation of any production or utilization facility;

B. The export from or import into the United States of byproduct, source, or special nuclear material, or of any production or utilization facility;

C. The disposal into the ocean or sea of byproduct, source, or special nuclear waste materials as defined in regulations or orders of the Commission;

D. The disposal of such other byproduct, source, or special nuclear material as the Commission from time to time determines by regulation or order should, because of the hazards or potential hazards thereof, not be so disposed of without a license from the Commission;

E. The land disposal of source, byproduct and special nuclear material received from other persons; and

F. The extraction or concentration of source material from source material ore and the management and disposal of the resulting byproduct material.

### Article III

This Agreement may be amended, upon application by the State and approval by the Commission, to include the additional area(s) specified in Article II, paragraph E or F, whereby the State can exert regulatory control over the materials stated herein.



**Article IV**

Notwithstanding this Agreement, the Commission may from time to time by rule, regulations, or order, require that the manufacturer, processor, or producer of any equipment, device, commodity, or other product containing source, byproduct, or special nuclear material shall not transfer possession or control of such product except pursuant to a license or an exemption from licensing issued by the Commission.

**Article V**

This Agreement shall not affect the authority of the Commission under subsection 161 b. or i. of the Act to issue rules, regulations, or orders to protect the common defense and security, to protect restricted data or to guard against the loss of diversion of special nuclear material.

**Article VI**

The Commission will use its best efforts to cooperate with the State and other Agreement States in the formulation of standards and regulatory programs of the State and the Commission for protection against hazards of radiation and to assure that State and Commission programs for protection against hazards of radiation will be coordinated and compatible. The State will use its best efforts to cooperate with the Commission and other Agreement States in the formulation of standards and regulatory programs of the State and the Commission for protection against hazards of radiation and to assure that the State's program will continue to be compatible with the program of the Commission for the regulation of like materials. The State and the Commission will use their best efforts to keep each other informed of proposed changes in their respective rules, and regulations and licensing, inspection and enforcement policies and criteria, and to obtain the comments and assistance of the other party thereon.

**Article VII**

The Commission and the State agree that it is desirable to provide reciprocal recognition of licenses for the material listed in Article I licensed by the other party of by an Agreement State. Accordingly, the Commission and the State agree to use their best efforts to develop appropriate rules, regulations, and procedures by which such reciprocity will be accorded.

**Article VIII**

The Commission, upon its own initiative after reasonable notice and opportunity for hearing to the State, or

upon request of the Governor of the State, may terminate or suspend all or part of this agreement and reassert the licensing and regulatory authority vested in it under the Act if the Commission finds that (1) such termination or suspension is required to protect the public health and safety, or (2) the State has not complied with one or more of the requirements of section 274 of the Act. The Commission may also, pursuant to section 274j. of the Act, temporarily suspend all or part of this agreement if, in the judgment of the Commission, an emergency situation exists requiring immediate action to protect public health and safety and the State has failed to take necessary steps. The Commission shall periodically review this Agreement and actions taken by the State under this Agreement to ensure compliance with section 274 of the Act.

**Article IX**

This Agreement shall become effective on —, and shall remain in effect unless and until such time as it is terminated pursuant to Article VIII.

Done at Washington, District of Columbia, in triplicate, this — day of —, 1986.

For the United States Nuclear Regulatory Commission.

Nunzio J. Palladino, *Chairman*,

Done at Des Moines, Iowa, in triplicate, this — day of —, 1985.

For the State of Iowa.

Terry E. Branstad, *Governor*.

**Appendix B—The Iowa Radiation Control Program****Foreword**

The State of Iowa, while recognizing that the scientific medical and industrial usage of atomic energy can be beneficial to its citizens, is also cognizant of the hazards inherent to ionizing radiation. With these hazards in mind, and considering that the State is committed to attain the highest practicable degree of protection for the public health from the harmful effects of all types of radiation, the second session of the 70th Iowa General Assembly (1984) enacted H.F. 2110 which is an act relating to the regulation of radiation machines and radiation material.

Section 274 of the Atomic Energy Act of 1954, as amended, authorizes the United States Nuclear Regulatory Commission (NRC) to enter into an agreement with the Governor of a state, for purposes of enabling that state to assume regulatory responsibility for licensing and regulatory control of byproduct, source and less than critical quantities of special nuclear material.

Section 136C.11 of 1984 Iowa Act, H.F. 2110, authorizes the Governor, on behalf of the Iowa State Department of Health (ISDH), Division of Disease Prevention, Environmental Health Section, Radiological Health Program, to enter into an agreement with the NRC. This agreement would provide for the discontinuance of certain responsibilities of the NRC relating to ionizing radiation and the assumption of such responsibilities by the State. A copy of the subject legislation is contained in Appendix I.D.

**Radiation Protection in Iowa**

Prior to 1979 there was no comprehensive regulation of x-ray or radium within the State of Iowa. Enactment of legislation entitled, "Radiation Emitting Equipment," which became effective January 1, 1979, enabled the ISDH to assure the safe installation, operation, and use of radiation emitting equipment through the process of rulemaking, registration, and inspection. Radiation emitting equipment includes sources of ionizing radiation, such as x-ray machines, accelerators, radium and other radioactive material not under the jurisdiction of the NRC.

In implementing this law, the ISDH established a radiation control program in July 1979 and promulgated rules which became effective July 1, 1980. Although Iowa has made a belated appearance on the radiation control regulatory scene, it has been able to profit from the knowledge gained by other Federal, state, and local programs who have been actively engaged in this activity for many years. In particular, the rules which Iowa adopted were directly extracted from those recommended by the National Conference of Radiation Control Program Directors, Inc., and reflect several decades of experience by other radiation control programs. These rules basically address safety requirements associated with equipment, but also include stipulations regarding maximum exposure levels, operating procedures, safety instructions, warnings, and personnel and patient protection.

**Registration and Inspection**

On July 1, 1980, the Environmental Health Section's Radiological Health Program (RHP) initiated its registration program for equipment. As of January 1, 1984, approximately 2400 possessors of almost 5000 healing arts x-ray machines have registered their equipment with the Department. This number includes all healing arts users including hospitals, educational institutions, industries, and



state and local agencies. In addition, there are approximately 80 facilities employing non-healing arts x-ray and 20 possessors, of radium registered as are the possessors of 15 particle accelerators. Ninety percent of the registered facilities fall into the healing arts categories.

In addition to registration, the RHP also is conducting comprehensive inspections throughout the State. The radiation emitting equipment inspected to date almost entirely consists of diagnostic x-ray machines employed in the healing arts. As of April 1, 1985, the RHP has inspected over 47 percent of the x-ray tubes and two radium users. Although a wide variety of units were inspected, including newly installed equipment, major emphasis was given to equipment which might pose the greatest risk to public health either because of its antiquity or improper use. Locations of the units and information used in prioritizing were obtained from the registration program.

Approximately 17 percent of the units inspected thus far have been found to possess major items of non-compliance such as the absence of a means to limit the useful beam of the x-ray to the portion of the patient's body which is of clinical interest or the absence of an adequate means of protecting the operator from radiation exposure. An additional 67 percent of the units inspected were found to not conform with aspects of the rules of lesser public health concern. In most cases these minor non-compliances can be rectified by establishment of safety procedures and other instructional guidance to the operator or by adjustment and calibration of equipment. All non-compliance equipment has either been corrected or is in the process of being corrected.

#### Special Provisions

The 1979 Iowa law and subsequent rules, while diligently following the pathway blazed by other states, does incorporate several new provisions not embarked on by most of the other state programs. These new avenues toward reducing radiation exposure involve the following areas:

- (1) Restricting healing arts screening practices;
- (2) Establishing operator training requirements;
- (3) Maintaining human exposure to radiation at levels which are as low as reasonably achievable; and
- (4) Funding a radiation control program from registration/inspection fees paid by possessors of radiation emitting equipment.

#### Healing Arts Screening

Healing arts screening can be defined as the intentional exposure of individuals to x-ray for diagnostic purposes without the specific and individual order of a licensed practitioner of the healing arts. The Iowa Administrative Code only permits that such screening practices be conducted with the approval of the ISDH. Until the promulgation of these rules there was no legal restriction against the indiscriminate x-raying of persons in the State without involving a licensed practitioner. A number of large industrial employers were regularly hiring out-of-state mobile x-ray services to conduct annual chest x-ray examinations which were in some cases required by the employer or in one instance an employee benefit included in the labor contract. The degree of scrutiny given to analyzing the x-ray films obtained from these screening practices or of assuring the provision of the diagnostic information retrieved from the individuals' personal physicians is highly suspect. Implementation of these regulatory provisions has significantly decreased the observed instances of unwarranted healing arts screening.

These rules are intended to minimize, if not preclude, the screening which is conducted randomly and arbitrarily, and without appropriate pre-selection. Such pre-selection would include the identification of positive reactors to tuberculin skin tests, or other individuals who have a demonstrated increased risk to disease for which x-ray diagnosis is appropriate. For instance, ISDH approval can be and has been justified for chest x-ray screening of workers exposed to asbestos or silicon dusts.

X-ray examination at the discretion and prerogative of an examining licensed practitioner who needs such radiographic information for diagnostic purposes would not, of course, be healing arts screening and, therefore, not subject to restriction. This requirement would hopefully serve to reduce unnecessary x-ray exposure to the public by reducing the number of x-rays taken for purposes of legal liability, insurance claims, workman's compensation, or otherwise where the probability of receiving healing arts benefits is extremely remote.

#### Operator Training Requirements

January 12, 1983, is the effective date for the State "Minimum Training Standards for Diagnostic Radiographers" (470-42.1(136C)). This rule applies to operators of diagnostic x-

ray equipment employed in the healing arts other than dentistry or veterinary medicine. Licensed practitioners in medicine, osteopathy, chiropractic or podiatry also are not covered under the rules. The standard establishes training requirements for two categories of diagnostic radiographers, General and Limited.

General diagnostic radiographers are those who may apply x-ray to any portion of the human body to obtain a radiograph. Successful completion of a two-year training program identical to that which is necessary to obtain national certification is required for the General category.

The Limited category would include those individuals who only radiograph specific portions of the human body, such as chests, extremities or in the practice of chiropractic or podiatry. The training programs for Limited diagnostic radiographers must be specifically recognized by the ISDH and are not expected to exceed approximately 80 hours total class time.

The Conditional diagnostic radiographer category would be made available only by special exemption from these rules and would be temporary in nature. Typically such an exemption may be provided to afford a short, but reasonable period of time, for an individual to commence an acceptable training program. It is difficult to conceive of a situation in which a long-term exemption permitting a Conditional diagnostic radiographer could be justified. Hopefully, this exemption will enable the timely training of operators without undue interference with the provision of healing arts services.

#### As Low As Reasonably Achievable

As an adjunct to its compliance program, the ISDH is participating in a radiological health initiative with the Food and Drug Administration's (FDA) Bureau of Radiological Health by disseminating educational material on unnecessary radiation exposure in the healing arts. This information has been provided to practitioners and other healing arts facilities for distribution to patients.

This program involves the distribution of consumer information packets to all types of healing arts facilities including medical doctors, osteopathic doctors, chiropractors, dentists, hospitals, clinics, and numerous specialty type facilities such as podiatry, gynecology, urology, internal medicine, neurology and surgery. The program is scheduled to continue indefinitely with radiation inspectors and other field personnel



distributing the packets. The information being disseminated is not new. It has long been recognized in the field of radiation protection. The new aspect of this program is that it emphasizes the role of the consumer in protection effects.

Since this program so very directly relates to diagnostic x-rays, a valuable tool of the healing arts, it seems only appropriate that dissemination of this information be closely associated with healing arts facilities.

The ISDH also is cooperating with the FDA in its "Dental Exposure Normalization Technique"

This activity is primarily directed towards reducing patient exposure through quality assurance programs at dental facilities. The Iowa Dental Association has expressed its support of this program and is actively nurturing cooperation within the dental community.

Further emphasis towards encouraging reduction in patient exposure from medical x-ray procedures through voluntary quality assurance program emphasis is contemplated for the future. Physical demonstration of financial, as well as patient exposure savings, is expected to be an effective method of obtaining cooperation from the community.

The activities of the RHP are supported, to a large degree, from fees paid by registrants of radiation emitting equipment. This method of fiscal support is based on the statutory requirement for fees in amounts sufficient to defray the cost of administering this program. The apportionment of fees approximates as closely as possible the ISDH resources necessary to administer this program in relation to each registrant. In developing the fee, we attempted to maintain consistency with fees other states were charging for equipment as well as the method employed in assessing these fees. The fee schedule as it now exists is our best estimate of what is needed to defray the cost of this regulatory program. The variation in the fees reflects differences in equipment complexity and potential public health impact moderated by an equalizing tendency of an overall registration program. The person having legal possession of radiation emitting equipment is considered the registrant of that equipment and the person responsible for paying the fee. Fees range from \$20.00 for an individual industrial x-ray unit to a maximum of \$250.00 for facilities possessing 16 or more medical x-ray machines.

#### Other Activities

Basically the Iowa RHP is similar to those being implemented in most other states, with the slight exception of the features described above. Currently, major emphasis is being given to reducing exposure from diagnostic x-ray because of its overall contribution to that total populations' exposure from man-made radiation sources.

In addition to fulfilling its responsibilities under the Radiation Emitting Equipment Act, the Agency also serves to provide State government with radiological health expertise, particularly in the event of nuclear emergencies. This activity involves consulting with other agencies on such subjects as transportation of radioactive material, low-level radioactive waste disposal, radioactive contamination, protective action guides for radioactively contaminated agriculture products and medical radiological response. In the unlikely event of a nuclear emergency in Iowa, personnel from the Environmental Health Section would report to the State Emergency Operations Center and primarily perform the following functions:

1. Receive and interpret data regarding radioactivity releases to the environment or the potential for such releases;
2. Perform calculations to ascertain the resultant levels of radioactivity affecting persons;
3. Evaluate the impact of these radioactivity levels of the public health; and
4. Translate this health physics evaluation to the decision makers and assist them in making protective action decisions.

In addition to this formalized response, the agency also provides consultative and training services to the public and regulated sectors relating to radiation safety. Investigations of complaints, minor accidents and suspected radiation problems are conducted on request as staff and resource limitations permit.

#### New Legislation

The second session of the 70th Iowa General Assembly (1984) passed H.F. 2110 (Appendix I.D). This legislation provides the authority for the Governor to enter into an agreement for the assumption of certain licensing and regulatory functions of the NRC. Rules which will facilitate the transition of authority from the NRC to the State radiation control group have been promulgated. We are aware of the need to periodically update rules to maintain compatibility. Work is underway to

address appropriate revisions of the current rules. Draft rule changes will be submitted to NRC for review and comment.

#### Organization, Functions and Responsibility

The 18th General Assembly of Iowa established a State Board of Health in March 1880. The purpose of the Board was to provide for collecting vital statistics, to assign certain duties to local boards of health, and to punish neglect of duties. The Board consisted of nine members which included the State Attorney General, one civil engineer, and several physicians.

The State Board of Health and State Department of Health first appeared in the Iowa Code in 1897. The current legislation for this Board and Department is:

1. Chapter 136, The Code, stipulates that the Board is the policy making body for the Department of Health having powers and duties to:

a. Consider and study the entire field of legislation and administration concerning public health, hygiene and sanitation.

b. Advise the Department relative to:

- i. The causes of disease and epidemics and the effect of locality, employment and living conditions upon public health
- ii. The sanitary conditions in the educational, charitable, correction and penal institutions in the State
- iii. Communicable and infectious disease including zoonotic diseases, quarantine and isolation, venereal diseases, antitoxins and vaccines, housing and vital statistics

c. Establish policies governing the performance of the Department in the discharge of any duties imposed on it by law.

d. Establish policies for the guidance of the Commissioner in the discharge of his duties.

e. Investigate the conduct of the work of the Department and for this purpose it shall have access at any time to all books, papers, documents and records of the Department.

f. Advise or make recommendations to the Governor or General Assembly relative to public health, hygiene and sanitation.

g. Adopt, promulgate, amend and repeal rules and regulations consistent with law for the protection of public health and for the guidance of the Department. All rules which have been or are hereafter adopted by the Department shall be subject to approval by the Board.



2. Chapter 135, The Code, stipulates that the Commissioner of Public Health is the head of the State Department of Health having the power and duties to:

a. Exercise general supervision over the public health, promote public hygiene and sanitation and, unless otherwise provided, enforce the laws relating to same.

b. Conduct campaigns for the people in hygiene and sanitation.

c. Issue monthly health bulletins containing fundamental health principles and other data deemed of public interest.

d. Make investigations and surveys with respect to the causes of disease and epidemics and the effect of locality, employment, and living conditions on the public health.

e. Make inspections of the sanitary conditions in the educational, charitable, correctional, and penal institutions in the State.

f. Make inspections of the sanitary conditions in any locality of the State upon written petition of five or more citizens from said locality and issue directions for the improvement of the same which shall be executed by the local board.

g. Establish, publish, and enforce a code of rules governing the installation of plumbing in cities.

h. Exercise general supervision of the administration of the housing law and give aid to the local authorization in the enforcement of the same.

i. Enforce the law relative to the "Practice of Certain Professions Affecting the Public Health."

j. Establish and maintain such divisions in the Department as are necessary for the proper enforcement of the laws administered by it including a division on contagious and infectious disease, a division of venereal disease, a division of vital statistics and a division of examinations and licenses; but the various services of the Department shall be so consolidated as to eliminate unnecessary personnel and make possible the carrying on of the functions of the Department under the most economical methods.

k. Establish, publish and enforce rules not inconsistent with law for the enforcement of the provisions of this title and for the enforcement of the various laws, the administration and supervision of which are imposed upon the Department.

l. Establish standards for issuing permits and exercise control over the distribution of venereal disease prophylactics distributed by methods not under the direct supervision of a licensed physician under Chapters 148, 150 or 150A or a pharmacist license

under 147. Any person selling, offering for sale or giving away any venereal disease prophylactic in violation of the standards established by the Department shall be fined not exceeding five hundred dollars and the Department shall revoke this permit.

m. Administer the statewide public health nursing and homemaker-home health aide programs by approving grants of state funds to the local boards of health and county boards of supervisors and by providing guidelines for the approval of the grants and allocations of the State funds.

The Department has two assistants to the Commissioner who are responsible for (1) Central Administration and Professional Licensure, and (2) Health Planning and Development. There are also four division directors responsible for (1) Health Facilities, (2) Disease Prevention, (3) Personal and Family Health, and (4) Community Health. A chart showing the present organization of the Department of Health is contained in Appendix IIA.

Funding for the Department is both State and Federal. Federal Block Grants are used to fund many of the Department's programs. Funds for the RHP are 19 percent Federal contract money, 40 percent from registration fees and 41 percent state funds.

Although our legislation to regulate radiation producing machines and radioactive materials does not mandate the appointment of an advisory committee, such a committee has been appointed by the Commissioner of Health and is called the Ad Hoc Committee on Rules for Radiation Emitting Equipment. The current committee is made up of 20 individuals representing engineering, diagnostic radiography, nuclear medicine, dentistry, veterinary medicine, chiropractic, podiatry, manufacturers, industry, allied health organizations and public interest groups. Appendix III is a list of the membership of the present committee. This committee's responsibilities are to act as a technical resource and a review mechanism for rules promulgated by the Department. The committee is strictly advisory and final decisions are reserved for the Commissioner based on staff recommendations. Any conflict of interest on the part of the advisory committee would be taken into consideration in the staff review. The RHP of the Environmental Health Section has the authority to regulate the use of all sources of ionizing radiation, except those it may exempt or are under the jurisdiction of the Federal government. A chart showing the organization of the Environmental

Health Section is shown in Appendix IIB.

All members of the RHP staff have experience in health physics and are in the process of receiving specialized training relating to radioactive materials. Professional staff including both new and existing personnel will continue attending NRC training courses as they become available to attain and maintain a high level of technical competency. Responsibilities, background and experience of radiation control personnel are given in Appendix IV.

The RHP is within the Environmental Health Section of the Division of Disease Prevention. The Section Director is responsible for signing licenses and overall general supervision of the Program. The Coordinator of the RHP will be responsible for supervising the review of license applications and the justification and writing of all licenses. This individual will also review all inspection reports and be responsible for corresponding with licensees to advise them of items of non-compliance found during inspections and eliciting compliance. The Coordinator will spend one-third of a person-year on agreement state program activities. A senior staff member of the RHP will be responsible for conducting license application review and preparation of licenses. He will have lead responsibility for inspection of licensees and investigation of incidents pertaining to radioactive materials. This staff person will also be an integral part of all emergency response efforts. It is anticipated that a major portion of this individual's time will be spent on the agreement state program. Prior to consummation of the agreement a position will be established to provide secretarial support for this program. It is also anticipated that the RHP professional staff will be trained and used in the radioactive materials program to do routine inspections. It is expected that the total personnel time devoted to the radioactive materials program will be at least two-person-years.

Within Iowa the Departments of Health, Water, Air and Waste Management, Transportation and the Bureau of Labor also have authority regarding radioactive materials. To avoid duplication of effort, promote coordination of radiation protection activities and assure uniform regulation and timely investigation of all potentially hazardous situations resulting from radioactive material, appropriate interagency agreements are necessary. The Iowa Code (Appendix



IB) permits state and local governments in Iowa to make efficient use of their powers by enabling them to provide joint services and facilitate with other agencies and to cooperate in other ways of mutual advantage. To consolidate the radiological health activities the Iowa State Department of Health has entered into 28E Agreements with the Department of Water, Air and Waste Management, the Department of Transportation and the Bureau of Labor. Appendix IB.1, 2 and 3 contains copies of the subject legislation and a copy of each of the 28E agreements.

#### Scope of Activities

The RHP administers the regulatory program associated with licensing of radio-active materials and registration of radiation machines, special projects and emergency response. Chapter 136C, The Code, (Attachment I, D) outlines the Department's duties. General laboratory services for the State are provided by the University Hygienic Laboratory (UHL) at the University of Iowa, Iowa City. Laboratory analysis needed by the RHP would be provided by the UHL through a contractual agreement to be established prior to the signing of the NRC agreement. Also, as part of this contractual agreement we will make provision to obtain environmental surveillance data generated by UHL.

Based on a review of NRC licensees in Iowa it would appear that there is not an immediate need for the RHP to have environmental surveillance capabilities. As we progress into the agreement state program, should the need arise, we will take whatever action is necessary to verify environmental surveillance data provided by a licensee or to conduct environmental surveillance activities to determine if a public health problem exists and to determine the extent of such a problem.

Within Iowa there are 5,251 registered radiation machine tubes which includes 2,752 dental tubes, 1,822 medical tubes, 398 chiropractic tubes, 68 podiatry tubes, and 195 tubes used for non-healing arts purposes. These tubes are all contained in 2,451 registered facilities. There are 27 linear accelerators registered with the Program. Eighteen are used for medical therapy purposes and nine are used for industrial purposes. We also have 24 facilities registered who use NARM products. As of March 1, 1985, there are 172 NRC licenses in Iowa. It is anticipated that the State will assume approximately 170 of these licenses.

At this time, the State does not wish to assume authority over uranium milling activities or the commercial disposal of low-level radioactive waste.

The State, however, reserves the right to apply at a future date to NRC for an amended Agreement to assume authority in these areas.

#### Regulatory Procedures and Policy

##### Licensing and Registration

Chapter 136C, The Code, requires licensing of all radioactive materials and radiation machines except for sources of radiation which are specifically exempted by rule. Fees are charged for radiation machine registration as set forth in 470-38.13(1) of our Radiation Emitting Equipment Rules, Title IV. 470-38.13(2) sets forth the provision that a license and inspection fee for radioactive materials will be based on the provisions of 10 CFR Part 170.

Licensing procedures are being developed and will be consistent with those of the NRC. A draft licensing application and sample forms contained in Appendix V will be used in conjunction with licensing and regulatory guides patterned after NRC documents.

General licenses are provided by rule without filing an application with the Department or the issuance of a licensing document. General licenses will be issued for specified materials under specified conditions when it is determined that the issuance of specific licenses is not necessary to protect the public health and safety. Specific licenses or amendments thereto will be issued upon review and approval of an application. A specific license will be issued only to named persons or facilities under the supervision of a named person and will incorporate appropriate conditions and expiration date. A pre-licensing inspection will be conducted when appropriate.

The Department will establish a subcommittee of our Ad Hoc Committee on Rules for Radiation Emitting Equipment and seek its advice and consultation regarding all applications for non-routine medical use of radioactive materials. Appropriate research protocols will be required as a part of such an application. The Department will maintain knowledge of current developments, techniques and procedures for medical use applicable to the licensing program through continuing contact and information exchange with the NRC, other agreement states and the medical profession.

The registration and inspection program for radiation producing machines will continue and the use and inspection of NARM will be phased into the radioactive materials program.

#### Inspection Program

The Department has an inspection/compliance program for radiation machines which is similar to that which will be established for the radioactive materials program. Inspections for the purpose of evaluating radiation safety and determining compliance with appropriate rules and provisions of licenses will be conducted as scheduled or in response to requests or complaints. Inspection frequency will be based upon the extent of the potential hazard and experience with the particular facility. Inspection priorities may be changed on a case-by-case basis consistent with current NRC practices. It is anticipated that the state inspection of licensees will be conducted in accordance with the following inspection frequency chart.

| License type                 | Inspection frequency |
|------------------------------|----------------------|
| Industrial Radiography       | 1 year.              |
| Broad Medical                | 2 years.             |
| Broad Academic               | 2 years.             |
| Nuclear Pharmacy             | 2 years.             |
| Research and Development     | 3 years.             |
| Broad Industrial (A & B)     | 3 years.             |
| Nuclear Medicine             | 2 years.             |
| Teletherapy                  | 3 years.             |
| Broad Industrial (C)         | 5 years.             |
| Non-Medical Group            | 5 years.             |
| Mobile Gauges                | 5 years.             |
| Limited Industrial           | 6 years.             |
| Academic (not covered above) | 6 years.             |
| Gauges, Calibrators, etc.    | Initial <sup>1</sup> |

<sup>1</sup> As needed.

All license type/inspection frequency not covered above will be inspected based on NRC criteria.

Inspections will be conducted on an unannounced basis unless the Department determines that an announced inspection is more appropriate. Written inspection procedures developed with NRC guidance will be followed in conducting inspections and preparing reports.

The RHP has personnel trained in regulatory practice and procedures. Additionally, program personnel continue to accompany NRC inspectors during their field inspections in Iowa to gain a higher degree of competency in evaluating radiation safety and to determine compliance with appropriate regulations and license provisions. Inspections will include the observation of pertinent facilities, operators and equipment; a review of the pertinent records and of radioactive materials—all as appropriate to the scope of the activity, conditions of the license and applicable rules. In addition, independent measurements will be made as appropriate.

At the start and conclusion of an inspection, personal contact will be



made at management levels whenever possible. Following the inspection, results will be discussed with management. Prompt investigations and reports will be made of all reported or alleged incidents to determine the cause, the steps to be taken for correction, and the prevention of similar incidents in the future.

#### Compliance and Enforcement

Compliance with rules and license conditions will be determined by inspections and evaluation of inspection reports. When there are items of non-compliance, the licensee or registrant will be informed at the time of inspection as follows:

1. When the items are minor and the licensee or registrant agrees at the time of inspection to correct them, written inspection findings will be prepared which will list the items of non-compliance, confirm any corrections made during the inspection, and require acknowledgment by the person interviewed. The licensee or registrant will be informed that a review of any corrective action items will be conducted at the time of the next regular inspection or by a reinspection.

2. When the non-compliance is considered serious, the person interviewed will be informed at the time of the inspection. Written notification of inspection findings will be sent to the licensee or registrant which will delineate the items of non-compliance and require a written response within 30 days of the written notification date. The response from the licensee or registrant shall include a correction action plan and a timetable which will outline the completion dates for correcting all non-compliance items.

3. If no reply is received to the initial written notification within the specified time, a regulatory letter will be sent to management. This letter will order compliance and advise that if corrective action is not initiated, the Department will seek appropriate penalties and direct remedial relief.

4. Continued non-compliance as determined by a reinspection. If appropriate, or by failure to respond within five days of the regulatory letter could result in Departmental action as outlined in 470-38.9(5) of our Radiation Emitting Equipment Rules, Title IV. The Departmental action may include one or a combination of the following:

- a. Impound or order the impounding of radioactive material in accordance with Iowa Code, Section 136C.5 Subsection 5.
- b. Impose an appropriate civil penalty.
- c. Revoke a radioactive materials license.

- d. Request the County Attorney or the Attorney General to seek court action to enjoin violations and seek conviction for a simple misdemeanor.

- e. Take enforcement action that the Department feels appropriate and necessary and is authorized by law.

The Department uses its best efforts to attain compliance through cooperation and education prior to initiating the formal legal procedures outlined above.

Upon request by a licensee or upon the determination by the Department, the terms and conditions of a license may be amended, consistent with our legislation or rules, to meet changing conditions in operations or to remedy technicalities of non-compliance.

#### Effective Date of License

Any person who possesses a license for agreement materials issued by the NRC, on the effective date of the agreement with the NRC, shall be deemed to possess a like license issued by the Department which shall expire either 90 days after the receipt from the Department of a notice of expiration of such license or on the date of expiration specified in the Federal license, whichever is earlier.

#### Administrative Procedures

The basic standards of procedures for administrative agencies in the State of Iowa are set forth in Chapter 17A, The Code (copy in Attachment IA). The Department will follow the provisions of this Chapter, Chapter 136C, The Code, which is the act relating to the Regulation of Radiation Machines and Radioactive Material and the Department's Radiation Emitting Equipment Rules, Title IV, with respect to hearings, issuance of orders and judicial review of findings.

#### Compatibility and Reciprocity

In promulgating the present Radiation Emitting Equipment Rules, Title IV, the Department has, insofar as practicable, maintained compatibility with NRC and agreement state regulations, has avoided requiring dual licensing and has provided for reciprocal recognition of other agreement states and Federal licensees.

Through these rules the State has adopted radiation protection standards and will strive to maintain compatibility with NRC and other agreement states. The Department will also cooperate with NRC and other agreement states in interchanging information and statistics relating to control of radioactive materials.

#### Interagency Agreements

Interagency agreements are provided for in Chapter 28E, The Code, (copy in Appendix IB). Currently the ISDH has 28E Agreements with the Iowa Bureau of Labor, the Iowa Department of Transportation, and the Iowa Department of Water, Air and Waste Management. (Copies of each agreement are attached to appropriate legislation in Appendix IB.1, 2 and 3.) The purpose of each is to avoid duplication of effort and to promote coordination of radiation protection activities; assure uniform regulation of the use, manufacture, production, distribution, sale, transport, transfer, installation, repair, receipt, acquisition, ownership and possession of radioactive materials from a radiological health and safety standpoint relating to the exposure of individuals, and to assure timely investigation of all potentially hazardous situations resulting from radioactive material.

#### Radiation Laboratory Services

The RHP has or will be obtaining the equipment to have the capability of evaluating samples collected during routine inspections and for making independent measurements. The current equipment the program has is listed in Appendix VI. We have included in our 1985-86 budget request \$10,500.00 for new equipment which will include additional ion chambers, alpha detection process, a neutron measurement device, audible personnel monitoring devices, etc. We have a good working relationship with Iowa State University (ISU), the University of Iowa (U of I), and the University (State) Hygienic Laboratory (UHL). These institutions have very good radiation measurement inventories and in the past we have been able to borrow equipment as the need arises. All instruments used for inspection and emergency response will be calibrated on the basis recommended by NRC.

Iowa has an environmental surveillance program. It is conducted by the State University Hygienic Laboratory (UHL) and includes radiological analyses of air, surface and drinking waters and milk samples taken State-wide. The UHL also conducts a radiological surveillance program around the Duane Arnold power reactor site under contract with NRC. If, in the future, the State licenses a facility having a potential for a significant radiological impact upon the environment, the State has the capability to develop a site-specific environmental surveillance program.



The Iowa enabling legislation empowers the State to charge the licensee a fee to recover the costs of such a program.

The three institutions mentioned above have the capability to do gamma spectroscopy and gross alpha-beta counting of environmental sample. In most cases UHL will be used because it is the agency which provides laboratory services for the State of Iowa. If the UHL is unable to perform necessary tests, assistance will be requested from the appropriate Federal agency.

#### *Emergency Response*

The RHP has technically trained personnel and specialized equipment to investigate and evaluate incidents involving ionizing radiation. The program continues to prepare for such response by providing the following:

1. Trained staff for advisement required to meet any given situation.
2. Trained and equipped staff for emergency field activities. If the magnitude to the incident would be too great, assistance could be obtained from the three state emergency response teams which are located at ISU, U of I and UHL.

3. Transportation to the incident site via private auto or by any type of state mode of transportation which would be necessary for prompt response.

4. Established liaison with appropriate Federal officials.

5. Training of key personnel of other State/local agencies.

Radiological assistance in the form of monitoring, liaison with appropriate authorities and recommendations for area security and cleanup are provided by the Department. All program personnel will be maintained at an operation-ready level of training. This will be accomplished by training received in house and from Federal agencies.

Appendix VIIA is the portion of the Nuclear Power Plant Emergency Plant Response criteria of the Iowa Emergency Plan which relates to the ISDH activities. The Plan addresses only off-site releases from fixed nuclear facilities. Upon review you will note that it is the responsibility of the Department to advise the Iowa Office of Disaster Services (ODS) of the extent of the hazard to the public health and safety and recommend protective actions as necessary.

In Appendix VIIB is the portion of Annex E of the Iowa Emergency Plan which outlines the telephone procedure for a radioactive material incident. This Annex is currently being revised to address State actions to be taken regarding radioactive material spills, overexposures, transportation accidents,

fires or explosions, theft, etc., and to update the guidance materials incorporated into the plan. All licensees will be given a copy of Annex E and instructed in the proper method of reporting incidents.

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#### **Advisory Committee on Reactor Safeguards; Proposed Meetings**

In order to provide advance information regarding proposed public meetings of the ACRS Subcommittees and meetings of the full Committee, the following preliminary schedule is published to reflect the current situation, taking into account additional meetings which have been scheduled and meetings which have been postponed or cancelled since the last list of proposed meetings published September 24, 1985 (50 FR 38727). Those meetings which are definitely scheduled have had, or will have, an individual notice published in the **Federal Register** approximately 15 days (or more) prior to the meeting. It is expected that the sessions of the full Committee meeting designated by an asterisk (\*) will be open in whole or in part to the public. ACRS full Committee meetings begin at 8:30 a.m. and Subcommittee meetings usually begin at 8:30 a.m. The time when items listed on the agenda will be discussed during full Committee meetings and when Subcommittee meetings will start will be published prior to each meeting. Information as to whether a meeting has been firmly scheduled, cancelled, or rescheduled, or whether changes have been made in the agenda for the November 1985 ACRS full Committee meeting can be obtained by a prepaid telephone call to the Office of the Executive Director of the Committee (telephone 202/634-3265, ATTN: Barbara Jo White) between 8:15 a.m. and 5:00 p.m., Eastern Time.

#### **ACRS Subcommittee Meetings**

*Joint Waste Management and Metal Components*, October 24 and 25, 1985, Washington, DC. The Subcommittees will review NRC's: (1) High-Level Radioactive Waste Program: Programmatic Overview and Approach—Products, Activities and Schedules; (2) Definition of High-Level Radioactive Wastes; (3) General Technical Approach to Identify Licensing Information Needs—Overview of Performance Assessment Methodologies and Issues; (4) Final Waste Form Package Reliability Generic Technical Position; and (5) High-Level Radwaste Form and Container Materials

Research and Technical Assistance Programs.

*Beaver Valley Power Station Unit 2*, November 1, 1985, Coraopolis, PA. The Subcommittee will review the application of the Duquesne Light Company for an operating license for Beaver Valley Unit 2.

*Regulatory Policies and Practices*, November 1, 1985, Washington, DC. The Subcommittee will discuss SECY-85-208 and recommendations made by Judge Cotter of the ASLBP and OPE related to the establishment of an incident investigation organization within NRC.

*Reactor Operations*, November 4, 1985, Washington, DC. The Subcommittee will review recent operating experience.

*CE/Palo Verde*, November 5, 1985, Washington, DC. The Subcommittee will review: (1) Arizona Nuclear Power's test program experience on Unit 1; and (2) portions of CE's design of decay heat removal system.

*Long Range Plan for NRC*, November 6, 1985, Washington, DC. The Subcommittee will continue discussions on developing comments on a long range plan for the NRC. Topics to be discussed are primarily technical issues related to the regulations of nuclear power plant safety and safety regulation over the next 5 to 10 years.

*Joint Reliability and Probabilistic Assessment and Safety Philosophy, Technology, and Criteria*, November 6, 1985 (tentative), Washington, DC. The Subcommittees will: (1) Continue the review to the two-year trial use of the Proposed Safety Goal Policy; (2) review the NRC Staff proposed resolution for USI A-17, "System Interactions in Nuclear Power Plants," and (3) review the status of the ongoing NRC Staff work on steam generator overfill.

*Millstone Point Units 1-3*, November 18, and 19, 1985, Waterford, CN. The Subcommittee will review the Northeast Nuclear Energy Company's application for conversion of the Provisional Operating License to a Full-Term Operating License for Unit 1.

*Emergency Core Cooling Systems*, November 22, 1985, Washington, DC. The Subcommittee will continue its review of the proposed revision of 10 CFR 50.46 and Appendix K.

*Human Factors*, November 25 and 26, 1985, Washington, DC. The Subcommittee will complete its review of current reactor operator qualification procedures and initiate review of proposed final rulemaking on 10 CFR Part 55 and three related Regulatory Guides.

*Decay Heat Removal Systems*, December 2 (tentative) and 3, 1985.



Washington, DC. On December 2 the Subcommittee will discuss the issue of AFW reliability, and on December 3 the Subcommittee will continue the review of the NRC resolution position for USI A-45, "Shutdown Decay Heat Removal Requirement."

**Qualification Program for Safety-Related Equipment.** December 4, 1985, Washington, DC. The Subcommittee will discuss resolution and implementation of USI A-46.

**Emergency Core Cooling Systems.** December 10 and 11, 1985, Palo Alto, CA. The Subcommittee will continue the review of the joint NRC/B&WOG/EPRI/B&W joint IST Program. A visit is planned to the EPRI-sponsored facilities supporting this Program and the Stanford Research Institute and Science Applications, Inc.

**Quality and Quality Assurance in Design and Construction.** December 13, 1985, Washington, DC. The Subcommittee will discuss with the NRC Staff such programs as CAT, IDVP, IDI, and readiness review to ensure quality in nuclear plant design and construction. Further, a discussion with the Staff of their program to deal with allegations at the OL stage (i.e., Comanche Peak). Emphasis should be on comparing the resources required by the various programs and the effectiveness of the programs in assuring quality of plant design, construction and readiness for operation.

**Safety Research Program.** February 12, 1986 (tentative), Washington, DC. The Subcommittee will continue its review of the NRC Safety Research program and budget and will also discuss a final draft of the ACRS report to the Congress.

**Fort St. Vrain.** Date to be determined (November/December), near Longmont, CO. The Subcommittee will tour the facility, explore technical problems addressed during the recent extended outage, and discuss management changes made as a result of the licensee's independent assessment of management controls.

**Human Factor.** Date to be determined (December), Washington, DC. The Subcommittee will explore methods for deciding what actions should be automated in nuclear power plant operations.

**Reliability and Probabilistic Assessment.** Date to be determined (Fall, tentative), Washington, DC. The Subcommittee will review the probabilistic risk assessment for Millstone 3.

**South Texas Units 1 and 2.** Date to be determined (January), Washington, DC. The Subcommittee will review Houston

Lighting and Power Company's application for an operating license.

**Scram Systems Reliability.** Date to be determined, Washington, DC. The Subcommittee will discuss scram breaker reliability for B&W and CE plants and continue its review of the ATWS Rule implementation effort.

**CE Nuclear Plants.** Date to be determined, Washington, DC. The Subcommittee will discuss the issue of rapid depressurization for CE plants without PORVs.

#### ACRS Full Committee Meeting

November 7-9, 1985: Items are tentatively scheduled.

**\*A. Palo Verde Nuclear Station—**Discuss results of the startup test program of Unit 1.

**\*B. NRC Committee to Review Generic Requirements—**Briefing regarding the activities of the CRGR.

**\*C. General Electric Standard Safety Analysis Report (GESSAR-II)—**Discuss proposed ACRS report to the NRC regarding the FDA request for this system.

**\*D. Meeting with NRC Commissioners (tentative)—**Discuss ACRS reports to NRC regarding consideration of extreme environmental phenomena in emergency planning.

**\*E. Reactor Pressure Vessel Thermal Shock—**Discuss recommendations of ACRS consultant report on reactor pressure vessel thermal shock.

**\*F. Recent Operating Events at Nuclear Plants—**The ACRS will discuss the report of its subcommittee and presentations by representatives of the regulatory staff regarding recent incidents and accidents at nuclear power plants.

**\*G. NRC Outage Inspection Program—**Briefing by representatives of the NRC Staff regarding proposed activities in connection with the NRC outage inspection program at nuclear plants.

**\*H. Beaver Valley Nuclear Power Station Unit 2—**Consider the requested operating license for this unit.

**\*I. Proposed NRC Safety Goal Policy Statement—**Discuss proposed NRC policy statement regarding use of quantitative safety goals in the NRC regulatory process.

**\*J. Future ACRS Activities—**Discuss anticipated ACRS subcommittee activities and items proposed for consideration by the full Committee.

**\*K. Nuclear Power Plant Operator Training—**Discuss proposed establishment of a national academy for the training of nuclear power plant operators.

**\*L. Nuclear Accident Source Term—**Discuss proposed radioactive source

term for nuclear power plant accidents and incidents.

**\*M. Selection of Nuclear Power Plant Operators—**Discuss proposed ACRS comments regarding the methods used for selection of nuclear power plant operators.

**\*N. Seismic Margin in Nuclear Power Plants—**Discuss proposed ACRS comments regarding NRC activities related to better definition of the seismic margin in nuclear power plants.

**\*O. New ACRS Member—**Discuss qualifications required for new ACRS member.

**\*P. ACRS Subcommittee Activities—**Discuss reports of ACRS subcommittees and subcommittee chairmen regarding status of ongoing subcommittee activities.

December 5-7, 1985—Agenda to be announced.

January 9-11, 1986—Agenda to be announced.

Dated: October 17, 1985.

John C. Hoyle,

Advisory Committee Management Officer.

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#### Advisory Committee on Reactor Safeguards, Subcommittee on Beaver Valley Power Station Unit 2; Meeting

The ACRS Subcommittee on Beaver Valley Power Station Unit 2 will hold a meeting on November 1, 1985, at the Holiday Inn Airport Hotel, 1406 Beers School Road, Coraopolis, PA.

The entire meeting will be open to public attendance.

The agenda for the subject meeting shall be as follows:

Friday, November 1, 1985—8:30 a.m. Until the Conclusion of Business

The Subcommittee will review the application of the Duquesne Light Company for an operating license.

Oral statements may be presented by members of the public with the concurrence of the Subcommittee Chairman; written statements will be accepted and made available to the Committee. Recordings will be permitted only during those portions of the meeting when a transcript is being kept, and questions may be asked only by members of the Subcommittee, its consultants, and Staff. Persons desiring to make oral statements should notify the ACRS staff member named below as far in advance as is practicable so that appropriate arrangements can be made.

During the initial portion of the meeting, the Subcommittee, along with any of its consultants who may be



present, may exchange preliminary views regarding matters to be considered during the balance of the meeting.

The Subcommittee will then hear presentations by and hold discussions with representatives of the Duquesne Light Company, NRC Staff, their consultants, and other interested persons regarding this review.

Further information regarding topics to be discussed, whether the meeting has been cancelled or rescheduled, the Chairman's ruling on requests for the opportunity to present oral statements and the time allotted therefor can be obtained by a prepaid telephone call to the cognizant ACRS staff member, Mr. Herman Alderman (telephone 202/634-1414) between 8:15 a.m. and 5:00 p.m. Persons planning to attend this meeting are urged to contact the above named individual one or two days before the scheduled meeting to be advised of any changes in schedule, etc., which may have occurred.

Dated: October 16, 1985.

**Morton W. Libarkin,**

*Assistant Executive Director for Project Review.*

[FR Doc. 85-25184 Filed 10-21-85; 8:45 am]

BILLING CODE 7590-01-M

#### **Advisory Committee on Reactor Safeguards, Subcommittee on Regulatory Policies and Practices; Meeting**

The ACRS Subcommittee on Regulatory Policies and Practices will hold a meeting on November 1, 1985, Room 1046, 1717 H Street, NW., Washington, D.C.

The entire meeting will be open to public attendance.

The agenda for the subject meeting shall be as follows:

*Friday, November 1, 1985—8:30 a.m. Until 5:00 p.m.*

The Subcommittee will discuss SECY-85-208 (Incident Investigation Program) and recommendations made by ASLBP and OPE related to the establishment of an Incident Investigation Organization within NRC.

Oral statements may be presented by members of the public with the concurrence of the Subcommittee Chairman; written statements will be accepted and made available to the Committee. Recordings will be permitted only during those portions of the meeting when a transcript is being kept, and questions may be asked only by members of the Subcommittee, its consultants, and Staff. Persons desiring to make oral statements should notify

the ACRS staff member named below as far in advance as is practicable so that appropriate arrangements can be made.

During the initial portion of the meeting, the Subcommittee, along with any of its consultants who may be present, may exchange preliminary views regarding matters to be considered during the balance of the meeting.

The Subcommittee will then hear presentations by and hold discussions with representatives of the NRC Staff, its consultants, and other interested persons regarding this review.

Further information regarding topics to be discussed, whether the meeting has been cancelled or rescheduled, the Chairman's ruling on requests for the opportunity to present oral statements and the time allotted therefor can be obtained by a prepaid telephone call to the cognizant ACRS staff member, Mr. Anthony Cappucci (telephone 202/634-3267) between 8:15 a.m. and 5:00 p.m. Persons planning to attend this meeting are urged to contact the above named individual one or two days before the scheduled meeting to be advised of any changes in schedule, etc., which may have occurred.

Dated: October 16, 1985.

**Morton W. Libarkin,**

*Assistant, Executive Director for Project Review.*

[FR Doc. 85-25185 Filed 10-21-85; 8:45 am]

BILLING CODE 7590-01-M

#### **Advisory Committee on Reactor Safeguards, Subcommittee on Reactor Operations; Meeting**

The ACRS Subcommittee on Reactor Operations will hold a meeting on Monday, November 4, 1985, Room 1046, 1717 H Street, NW., Washington, DC.

The entire meeting will be open to public attendance.

The agenda for the subject meeting shall be as follows:

*Monday, November 4, 1985—1:00 p.m. Until the Conclusion of Business*

The Subcommittee will review recent operating experience.

Oral statements may be presented by members of the public with the concurrence of the Subcommittee Chairman; written statements will be accepted and made available to the Committee. Recordings will be permitted only during those portions of the meeting when a transcript is being kept, and questions may be asked only by members of the Subcommittee, its consultants, and Staff. Persons desiring to make oral statements should notify the ACRS staff member named below as

far in advance as is practicable so that appropriate arrangements can be made.

During the initial portion of the meeting, the Subcommittee may exchange preliminary views regarding matters to be considered during the balance of the meeting. The Subcommittee will then hear presentations by and hold discussions with representatives of the NRC Staff and other interested persons regarding this review.

Further information regarding topics to be discussed, whether the meeting has been cancelled or rescheduled, the Chairman's ruling on requests for the opportunity to present oral statements and the time allotted therefor can be obtained by a prepaid telephone call to the cognizant ACRS staff member, Mr. Richard Major (telephone 202/634-1414) between 8:15 a.m. and 5:00 p.m. Persons planning to attend this meeting are urged to contact the above named individual one or two days before the scheduled meeting to be advised of any changes in schedule, etc., which may have occurred.

Dated: October 16, 1985.

**Morton W. Libarkin,**

*Assistant Executive Director for Project Review.*

[FR Doc. 85-25186 Filed 10-21-85; 8:45 am]

BILLING CODE 7590-01-M

[Dockets Nos. 50-269, 50-270 and 50-287]

#### **Duke Power Co.; Consideration of Issuance of Amendments to Facility Operating Licenses and Opportunity for Prior Hearing**

The United States Nuclear Regulatory Commission (the Commission) is considering issuance of amendments to Facility Operating Licenses Nos. DPR-38, DPR-47 and DPR-55, issued to Duke Power Company (the licensee), for operation of the Oconee Nuclear Station, Units 1, 2 and 3, located in Oconee County, South Carolina.

The amendments would revise Technical Specifications (TSs) of the operating licenses to establish a degraded mode of operation if a core flood tank boron concentration decreases below the current requirement of 1835 ppm. Presently, the Oconee Nuclear Station, Units 1, 2 and 3, TSs require plant shutdown in 12 hours if the boron concentration in each core flood tank falls below 1835 ppm boron. The change proposed by the licensee would allow the boron concentration in one core flood tank to decrease below the current minimum of 1835 ppm for up to 48 hours while the



boron concentration is restored to the acceptable limit. If the concentration cannot be restored within the 48-hour-time limit, a reactor shutdown will be performed. The proposed changes are in accordance with the licensee's application for amendment dated September 12, 1984.

Prior to issuance of the proposed license amendments, the Commission will have made findings required by the Atomic Energy Act of 1954, as amended (the Act) and the Commission's regulations.

By November 21, 1985 the licensee may file a request for a hearing with respect to issuance of the amendments to the subject facility operating licenses and any person whose interest may be affected by this proceeding and who wishes to participate as a party in the proceeding must file a written petition for leave to intervene. Request for a hearing and petitions for leave to intervene shall be filed in accordance with the Commission's "Rules of Practice for Domestic Licensing Proceedings" in 10 CFR Part 2. If a request for a hearing or petition for leave to intervene is filed by the above date, the Commission or an Atomic Safety and Licensing Board, designated by the Commission or by the Chairman of the Atomic Safety and Licensing Board Panel, will rule on the request and/or petition and the Secretary or the designated Atomic Safety and Licensing Board will issue a notice of hearing or an appropriate order.

As required by 10 CFR 2.714, a petition for leave to intervene shall set forth with particularity the interest of the petitioner in the proceeding, and how that interest may be affected by the results of the proceeding. The petition should specifically explain the reasons why intervention should be permitted with particular reference to the following factors: (1) The nature of the petitioner's right under the Act to be made a party to the proceeding; (2) the nature and extent of the petitioner's property, financial, or other interest in the proceeding; and (3) the possible effect of any order which may be entered in the proceeding on the petitioner's interest. The petition should also identify the specific aspect(s) of the subject matter of the proceeding as to which petitioner wishes to intervene. Any person who has filed a petition for leave to intervene or who has been admitted as a party may amend the petition without requesting leave of the board up to fifteen (15) days prior to the

first prehearing conference scheduled in the proceeding, but such an amended petition must satisfy the specificity requirements described above.

Not later than fifteen (15) days prior to the first prehearing conference scheduled in the proceeding, a petitioner shall file a supplement to the petition to intervene which must include a list of the contentions which are sought to be litigated in the matter, and the bases for each contention set forth with reasonable specificity. Contentions shall be limited to matters within the scope of the amendments under consideration. A petitioner who fails to file such a supplement which satisfies these requirements with respect to at least one contention will not be permitted to participate as a party.

Those permitted to intervene become parties to the proceeding, subject to any limitations in the order granting leave to intervene, and have the opportunity to participate fully in the conduct of the hearing, including the opportunity to present evidence and cross-examine witnesses.

A request for a hearing or a petition for leave to intervene shall be filed with the Secretary of the Commission, United States Nuclear Regulatory Commission, Washington, DC 20555, Attention: Docketing and Service Branch, or may be delivered to the Commission's Public Document Room, 1717 H Street, NW., Washington, DC by the above date. Where petitions are filed during the last ten (10) days of the notice period, it is requested that the petitioner or representative for the petitioner promptly so inform the Commission by a toll-free telephone call to Western Union at (800) 325-6000 (in Missouri (800) 342-6700). The Western Union operator should be given Datagram Identification Number 3737 and the following message addressed to John F. Stolz: (petitioner's name and telephone number); (date petition was mailed); (plant name); and (publication date and page number of this **Federal Register** notice). A copy of the petition should also be sent to the Executive Legal Director, U.S. Nuclear Regulatory Commission, Washington, D.C. 20555, and to J. Michael McGarry, III, Bishop, Liberman, Cook, Purcell, and Reynolds, 1200 17th Street, NW., Washington, DC 20036, attorney for the licensee.

Nontimely filings of petitions for leave to intervene, amended petitions, supplemental petitions and/or requests for hearing will not be entertained absent a determination by the

Commission, the presiding officer or the Atomic Safety and Licensing Board designated to rule on the petition and/or request, that the petitioner has made a substantial showing of good cause for the granting of a late petition and/or request. That determination will be based upon a balancing of the factors specified in 10 CFR 2.714(a)(1)(i)-(v) and 2.714(d).

For further details with respect to this action, see the application for amendment dated September 12, 1984, which is available for public inspection at the Commission's Public Document Room, 1717 H Street, NW., Washington, DC and at the Oconee County Library, 501 West Southbroad Street, Walhalla, South Carolina.

Dated at Bethesda, Maryland, this 9th day of October, 1985.

For the Nuclear Regulatory Commission.

John F. Stolz,

Operating Reactors Branch No. 4, Division of Licensing.

[FR Doc. 85-25182 Filed 10-21-85; 8:45 am]

BILLING CODE 7590-01-M

## OFFICE OF THE UNITED STATES TRADE REPRESENTATIVE

### Services Policy Advisory Committee; Meeting and Determination of Closing of Meeting

The meeting of the Services Policy Advisory Committee (the Advisory Committee) to be held Tuesday, November 5, 1985, from 2:00 p.m. to 5:00 p.m. in Washington, D.C., will involve a review and discussion of the current issues involving the trade policy of the United States. Pursuant to Section 2155(f)(2) of Title 19 of the United States Code, I have determined that this meeting will be concerned with matters the disclosure of which would seriously compromise the Government's negotiating objectives or bargaining positions.

More detailed information can be obtained by contacting Phyllis O. Bonanno, Director, Office of Private Sector Liaison, Office of the United States Trade Representative, Executive Office of the President, Washington, D.C. 20506.

Clayton Yeutter,

United States Trade Representative.

[FR Doc. 85-25144 Filed 10-21-85; 8:45 am]

BILLING CODE 3190-01-M



SECURITIES AND EXCHANGE  
COMMISSION

[Release No. 35-23863; 70-5513]

**The Columbia Alaskan Gas  
Transmission Corp. et al.; Notice of  
Proposal to Recapitalize Subsidiary**

October 11, 1985.

The Columbia Gas System, Inc. ("Columbia"), 20 Montchanin Road, Wilmington, Delaware 19807, a registered holding company, and its subsidiary, Columbia Alaskan Gas Transmission Corporation ("Alaskan"), 20 Montchanin Road, Wilmington, Delaware 19807, have filed a post-effective amendment to their declaration in this proceeding, pursuant to sections 9(a), 10 and 12(c) of the Public Utility Holding Company Act of 1935 ("Act") and Rules 42 and 46 thereunder.

By prior Commission order, Columbia was authorized to acquire up to 40,000 shares of Alaskan's common stock, \$25 par value per share, to enable it to participate in various projects designed to deliver gas from Alaska to the lower 48 states. (HCAR No. 18534, August 16, 1984). By subsequent order, Alaskan received authority to acquire a partnership interest to construct and operate the Alaskan Natural Gas Transportation System ("ANGTS"), and to sell common stock, \$25 par, and notes to Columbia. (HCAR No. 21793, November 18, 1980).

As economic conditions changed, the completion date of ANGTS was extended beyond 1989, causing Alaskan and Columbia to record impairment reserves totalling \$23 million or a consolidated basis. As a result to the tax deductions from the writedowns of Alaskan's investment in the ANGTS project, it has had significant tax losses, and as a result significant cash payments have been made to Alaskan through the System's tax agreement. As of the end of June 1985, Alaskan had excess cash investments in the System money pool of approximately \$8.9 million. Approximately \$8.5 million of this cash is now considered permanent excess capital investment in Alaskan. It is proposed that most of this cash be returned to Columbia.

To reduce Columbia's permanent excess investment in Alaskan, it is proposed that Alaskan repurchase shares of its common stock held by Columbia. However, Alaskan's significant write-down of its investments has resulted in negative retained earnings, and a net equity of less than \$25 per share. To recognize the impact of these losses, Alaskan desires to reduce the par value of its authorized

common stock from \$25 to \$1 per share through an amendment to Alaskan's Certificate of Incorporation. The reduction of the par value of Alaskan's common stock to \$1 per share will reduce the value of the common stock account from \$19,205,000 to \$768,200 and increase amounts paid, in excess of par by \$18,436,800, which has been authorized by the Board of Directors to be used to offset the negative retained earnings of approximately \$11,180,000. The amounts paid in, in excess of pay over negative retained earnings, will remain as amounts paid, in, in excess of pay, until paid as a capital dividend.

The final part of the transaction is the proposed sale, at par, by Columbia to Alaskan of up to 686,200 shares of Alaskan common stock, \$1 par value; and the payment of a capital dividend by Alaskan of an amount, which will reduce the amount paid in, in excess of par account to zero. Neither of these transactions nor the reduction of the par value of Alaskan's common stock to \$1 per share will impair Alaskan's ability to meet its current or projected obligations. Alaskan assets of approximately \$1.3 million remaining after the completion of the proposed transaction will be sufficient to meet Alaskan's anticipated expenses and liabilities.

The amended declaration and any amendments thereto are available for public inspection through the Commission's Office of Public Reference. Interested persons wishing to comment or request a hearing should submit their views in writing by November 4, 1985 to the Secretary, Securities and Exchange Commission, Washington, D.C., 20549, and serve a copy on the declarants at the addresses specified above. Proof of service by affidavit or, in case of an attorney at law, by certificate, should be filed with the request. Any request for a hearing shall identify specifically the issues of fact or law that are disputed. A person who so requests will be notified of any hearing, if ordered, and will receive a copy of any notice or order issued in this matter. After said date the declaration, as filed or as it may be further amended, may be permitted to become effective.

For the Commission, by the Division of Investment Management, pursuant to delegated authority.

Shirley E. Hollis,

Assistant Secretary.

[FR Doc. 85-25109 Filed 10-21-85; 8:45 am]

BILLING CODE 8010-01-M

[Release No. 35-23864; 71-7156]

**Georgia Power Co.; Proposal To  
Finance Pollution Control Facilities;  
Request for Exception From  
Competitive Bidding**

October 11, 1985.

Georgia Power Company ("Georgia"), a subsidiary of The Southern Company, a registered holding company, has filed an application-declaration with this Commission pursuant to sections 6(b) and 12(d) of the Public Utility Holding Company Act of 1935 ("Act"), and Rules 44(b)(3) and 50(a)(5) thereunder.

In connection with the refinancing, on or before December 31, 1986, of the cost of certain pollution control, sewage and solid waste disposal facilities located at generating plants in various Georgia counties, the Development Authority of each county ("Authority") will issue its revenue bonds ("Revenue Bonds") for the purpose of making loans to Georgia to finance or refinance the costs of the pollution control facilities located in its county ("Project"). It is presently estimated that the aggregate principal amount of Revenue Bonds to be issued from time to time by the Authorities will not exceed \$150 million. While the actual amount of Revenue Bonds to be issued by each Authority has not yet been determined, it will be based upon the cost of the Project located in its county.

Georgia proposes to enter into a Loan Agreement ("Agreement") with the Authority relating to each issue of the Revenue Bonds. Under the Agreement, the Authority will loan to Georgia the proceeds of the sale of the Authority's Revenue Bonds, for which Georgia will issue a non-negotiable promissory note ("Note"). The proceeds will be deposited with a Trustee ("Trustee") under an indenture to be entered into between the Authority and the Trustee ("Trustee Indenture"), pursuant to which the Revenue Bonds are to be issued and secured, and will be applied by Georgia to the Cost of Construction ("Cost", as defined in the Agreement) of the Project, or to refund short-term Pollution Control Revenue Bonds Anticipation Notes. The Notes will provide for payments to be made at times and in amounts, which shall correspond to the payments with respect to the principal of, premium, if any, and interest on the Revenue Bonds, whenever and in whatever manner the same shall become due, whether at stated maturity, upon redemption or declaration or otherwise.

The Agreement will provide for the assignment to the Trustee of the Authority's interest in, and of the



monies receivable by the Authority under the Agreement and the Note. The Agreement will also obligate Georgia to pay the fees and charges of the Trustee, and will provide that Georgia may at any time, so long as it is not in default thereunder, prepay the amount due under the Note, including interest thereon, in whole or in part. The payment will be sufficient to redeem or purchase the outstanding Revenue Bonds in the manner and to the extent provided in the Trust Indenture.

The Trust Indenture will provide that the Revenue Bonds issued thereunder will be redeemable (i) at any time on or after a date not later than 10 years from the date of issuance (or the date on which the Revenue Bonds begin to bear interest at a fixed rate, if such Revenue Bonds bear interest initially at a fluctuating rate) in whole or in part, at the option of Georgia, initially with a premium of up to 3% of the principal amount, and declining by not less than 1/2 of 1% annually thereafter, and (ii) in whole, at the option of Georgia, in certain other cases of under burdens or excessive liabilities imposed with respect to the related Project, its destruction or damage beyond practicable or desirable repairability, or condemnation or taking by eminent domain, or if operation of the related plant is enjoined and Georgia determines to discontinue operation. Redemptions of all outstanding Revenue Bonds will be at the principal amount thereof, plus accrued interest, but without premium. It is proposed that the Revenue Bonds will mature from one to thirty years from the first day of the month in which they are initially issued and may, in the case of a maturity of 15 to 30 years, and if it is deemed advisable for purposes of the marketability of the Revenue Bonds, be entitled to the benefit of a mandatory redemption sinking fund calculated to retire a portion of the aggregate principal amount of the issue prior to maturity.

The Trust Indenture and the Agreement may give the holders of the Revenue Bonds the right, during such time as the Revenue Bonds bear interest at a fluctuating rate, to require Georgia to purchase the Revenue Bonds from time to time, and arrangements may be made for the remarketing of any such Revenue Bonds through a remarketing agent. Georgia also may be required to purchase the Revenue Bonds, or the Revenue Bonds may be subject to mandatory redemption at any time, if the interest thereon is determined to be subject to federal income tax. Also in the event of taxability, interest on the Revenue Bonds may be effectively

converted to a higher variable or fixed rate, and Georgia also may be required to indemnify the bondholders against any other additions to interest, penalties, and additions to tax.

In order to obtain the benefit of ratings for the Revenue Bonds equivalent to the rating of Georgia's outstanding first mortgage bonds, Georgia may, determine to secure its obligations under the Note by delivering to the Trustee, to be held as collateral, a series of its first mortgage bonds ("Collateral Bonds") in principal amount either (i) equal to the principal amount of the Revenue Bonds, and at interest rate equal to that of the Revenue Bonds or (ii) equal to the sum of such principal amount of the Revenue Bonds plus interest payments for a specified period.

As an alternative to or in conjunction with Georgia's securing its obligations through the issuance of the Collateral Bonds as above described, Georgia may cause an irrevocable Letter of Credit ("Letter of Credit") of a bank ("Bank") to be delivered to the Trustee. The Letter of Credit would be an irrevocable obligation of the Bank to pay to the Trustee, upon request, up to an amount necessary to pay principal of and accrued interest on the Revenue Bonds when due. As a further alternative to, or in conjunction with, securing its obligations under the Agreement and Note, and in order to obtain a "AAA" rating for the Revenue Bonds, Georgia may purchase a policy of insurance guaranteeing the payment when due of the principal of and interest on such series of the Revenue Bonds.

It is contemplated that the Revenue Bonds will be sold by the Authority pursuant to arrangements with one or more purchasers or underwriters. In accordance with the laws of the State of Georgia, the interest rate to be borne by the Revenue Bonds will be determined by the Board of Directors of the Authority and will be either a fixed rate, which fixed rate may be convertible to a rate which will fluctuate in accordance with a specified prime or base rate or rates, or a fluctuating rate, which fluctuating rate may be convertible to a fixed rate. While Georgia may not be party to the purchase or underwriting arrangements for the Revenue Bonds, such arrangements will provide that the terms of the Revenue Bonds and their sale by the Authority shall be satisfactory to Georgia. Georgia has been advised that the interest rates on tax exempt obligations have recently been, and can be expected at the time of issue of the Revenue Bonds to be, approximately two to three percentage points lower than the rates on taxable

obligations of like tenor and comparable quality.

Although the issuance of the Notes and Collateral Bonds may be subject to Rule 50, Georgia requests a finding of the Commission that competitive bidding is inappropriate under the circumstances described herein inasmuch as the Notes and Collateral Bonds are to be issued and pledged solely to evidence and secure Georgia's obligations to the Authorities and no public offerings by Georgia of the Notes or Collateral Bonds is to be made.

The application-declaration and any amendments thereto are available for public inspection through the Commission's Office of Public Reference. Interested persons wishing to comment or request a hearing should submit their views in writing by November 4, 1985 to the Secretary, Securities and Exchange Commission, Washington, D.C. 20549, and serve a copy on the applicants-declarants at the address specified above. Proof of service by affidavit or, in case of an attorney at law, by certificate, should be filed with the request. Any request for a hearing shall identify specifically the issues of fact or law that are disputed. A person who so requests will be notified of any hearing, if ordered, and will receive a copy of any notice or order issued in this matter. After said date the application-declaration, as filed or as it may be amended, may be granted and permitted to become effective.

For the Commission, by the Division of Investment Management, pursuant to delegated authority.

Shirley E. Hollis,  
Assistant Secretary.

[FR Doc. 85-25110 Filed 10-21-85; 8:45 am]  
BILLING CODE 8010-01-M

[Release No. 34-22527; File No. 4-281]

#### Joint Industry Plan; Notice of Filing and Immediate Effectiveness of Amendment to the Consolidated Quotation Plan Relating to Changes in Operating Hours of the CQS

The participants in the Consolidated Quotation Plan ("CQ Plan") on October 7, 1985 submitted copies of an amendment<sup>1</sup> to the Plan governing the operation of the consolidated quotation reporting system ("CQS").<sup>2</sup>

<sup>1</sup>This amendment was submitted pursuant to Rule 11Aa3-2 under the Securities Exchange Act of 1934 ("Act").

<sup>2</sup>The CQ Plan and subsequent amendments are contained in File No. 4-281. The Commission approved the CQ Plan in Securities Exchange Act

Continued



**I. Description of the Amendment**

The purpose of the amendment is to amend section IX(c) of the CQ Plan to change the normal operating hours of the CQS to comport with the decision of each CQ Plan participant to open its market for trading at 9:30 a.m. eastern time commencing September 30, 1985. Prior to September 30, 1985 the normal trading hours of the CQS were 9:30 a.m. to 4:00 p.m., eastern time. Each CQ Plan participant market opens for trading at 10:00 a.m. eastern time. During the period 9:30 a.m. to 10:00 a.m., CQS was utilized by the National Association of Securities Dealers, Inc. ("NASD") for dissemination of quotations. In order that the NASD may have the same one-half hour opportunity to disseminate quotations through the CQS prior to the opening of participant markets for trading at 9:30 a.m. eastern time, the amendment provides that the normal operating hours of the CQS commence at 9:00 a.m. eastern time.

The amendment also provides that the CQ Operating Committee may specify, by affirmative vote of all its members, the normal operating hours of the CQS. This second change is intended to provide the Operating Committee the flexibility to accommodate future changes in the CQS participants' trading hours without need to amend the CQ Plan. The equivalent result already occurs under the Consolidated Tape Association ("CTA") Plan, since its hours are automatically set in relation to participant trading hours (CTA Plan Section x(b)). In addition, the additional hours provision of section IX(c) of the CQ Plan permits participants to cause the CQS to operate outside its normal operating hours without need to amend the CQ Plan.

The Commission believes that the amendment represents a positive enhancement to the CQ Plan that creates opportunities for more efficient and effective market operations.<sup>2</sup> In light of this conclusion, and because the CQ Plan participants have stated in their filing that the amendment involves solely technical and ministerial matters related to conforming the operating hours of the CQS to the participants trading hours, the amendments has become effective pursuant to paragraph (C)(3)(iii) of the Rule. At any time within

60 days of the filing of the amendment, the Commission may summarily abrogate the amendment and require that it be refiled in accordance with paragraph (b)(1) and reviewed in accordance with paragraph (c)(2) of the Rule, if it appears to the Commission that such action is necessary or appropriate in the public interest, for the protection of investors, or the maintenance of fair and orderly markets, to remove impediments to, and perfect mechanisms of, a national market system or otherwise in furtherance of the Act.

**II. Request for Comment**

Interested persons are invited to submit written comments on the amendment. Persons submitting comments should file six copies with the Secretary of the Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549. Copies of the submission and related items, other than those that may be withheld from the public in accordance with the provisions of 5 U.S.C. 552, will be available for inspection and copying in the Commission's Public Reference Room, 450 Fifth Street, N.W., Washington, D.C. All communications should refer to File No. 4-281 and should be submitted by November 21, 1985.

For the Commission by the Division of Market Regulation, pursuant to delegated authority, 17 CFR 200.30-3(a)(29).

Dated: October 11, 1985.

Shirley E. Hollis,

Assistant Secretary.

[FR Doc. 85-25111 Filed 10-21-85; 8:45 am]

BILLING CODE 8010-01-M

[Release No. 34-22534; File No. SR-MSRB-85-17]

**Self-Regulatory Organizations; Order Approving Rule Change by Municipal Securities Rulemaking Board**

The Municipal Securities Rulemaking Board ("MSRB") on August 14, 1985 submitted a proposed rule change pursuant to section 19(b)(1) of the Securities Exchange Act of 1934 ("ACT") to amend MSRB Rules G-12 on uniform practice and G-15 on confirmation, clearance, and settlement of customer transactions to provide a standard procedure for the handling of interest payment claims based on deliveries of registered and bearer securities prior to the record date of the securities.<sup>1</sup>

<sup>1</sup> Rule G-12(e)(iv)(6) only requires the attachment of an interest payment check for the amount of the security's next interest payment to an interdealer delivery of a registered municipal

The amendments to MSRB Rule G-12, which sets forth certain requirements concerning confirmation, clearance, and settlement of transactions in municipal securities between brokers, dealers, or municipal securities dealers, establish the interest payment claim procedures to be used among dealers. Under amended Rule G-12, a claimant could file a claim, and the dealer receiving such claim would be required to respond with a check or draft for the amount of the interest payment (or a statement of the basis for denying the claim) within 10 business days or, if the claim relates to an interest payment made more than 60 days prior to the date of the claim, within 20 business days. The rule change establishes separate procedures for making claims based on deliveries of registered securities where the registered owner is or is not a dealer, and on deliveries of bearer securities and deliveries on which an interest payment check has been erroneously attached.

The amendments to MSRB Rule G-15, which sets forth certain requirements regarding confirmation, clearance, and settlement of transactions between dealers and customers, establish the interest payment claim procedures to be used between dealers and customers. Under amended Rule G-15, dealers must respond to claims for interest payments made by customers within 10 business days if the claim is not more than 60 days old, or within 20 business days if the claim is more than 60 days old.

Notice of the proposed rule change was given in Securities Exchange Act Release No. 22372 (50 FR 36689; September 9, 1985). One comment on the proposed rule change was received. The commentator suggested that dealers receiving claims for interest initiated more than two years after the payable date should be subject to a general obligation of prompt payment instead of a 20 business day time limit. The Commission notes that the MSRB considered this concern prior to filing these amendments to Rule G-12 and G-15 with the Commission. The MSRB found that, while researching claims sometimes may involve manual searches through records and could require information to be obtained from clearing agents, the 10/20 business day time limits provide a sufficient but not an excessive amount of time to research the validity of such claims. The Commission agrees that the 10/20 business day time limits should be adequate, and notes that the MSRB has

security if the delivery occurs after the record date of the security.

Release No. 16518 (January 22, 1980), 45 FR 6528. The CQ Plan participants indicate that although they refer to the instant amendment as the "Thirteenth Amendment," the amendment is actually the eleventh amendment to the CQ Plan filed with the Commission. This reflects the pendency of two other amendments not yet filed with the Commission.

<sup>2</sup> See Section 11A(a)(1)(B) of the Act.



indicated that it would consider additional amendments to Rules G-12 and G-15 if industry experience with the interest payment claims procedure demonstrates that the time limits are too long or too short.

The Commission finds that the proposed rule change is consistent with the requirements of the Act and rules and regulations thereunder applicable to the MSRB and, in particular, the requirements of Section 15B and the rules and regulations thereunder.

It is therefore ordered, pursuant to Section 19(b)(2) of the Act, that the above-mentioned proposed rule change be, and it hereby is, approved. The rule change will become effective 30 days after the publication of this order in the Federal Register.

For the Commission, by the Division of Market Regulation, pursuant to delegated authority, 17 CFR 200.30-3(a)(12).

Dated: October 16, 1985.

Shirley E. Hollis,

Assistant Secretary.

[FR Doc. 85-25112 Filed 10-21-85; 8:45 am]

BILLING CODE 8010-01-M

[Released No. IC 14753; File No. 812-6196]

**Thomson McKinnon Investment Trust and Thomson McKinnon U.S. Government Fund; Application for an Order Permitting Quarterly Distributions of Long-Term Capital Gains**

October 11, 1985.

Notice is hereby given that Thomson McKinnon Investment Trust (the "Trust") and Thomson McKinnon U.S. Government Fund (the "Fund" and collectively, the "Applicants"). One New York Plaza, New York, NY 10004, filed an application on September 3, 1985, requesting an order of the Commission pursuant to Section 6(c) of the Investment Company Act of 1940 ("Act") exempting Applicants from the provisions of Section 19(b) of the Act and Rule 19b-1 thereunder to the extent necessary to permit the Fund to distribute quarterly its long-term capital gains from certain options transactions and transactions in futures contracts and options on futures contracts. All interested persons are referred to the application on file with the Commission for a statement of the representations therein, and to the Act and the rules thereunder for the text of their relevant provisions.

According to the application, the Trust is registered under the Act as an open-end, series management investment company and the Fund is one of such series. Applicants state that the Fund is

designed for investors who seek high current income, consistent with preservation of capital, by investing in securities issued or guaranteed as to principal and interest by the United States Government, its agencies, authorities or instrumentalities ("Government Securities"), and by engaging in transactions in options, futures contracts and options on futures contracts, all involving Government Securities.

According to the application, the Fund will pay dividends from net investment income monthly and will distribute net short-term capital gains quarterly. The Fund further proposes to distribute net quarterly capital gains from options transactions and transactions in futures contracts and options on futures contracts. Distributions of any net long-term capital gains realized on other investments will be distributed annually.

Applicants assert that an order granting the Fund an exemption from Section 19(b) of the Act and Rule 19b-1 thereunder will enable the Fund to make quarterly distributions of its long-term capital gains from transactions in options on certain Government Securities and futures contracts and options on futures contracts with respect thereto. Applicants believe that such exemption would be appropriate, in the public interest and consistent with the protection of investors and the purposes intended by the policy and provisions of the Act.

Applicants state that the addition of section 1256 to the Internal Revenue Code ("Code") altered significantly the tax treatment of capital gains and losses. Applicants further represent that under Section 1256, 60% of the gain or loss realized by the Fund with respect to options, futures contracts and options on futures contracts is treated as long-term capital gain or loss and 40% as short-term capital gain or loss. Applicants note that Section 1256 of the Code was intended to eliminate certain tax abuses relating to the realization of short-term capital losses and deferral of gain through transactions in futures contracts, options on futures contracts and options on certain securities. Applicants state that there is no evidence that Congress intended the adoption or amendment of section 1256 to limit the frequency with which registered investment companies might distribute capital gains from options transactions, futures contracts and options on futures contracts. Nevertheless, Applicants argue that the characterization of 60% of the gain from options transactions as long-term capital gain brings into play section 19(b) of the

Act and Rule 19b-1 thereunder, which operate to prevent the Applicant from distributing such 60% of the gain to its shareholders as long-term capital gain more frequently than annually.

Applicants contend that none of the purposes of section 19(b) and Rule 19b-1 will be served by application of these provisions with respect to that portion of the Fund's capital gains generated by transactions in options, futures contracts and options on futures contracts that is treated as long-term capital gain. Applicants state that section 19(b) and Rule 19b-1 were devised to stop investment companies from churning their portfolios in contravention of their stated investment objective of long-term capital appreciation. Applicants state that the characterization of 60% of the capital gain that derive from transactions in options, futures contracts and options on futures contracts is not expected to affect the investment decisions or distribution practices of the Fund since it has an investment objective of high current income, consistent with preservation of capital, and not one of long-term capital appreciation. Applicants note that one of its policies, as stated in its prospectus, is to seek to realize such gains from options transactions. According to the application, the Fund will utilize futures contracts and options on futures contracts only to further its objective of preserving its capital by hedging against adverse movements in the market price of its portfolio securities and securities it intends to acquire. Thus, Applicants believe that quarterly distribution of long-term gains on options, futures contracts and options on futures contracts will not contravene the stated objective and policies of the Fund, or lead the Fund to churn its portfolio in order to realize and distribute capital gains it would not otherwise seek to realize.

Applicants represent that the Fund will in any event distribute short-term gains on transactions in options, futures contracts and options on futures contracts on a quarterly basis, and that merely to include long-term gains in these quarterly distributions would not lead shareholders to confuse the quarterly distributions with the Fund's regular monthly dividend distributions of net interest income. Moreover, Applicants state that the Fund will clearly differentiate between capital gains distributions and distributions out of net interest income in the notice to shareholders that will accompany each distribution. In addition, Applicants submit that quarterly distribution of



long-term gains on options, futures contracts and options on futures contracts would be more fair and beneficial to the Fund's shareholders than would a single annual distribution of such gains. Applicants also submit that the quarterly distribution of long-term capital gains from options transactions and transactions in futures contracts would not increase administrative expenses because the Fund plans, in any event, to make quarterly distributions of short-term capital gains.

Notice is further given that any interested person wishing to request a hearing on the application may, not later than November 5, 1985, at 5:30 p.m., do so by submitting a written request setting forth the nature of his interest, the reasons for his request, and the specific issues, if any, of fact or law that are disputed, to the Secretary, Securities and Exchange Commission, Washington, D.C. 20549. A copy of the request should be served personally or by mail upon Applicants at the address stated above. Proof of service (by affidavit or, in the case of an attorney-at-law, by certificate) shall be filed with the request. After said date an order disposing of the application will be issued unless the Commission orders a hearing upon request or upon its own motion.

For the Commission, by the Division of Investment Management, pursuant to delegated authority.

**Shirley E. Hollis,**

*Assistant Secretary.*

[FR Doc. 85-25113 Filed 10-21-85; 8:45 am]

BILLING CODE 8010-01-M

## SMALL BUSINESS ADMINISTRATION

### [Disaster Loan Area #2212]

#### Rhode Island; Declaration of Disaster Loan Area

The Counties of Kent and Washington and the adjacent Counties of Bristol, Newport, Providence, and Providence Plantation in the State of Rhode Island constitute a disaster area because of damage caused by Hurricane Gloria which occurred on September 27, 1985. Applications for loans for physical damage may be filed until the close of business on December 16, 1985, and for economic injury until the close of business on July 15, 1986, at the address listed below: Disaster Area 1 Office, Small Business Administration, 15-01 Broadway, Fair Lawn, NJ 07410, or other locally announced locations.

The interest rates are:

|  | Percent |
|--|---------|
| Homeowners with credit available elsewhere.....  | 8.000   |
| Homeowners without credit available elsewhere.....                                     | 4.000   |
| Businesses with credit available elsewhere.....  | 8.000   |
| Businesses without credit available elsewhere.....                                     | 4.000   |
| Business (EIDL) without credit available elsewhere.....                                | 4.000   |
| Other (non-profit organizations including charitable and religious organizations)..... | 10.500  |

The number assigned to this disaster is 221208 for physical damage and for economic injury the number is 634800.

(Catalog of Federal Domestic Assistance Program Nos. 59002 and 59008)

Dated: October 15, 1985.

**James C. Sanders,**  
*Administrator.*

[FR Doc. 85-25066 Filed 10-21-85; 8:45 am]

BILLING CODE 8025-01-M

## DEPARTMENT OF STATE

### [Public Notice CM-8/891]

#### Advisory Committee on International Investment, Technology, and Development; Meeting

The Department of State will hold a meeting of the Advisory Committee on International Investment, Technology, and Development on November 15, 1985 from 10:00 a.m. to 1:00 p.m. The meeting will be held in the Loy Henderson Conference Room of the Department of State, 2201 "C" Street, NW., Washington, DC 20520.

The meeting will begin with the introduction of William Milam, Deputy Assistant Secretary for International Finance and Development followed by discussion of the following topics: (1) Multilateral Investment Guarantee Agency; (2) recent developments in the OECD Committee on International Investment and Multinational Enterprises; (3) Bilateral Investment Treaties update; (4) United Nations' Code of Conduct for Transnational Corporations and Centre on Transnational Corporations; and, (6) OECD Guidelines for Multinational Enterprises, future organization of U.S. National Contact Point.

Access to the State Department is controlled. Therefore, members of the public wishing to attend the meeting must contact the Office of Investment Affairs, (202) 632-2728, in order to arrange admittance. Please use the "C" street entrance.

The Chairman will, as time permits, entertain comments from members of the public at the meeting.

Dated: October 11, 1985.

**Walter B. Lockwood, Jr.,**

*Executive Secretary.*

[FR Doc. 85-25147 Filed 10-21-85; 8:45 am]

BILLING CODE 4710-07-M

### [Public Notice CM-8/890]

#### Advisory Committee on International Investment, Technology, and Development; Subcommittee on Food, Hunger, and Agriculture in Developing Countries; Meeting

The Department of State will hold a meeting of the Subcommittee on Food, Hunger, and Agriculture of the Advisory Committee on International Investment, Technology, and Development on November 14, 1985 from 1:00 p.m. to 5:00 p.m. The meeting will be held in room 1406 of the Department of State, 2201 "C" Street, NW., Washington, DC 20520.

The purpose of the meeting will be to discuss the draft recommendations and report on the private sector role in combatting hunger, and to prepare the summary progress report for the full Advisory Committee.

Access to the State Department is controlled. Therefore, members of the public wishing to attend the meeting must contact the Office of Investment Affairs, (202) 632-2728, in order to arrange admittance. Please use the "C" street entrance.

The Chairman of the Subcommittee will, as time permits, entertain comments from members of the public at the meeting.

Dated: October 16, 1985.

**Robert S. Luke,**

*Acting Executive Secretary.*

[FR Doc. 85-25146 Filed 10-21-85; 8:45 am]

BILLING CODE 4710-07-M

### [Public Notice CM-8/899]

#### Advisory Committee on International Investment, Technology, and Development; Subcommittee on Transborder Data Flows; Meeting

The Department of State will hold a meeting of the Subcommittee on Transborder Data Flows of the Advisory Committee on International Investment, Technology, and Development on November 8, 1985 from 10:00 a.m. to noon. The meeting will be held in the Loy Henderson Conference Room of the Department of State, 2201 "C" Street, NW., Washington, D.C., 20520.



The purpose of the meeting will be to review the results of the October 1-3 meeting of the OECD's Committee on Information, Computer, and Communications Policy (ICCP), to discuss the plans for the Special Session of the ICCP scheduled for November 18-20, and to discuss the treatment of services under the GATT.

Access to the State Department is controlled. Therefore, members of the public wishing to attend the meeting must contact the Office of Investment Affairs, (202) 632-2728, in order to arrange admittance. Please use the "C" street entrance.

The Chairman of the Subcommittee will, as time permits, entertain comments from members of the public at the meeting.

Dated: October 15, 1985.

Robert S. Luke,

*Acting Executive Secretary.*

[FR Doc. 85-25148 Filed 10-21-85; 8:45 am]

BILLING CODE 4710-07-M

#### Office of the Secretary

[CM-8/892]

#### Establishment of Advisory Committee on South Africa

At the President's request, Executive Order No. 12532 of September 9, 1985 directed that the Secretary of State establish an Advisory Committee on South Africa. The Committee is being established to provide recommendations on measures to encourage peaceful change in South Africa. The Committee will consist of twelve distinguished Americans appointed by the Secretary of State. The Committee will follow procedures prescribed in the Federal Advisory Committee Act.

The Committee will offer advice to the Secretary of State on how United States policy can be most effective in influencing peaceful change and promoting equal rights in South Africa. Such counsel would not otherwise be available to the Department of State through existing channels of policy-making. The operation of the Advisory Committee will serve the public interest in helping to build a national consensus on the means by which the United States can best encourage constructive change and the elimination of apartheid in South Africa.

For further information, contact: F. Allen Harris, Deputy Director, Office of Southern African Affairs, (202) 632-8252;

Lynda Clarizio, Office of the Legal Adviser, (202) 632-3736.

Jeffrey Davidow,

*Director, Office of Southern African Affairs.*

[FR Doc. 85-25145 Filed 10-21-85; 8:45 am]

BILLING CODE 4710-26-M

#### DEPARTMENT OF TRANSPORTATION

##### Federal Aviation Administration

#### Radio Technical Commission for Aeronautics (RTCA); Special Committee 150—Minimum System Performance Standards for Vertical Separation above Flight Level 290; Meeting

Pursuant to section 10(a)(2) of the Federal Advisory Committee Act (Pub. L. 92-463; 5 U.S.C. App. I) notice is hereby given of a meeting of RTCA Special Committee 150 on Minimum System Performance Standards for Vertical Separation above Flight Level 290 to be held on November 13-15, 1985, in the RTCA Conference Room, One McPherson Square, 1425 K Street NW., Suite 500, Washington, DC commencing at 9:30 a.m.

The Agenda for this meeting is as follows: (1) Chairman's Introductory Remarks; (2) Approval of Minutes of the Committee Meeting Held on July 16-18, 1985; (3) Review of Task Assignments from the Previous Meeting; (4) Status Report on European Organization for Civil Aviation Electronics (EUROCAE) data Collection Activities; (5) Status Report on Canadian data Collection Activities; (6) Briefing on Military Problems Associated with Lowering Vertical Separation Above Flight Level 250; (7) Review of Comments Received on the Committee's Initial Altitude Data Collection Report; (8) FAA Report on Data Analysis Activity; (9) Review and Discuss Committee Progress and Plan Future Activities; and (10) Other Business.

Attendance is open to the interested public but limited to space available. With the approval of the Chairman, members of the public may present oral statements at the meeting. Persons wishing to present statements or obtain information should contact the RTCA Secretariat, One McPherson Square, 1425 K Street NW., Suite 500, Washington, DC 20005; (202) 682-0266. Any member of the public may present a written statement to the committee at any time.

Issued in Washington, DC on October 11, 1985.

Karl F. Bierach,

*Designated Officer.*

[FR Doc. 85-25059 Filed 10-21-85; 8:45 am]

BILLING CODE 4901-13-M

#### DEPARTMENT OF THE TREASURY

##### Fiscal Service

[Dept. Circ. 570, 1985 Rev., Supp. No. 4]

#### Surety Companies Acceptable on Federal Bonds; Skandia America Reinsurance Corporation

Notice is hereby given that the Certificate of Authority issued by the Treasury to Skandia America Reinsurance Corporation, on July 1, 1979, under sections 9304 to 9308 of Title 31 of the United States Code, to qualify as an acceptable surety on Federal bonds is hereby terminated effective today.

A new Certificate of Authority as an acceptable surety on Federal bonds is hereby issued to Skandia America Reinsurance Corporation under sections 9304 and 9308 of Title 31 of the United States Code. Federal bond-approving officers should annotate their reference copies of the Treasury Circular 570, 1985 Revision, on page 27131 to reflect this addition:

#### Skandia America Reinsurance

Corporation. Business Address: 280 Park Avenue, New York, New York 10017. Underwriting Limitation<sup>b</sup>: \$7,349,000. Surety Licenses<sup>c</sup>: All except AL, AR, CT, GU, HI, ID, KY, LA, ME, MN, NV, NM, NC, ND, OR, PR, RI, SD, TN, VI, WV. INCORPORATED IN: Delaware. Federal Process Agents<sup>d</sup>.

Certificates of Authority expire on June 30 each year, unless renewed prior to that date or revoked. The certificates are subject to subsequent annual renewal as long as the companies remain qualified (31 CFR Part 223). A list of qualified companies is published annually as of July 1 in Department Circular 570, with details as to Underwriting Limitations, areas in which licensed to transact surety business and other information.

Copies of the Circular may be obtained from the Surety Bond Branch, Finance Division, Financial Management Service, Department of the Treasury, Washington, DC 20226.



Dated: October 10, 1985.

W.E. Douglas,

Commissioner, Financial Management  
Service.

[FR Doc. 85-25131 Filed 10-21-85; 8:45 am]

BILLING CODE 4810-35-M

[Dept. Circ. 570, 1985 Rev., Supp. No. 5]

**Surety Companies Acceptable on  
Federal Bonds; Universal Surety of  
America**

A Certificate of Authority as an acceptable surety on Federal bonds is hereby issued to the following company under sections 9304 to 9308 Title 31 of

the United States Code. Federal bond-approving officers should annotate their reference copies of the Treasury Circular 570, 1985 Revision, on page 27135 to reflect this addition:

*Universal Surety of America*. Business Address: 1812 Durham, Houston, Texas 77007. Underwriting Limitation<sup>b</sup>: \$225,000. Surety Licenses<sup>c</sup>: TX. Incorporated IN: Texas. Federal Process Agents<sup>d</sup>.

Certificates of Authority expire on June 30 each year, unless renewed prior to that date or sooner revoked. The certificates are subject to subsequent annual renewal so long as the companies remain qualified (31 CFR

Part 223). A list of qualified companies is published annually as of July 1 in Department Circular 570, with details as to underwriting limitations, areas in which licensed to transact surety business and other information.

Copies of the circular may be obtained from the Surety Bond Branch, Finance Division, Financial Management Service, Department of the Treasury, Washington, DC 20226.

Dated: October 10, 1985.

W.E. Douglas,

Commissioner, Financial Management  
Service.

[FR Doc. 85-25132 Filed 10-21-85; 8:45 am]

BILLING CODE 4810-35-M



# Sunshine Act Meetings

Federal Register

Vol. 50, No. 204

Tuesday, October 22, 1985

This section of the FEDERAL REGISTER contains notices of meetings published under the "Government in the Sunshine Act" (Pub. L. 94-409) 5 U.S.C. 552b(e)(3).

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### 1

#### FEDERAL ENERGY REGULATORY COMMISSION

October 16, 1985.

The following notice of meeting is published pursuant to section 3(a) of the Government in the Sunshine Act (Pub. L. No. 94-4109), 5 U.S.C. 552b:

**TIME AND DATE:** Approximately 1:00 p.m., October 23, 1985 (following open meeting).

**PLACE:** 825 North Capitol Street, NE., Washington, DC 20426, Room 9306.

**STATUS:** Closed.

#### MATTERS TO BE CONSIDERED:

- (1) Mesa Petroleum Company
- (2) Emory M. Spencer, Docket No. CS72-80-000
- (3) Amoco Production Company, Docket No. RI77-32-000
- (4) Williston Basin Interstate Pipeline Company, Docket No. CP85-534-000
- (5) James W. Lacey, et al.

**CONTACT PERSON FOR MORE INFORMATION:** Kenneth F. Plumb,

Secretary, Telephone (202) 357-8400.

Kenneth F. Plumb,

Secretary.

[FR Doc. 85-25215 Filed 10-18-85; 10:35 am]

BILLING CODE 6717-01-M

### 2

#### FEDERAL HOME LOAN BANK BOARD

**"FEDERAL REGISTER" CITATION OF PREVIOUS ANNOUNCEMENT:** Vol. No. 50, Page No. 41619, Date Published—Friday, October 11, 1985.

**PLACE:** In the Board Room, 6th Floor, 1700 G St., NW., Washington, DC.

**STATUS:** Open Meeting.

**CONTACT PERSON FOR MORE INFORMATION:** Ms. Gravlee (202-377-6679).

**CHANGES IN THE MEETING:** The following item has been added to the open meeting scheduled Friday, October 25, 1985, at 10:30 a.m.

Redeemable Preferred Stock.

Jeff Sconyers,

Secretary

No. 25, October 17, 1985.

[FR Doc. 85-25173 Filed 10-17-85; 4:36 pm]

BILLING CODE 6720-01-M

### 3

#### [USITC SE-85-45]

#### INTERNATIONAL TRADE COMMISSION

**TIME AND DATE:** 10:00 a.m. on Thursday, October 31, 1985.

**PLACE:** Room 117, 701 E Street, NW., Washington, D.C. 20436.

**STATUS:** Open to the public.

#### MATTERS TO BE CONSIDERED:

1. Agenda.
2. Minutes.
3. Ratification List.
4. Petitions and Complaints: a. Certain "Cabbage Patch Kids" dolls (Docket No. 1248).
5. Any items left over from previous agenda.

**CONTACT PERSON FOR MORE INFORMATION:** Kenneth R. Mason, Secretary (202) 523-0161.

Kenneth R. Mason,

Secretary.

[FR Doc. 85-25284 Filed 10-18-85; 4:01 pm]

BILLING CODE 7020-02-M

### 4

#### POSTAL SERVICE

**"FEDERAL REGISTER" CITATION OF PREVIOUS ANNOUNCEMENT:** 50 FR 42249, October 18, 1985.

**PREVIOUSLY ANNOUNCED TIME AND DATE:** 8:30 a.m., Tuesday, November 5, 1985.

#### CHANGES IN THE MEETINGS:

- Deletion of the following agenda item:
- a. Capital Investments;
  - b. Richmond, Virginia, site for GMF Expansion."

**CONTACT PERSON FOR MORE INFORMATION:** Mr. David F. Harris, Secretary of the Board, (202) 268-4800.

David F. Harris,

Secretary.

[FR Doc. 85-25263 Filed 10-18-85; 2:20 pm]

BILLING CODE 7710-12-M







# United States Department of Housing and Urban Development

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Tuesday  
October 22, 1985

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## Part II

### Department of Housing and Urban Development

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Office of Assistant Secretary for  
Community Planning and Development

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Urban Development Action Grants;  
Revised Minimum Standards for Small  
Cities; Notice



# DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

## Office of Assistant Secretary for Community Planning and Development

[Docket No. N-85-1553; FR 2157]

### Urban Development Action Grants; Revised Minimum Standards for Small Cities

**AGENCY:** Office of the Assistant Secretary for Community Planning and Development, HUD.

**ACTION:** Notice.

**SUMMARY:** In accordance with 24 CFR 570.452(b)(1), the Department is providing notice of the most current minimum standards of physical and economic distress for small cities for the Urban Development Action Grant program.

This Notice revises the Notice published February 13, 1984 (49 FR 5418).

The minimum standards of distress have changed generally as a result of applying new data from the Bureau of the Census, and the Employment Training Administration within the Department of Labor.

This Notice contains four lists: One list (see Part II of this Notice) identifies all those cities which qualify as distressed communities based upon the new minimum standards; a second list (see Part III of this Notice) identifies those cities which did not qualify when the February 13, 1984 list was published but which do qualify now; a third list (see Part IV of this Notice) identifies those cities which were classified as distressed on the February 13, 1984 list, but which no longer qualify under the new minimum standards; finally, a fourth list (see Part V of this Notice) identifies those towns and townships which qualify as distressed communities based upon the new minimum standards.

**EFFECTIVE DATE:** October 22, 1985.

#### FOR FURTHER INFORMATION CONTACT:

Frank Ridenour, Office of Urban Development Action Grants, Department of Housing and Urban Development, 451 Seventh Street, SW., Washington, DC 20410. Telephone: 202/755-6784. For information on minimum distress standards or the data used to determine whether a community qualifies as distressed contact: Wendy Mellinger, Telephone: 202/755-7390.

**SUPPLEMENTARY INFORMATION:** A Notice published by the Department on February 13, 1984 provided the minimum standards of physical and economic

distress which were applicable up to the effective date of this Notice for small cities which met the standards published at that time.

Part I of this Notice specifies the new minimum standards of physical and economic distress. Part II through V contain the lists enumerated in the Summary section of this Notice. Part II of this Notice contains a revised list of all the small cities which meet the new standards. Part III of this Notice lists those small cities which, based upon the new minimum standards, appear on the list in Part II but did not qualify when the February 13, 1984 list was published. Part IV is a list of those cities which were classified as distressed on the February 13, 1984 list but which no longer qualify under the new minimum standards. These cities listed in Part IV have a period of time, as specified in Part IV, during which they may submit Action Grant applications. Part V is a revised list of eligible towns and townships.

The new minimum standards are based on updated data from the Bureau of the Census and the Department of Labor/Employment Training Administration as of Fiscal Year 1985. The data cover units of government incorporated through June 1984. The updated Census data are 1982 population, 1981 per capita income, 1980 housing and poverty (adjusted for boundary changes through 1982) and 1982 retail and manufacturing jobs (which reflect the change over the period from 1977 to 1982, instead of 1972 to 1977). The previous Census data were 1980 population, 1979 per capita income, 1980 housing and poverty (reflecting boundary changes through the 1980 Census) and 1972 retail and manufacturing jobs. The updated data from the Employment Training Administration are Labor Surplus Areas designated as of October 1, 1984. A list of eligible labor surplus areas was published in the *Federal Register* on September 26, 1984 (49 FR 37865). The previous Labor Surplus Areas were designated as of October 1, 1983.

This Notice is published pursuant to 24 CFR 570.452(b)(1).

#### Part I

A small city must pass three minimum standards of physical and economic distress for the categories appropriate to their size, except that if the percentage of poverty is less than half the minimum standard, the city must pass four standards. The most current minimum standards of physical and economic distress are:

**A. Age of Housing.** At least 20.6 percent of the applicant's year-round

housing units must have been constructed prior to 1940, based on 1980 U.S. Census data, in order to meet this minimum standard;

**B. Per Capita Income Change.** The net increase in per capital income for the period of 1969-1981 must have been \$5,467 or less, based on U.S. Census data, in order to meet this minimum standard;

**C. Population Growth Lag/Decline.** For the period 1970-1982 the percentage rate of population growth (based on corporate boundaries as of 1982) must have been 3.4 percent or less, based on U.S. Census data, in order to meet this minimum standard;

**D. Job Lag/Decline.** The rate of growth in retail and manufacturing employment for the period 1977-1982 must have increased by 3.4 percent or less, based on U.S. Census data, in order to meet this minimum standard. The standard is only applicable to cities of 25,000 population or more. For communities where only retail data were available, a job lag percentage for the retail sector was computed. For communities where only manufacturing data were available, a job lag percentage for the manufacturing sector was computed. The retail job lag threshold is 8.3 percent and the manufacturing job lag threshold is 0.0 percent. If neither data source is available this standard will not be considered.

**E. Poverty.** The percentage of persons within the applicant's jurisdiction at or below the poverty level just be 12.3 percent or more, based on 1980 U.S. Census data, in order to meet this minimum standard;

**F. Labor Surplus Area.** The small city must either be entirely within or partially within an area which meets the criteria for designation as a Labor Surplus Area as of October 1984. These areas include counties or county balances (after excluding cities with populations of 50,000 or more) with an unemployment rate of 10 percent or more for calendar years 1982-1983.

#### Part II

The following small cities meet the current minimum standards of physical and economic distress appropriate to their class.

#### Alabama

|                |          |
|----------------|----------|
| Abbeville      | Anderson |
| Addison        | Ardmore  |
| Akron          | Ariton   |
| Albertville    | Arley    |
| Alexander City | Ashford  |
| Aliceville     | Ashland  |
| All Good       | Ashville |
| Altoona        | Athens   |
| Andalusia      | Atmore   |



|                |               |                    |                   |                 |                  |
|----------------|---------------|--------------------|-------------------|-----------------|------------------|
| Attalla        | Eandla        | Lexington          | Red Level         | Alaska          |                  |
| Auburn         | Eutaw         | Libertyville       | Reform            | Akhiok          | Koyuk            |
| Autaugaville   | Evergreen     | Lincoln            | Repton            | Akiak           | Koyukuk          |
| Baileytown     | Excel         | Linden             | Ridgeville        | Akolmiut        | Kwethluk         |
| Banks          | Fairfield     | Lineville          | River Falls       | Akutan          | Lower Kalskag    |
| Bay Minette    | Fairview      | Lipscomb           | Riverside         | Alakanuk        | Mekoryuk         |
| Bayou La Batre | Falkville     | Lisman             | Riverview         | Allakaket       | Mountain Village |
| Bear Creek     | Farmdale      | Livingston         | Roanoke           | Anaktuvuk Pass  | Napakiaik        |
| Beatrice       | Payette       | Loachapoka         | Robertsdale       | Angoon          | Napaakiak        |
| Beaverton      | Five Points   | Lockhart           | Rockford          | Aniak           | Nenana           |
| Belk           | Flomaton      | Louisville         | Rogersville       | Anvik           | New Stuyabok     |
| Benton         | Floral        | Lowndesboro        | Roosevelt City    | Atmautluak      | Newtok           |
| Berry          | Foley         | Loxely             | Rosa              | Brevig Mission  | Nightmute        |
| Billingsley    | Forkland      | Luverne            | Russellville      | Buckland        | Nikolai          |
| Black          | Fort Deposit  | Lynn               | Rutledge          | Chefornak       | Noorvik          |
| Blountsville   | Fort Payne    | McKenzie           | Samson            | Chevak          | Nulato           |
| Blue Mountain  | Franklin      | McMullen           | Sanford           | Chuathbaluk     | Old Harbor       |
| Blue Springs   | Frisco City   | Madrid             | Sardis City       | Clark's Point   | Pilot Station    |
| Boaz           | Fruithurst    | Maplesville        | Section           | Deering         | Port Alexander   |
| Boligee        | Fulton        | Margaret           | Selma             | Diomedea        | Port Lions       |
| Bon Air        | Fyffe         | Marion             | Sheffield         | Eagle           | Quinhagak        |
| Brantley       | Gainesville   | Maytown            | Shilo             | Eck             | Ruby             |
| Brent          | Gantt         | Memphis            | Silas             | Ekwook          | Russian Mission  |
| Brewton        | Gantts Quarry | Mentone            | Silverhill        | Elim            | St. Michael      |
| Bridgeport     | Garden City   | Midland City       | Sipsay            | Emmonak         | Savoonga         |
| Brighton       | Gaylesville   | Midway             | Slocumb           | Fairbanks       | Scammon Bay      |
| Brilliant      | Geiger        | Millbrook          | Snead             | Fortuna Ledge   | Selawik          |
| Brookside      | Geneva        | Millport           | Somerville        | Fort Yukon      | Seldovia         |
| Brundidge      | Georgiana     | Millry             | South Vinemont    | Gambell         | Shageluk         |
| Calera         | Geraldine     | Monroeville        | Springville       | Goldvin         | Shaktolik        |
| Camden         | Gilbertown    | Montevallo         | Steele            | Goodnews Bay    | Sheldon Point    |
| Camp Hill      | Glen Allen    | Mooresville        | Stevenson         | Graying         | Shishmaref       |
| Carbon Hill    | Glenwood      | Morris             | Sulligent         | Holy Cross      | Stebbins         |
| Cardiff        | Goldville     | Mosses             | Sumiton           | Hoonah          | Tanana           |
| Carolina       | Good Hope     | Moulton            | Summerdale        | Hooper Bay      | Teller           |
| Carrollton     | Goodwater     | Moundville         | Susan Moore       | Hughes          | Tenakee Springs  |
| Castleberry    | Gordo         | Mountainboro       | Sweetwater        | Huslia          | Togiak           |
| Cedar Bluff    | Gordon        | Mount Vernon       | Sylacauga         | Hydaburg        | Toksook Bay      |
| Centre         | Goshen        | Mulga              | Sylvania          | Kaltag          | Tulksak          |
| Centreville    | Grayville     | Myrtlewood         | Talladega         | Kasaan          | Tununak          |
| Chatom         | Greensboro    | Napier Field       | Talladega Springs | Kasigluk        | Unalakleet       |
| Chickasaw      | Greenville    | Nauvoo             | Tallassee         | King Cove       | Upper Kalskag    |
| Childersburg   | Grimes        | Nectar             | Tarrant City      | Kivalina        | Wales            |
| Citronelle     | Grove Hill    | Needham            | Taylor            | Kobuk           | White Mountain   |
| Clanton        | Guin          | Newbern            | Thomaston         |                 |                  |
| Claybathee     | Guntersville  | New Brockton       | Thomasville       |                 |                  |
| Clayton        | Gurley        | New Site           | Thorsby           |                 |                  |
| Cleveland      | Gwin          | Newton             | Town Creek        |                 |                  |
| Clio           | Hackleburg    | Newville           | Toxey             | Apache Junction | Mammoth          |
| Coffee Springs | Haleburg      | North Courtland    | Trafford          | Avondale        | Miami            |
| Coffeeville    | Haleyville    | North Johns        | Triana            | Benson          | Nogales          |
| Collinsville   | Hamilton      | Northport          | Troy              | Bisbee          | Patagonia        |
| Colony         | Hammondville  | Notasulga          | Tuscumbia         | Casa Grande     | Payson           |
| Columbia       | Hanceville    | Oak Grove          | Tuskegee          | Clarkdale       | Pima             |
| Columbiana     | Harpersville  | Oak Hill           | Union             | Clifton         | Safford          |
| Coosaua        | Hartford      | Oakman             | Union Grove       | Coolidge        | St Johns         |
| Cordova        | Hartselle     | Odenville          | Union Springs     | Douglas         | San Luis         |
| Cottonwood     | Hayden        | Ohatchee           | Uniontown         | Duncan          | Show Low         |
| County Line    | Hayneville    | Oneonta            | Valley City       | Eagar           | Somerton         |
| Courtland      | Headland      | Onycha             | Valley Head       | El Mirage       | South Tucson     |
| Crossville     | Heath         | Opp                | Vernon            | Eloy            | Superior         |
| Cuba           | Heflin        | Orrville           | Vina              | Flagstaff       | Surprise         |
| Dadeville      | Hillsboro     | Ozark              | Vincent           | Florence        | Thatcher         |
| Daleville      | Hobson City   | Paint Rock         | Vredenburg        | Fredonia        | Tombstone        |
| Daphne         | Hodges        | Parrish            | Wadley            | Globe           | Wellton          |
| Daviston       | Holly Pond    | Pell City          | Waldo             | Guadalupe       | Wilcox           |
| Dayton         | Hollywood     | Pennington         | Walnut Grove      | Hayden          | Williams         |
| Decatur        | Hurtsboro     | Petrey             | Warrior           | Holbrook        | Winkelman        |
| Demopolis      | Jackson       | Phenix City        | Waterloo          | Jerome          | Winslow          |
| Detroit        | Jackson's Gap | Phil Campbell      | Waverly           |                 |                  |
| Dora           | Jacksonville  | Pickensville       | Webb              |                 |                  |
| Double Springs | Jasper        | Piedmont           | Wadewee           |                 |                  |
| Dozier         | Jemison       | Pinckard           | West Blocton      | Adona           | Atkins           |
| Dutton         | Kansas        | Pine Apple         | West Point        | Alicia          | Aubrey           |
| East Brewton   | Kennedy       | Pine Hill          | Wetumpka          | Allport         | Augusta          |
| Eclectic       | Killen        | Pine Ridge         | Whitehall         | Alma            | Avoca            |
| Edwardsville   | Kinsey        | Pisgah             | Whites Chapel     | Almyra          | Bald Knob        |
| Elba           | Kinston       | Pollard            | Wilmer            | Banks           | Bald Knob        |
| Elberta        | Lafayette     | Powells Crossroads | Wilton            | Alzheimer       | Barling          |
| Eldridge       | Lakeview      | Prichard           | Woodland          | Altus           | Bassett          |
| Elkmont        | Lanett        | Providence         | Woodville         | Amagon          | Batesville       |
| Emelle         | Langston      | Ragland            | York              | Amity           | Bauxite          |
| Enterprise     | Leighton      | Rainsville         |                   | Antoine         | Bearden          |
| Epas           | Lester        | Red Bay            |                   | Arkadelphia     | Beaver           |
| Eufaula        | Level Plains  |                    |                   | Arkansas City   | Beebe            |
|                |               |                    |                   | Ash Flat        | Beedevice        |



|                   |               |                   |                  |            |              |
|-------------------|---------------|-------------------|------------------|------------|--------------|
| Belleville        | Evening Shade | Lepanto           | Pocahontas       | Wilmar     | Wooster      |
| Ben Lomond        | Everion       | Leslie            | Pollard          | Wilmot     | Wrightsville |
| Berryville        | Fifty Six     | Letona            | Portia           | Wilson     | Wynne        |
| Bigelow           | Fisher        | Lewisville        | Portland         | Winchester | Yellville    |
| Big Flat          | Fordyce       | Lexa              | Pottsville       | Winslow    | Zinc         |
| Biggers           | Foremen       | Lincoln           | Powhatan         | Winthrop   |              |
| Black Oak         | Forrest City  | Lockesburg        | Prairie Grove    |            |              |
| Black Rock        | Fountain Hill | London            | Prattsville      |            |              |
| Black Springs     | Fourche       | Lonsdale          | Prescott         |            |              |
| Blevins           | Franklin      | Louann            | Pyatt            |            |              |
| Blue Eye          | Biscoe Town   | Luxora            | Quitman          |            |              |
| Blue Mountain     | Friendship    | Lynn              | Ratcliff         |            |              |
| Bluff             | Fulton        | McCrory           | Ravenden         |            |              |
| Blytheville       | Garfield      | McDougal          | Ravenden Springs |            |              |
| Bodcaw            | Garland       | McGehee           | Reader           |            |              |
| Bonanza           | Garner        | McNeil            | Rector           |            |              |
| Booneville        | Gateway       | McRae             | Reed             |            |              |
| Bradford          | Gentry        | Madison           | Reyno            |            |              |
| Bradley           | Gilbert       | Magazine          | Rison            |            |              |
| Branch            | Gillett       | Magness           | Rockport         |            |              |
| Brinkley          | Gilmore       | Magnolia          | Roe              |            |              |
| Buckner           | Glenwood      | Malvern           | Rondo            |            |              |
| Burdette          | Gosnell       | Mammoth Spring    | Rose Bud         |            |              |
| Caldwell          | Gould         | Manila            | Rosston          |            |              |
| Cale              | Grady         | Mansfield         | Rudy             |            |              |
| Calico Rock       | Grannis       | Marianna          | Russel           |            |              |
| Calion            | Gravette      | Marie             | Russellville     |            |              |
| Camden            | Green Forest  | Marked Tree       | St Charles       |            |              |
| Campbells Station | Greenway      | Marmaduke         | St Francis       |            |              |
| Caraway           | Greenwood     | Marshall          | St Paul          |            |              |
| Carlisle          | Greers Ferry  | Marvell           | Salem            |            |              |
| Carthage          | Griffithville | Maynard           | Scranton         |            |              |
| Casa              | Grubbs        | Mena              | Searcy           |            |              |
| Caulksville       | Gaion         | Menifee           | Sherrill         |            |              |
| Cave City         | Gum Springs   | Midland           | Shirley          |            |              |
| Cave Springs      | Gurdon        | Minturn           | Sidney           |            |              |
| Charleston        | Guy           | Mitchellville     | Smackover        |            |              |
| Cherry Valley     | Hackett       | Monette           | Smithville       |            |              |
| Chester           | Hamburg       | Monticello        | South Lead Hill  |            |              |
| Chidester         | Hardy         | Montrose          | Sparkman         |            |              |
| Clarendon         | Harrell       | Moro              | Stamps           |            |              |
| Clarksville       | Harrisburg    | Morrilton         | Star City        |            |              |
| Clinton           | Hartford      | Morrison Bluff    | Stephens         |            |              |
| Coal Hill         | Hatfield      | Mountainburg      | Strawberry       |            |              |
| College City      | Havana        | Mountain Pine     | Strong           |            |              |
| Colt              | Haynes        | Mountainview      | Stuttgart        |            |              |
| Concord           | Hazen         | Mount Ida         | Subiaco          |            |              |
| Corinth           | Heber Springs | Mount Vernon      | Success          |            |              |
| Corning           | Hector        | Mulberry          | Sulphur Springs  |            |              |
| Cotter            | Helena        | Murfreesboro      | Summit           |            |              |
| Cotton Plant      | Hermitage     | Nashville         | Sunset           |            |              |
| Cove              | Hickory Ridge | Newark            | Swifton          |            |              |
| Coy               | Higden        | Newport           | Thornton         |            |              |
| Crawfordsville    | Higginson     | Nimmons           | Tillar           |            |              |
| Crossett          | Holly Grove   | Norfolk           | Tollette         |            |              |
| Cushman           | Horatio       | Norman            | Trumann          |            |              |
| Daisy             | Hot Springs   | Norphlet          | Tuckerman        |            |              |
| Damascus          | Houston       | Oak Grove         | Tupelo           |            |              |
| Datto             | Hoxie         | Oak Grove Heights | Turrell          |            |              |
| Delaplaine        | Hughes        | Oden              | Tyronza          |            |              |
| Delight           | Humnok        | Ogden             | Ulm              |            |              |
| Dell              | Humphrey      | Oil Trough        | Valley Springs   |            |              |
| Denning           | Hunter        | O'Kean            | Van Buren        |            |              |
| De Queen          | Huntington    | Okolona           | Vandervoort      |            |              |
| Dermott           | Imboden       | Ola               | Victoria         |            |              |
| Des Arc           | Jacksonport   | Omaha             | Vilonia          |            |              |
| De Valls Bluff    | Jasper        | Oscedla           | Viola            |            |              |
| De Witt           | Jerome        | Oxford            | Wabbaseka        |            |              |
| Diaz              | Johnson       | Ozan              | Waldenburg       |            |              |
| Dierks            | Joiner        | Ozark             | Waldo            |            |              |
| Dover             | Jonesboro     | Palestine         | Waldron          |            |              |
| Dumas             | Judsonia      | Pangburn          | Walnut Ridge     |            |              |
| Dyer              | Junction City | Paragould         | Warren           |            |              |
| Dyess             | Keiser        | Paris             | Washington       |            |              |
| Earle             | Kensett       | Parkdale          | Watson           |            |              |
| Edmondson         | Keo           | Patmos            | Weiner           |            |              |
| Elaine            | Kibler        | Patterson         | Western Grove    |            |              |
| El Dorado         | Kingland      | Peach Orchard     | West Helena      |            |              |
| Elkins            | Knobel        | Perla             | West Point       |            |              |
| Emerson           | Lafe          | Perry             | Wheatley         |            |              |
| Emmet             | Lake View     | Perryville        | Wickes           |            |              |
| England           | Lake Village  | Piggott           | Widener          |            |              |
| Enola             | Lamar         | Plainview         | Wiederkehr       |            |              |
| Eudora            | Leachville    | Plumerville       | Williford        |            |              |
| Eureka Springs    | Leola         |                   | Willisville      |            |              |

## California

|                 |               |
|-----------------|---------------|
| Adianto         | Lincoln       |
| Alturas         | Lindsay       |
| Amador          | Live Oak      |
| Anderson        | Livingston    |
| Angels          | Loma Linda    |
| Arcata          | Los Banos     |
| Arvin           | Loyalton      |
| Avenal          | McFarland     |
| Banning         | Madera        |
| Barstow         | Maricopa      |
| Bell            | Marina        |
| Bell Gardens    | Marysville    |
| Riggs           | Mendota       |
| Blue Lake       | Merced        |
| Blythe          | Montague      |
| Brawley         | Mount Shasta  |
| Calexico        | Needles       |
| Calipatria      | Nevada City   |
| Chino           | Orange Cove   |
| Clearlake       | Orland        |
| Coachella       | Oroville      |
| Coalinga        | Pacific Grove |
| Colfax          | Paramount     |
| Colton          | Parlier       |
| Colusa          | Patterson     |
| Corcoran        | Perris        |
| Corning         | Placerville   |
| Crescent City   | Plymouth      |
| Cudahy          | Point Arena   |
| Delando         | Portola       |
| Dinuba          | Red Bluff     |
| Dorris          | Reedley       |
| Dos Palos       | Rio Dell      |
| Dunsmuir        | Riverbank     |
| East Palo Alto  | S ND City     |
| Etna            | San Gabriel   |
| Eureka          | Sanger        |
| Exeter          | San Jacinto   |
| Farmersville    | San Joaquin   |
| Ferndale        | San Pablo     |
| Firebaugh       | Santa Paula   |
| Fort Bragg      | Selma         |
| Fort Jones      | Soledad       |
| Fowler          | Sonoma        |
| Gonzales        | Susanville    |
| Grass Valley    | Sutter Creek  |
| Greenfield      | Tehama        |
| Gridley         | Tracy         |
| Hanford         | Tulelake      |
| Hollister       | Wasco         |
| Hughson         | Waterford     |
| Huntington Park | Watsonville   |
| Huron           | Weed          |
| Indio           | Westmorland   |
| Industry        | Wheatland     |
| Ione            | Williams      |
| Irwindale       | Willits       |
| Isleton         | Willows       |
| King City       | Winters       |
| Lake Elsinore   | Woodlake      |
| La Puente       | Yreka         |
| Lawndale        |               |

## Colorado

|              |              |
|--------------|--------------|
| Aguilar      | Brush        |
| Akron        | Buena Vista  |
| Alamosa      | Calhan       |
| Alma         | Campo        |
| Antonito     | Canon City   |
| Arriba       | Cedaredge    |
| Ault         | Center       |
| Blanca       | Central City |
| Bonanza City | Cheraw       |
| Boone        | Coal Creek   |
| Branson      | Cokedale     |
| Brookside    | Collbran     |



Commerce City  
Creede  
Crested Butte  
Crestone  
Cripple Creek  
Crowley  
Del Norte  
Delta  
Dinosaur  
Dolores  
Eckley  
Elizabeth  
Flagler  
Flaming  
Florence  
Fort Lupton  
Fowler  
Frederick  
Fruita  
Garden City  
Genoa  
Granada  
Grand Junction  
Parachute  
Grover  
Gunnison  
Hartman  
Haswell  
Holly  
Holyoke  
Hooper  
Hotchkiss  
Hugo  
Ignacio  
Bliff  
Johnstown  
Julesburg  
Kanneshburg  
Kim  
Kiowa  
Kit Carson  
La Jara  
La Junta  
Lamar  
Las Animas  
La Veta  
Limon  
Manassa  
Mancos  
Manitou Springs  
Manzanola  
Moffat  
Monte Vista  
Naturita  
Nucila  
Nunn  
Oak Creek  
Olathe  
Olney Springs

Ophir  
Orchard City  
Ordway  
Otis  
Ouray  
Ovid  
Pagosa Springs  
Palisade  
Paoli  
Paonia  
Peetz  
Pierce  
Pitkin  
Poncha Springs  
Pritchett  
Prospect Heights  
Ramah  
Raymer  
Red Cliff  
Rico  
Ridgway  
Rockvale  
Rocky Ford  
Romeo  
Rosedale  
Rye  
Sagaache  
Salida  
Sanford  
San Luis  
Sawpit  
Sedgwick  
Seibert  
Sheridan Lake  
Silt  
Silver Cliff  
Silver Plume  
Silverton  
Simla  
Springfield  
Starkville  
Sugar City  
Superior  
Swink  
Telluride  
Trinidad  
Two Buttes  
Victor  
Vilas  
Walsenburg  
Walsh  
Ward  
Westcliffe  
Wiggins  
Wiley  
Williamsburg  
Yampa  
Yuma

## Connecticut

Ansonia  
Bantam  
Colchester  
Danielson

Jewett City  
Naugatuck  
Stafford Springs  
Torrington

## Delaware

Bethel  
Blades  
Bowers  
Bridgeville  
Cheswold  
Clayton  
Delaware City  
Delmar  
Ellendale  
Farmington  
Frankford  
Frederica  
Georgetown  
Greenwood  
Harrington

Laurel  
Leipsic  
Lewes  
Little Creek  
Magnolia  
Milford  
Milton  
New Castle  
Odessa  
Seaford  
Smyrna  
Townsend  
Woodside  
Wyoming

## Florida

Alachua  
Alford  
Altha  
Apalachicola  
Arcadia  
Archer  
Auburndale  
Avon Park  
Bartow  
Bay Lake  
Belle Glade  
Blountstown  
Bonifay  
Bowling Green  
Branford  
Bronson  
Brooksville  
Bunnell  
Buccoo  
Bushnell  
Callahan  
Campbellton  
Carrabelle  
Caryville  
Cedar Grove  
Cedar Key  
Center Hill  
Century  
Chattahoochee  
Chiefland  
Chipley  
Cinco Bayou  
Coleman  
Cottondale  
Crescent City  
Crestview  
Cross City  
Davenport  
De Funiak Springs  
De Land  
Dundee  
Eatonville  
Esto  
Everglades  
Fanning Spring  
Fellsmere  
Florida City  
Fort Meade  
Fort White  
Frostproof  
Graceville  
Grand Ridge  
Greensboro  
Greenville  
Greenwood  
Gretna  
Groveland  
Haines City  
Hastings  
Havana  
Hawthorne  
High Springs  
Homestead  
Horseshoe Beach  
Interlachen  
Jasper

Jennings  
Key West  
Lakeland  
La Crosse  
Lady Lake  
Lake City  
Lake Hamilton  
Lake Helen  
Lake Wales  
Laurel Hill  
Lawley  
Lee  
Leesburg  
Live Oak  
Madison  
Malone  
Marianma  
Marineland  
Masscotte  
Mayo  
Micanopy  
Minneola  
Monticello  
Moore Haven  
Mount Dora  
Mulberry  
Noma  
Oak Hill  
Oakland  
Okeechobee  
Opalocka  
Otter Creek  
Palatka  
Paxton  
Perry  
Pierson  
Polk City  
Pomona Park  
Ponce De Leon  
Port St Joe  
Quincy  
Reddick  
St Augustine  
St Leo  
St Marks  
Sebring  
Sneads  
Sopchoppy  
South Bay  
Springfield  
Starke  
Umatilla  
Vernon  
Waldo  
Wauchula  
Wasau  
Webster  
Weeki Wachee Springs  
Welaka  
Westville  
Wewahatchka  
White Springs  
Wildwood  
Worthington Springs  
Zolfo Springs

## Georgia

Abbeville  
Adairsville  
Adrian  
Ailey  
Alamo  
Alapaha  
Aldora  
Alienhurst  
Allentown  
Alma  
Alston  
Ambrose  
Americus  
Andersonville  
Arabi  
Aragon

Arcade  
Argyle  
Arlington  
Ashburn  
Attapulgus  
Auburn  
Avera  
Baconton  
Bainbridge  
Ball Ground  
Barnesville  
Bartow  
Barwick  
Baxley  
Between  
Bibb City

Bishop  
Blackshear  
Blairsville  
Blakely  
Blue Ridge  
Bluffton  
Boston  
Bostwick  
Bowdon  
Bowersville  
Bowman  
Breselton  
Bremen  
Brinson  
Bronwood  
Brooklet  
Brooks  
Broxton  
Brunswick  
Buchanan  
Buckhead  
Buena Vista  
Buford  
Butler  
Byromville  
Byron  
Cadwell  
Cairo  
Calhoun  
Camak  
Camilla  
Canon  
Canton  
Carl  
Carlton  
Carnesville  
Carterville  
Cave Spring  
Cecil  
Cedartown  
Chatsworth  
Chauncey  
Chester  
Clarksville  
Claxton  
Clermont  
Climax  
Cobbtown  
Cochran  
Cohutta  
Colbert  
Coleman  
Collins  
Colquitt  
Comer  
Commerce  
Concord  
Coolidge  
Cordele  
Corinth  
Covington  
Crawford  
Crawfordville  
Cusseta  
Cuthbert  
Daisy  
Dallas  
Damascus  
Danielsville  
Danville  
Darlen  
Davisboro  
Dawson  
Dawsonville  
Deering  
Decatur  
Denton  
De Soto  
Dexter  
Doerun  
Du Pont  
East Ellijay  
Eastman  
East Point  
Eatonton

Edge Hill  
Edison  
Elberton  
Ellaville  
Ellenton  
Ellijay  
Emerson  
Enigma  
Ephesus  
Eton  
Euharlee  
Fairmount  
Fitzgerald  
Flemington  
Flovilla  
Flowery Branch  
Folkston  
Forsyth  
Fort Gaines  
Fort Valley  
Franklin  
Garfield  
Gay  
Geneva  
Georgetown  
Gibson  
Gillsville  
Girard  
Glennville  
Glenwood  
Gordon  
Grantville  
Greensboro  
Greenville  
Griffin  
Guyton  
Habira  
Hampton  
Harlem  
Harrison  
Hartwell  
Hawkinsville  
Helena  
Higgston  
Hiltonia  
Hoboken  
Hogansville  
Homer  
Homerville  
Ideal  
Ila  
Iron City  
Irwin  
Jackson  
Jacksonville  
Jenkinsburg  
Jersey  
Jesup  
Jonesboro  
Junction City  
Kingston  
Kite  
La Grange  
Lakeland  
Lake Park  
Lavonia  
Leary  
Leesburg  
Lenox  
Leslie  
Lexington  
Lilly  
Lincolnton  
Linwood  
Lithonia  
Locust Grove  
Lone Oak  
Louisville  
Lovejoy  
Ludowici  
Lula  
Lumber City  
Lumpkin  
Luthersville



Lyerly  
 Lyons  
 McCaysville  
 McDonough  
 McRae  
 Madison  
 Manassas  
 Manchester  
 Mansfield  
 Marshallville  
 Maxeys  
 Meansville  
 Meigs  
 Menlo  
 Metter  
 Midville  
 Milan  
 Millen  
 Milner  
 Mineral Bluff  
 Mitchell  
 Molena  
 Monroe  
 Montezuma  
 Monticello  
 Montrose  
 Morgan  
 Morganton  
 Morven  
 Moultrie  
 Mount Airy  
 Mount Vernon  
 Nahant  
 Naylor  
 Nelson  
 Newborn  
 Newington  
 Newman  
 Newton  
 Nicholls  
 Norman Park  
 Norwood  
 Oak Park  
 Ochlocknee  
 Ocilla  
 Odum  
 Oglethorpe  
 Oliver  
 Omaha  
 Omega  
 Parrott  
 Patterson  
 Pavo  
 Payne  
 Pearson  
 Pelham  
 Pembroke  
 Pinehurst  
 Pine Mountain  
 Pineview  
 Pitts  
 Plains  
 Plainville  
 Portal  
 Porterdale  
 Poulton  
 Preston  
 Pulaski  
 Quitman  
 Ranger  
 Rayle  
 Rebecca  
 Register  
 Remerton  
 Rentz  
 Resaca  
 Rest Haven  
 Reynolds  
 Rhine  
 Riceboro  
 Richland  
 Riddleville  
 Riverside  
 Roberta  
 Rochelle  
 Rockmart  
 Rocky Ford  
 Rome

Rossville  
 Russell  
 Rutledge  
 Sale City  
 Sandersville  
 Sardis  
 Sasser  
 Scotland  
 Screven  
 Sendia  
 Shady Dale  
 Sharon  
 Sharpsburg  
 Shellman  
 Shiloh  
 Siloam  
 Smithville  
 Social Circle  
 Soperton  
 Sparks  
 Sparta  
 Stapleton  
 Statesboro  
 Stillmore  
 Summertown  
 Summerville  
 Sumner  
 Sunny Side  
 Surrency  
 Swainsboro  
 Sycamore  
 Sylvania  
 Sylvester  
 Talbotton  
 Talking Rock  
 Tallapoosa  
 Tallulah Falls  
 Tarrytown  
 Taylorsville  
 Tennille  
 The Rock  
 Thomaston  
 Thomasville  
 Thomson  
 Tiger  
 Tignall  
 Toombsboro  
 Trion  
 Oliver  
 Turin  
 Twin City  
 Ty Ty  
 Unadilla  
 Union Point  
 Uvalda  
 Valdosta  
 Varnell  
 Vidalia  
 Vienna  
 Villa Rica  
 Wadley  
 Waleska  
 Walnut Grove  
 Walthourville  
 Warm Springs  
 Warrenton  
 Warwick  
 Washington  
 Waverly Hall  
 Waycross  
 Waynesboro  
 Weston  
 West Point  
 Whigham  
 White  
 White Plains  
 Whitesburg  
 Willacoochee  
 Williamson  
 Winder  
 Woodbine  
 Woodbury  
 Woodland  
 Woodville  
 Wrens  
 Wrightsville  
 Yatesville  
 Young Harris

## Idaho

Aberdeen  
 Acequia  
 Albion  
 American Falls  
 Arco  
 Ashton  
 Athol  
 Atomic City  
 Bancroft  
 Bellevue  
 Blackfoot  
 Bloomington  
 Bonners Ferry  
 Buhl  
 Caldwell  
 Cambridge  
 Cascade  
 Castleford  
 Challis  
 Clark Fork  
 Clayton  
 Clifton  
 Coeur D'Alene  
 Cottonwood  
 Council  
 Cragmont  
 Crouch  
 Dalton Gardens  
 Dayton  
 Donnelly  
 Downey  
 Drummond  
 Dubois  
 East Hope  
 Elk River  
 Emmett  
 Fernan Lake  
 Georgetown  
 Glenns Ferry  
 Gooding  
 Thomaston  
 Grand View  
 Grangeville  
 Greenleaf  
 Hagerman  
 Hailey  
 Harrison  
 Hauser Lake  
 Hayden  
 Hollister  
 Hope  
 Huettner  
 Idaho City  
 Jerome  
 Juliaetta  
 Kamaiah  
 Kellogg  
 Kendrick  
 Kootenai  
 Lapwai  
 Lava Hot Springs  
 Leadore  
 McCall  
 McCammon  
 Mackay  
 Malad City

## Illinois

Abingdon  
 Adeline  
 Albers  
 Alhambra  
 Allendale  
 Allerton  
 Alma  
 Alorton  
 Alpha  
 Alsey  
 Altamont  
 Altona  
 Alto Pass  
 Alvin  
 Amboy

Baldwin  
 Banner  
 Bannockburn  
 Bardolph  
 Barry  
 Bartleso  
 Basco  
 Bath  
 Baylis  
 Bay View Gardens  
 Beardstown  
 Beaverville  
 Beckemeyer  
 Beecher City  
 Belgium  
 Belknap  
 Belle Prairie City  
 Belle Rive  
 Belleflower  
 Belvidere  
 Bement  
 Benlo  
 Bently  
 Benton  
 Berlin  
 Bethany  
 Biggsville  
 Bingham  
 Birds  
 Bishop Hill  
 Blandinsville  
 Blue Island  
 Blue Mound  
 Bluffs  
 Bluford  
 Bonnie  
 Bowen  
 Bradford  
 Braidwood  
 Bridgeport  
 Broadlands  
 Broadwell  
 Brocton  
 Brooklyn  
 Brookport  
 Broughton  
 Browing  
 Brownstown  
 Brussels  
 Buckner  
 Buda  
 Bulpitt  
 Buncombe  
 Bunker Hill  
 Bureau Junction  
 Burnt Prairie  
 Bush  
 Bushnell  
 Cabery  
 Cahokia  
 Cairo  
 Calhoun  
 Cambria  
 Cambridge  
 Camden  
 Camp Point  
 Canton  
 Capron  
 Carbondale  
 Carlinville  
 Carlyle  
 Carriers Mills  
 Carrollton  
 Cartersville  
 Casey  
 Caseyville  
 Cave-in-Rock  
 Central City  
 Centralia  
 Centerville  
 Chandlerville  
 Charleston  
 Chebanse  
 Chester  
 Chesterfield

Chrisman  
 Christopher  
 Cisco  
 Cissne  
 Cissna Park  
 Claremont  
 Clay City  
 Clayton  
 Coalton  
 Coatsburg  
 Cobden  
 Coffeen  
 Colchester  
 Coleta  
 Collinsville  
 Colp  
 Columbus  
 Congerville  
 Coulterville  
 Cowden  
 Creal Springs  
 Creston  
 Cuba  
 Cutler  
 Cypress  
 Dahlgren  
 Dallas City  
 Dalzell  
 Dana  
 Danforth  
 Danville  
 Davis Junction  
 Deer Grove  
 De Kalb  
 De Land  
 Depue  
 Detroit  
 Dix  
 Dixmoor  
 Dixon  
 Dongola  
 Donnellson  
 Dorchester  
 Dover  
 Dowell  
 Du Bois  
 Dunfermline  
 Dupon  
 Du Quoin  
 Durand  
 Eagarville  
 Earlville  
 East Alton  
 East Carondelet  
 East Chicago Heights  
 East Dubuque  
 East Gillespie  
 East Moline  
 Easton  
 Eddyville  
 Edgewood  
 Edinburg  
 Edwardsville  
 El Dara  
 Eldorado  
 Eldred  
 Elizabethtown  
 Elkhart  
 Elliott  
 Ellis Grove  
 El Paso  
 Elsie  
 Elvaston  
 Emden  
 Energy  
 Enfield  
 Equality  
 Exeter  
 Fairfield  
 Fairmont City  
 Fairmount  
 Fairview  
 Farina  
 Farmer City  
 Farmington



|                |                |                 |                   |                   |                 |
|----------------|----------------|-----------------|-------------------|-------------------|-----------------|
| Fayetteville   | Jeisseyville   | Mattoon         | Patoka            | Simpson           | Ursa            |
| Feris          | Jerseyville    | Maunie          | Paxton            | Sims              | Valley City     |
| Fidelity       | Jewett         | Maywood         | Pearl             | Smithboro         | Vandalia        |
| Fieldon        | Johnston City  | Media           | Pearl City        | Smithfield        | Venice          |
| Fillmore       | Jonesboro      | Medora          | Pembroke          | Sorento           | Vermilion       |
| Fithian        | Joppa          | Melrose Park    | Percy             | South Beloit      | Vermont         |
| Fiat Rock      | Joy            | Mendon          | Perry             | South Pekin       | Vernon          |
| Flora          | Junction       | Mendota         | Phoenix           | South Roxana      | Versailles      |
| Florence       | Junction City  | Meredosia       | Pierron           | South Wilmington  | Victoria        |
| Forest City    | Kampsville     | Metcalf         | Pittsburg         | Sparta            | Vienna          |
| Forest Park    | Kane           | Metropolis      | Pittsfield        | Spillertown       | Villa Grove     |
| Forreston      | Kangley        | Middletown      | Plainville        | Springerton       | Virden          |
| Franklin Grove | Kansas         | Milford         | Pleasant Hill     | Spring Valley     | Virginia        |
| Freeburg       | Karnak         | Mill Shoals     | Plymouth          | Standard City     | Waggoner        |
| Freeman Spur   | Keenes         | Milton          | Pocahontas        | Staunton          | Walnut          |
| Freeport       | Keensburg      | Minonk          | Polo              | Sterling          | Wamac           |
| Galatia        | Keithsburg     | Modesto         | Pontoosuc         | Steward           | Wapella         |
| Galesburg      | Kell           | Momence         | Poplar Grove      | Stewardson        | Warren          |
| Garrett        | Kewanee        | Monmouth        | Posen             | Stickney          | Warsaw          |
| Gays           | Keyesport      | Montrose        | Potomac           | Stockton          | Washburn        |
| Georgetown     | Kilbourne      | Morrisonville   | Prairie City      | Stonefort         | Washington Park |
| Germantown     | Kincaid        | Mound City      | Prairie Du Rocher | Stone Park        | Wataga          |
| Gillespie      | Kinderhook     | Mound           | Pulaski           | Stonington        | Watson          |
| Girard         | Kingsdon Mines | Mound Station   | Quincy            | Stoy              | Wayne City      |
| Gladstone      | Kinmundy       | Mount Carmel    | Radom             | Strasburg         | Waynesville     |
| Glasford       | Kinsman        | Mount Carroll   | Raleigh           | Strawn            | Weldon          |
| Glasgow        | Kirkwood       | Mount Clare     | Ramsey            | Streator          | Wenona          |
| Godley         | Lacon          | Mount Erie      | Rankin            | Stronghurst       | Wenonah         |
| Golconda       | La Fayette     | Mount Olive     | Ransom            | Sublette          | West Brooklyn   |
| Golden         | La Harpe       | Mount Sterling  | Raritan           | Sullivan          | West City       |
| Golf           | Lakemoor       | Mount Vernon    | Reddick           | Summerfield       | Westfield       |
| Goreville      | La Moille      | Muddy           | Redmon            | Summit            | West Frankfort  |
| Gorham         | Lanark         | Mulberry Grove  | Richview          | Sumner            | West Point      |
| Grafton        | La Prairie     | Muncie          | Ridge Farm        | Sun River Terrace | Westville       |
| Grand Tower    | La Rose        | Murphysboro     | Ridgway           | Table Grove       | Wheeler         |
| Grandview      | La Salle       | Naples          | Ridott            | Tamaroa           | Whiteash        |
| Grantfork      | Latham         | Nashville       | Rio               | Tamms             | White City      |
| Greenfield     | Lawrenceville  | Nason           | Ripley            | Tampico           | White Hall      |
| Greenup        | Leaf River     | National City   | Robbins           | Taylor Springs    | Williamson      |
| Greenville     | Lebanon        | Nauvoo          | Robinson          | Taylorville       | Wilksville      |
| Griggsville    | Leland         | N Bo            | Rochelle          | Tennessee         | Willow Hill     |
| Hainesville    | Lenzburg       | Nelson          | Rockbridge        | Thawville         | Wilmington      |
| Hamburg        | Lerna          | Neoga           | Rockdale          | Thebes            | Wilmington      |
| Hamilton       | Lewistown      | New Athens      | Rock Falls        | Thompsonville     | Wilsonville     |
| Hamletsburg    | Liberty        | New Bedford     | Rockwood          | Tilden            | Winchester      |
| Hammond        | Lincoln        | New Boston      | Roodhouse         | Tilton            | Winslow         |
| Hanaford       | Lisbon         | New Burnside    | Rose Hill         | Time              | Witt            |
| Hanna City     | Litchfield     | New Canton      | Roseville         | Tiskilwa          | Woodhull        |
| Hanover        | Littleton      | New Douglas     | Rosiclare         | Toledo            | Woodlawn        |
| Hardin         | Little York    | New Grand Chain | Rossville         | Tonica            | Wood River      |
| Harmon         | Liverpool      | New Haven       | Roxana            | Topeka            | Worden          |
| Harrisburg     | Livingston     | New Minden      | Royal Lakes       | Tovey             | Wyanet          |
| Hartford       | Loami          | New Salem       | Royalton          | Tower Hill        | Wyoming         |
| Hartsburg      | Loda           | Niantic         | Rushville         | Troy Grove        | Xenia           |
| Harvel         | Lomax          | Noble           | Russellville      | Ullin             | Zeigler         |
| Harvey         | London Mills   | Norris          | Rutland           | Union Hill        |                 |
| Havana         | Long Creek     | Norris City     | Sailor Springs    |                   |                 |
| Henning        | Longview       | North City      | St Anne           | Advance           | Boswell         |
| Herrick        | Loraine        | North Henderson | St Augustine      | Akron             | Bourbon         |
| Herrin         | Louisville     | North Utica     | St David          | Alamo             | Brazil          |
| Hettick        | Lovington      | Oakland         | St Elmo           | Albany            | Brook           |
| Hidalgo        | Ludlow         | Oblong          | St Marie          | Albion            | Brooklyn        |
| Hillsboro      | Macedonia      | Odin            | St Johns          | Alexandria        | Brooksbury      |
| Hillview       | McLeansboro    | Oglesby         | Sandoval          | Alfordsville      | Brownstown      |
| Hindsboro      | Macomb         | Ohio            | San Jose          | Alton             | Bruceville      |
| Hodgkins       | Macon          | Ohlman          | Sauget            | Altona            | Bryant          |
| Homer          | Madison        | Okawville       | Savanna           | Ambia             | Bunker Hill     |
| Hoopeston      | Maestown       | Old Ripley      | Sawyer'sville     | Amboy             | Burnettsville   |
| Hooppole       | Magnolia       | Old Shawneetown | Scales Mound      | Amo               | Butler          |
| Hopedale       | Manchester     | Olmitstead      | Sciota            | Andrews           | Cadiz           |
| Holl           | Manito         | Omaha           | Scottville        | Angola            | Cambridge City  |
| Hume           | Mansfield      | Onarga          | Seaton            | Argos             | Campbellburg    |
| Hurst          | Mampleton      | Oquawka         | Secor             | Ashley            | Cannelburg      |
| Hutsonville    | Maquon         | Oregon          | Sesser            | Aurora            | Cannelton       |
| Ina            | Marengo        | Orient          | Shawneetown       | Austin            | Carbon          |
| Indianola      | Marietta       | Ottawa          | Sheffield         | Avilla            | Carefree        |
| Iola           | Marine         | Otterville      | Sheldon           | Bainbridge        | Carlisle        |
| Iroquois       | Marissa        | Owaneco         | Sherrard          | Bedford           | Carthage        |
| Irving         | Mark           | Palmer          | Shipman           | Bethany           | Castleton       |
| Irvington      | Markham        | Palmyra         | Shumway           | Bicknell          | Cayuga          |
| Iuka           | Marshall       | Pana            | Sibley            | Bloomfield        | Cedar Lake      |
| Ivesdale       | Martinsville   | Papineau        | Sidell            | Blountsville      | Center Point    |
| Jacksonville   | Mascoutah      | Paris           | Sigol             | Bluffton          | Centerville     |
| Jeffersonville | Matherville    | Parkersburg     | Silvis            | Boston            | Chalmers        |

## Indiana



|                      |                |                  |                     |                 |                  |
|----------------------|----------------|------------------|---------------------|-----------------|------------------|
| Charlestown          | Jeffersonville | New Providence   | Shirley             | Blakesburg      | East Peru        |
| Chrisney             | Jonesboro      | New Richmond     | Shoals              | Blockton        | Eddyville        |
| Churubusco           | Jonesville     | Newtown          | Sidney              | Bloomfield      | E Gewood         |
| Clarks Hill          | Judson         | North Grove      | South Whitley       | Bonaparte       | Elberon          |
| Clay City            | Kempton        | North Judson     | Spencer             | Boxholm         | Eldon            |
| Claypool             | Kendallville   | North Liberty    | Spiceland           | Bradgate        | Elgin            |
| Clayton              | Kennard        | North Manchester | Spring Grove        | Brandton        | Elkader          |
| Clinton              | Kewanee        | North Vernon     | Spring Hills        | Bridgewater     | Ellston          |
| Columbus             | Kingman        | Oakland City     | Springport          | Brighton        | Ely              |
| Connersville         | Kingsbury      | Oakton           | Spurgeon            | Bristow         | Emerson          |
| Converse             | Kirklin        | Odon             | Staunton            | Bronson         | Epworth          |
| Corunna              | Knightstown    | Oldenburg        | Stinesville         | Burlington      | Estherville      |
| Corydon              | Knightsville   | Orestes          | Straughn            | Callender       | Evansdale        |
| Country Club Heights | Knox           | Orland           | Sullivan            | Cantril         | Exira            |
| Crandall             | Laconia        | Orleans          | Sulphur Springs     | Carbon          | Exline           |
| Crawfordsville       | La Crosse      | Osgood           | Summitville         | Carpenter       | Farley           |
| Cromwell             | La Fontaine    | Palmyra          | Sunman              | Carson          | Farmington       |
| Crothersville        | Lagro          | Paoli            | Switz City          | Cascade         | Farnhamville     |
| Culver               | Lakeville      | Paragon          | Tell City           | Casey           | Fayette          |
| Dale                 | La Paz         | Parker City      | Tennyson            | Castalia        | Fenton           |
| Dana                 | La Porte       | Patoka           | Thornstown          | Castana         | Ferguson         |
| Decatur              | Laurel         | Patriot          | Tipton              | Center Junction | Floris           |
| Decker               | Lawrenceburg   | Pendleton        | Troy                | Centerville     | Floyd            |
| Denver               | Leavenworth    | Pennville        | Union City          | Central City    | Fonda            |
| Dillsboro            | Lewisville     | Perrysville      | Universal           | Centralia       | Fontanelle       |
| Dublin               | Liberty        | Peru             | Upland              | Chariton        | Fort Dodge       |
| Dugger               | Ligonier       | Pierceton        | Van Buren           | Charles City    | Fort Madison     |
| Dunkirk              | Linton         | Pine Village     | Veederburg          | Charlotte       | Fraser           |
| Dunreith             | Little York    | Plainville       | Vera Cruz           | Charter Oak     | Fredericksburg   |
| Dupont               | Livonia        | Plymouth         | Vernon              | Chataworth      | Fredonia         |
| Earl Park            | Logansport     | Poneto           | Versailles          | Chelsea         | Galt             |
| East Germantown      | Loogootee      | Portland         | Vevay               | Cincinnati      | Galva            |
| Eaton                | Losantville    | Princeton        | Vincennes           | Clare           | Garden Grove     |
| Economy              | Lynhurst       | Ravenswood       | Wabash              | Clarinda        | Garrison         |
| Edinburg             | Lynn           | Redkey           | Walkerton           | Clarksburg      | George           |
| Edwardsport          | Lyons          | Reynolds         | Wallace             | Clayton         | Gillett Grove    |
| Elberfeld            | Macy           | Richmond         | Walton              | Clearfield      | Gilman           |
| Elizabethtown        | Madison        | Ridgeville       | Warren              | C Eghorn        | Gilmore City     |
| Elnora               | Marengo        | Rising Sun       | Washington          | Clemons         | Graettinger      |
| Elwood               | Marion         | Roachdale        | Waterloo            | Clermont        | Graf             |
| English              | Markle         | Roann            | Waveland            | Clinton         | Grafton          |
| Fairmount            | Markleville    | Rochester        | West Baden          | Clutier         | Grand River      |
| Fairview Park        | Marshall       | Rockport         | West College Corner | Coburg          | Grandview        |
| Farmersburg          | Martinsville   | Rocky Ripple     | West Harrison       | Coggon          | Grant            |
| Farmland             | Matthews       | Rome City        | West Lebanon        | Coin            | Gravity          |
| Fort Branch          | Mauckport      | Rosedale         | Westport            | Colesburg       | Gray             |
| Fountain City        | Mecca          | Roseland         | West Terre Haute    | Collins         | Greeley          |
| Fowler               | Medaryville    | Rossville        | Wheatfield          | Columbus City   | Greene           |
| Fowlerton            | Medora         | Royal Center     | Wheatland           | Colwell         | Green Island     |
| Francisco            | Mellott        | Rushville        | Whitestown          | Conway          | Greenville       |
| Frankfort            | Merom          | St Joe           | Whitewater          | Coppock         | Griswold         |
| Franklin             | Michigan City  | St. Paul         | Whiting             | Correctionville | Guernsey         |
| Fredericksburg       | Michigantown   | Salamonia        | Williamsport        | Corydon         | Hamilton         |
| French Lick          | Middletown     | Salem            | Winamac             | Cotter          | Hanlontown       |
| Fulton               | Milan          | Saltito          | Winchester          | Crawfordsville  | Hardy            |
| Garrett              | Milford        | Saratoga         | Windfall City       | Creston         | Harpers Ferry    |
| Gas City             | Millhousen     | Scottsburg       | Wingate             | Cromwell        | Hartley          |
| Gaston               | Milltown       | Selma            | Winona Lake         | Cumberland      | Hartwick         |
| Geneva               | Milton         | Seymour          | Winslow             | Curlew          | Harvey           |
| Georgetown           | Mitchell       | Sharpsville      | Wolcott             | Cylinder        | Hastings         |
| Glenwood             | Modoc          | Shelburn         | Wolcottville        | Dallas          | Havelock         |
| Goodland             | Monon          | Shelbyville      | Worthington         | Danbury         | Haverhill        |
| Gosport              | Monroe City    | Sheridan         |                     | Davis City      | Hawkeye          |
| Grandview            | Montezuma      |                  |                     | Dawson          | Hayesville       |
| Greencastle          | Montgomery     |                  |                     | Dayton          | Hazleton         |
| Greensboro           | Montpelier     |                  |                     | Decatur City    | Hillsboro        |
| Greensburg           | Mooreland      |                  |                     | Deep River      | Holstein         |
| Greensfork           | Moore Hill     |                  |                     | Delaware        | Hopkinton        |
| Griffin              | Mooreville     |                  |                     | Delhi           | Hornick          |
| Hamilton             | Morgantown     |                  |                     | Deloit          | Humeston         |
| Hamlet               | Morocco        |                  |                     | Delta           | Hurstville       |
| Hanover              | Mount Auburn   |                  |                     | Derby           | Ida Grove        |
| Hardinsburg          | Mount Carmel   |                  |                     | Diagonal        | Ionis            |
| Harmony              | Mount Etna     |                  |                     | Dickens         | Jackson Junction |
| Hartford City        | Mount Summit   |                  |                     | Dolliver        | Kamrar           |
| Hartsville           | Mulberry       |                  |                     | Donnan          | Kanawha          |
| Hazleton             | Napoleon       |                  |                     | Dougherty       | Kellerton        |
| Hillsboro            | New Amsterdam  |                  |                     | Dows            | Kensett          |
| Holton               | Newberry       |                  |                     | Drakesville     | Kent             |
| Hope                 | New Castle     |                  |                     | Dumont          | Keokuk           |
| Hudson               | New Market     |                  |                     | Dundee          | Keosauqua        |
| Huntington           | New Middletown |                  |                     | Dunlap          | Keota            |
| Hymera               | New Pekin      |                  |                     | Earling         | Kimballton       |
| Ingalls              | Newpoint       |                  |                     | Earlville       | Kirkville        |
| Jasonville           | Newport        |                  |                     | Early           | Kiron            |

## Iowa

|            |              |
|------------|--------------|
| Afton      | Armstrong    |
| Agency     | Arthur       |
| Ainsworth  | Ashton       |
| Akron      | Athelstan    |
| Albia      | Auburn       |
| Albion     | Audubon      |
| Alexander  | Aurora       |
| Allerton   | Bagley       |
| Allison    | Baldwin      |
| Alta Vista | Bancroft     |
| Alton      | Barnes City  |
| Alvord     | Batavia      |
| Ames       | Beaconsfield |
| Andover    | Bedford      |
| Andrew     | Belle Plaine |
| Anthon     | Bellevue     |
| Aredale    | Benton       |
| Arion      | Bernard      |
| Arispe     | Bevington    |
| Arlington  | Birmingham   |



|                |                  |                  |            |                |              |
|----------------|------------------|------------------|------------|----------------|--------------|
| Knierim        | New Hartford     | Seymour          | Urbana     | Emmett         | Long Island  |
| Lacona         | New Liberty      | Shambaugh        | Ute        | Englewood      | Louisville   |
| Lake View      | New Market       | Shannon City     | Van Wert   | Ensign         | Lucas        |
| Lakota         | New Vienna       | Sharpsburg       | Villisca   | Enterprise     | Luray        |
| Lamoni         | New Virginia     | Shelby           | Vining     | Eskridge       | McCracken    |
| Lamont         | Nora Springs     | Sheldahl         | Vinton     | Eureka         | McGuire      |
| La Motte       | Northboro        | Shellsburg       | Volga      | Everest        | McDonald     |
| Lanesboro      | North English    | Sherrill         | Wadena     | Fairview       | McClouth     |
| Lansing        | North Washington | Sibley           | Walker     | Fall River     | Mahaska      |
| La Porte City  | Norway           | Sigourney        | Wall Lake  | Florence       | Manhattan    |
| Lawler         | Numa             | Sioux Rapids     | Walnut     | Fontana        | Mankato      |
| Leon           | Oakland          | Smithland        | Washta     | Ford           | Mapleton     |
| Le Roy         | Oakville         | Soldier          | Waterville | Formoso        | Marquette    |
| Letts          | Odebolt          | Spillville       | Waucoma    | Fort Scott     | Meade        |
| Lime Springs   | Oelwein          | Spragueville     | Waukon     | Fredonia       | Menlo        |
| Linden         | Olin             | Springbrook      | Wayland    | Fulton         | Milan        |
| L. Neville     | Offle            | Stacyville       | Webster    | Galena         | Miltonvale   |
| Linn Grove     | Onawa            | Stanley          | Weldon     | Garnett        | Minneapolis  |
| Liscomb        | Oneida           | State Center     | Wellsburg  | Gaylord        | Minneola     |
| Littleport     | Onslow           | Steamboat Rock   | Welton     | Geneseo        | Morganville  |
| Little Rock    | Orchard          | Stockport        | Westgate   | Gauda Springs  | Morland      |
| Little Sioux   | Orient           | Stockton         | Westphalia | Glade          | Morrill      |
| Livermore      | Oskaloosa        | Stratford        | West Union | Glasco         | Morrowville  |
| Logan          | Ossian           | Strawberry Point | What Cheer | Goff           | Moscow       |
| Lohrville      | Osterdock        | Sutherland       | Wheatland  | Goodland       | Mound City   |
| Lorimer        | Oto              | Swaledale        | Whittemore | Gorham         | Mound Valley |
| Lost Nation    | Ottumwa          | Swea City        | Whitten    | Gove City      | Mulberry     |
| Lovilia        | Oxford Junction  | Taber            | Willey     | Green          | Mullinville  |
| Lucas          | Panora           | Tama             | Williams   | Greenleaf      | Munden       |
| Lu Verne       | Paton            | Templeton        | Williamson | Grenola        | Muscotah     |
| Luzerne        | Persia           | Tennant          | Winterset  | Grinnell       | Narka        |
| Lytton         | Patterson        | Thayer           | Winthrop   | Gypsum         | Natoma       |
| McClelland     | Pierson          | Thompson         | Wiota      | Haddam         | Neodesha     |
| MacEdonia      | Plain View       | Thor             | Woodburn   | Hamilton       | Neosho Falls |
| McGregor       | Plano            | Thornburg        | Woolstock  | Hamlin         | Netawaka     |
| McIntire       | Pleasanton       | Tingley          | Yale       | Hanover        | New Cambria  |
| Magnolia       | Plover           | Toronto          | Yetter     | Hanston        | Nickerson    |
| Malcom         | Plymouth         | Udell            | Zwingle    | Hardtner       | Niotaze      |
| Manchester     | Pocahontas       | Unionville       |            | Hartford       | Norcaton     |
| Mapleton       | Pomeroy          |                  |            | Harveyville    | Norton       |
| Maquoketa      | Popejoy          |                  |            | Haviland       | Nortonville  |
| Marathon       | Portsmouth       |                  |            | Hazelton       | Oak Hill     |
| Marble Rock    | Postville        |                  |            | Herington      | Oakley       |
| Marcus         | Preston          |                  |            | Herndon        | Offerle      |
| Marengo        | Primghar         |                  |            | Highland       | Olmitz       |
| Marne          | Promise City     |                  |            | Hillsboro      | Olpe         |
| Marquette      | Protivin         |                  |            | Holyrood       | Olsburg      |
| Marshalltown   | Pulaski          |                  |            | Horace         | Onaga        |
| Martinsburg    | Quasqueton       |                  |            | Horton         | Oneida       |
| Mason          | Randallia        |                  |            | Howard         | Osborne      |
| Masonville     | Randolph         |                  |            | Hoxie          | Oswego       |
| Massena        | Rathbun          |                  |            | Hunter         | Ottawa       |
| Maurice        | Redding          |                  |            | Huron          | Palmer       |
| Maxwell        | Redfield         |                  |            | Hutchinson     | Paradise     |
| Maynard        | Renwick          |                  |            | Iola           | Park         |
| Maysville      | Rhodes           |                  |            | Jamestown      | Parker       |
| Medicopolis    | Riceville        |                  |            | Jennings       | Parkerville  |
| Melbourne      | Richland         |                  |            | Jennings       | Parsons      |
| Melcher        | Ricketts         |                  |            | Jewell         | Partridge    |
| Melrose        | Rinard           |                  |            | Junction City  | Paxico       |
| Menlo          | Ringsted         |                  |            | Kanorado       | Peabody      |
| Meservey       | Riverside        |                  |            | Kensington     | Penalosa     |
| Middletown     | Riverton         |                  |            | Kincaid        | Peru         |
| Miles          | Rock Falls       |                  |            | Kingman        | Pittsburg    |
| Millerton      | Rodney           |                  |            | Kinsley        | Plainville   |
| Milton         | Rolfe            |                  |            | Kiowa          | Pleasanton   |
| Minburn        | Rome             |                  |            | Kirwin         | Plevna       |
| Mitchell       | Rose Hill        |                  |            | Labette        | Portis       |
| Mondamin       | Rowan            |                  |            | La Harpe       | Powhattan    |
| Monmouth       | Rowley           |                  |            | Lane           | Prarie View  |
| Montrose       | Rudd             |                  |            | Langdon        | Prescott     |
| Moorhead       | Russell          |                  |            | Latham         | Preston      |
| Moravia        | Ruthven          |                  |            | Lebanon        | Queenemo     |
| Morley         | Ryan             |                  |            | Lenora         | Quinter      |
| Moulton        | Sabula           |                  |            | Leona          | Ramona       |
| Mount Auburn   | Sac              |                  |            | Leonardville   | Randall      |
| Mount Ayr      | St Anthony       |                  |            | Le Roy         | Rantoul      |
| Mount Pleasant | St Donatus       |                  |            | Liberty        | Raymond      |
| Mount Sterling | St Lucas         |                  |            | Liebertal      | Redfield     |
| Murray         | St Olaf          |                  |            | Lincoln Center | Republic     |
| Mystic         | St Paul          |                  |            | Lincolnton     | Reserve      |
| Nashua         | Scarville        |                  |            | Lynn           | Rexford      |
| Nemaha         | Schaller         |                  |            | Linwood        | Richfield    |
| Neola          | Schleswig        |                  |            | Little River   | Richmond     |
| New Albin      | Scranton         |                  |            | Logan          | Rozel        |

## Kansas

|                |                  |
|----------------|------------------|
| Abbyville      | Cawker City      |
| Abilene        | Cedar            |
| Agenda         | Cedar Vale       |
| Agra           | Centralia        |
| Albert         | Chapman          |
| Alden          | Chautauqua       |
| Alexander      | Cherryvale       |
| Aima           | Chetopa          |
| Almena         | Circleville      |
| Altoona        | Clay Center      |
| Anthony        | Clifton          |
| Arcadia        | Climax           |
| Arlington      | Clyde            |
| Arma           | Coffeyville      |
| Atchison       | Collyer          |
| Athol          | Colony           |
| Attica         | Columbus         |
| Axtell         | Concordia        |
| Baldwin City   | Coolidge         |
| Barnard        | Corning          |
| Barnes         | Cottonwood Falls |
| Bartlett       | Council Grove    |
| Bassett        | Courtland        |
| Baxter Springs | Coyville         |
| Bazine         | Cuba             |
| Bellioit       | Culver           |
| Belpre         | Damar            |
| Benedict       | Dearing          |
| Bern           | Deerfield        |
| Bison          | Delphos          |
| Blue Mound     | Denison          |
| Blue Rapids    | Denton           |
| Bluff City     | Dorrance         |
| Bronson        | Downs            |
| Brookville     | Dresden          |
| Brownell       | Dunlap           |
| Buffalo        | Durham           |
| Bunker Hill    | Dwight           |
| Burns          | Edna             |
| Burr Oak       | Elgin            |
| Bushong        | Elk City         |
| Caldwell       | Elk Falls        |
| Caney          | Elsmore          |
| Cassoday       | Elwood           |



Rush Center  
Russell Springs  
Sabatha  
St Francis  
Salanta  
Savonburg  
Scammon  
Scandia  
Schoenchen  
Scott City  
Scranton  
Selden  
Severance  
Severy  
Seward  
Sharon Springs  
Smith Center  
Soldier  
Solomon  
South Haven  
Spearville  
Stafford  
Stark  
Sterling  
Stockton  
Strong City  
Summerfield  
Sun City  
Susank  
Sylvan Grove  
Sylvia  
Syracuse  
Tampa  
Tescott  
Thayer

Tipton  
Toronto  
Treece  
Tribune  
Troy  
Turon  
Tyro  
Utica  
Valley Falls  
Vining  
Virgil  
Wakefield  
Waldo  
Waldron  
Wallace  
Walnut  
Washington  
Waverly  
Weir  
Wetmore  
Wheaton  
White City  
White Cloud  
Whiting  
Willard  
Williamsburg  
Wilson  
Winchester  
Windom  
Winona  
Woodston  
Yates Center  
Zenda  
Zurich

## Kentucky

Adairville  
Albany  
Allen  
Arlington  
Auburn  
Augusta  
Barbourville  
Bardslow  
Bardwell  
Barlow  
Beattyville  
Bedford  
Bellevue  
Benham  
Benton  
Berry  
Blaine  
Bloomfield  
Bonnieville  
Booneville  
Bowling Green  
Bradfordville  
Bremen  
Brodhead  
Broomley  
Brooksville  
Brownsville  
Burgin  
Burnside  
Butler  
Cadiz  
Calhoun  
California  
Camargo  
Campbellsburg  
Campton  
Caneyville  
Carlisle  
Carrollton  
Carrsville  
Caseyville  
Cattlettsburg  
Cave City  
Centertown  
Central  
Clarkson  
Clay  
Clay City

Clinton  
Cloverport  
Columbia  
Columbus  
Concord  
Corbin  
Corinth  
Corydon  
Crab Orchard  
Crofton  
Cumberland  
Cynthiana  
Danville  
Dawson Springs  
Dayton  
Dixon  
Dover  
Drakesboro  
Dry Ridge  
Earlington  
Eddyville  
Edmonton  
Ekron  
Elkhorn City  
Elkton  
Eminence  
Eubank  
Evarts  
Ewing  
Fairfield  
Falmouth  
Ferguson  
Fleming-Neon  
Flemingsburg  
Fordsville  
Foster  
Fountain Run  
Franklin  
Fredonia  
Frenchburg  
Fulton  
Georgetown  
Germanstown  
Ghent  
Glasgow  
Glenoe  
Grand Rivers  
Griatz

Grayson  
Greensburg  
Greenup  
Guthrie  
Hanson  
Hardin  
Hardinsburg  
Harlan  
Harrodsburg  
Hartford  
Hawesville  
Hazard  
Hazel  
Henderson  
Hickman  
Hindman  
Hiseville  
Hodgenville  
Horse Cave  
Hunter's Hollow  
Hustonville  
Hyden  
Irvine  
Irvington  
Island  
Jackson  
Jamestown  
Jeffersonville  
Jenkins  
Junction City  
Kevil  
La Center  
La Payette  
Lancaster  
Latonia Lakes  
Lebanon  
Lebanon Junction  
Leitchfield  
Lewisburg  
Liberty  
Livermore  
Livingston  
Lockport  
London  
Loretto  
Louisa  
Loyall  
Ludlow  
Lynch  
Lynnview  
McHenry  
McKee  
Mackville  
Madisonville  
Manchester  
Marion  
Martin  
Mayfield  
Maysville  
Melbourne  
Middlesborough  
Midway  
Millersburg  
Milton  
Minor Lane Heights  
Monterey  
Monticello  
Morehead  
Morganfield  
Morgantown  
Mortons Gap  
Mount Olivet  
Mount Sterling  
Mount Vernon  
Muldraugh  
Munfordville  
Murray  
Nebo  
Newburg  
New Castle  
New Haven  
Newport  
Nortonville  
Oakland  
Olive Hill

Owenton  
Owingsville  
Paducah  
Paintsville  
Paris  
Park City  
Park Hills  
Parkway Village  
Pembroke  
Perryville  
Pikeville  
Pineville  
Pippa Passes  
Pleasureville  
Powderly  
Prestonburg  
Prestonville  
Princeton  
Providence  
Raceland  
Ravenna  
Richmond  
Rochester  
Rockport  
Russell Springs  
Russellville  
Sacramento  
Sadieville  
St Charles  
Salem  
Salt Lick  
Salyersville  
Sanders  
Sandy Hook  
Sardis  
Science Hill  
Scottsville  
Sebree  
Sharpburg  
Shelbyville  
Shepherdsville  
Silver Grove  
Slaughterville  
Smithfield  
Smithland  
Smiths Grove  
Somerset  
Sonora  
South Carrollton  
South Shore  
Sparta  
Springfield  
Stamping Ground  
Stanford  
Stanton  
Sturgis  
Taylorsville  
Tolllesboro  
Tompkinsville  
Trenton  
Uniontown  
Upton  
Vanceburg  
Vincennes  
Visalia  
Wallins Creek  
Walton  
Warfield  
Warsaw  
Water Valley  
Wayland  
West Buechel  
West Liberty  
West Point  
Wheatcroft  
Wheelwright  
White Plains  
Whitesburg  
Whitesville  
Wickliffe  
Williamsburg  
Williamstown  
Willisburg  
Wilmore  
Winchester

Wingo  
Woodburn  
Woodlawn  
Worthington  
**Louisiana**  
Abbeville  
Abita Springs  
Albany  
Amite City  
Angle  
Arcadia  
Arnaudville  
Ashland  
Athens  
Baldwin  
Basile  
Baskin  
Bastrop  
Belcher  
Bernice  
Bienville  
Bogalusa  
Bonita  
Boyce  
Breaux Bridge  
Bryceland  
Bunkie  
Calvin  
Campti  
Castor  
Chataignier  
Chatham  
Cheneyville  
Choudrant  
Church Point  
Clarence  
Clarks  
Clayton  
Clinton  
Colfax  
Collinston  
Columbia  
Converse  
Cottonport  
Cotton Valley  
Coushatta  
Covington  
Crowley  
Cullen  
Delcambre  
Delhi  
Delta  
De Quincy  
De Ridder  
Dixie Inn  
Dodson  
Donaldsonville  
Dayline  
Dry Prong  
East Hodge  
Elizabeth  
Elton  
Epps  
Erath  
Eros  
Estherwood  
Eunice  
Evergreen  
Farmerville  
Fenton  
Ferriday  
Fisher  
Florien  
Folsom  
Fordoche  
Forest  
Forest Hill  
Franklin  
Franklinton  
French Settlement  
Georgetown  
Gibland  
Gilbert  
Gilliam  
Glenmora

Worthington Hills  
Worthville  
Wurtland  
Golden Meadow  
Goldonna  
Grambling  
Grand Cane  
Grand Coteau  
Grand Isle  
Grayson  
Greensburg  
Grosse Tete  
Gueydan  
Hall Summit  
Hammond  
Harrisonburg  
Haughton  
Haynesville  
Heflin  
Henderson  
Hodge  
Homer  
Hornbeck  
Hosston  
Ida  
Independence  
Iota  
Jackson  
Jamestown  
Jeanerette  
Jennings  
Jonesboro  
Jonesville  
Junction City  
Kaplan  
Keatchie  
Kentwood  
Kilbourne  
Kinder  
Krotz Springs  
Lake Arthur  
Lake Providence  
Lecompte  
Leesville  
Leonville  
Lillie  
Lisbon  
Livingston  
Logansport  
Longstreet  
Loreauville  
Lucky  
Lutcher  
McNary  
Madisonville  
Mamou  
Mangham  
Mansfield  
Mansura  
Many  
Maringouin  
Marion  
Marksville  
Maurice  
Melville  
Mermentau  
Mer Rouge  
Merryville  
Minden  
Montgomery  
Montpelier  
Mooringport  
Moreauville  
Morgan City  
Morganza  
Morse  
Mound  
Mount Lebanon  
Napoleonville  
Natchez  
Natchitoches  
Newellton  
New Roads



North Hodge  
Norwood  
Oakdale  
Oak Grove  
Oak Ridge  
Oberlin  
Oil City  
Opelousas  
Palmetto  
Parks  
Pine Prairie  
Pioneer  
Plain Dealing  
Plaquemine  
Plaucheville  
Pleasant Hill  
Pollock  
Ponchatoula  
Port Barre  
Powhatan  
Provencal  
Rayne  
Rayville  
Reeves  
Richmond  
Richwood  
Ridgecrest  
Ringgold  
Rodeline  
Rodessa  
Rosedale  
Roseland  
St. Francisville  
St. Joseph  
St. Martinville

Saline  
Sarepta  
Shongaloo  
Sibley  
Sicily Island  
Sikes  
Simmesport  
Simpson Sorrento  
South Mansfield  
Spearsville  
Springfield  
Stanley  
Sun  
Sunset  
Tallulah  
Tangipahoa  
Tickfaw  
Tullos  
Turkey Creek  
Urania  
Varnado  
Ville Platte  
Vivian  
Walker  
Washington  
Waterproof  
Welsh  
White Castle  
Wilson  
Winnfield  
Winnaboro  
Wisner  
Woodworth  
Zwolle

**Maine**

Augusta  
Belfast  
Biddeford  
Brewer  
Calais  
Caribou  
Eastport  
Gardiner  
Hallowell  
Old Town  
Presque Isle  
Rockland  
South Portland  
Waterville

**Maryland**

Aberdeen  
Barton  
Berlin  
Berteton  
Brentwood  
Brookeville  
Brookview  
Cambridge  
Capitol Heights  
Cecilton  
Charlestown  
Chesapeake City  
Chestertown  
Church Creek  
Colmar Manor  
Crisfield  
Deer Park  
Delmar  
Denton  
East New Market  
Edmonston  
Eldorado  
Elkton  
Emmitsburg  
Fairmount Heights  
Federalsburg  
Friendsville  
Frostburg  
Galena  
Galestown  
Goldsboro  
Grantsville  
Greensboro  
Hancock  
Havre De Grace  
Hebron  
Henderson  
Hillsboro  
Hurlock  
Kitzmillerville  
Loch Lynn Heights  
Lonaconing  
Mardela Springs  
Marydel  
Midland  
Mountain Lake Park  
Mount Rainier  
North Beach  
North Brentwood  
North East  
Oakland  
Perryville  
Pocomoke City  
Port Deposit  
Princess Anne  
Queen Anne  
Ridgely  
Rock Hall  
St. Michaels  
Salisbury  
Secretary  
Sharpsburg  
Smithsburg  
Snow Hill  
Sudlersville  
Templeville  
Vienna  
Westernport  
Williamsport

**Massachusetts**

Chelsea  
Everett  
Gardner  
North Adams

Revere  
Taunton

**Michigan**

Addison  
Adrian  
Ahmeek  
Akron  
Alanson  
Albion  
Algonac  
Allegan  
Allen  
Alma  
Almont  
Alpena  
Alpha  
Applegate  
Armada  
Ashley  
Athens  
Bad Axe  
Baldwin  
Bancroft  
Bengor  
Baraga  
Barryton  
Bear Lake  
Beaverton  
Belding  
Bellair  
Bellevue  
Benzonia  
Berrien Springs  
Bessemer  
Beulah  
Big Rapids  
Bloomington  
Boyer City  
Boyer Falls  
Breckenridge  
Breedsville  
Britton  
Bronson  
Brooklyn  
Brown City  
Buchanan  
Buckley  
Burlington  
Burr Oak  
Burton  
Byron  
Cadillac  
Calumet  
Camden  
Capac  
Carleton  
Carney  
Caro  
Carson City  
Carsonville  
Caseville  
Casnovia  
Caspian  
Cassopolis  
Cedar Springs  
Cement City  
Central Lake  
Centreville  
Charlevoix  
Charlotte  
Cheboygan  
Chesaning  
Clare  
Clarksville  
Clayton  
Clifford  
Clio  
Coldwater  
Coleman  
Coloma  
Colon  
Columbiaville  
Concord  
Constantine  
Coopersville  
Copemish  
Copper City  
Corunna  
Croswell  
Crystal Falls  
Custer  
Daggett  
Dansville  
Decatur  
Deckerville  
Deerfield  
De Tour Village  
Dowagiac  
Dryden  
Durand  
Eagle  
East Jordan  
East Lake  
East Tawas  
Eaton Rapids  
Eau Claire  
Ecorse  
Edmore  
Edwardsburg  
Elberta  
Elkton  
Ellsworth  
Elsie  
Emmett  
Empire  
Escanaba  
Essexville  
Estral Beach  
Ewart  
Farwell  
Fennville  
Fenton  
Ferndale  
Fife Lake  
Forestville  
Fountain  
Fowler  
Fowlerville  
Frankfort  
Freeport  
Free Soil  
Fremont  
Fruitport  
Gaastra  
Gagetown  
Gaines  
Galesburg  
Galien  
Garden  
Gaylord  
Gladstone  
Gladwin  
Gobles  
Grand Haven  
Grant  
Grayling  
Greenville  
Hamtramck  
Hancock  
Hanover  
Harbor Beach  
Harbor Springs  
Harrietta  
Harrison  
Harrisville  
Hart  
Hartford

Hastings  
Hazel Park  
Hersey  
Hesperia  
Highland Park  
Hillman  
Hillsdale  
Holly  
Homer  
Honor  
Hopkins  
Houghton  
Howard City  
Howell  
Hubbardston  
Hudson  
Imley City  
Inkster  
Ionia  
Iron Mountain  
Iron River  
Ironwood  
Ishpeming  
Ithaca  
Jonesville  
Kaleva  
Kalkaska  
Keego Harbor  
Kent City  
Kinde  
Kingsford  
Kingsley  
Kingston  
Lainburg  
Lake Linden  
Lake Odessa  
Lakeview  
Lakewood Club  
Lansie  
Lapeer  
Laurium  
Lawrence  
Lawton  
Lennon  
Le Roy  
Lexington  
Lincoln  
Linden  
Litchfield  
Lowell  
Ludington  
Luna Pier  
Luther  
Lyons  
McBain  
McBride  
Mackinac Island  
Mackinaw City  
Mancelona  
Manchester  
Manistee  
Manistique  
Manton  
Maple Rapids  
Marcellus  
Marine City  
Marion  
Marlette  
Marquette  
Marshall  
Martin  
Mattawan  
Mayville  
Mecosta  
Melvin  
Melvindale  
Memphis  
Mendon  
Menominee  
Merrill  
Mesick  
Metamora  
Middleville  
Millersburg  
Millington  
Minden City  
Monroe  
Montague  
Montrose  
Morenci  
Morley  
Morrice  
Mount Clemens  
Mount Morris  
Mount Pleasant  
Muir  
Mulliken  
Munising  
Nashville  
Negaunee  
Newaygo  
Newberry  
New Buffalo  
New Era  
New Haven  
New Lothrop  
Niles  
North Adams  
North Branch  
Northport  
Norway  
Oakley  
Oak Park  
Olivet  
Omer  
Onaway  
Onkama  
Onsted  
Ontonagon  
Orionville  
Otisville  
Otsego  
Otter Lake  
Ovid  
Owendale  
Owosso  
Parma  
Paw Paw  
Peck  
Pellston  
Perrinton  
Perry  
Petersburg  
Petoskey  
Pewamo  
Pierson  
Pigeon  
Pinckney  
Pinconning  
Port Austin  
Port Hope  
Portland  
Port Sanilac  
Posen  
Pottsville  
Powers  
Prescott  
Quincy  
Ravenna  
Reading  
Reed City  
Reese  
Richmond  
River Rouge  
Roger City  
Romeo  
Roscommon  
Rosebush  
Rose City  
Rothbury  
St. Charles  
St. Clair  
St. Ignace  
St. Joseph  
St. Louis  
Sand Lake  
Sandusky  
Saranac  
Sault Sainte Marie  
Scottville



|                |              |               |                     |                 |                   |
|----------------|--------------|---------------|---------------------|-----------------|-------------------|
| Sebewaing      | Twining      | Delavan       | Hector              | Mahnomen        | Ronneby           |
| Shelby         | Ubyly        | Delhi         | Heidelberg          | Manhattan Beach | Roscoe            |
| Sheridan       | Union City   | Denham        | Henderson           | Mankato         | Roseau            |
| Sherwood       | Unionville   | Dennison      | Hendricks           | Mapleview       | Rose Creek        |
| South Haven    | Vandalia     | Dent          | Hendrum             | Marble          | Royalton          |
| South Range    | Vanderbilt   | Detroit Lakes | Henning             | Marietta        | Rushford Village  |
| South Rockwood | Vassar       | Donaldson     | Hearlette           | Maynard         | Ruthon            |
| Sparta         | Vermontville | Dumont        | Herman              | Meadowlands     | Rutledge          |
| Spring Lake    | Vernon       | Dundee        | Hermantown          | Meire Grove     | Sacred Heart      |
| Springport     | Wakefield    | Dunnell       | Heron Lake          | Menahga         | St Anthony        |
| Stambaugh      | Waldron      | Eagle Bend    | Hewitt              | Mendota         | St Hilaire        |
| Standish       | Walkerville  | Easton        | Hibbing             | Mentor          | St Leo            |
| Stanton        | Watervliet   | Echo          | Hill City           | Middle River    | St Martin         |
| Stanwood       | Wayland      | Eden Valley   | Hillman             | Milaca          | St Rosa           |
| Stephenson     | Wayne        | Edgerton      | Hilltop             | Milan           | St Vincent        |
| Sterling       | West Branch  | Effie         | Hinckley            | Millerville     | Sandstone         |
| Sturgis        | Westphalia   | Elbow Lake    | Hitterdal           | Millville       | Sauk Centre       |
| Sunfield       | White Cloud  | Elizabeth     | Hoffman             | Milona          | Seaforth          |
| Suttons Bay    | Whitehall    | Ellsworth     | Hokah               | Minnesota City  | Sebeka            |
| Tawas City     | White Pigeon | Elmdale       | Holland             | Minnesota Lake  | Sedan             |
| Tecumseh       | Whitemore    | Elmore        | Holloway            | Mizpah          | Shafer            |
| Tekonsha       | Wolverine    | Elrosa        | Holt                | Montevideo      | Shelly            |
| Thompsonville  | Woodland     | Ely           | Houston             | Montgomery      | Shevlin           |
| Three Oaks     | Wyandotte    | Elysian       | Humboldt            | Moose Lake      | Slayton           |
| Three Rivers   | Yale         | Emily         | Ihlen               | Mora            | Sleepy Eye        |
| Traverse       | Ypsilanti    | Emmons        | International Falls | Morgan          | Sobieski          |
| Turner         | Zeeland      | Erhard        | Iron Junction       | Morris          | Springfield       |
| Tustia         | Zilwaukee    | Erskine       | Ironton             | Morristown      | Spring Grove      |
|                |              | Evan          | Isanti              | Morton          | Spring Hill       |
|                |              | Evansville    | Isle                | Motley          | Spring Valley     |
|                |              | Eveleth       | Ivanhoe             | Murdoch         | Squaw Lake        |
|                |              | Brewster      | Jackson             | Nashua          | Staples           |
|                |              | Bricelyn      | Jasper              | Nashua          | Starbuck          |
|                |              | Brook Park    | Jeffers             | Nassau          | Steen             |
|                |              | Brooks        | Jenkins             | Nelson          | Stephen           |
|                |              | Brookston     | Johnson             | Nevis           | Stewart           |
|                |              | Brooten       | Karlstad            | New Auburn      | Storden           |
|                |              | Browerville   | Kasota              | Newfolden       | Strandquist       |
|                |              | Brownsdale    | Keewatin            | New Germany     | Strathcona        |
|                |              | Browns Valley | Kelliher            | New Munich      | Sturgeon Lake     |
|                |              | Bruno         | Kellogg             | New Prague      | Sunburg           |
|                |              | Buckman       | Kennedy             | New Trier       | Swanville         |
|                |              | Buffalo Lake  | Kenneth             | New York Mills  | Tamarack          |
|                |              | Buhl          | Kensington          | Nimrod          | Taopi             |
|                |              | Butterfield   | Kent                | Norcross        | Taunton           |
|                |              | Callaway      | Kenyon              | Northfield      | Tenney            |
|                |              | Calumet       | Kerrick             | Northome        | Tenstrike         |
|                |              | Canby         | Kettle River        | Odessa          | Thief River Falls |
|                |              | Canton        | Kilkenny            | Ogema           | Thomson           |
|                |              | Carlton       | Kimball             | Ogilvie         | Tower             |
|                |              | Cass Lake     | Kingston            | Oklee           | Tracy             |
|                |              | Cedar Mills   | Lafayette           | Onamia          | Trail             |
|                |              | Chandler      | Lake Benton         | Orr             | Trimont           |
|                |              | Chisholm      | Lake Brownson       | Ortonville      | Trommald          |
|                |              | Clara City    | Lake Park           | Osakis          | Turtle River      |
|                |              | Clarissa      | Lake Wilson         | Oslo            | Twin Lakes        |
|                |              | Clearbrook    | Lamberton           | Ottertail       | Twin Valley       |
|                |              | Clear Lake    | Lancaster           | Palisade        | Two Harbors       |
|                |              | Clements      | Lanesboro           | Parkers         | Tyler             |
|                |              | Cleveland     | La Porte            | Frairie         | Ulen              |
|                |              | Climax        | La Salle            | Park Rapids     | Underwood         |
|                |              | Clitherall    | Lastup              | Pease           | Upsala            |
|                |              | Clontarf      | Le Center           | Pelican Rapids  | Utica             |
|                |              | Cloquet       | Lehigh              | Pemberton       | Vergas            |
|                |              | Cobden        | Leonard             | Pequot Lakes    | Verndale          |
|                |              | Coleraine     | Lewisville          | Perham          | Vernon Center     |
|                |              | Comfrey       | Lismore             | Perley          | Vesta             |
|                |              | Conger        | Litchfield          | Peterson        | Villard           |
|                |              | Cook          | Little Falls        | Pierz           | Vining            |
|                |              | Correll       | Littlefork          | Pillager        | Virginia          |
|                |              | Cosmos        | Long Beach          | Pine City       | Wabasha           |
|                |              | Cromwell      | Longville           | Pine River      | Wabasso           |
|                |              | Crookston     | Lonsdale            | Pipestone       | Wadena            |
|                |              | Crosby        | Louisburg           | Plummer         | Wahkon            |
|                |              | Crosslake     | Lowry               | Porter          | Waldorf           |
|                |              | Currie        | Lucan               | Princeton       | Walker            |
|                |              | Cyrus         | Luverne             | Quamba          | Walnut Grove      |
|                |              | Dalton        | Lyle                | Randall         | Walters           |
|                |              | Danvers       | Mabel               | Randolph        | Waltham           |
|                |              | Darwin        | McGee               | Ranier          | Wanda             |
|                |              | Dassel        | McGregor            | Red Lake Falls  | Warba             |
|                |              | Deer Creek    | McIntosh            | Regal           | Warren            |
|                |              | Deer River    | McKinley            | Remer           | Warroad           |
|                |              | Deepwood      | Madison             | Revere          | Waterville        |
|                |              | De Graft      | Magnolia            | Richville       | Watkins           |

## Minnesota



Watson  
Waubun  
Waverly  
Wells  
Westbrook  
Westport  
West Union  
Whalan  
Wheaton  
Williams  
Willow River

Wilmon  
Winger  
Winnebago  
Winona  
Winthrop  
Wolf Lake  
Wright  
Wykoff  
Zemple  
Zumbro Falls

## Mississippi

Aberdeen  
Ackerman  
Alligator  
Amory  
Anguilla  
Arcola  
Artesia  
Ashland  
Baldwyn  
Bassfield  
Batesville  
Bay St Louis  
Bay Springs  
Beaumont  
Beauregard  
Belzoni  
Benoit  
Benton  
Benton  
Beulah  
Big Creek  
Blue Mountain  
Blue Springs  
Bolton  
Booneville  
Boyle  
Braxton  
Brookhaven  
Brooksville  
Bruce  
Bude  
Burnsville  
Byhalia  
Caledonia  
Calhoun City  
Canton  
Carrollton  
Cary  
Centerville  
Charleston  
Chunky  
Clarksdale  
Cleveland  
Coahoma  
Coffeeville  
Coldwater  
Collins  
Columbia  
Columbus  
Como  
Corinth  
Courtland  
Crawford  
Crenshaw  
Crosby  
Crowder  
Cruger  
Crystal Springs  
Decatur  
De Kalb  
Derma  
D'Lo  
Doddsville  
Drew  
Duck Hill  
Dumas  
Duncan  
Durant  
Ecu  
Eden  
Edwards  
Ellisville  
Enterprise

Ethel  
Eupora  
Falcon  
Falkner  
Fayette  
Flora  
Forest  
French Camp  
Friars Point  
Fulton  
Gattman  
Georgetown  
Glendora  
Gloster  
Golden  
Goodman  
Greenville  
Greenwood  
Grenada  
Gunnison  
Guntown  
Hatley  
Hattiesburg  
Hazlhurst  
Heidelberg  
Hickory  
Hickory Flat  
Hollandale  
Holly Springs  
Houston  
Indianola  
Inverness  
Isola  
Ita Bena  
Jonestown  
Jumpertown  
Kilmichael  
Kosciusko  
Kossuth  
Lake  
Lambert  
Laurel  
Leakesville  
Leland  
Lena  
Lexington  
Liberty  
Loutin  
Louise  
Louisville  
Lucedale  
Lula  
Lumberton  
Lyon  
Maben  
McComb  
McCool  
McLain  
Macon  
Magee  
Magnolia  
Marion  
Marks  
Mathiston  
Mayersville  
Memphis  
Mendenhall  
Meridian  
Merigold  
Metcalfe  
Mize  
Montrose

Moorhead  
Morgan City  
Mound Bayou  
Mount Olive  
Myrtle  
Natchez  
Nettleton  
New Albany  
New Augusta  
New Houlka  
Newport  
Newton  
North Carrollton  
Noxapater  
Oakland  
Okolona  
Osyka  
Oxford  
Pace  
Pachuta  
Paden  
Pass Christian  
Philadelphia  
Picayune  
Pickens  
Pittsboro  
Plantersville  
Polkville  
Pontotoc  
Pope  
Poplarville  
Port Gibson  
Potts Camp  
Prentiss  
Puckett  
Quitman  
Raleigh  
Renova  
Richton  
Rienzi  
Ripley  
Rolling Fork  
Rosedale  
Roxie  
Ruleville  
Sallis  
Saltillo  
Sardis  
Satartia  
Schlater  
Scooba  
Seminary  
Senatobia

Adrian  
Albany  
Aldrich  
Alexandria  
Allendale  
Altamont  
Alton  
Amazonia  
Amity  
Amoret  
Amsterdam  
Anderson  
Annada  
Annapolis  
Aniston  
Appleton City  
Arbyrd  
Arcadia  
Arcola  
Argyle  
Armstrong  
Arrow Rock  
Asbury  
Ash Grove  
Atlanta  
Augusta  
Aurora  
Ava  
Aville  
Bagnell

Shannon  
Shaw  
Shelby  
Sherman  
Shubuta  
Shuqualak  
Sidon  
Silver City  
Silver Creek  
Slate Spring  
Sledge  
Starkville  
State Line  
Stonewall  
Sturgis  
Summit  
Sumner  
Sunflower  
Sylarena  
Taylorsville  
Tchula  
Terry  
Thaxton  
Tillatoba  
Tishomingo  
Toccopola  
Tremont  
Tunica  
Tupelo  
Tutwiler  
Tyertown  
Union  
Utica  
Vaiden  
Vardaman  
Verona  
Vicksburg  
Walnut  
Walnut Grove  
Water Valley  
Waveland  
Waynesboro  
Webb  
Weir  
Wesson  
West  
West Point  
Wiggins  
Winona  
Winstonville  
Woodland  
Woodville  
Yazoo City

## Missouri

Bakersfield  
Baldwin Park  
Baring  
Barnard  
Barnett  
Bates City  
Bell City  
Belle  
Bellflower  
Benton  
Benton City  
Berger  
Bernie  
Bethany  
Beverly Hills  
Bevier  
Bigelow  
Billings  
Birch Tree  
Birmingham  
Bismarck  
Blackwater  
Bland  
Blodgett  
Bloomfield  
Bloomsdale  
Blue Eye  
Blythedale  
Bogard  
Bolckow

Bolivar  
Bonne Terre  
Boonville  
Bosworth  
Bourbon  
Bowling Green  
Bragg City  
Brandsville  
Brashear  
Braymer  
Breckenridge  
Brimson  
Bronaugh  
Brookfield  
Brookline  
Browning  
Brownington  
Bumley  
Brunswick  
Bucklin  
Buffalo  
Bunceton  
Bunker  
Burgess  
Burlington Junction  
Butler  
Cabool  
Cainsville  
Cairo  
Caledonia  
Calhoun  
California  
Callao  
Camden  
Campbell  
Canalou  
Canton  
Cape Girardeau  
Cardwell  
Carrollton  
Carterville  
Carthage  
Caruthersville  
Carytown  
Cassville  
Catron  
Cave  
Cedar  
Center  
Centertown  
Centerville  
Centerville  
Centralia  
Chaffee  
Chamois  
Charlack  
Charleston  
Chilhowee  
Chillicothe  
Clarence  
Clark  
Clarksburg  
Clarksville  
Clarkton  
Clever  
Climax Springs  
Clinton  
Clyde  
Cobalt City  
Coffey  
Cole Camp  
Collins  
Commerce  
Conway  
Cooter  
Corder  
Corning  
Cosby  
Cowgill  
Craig  
Crane  
Creighton  
Crocker  
Crown Timbers  
Crystal City

Cuba  
Curryville  
Dalton  
Darlington  
Deepwater  
Deerfield  
Delta  
Dennis Acres  
Denver  
Des Arc  
Desloge  
De Soto  
De Witt  
Dexter  
Diamond  
D Ehlstadt  
Diggins  
Dixon  
Doniphan  
Downing  
Dudley  
Eagleville  
East Lynne  
East Prairie  
Edgar Springs  
Edina  
Eldon  
El Dorado Springs  
Ellington  
Ellisnore  
Elmer  
Elmo  
Elsberry  
Elvins  
Eminence  
Eolia  
Essex  
Esther  
Ethel  
Eugene  
Everton  
Ewing  
Excelsior Springs  
Exeter  
Fairfax  
Fair Grove  
Fair Play  
Fairview  
Farber  
Farmington  
Fayette  
Festus  
Fillmore  
Fisk  
Flat River  
Fleming  
Flemington  
Flordell Hills  
Foley  
Fordland  
Foster  
Frankford  
Franklin  
Federicktown  
Freeburg  
Freistatt  
Frohna  
Fulton  
Gainesville  
Galena  
Gallatin  
Galt  
Garden City  
Gasconade  
Gentry  
Gerald  
Gerster  
Gibbs  
Gideon  
Gilliam  
Gilman City  
Glasgow  
Glenallen  
Glenwood  
Golden City



|                    |               |                |                  |                 |                |
|--------------------|---------------|----------------|------------------|-----------------|----------------|
| Goodman            | Lancaster     | Moundville     | Rich Hill        | Unity Village   | Wayland        |
| Graham             | La Plata      | Mountain Grove | Richland         | University City | Waynesville    |
| Granby             | Laredo        | Mountain View  | Ridgeway         | Uplands Park    | Weaubleau      |
| Grandin            | La Russell    | Mount Leonard  | Risco            | Urbana          | Webb City      |
| Grand Pass         | Latour        | Mount Moriah   | Ritchey          | Valley Park     | Wellston       |
| Granger            | Leadington    | Mount Vernon   | Rivermines       | Van Buren       | Wellsville     |
| Grant City         | Leadwood      | Naylor         | Riverview        | Vandalia        | Westphalia     |
| Greencastle        | Leasburg      | Neck City      | Rochepoint       | Vanduser        | West Plains    |
| Green City         | Lebanon       | Neelyville     | Rock Port        | Velda Village   | Wheatland      |
| Greenfield         | Leeton        | Nelson         | Rockville        | Verona          | Wheaton        |
| Green Ridge        | Leonard       | Neosho         | Rogersville      | Versailles      | Wheeling       |
| Greentop           | Leslie        | Nevada         | Rolla            | Vienna          | Whiteside      |
| Greenville         | Lewistown     | Newark         | Roscoe           | Vinita Park     | Williamsville  |
| Guilford           | Lexington     | Newburg        | Rosendale        | Vista           | Willow Springs |
| Hale               | Liberal       | New Cambria    | Rush Hill        | Waco            | Wilson City    |
| Halfway            | Licking       | New Florence   | Rushville        | Wakenda         | Windsor        |
| Hamilton           | Lilbourn      | New Hampton    | Russellville     | Walker          | Winfield       |
| Hannibal           | Lincoln       | New Haven      | Rutledge         | Walnut Grove    | Winona         |
| Hardin             | Linn          | New London     | St Clair         | Wardell         | Winston        |
| Harris             | Linn Creek    | New Madrid     | St Cloud         | Warrensburg     | Woodridge      |
| Hartsburg          | Linneus       | New Melle      | Ste Genevieve    | Warrenton       | Worth          |
| Hartville          | Lithium       | Newtonia       | St Elizabeth     | Warsaw          | Worthington    |
| Hawk Point         | Livonia       | Newtown        | St James         | Washburn        | Wyaconda       |
| Hayti              | Lock Spring   | Niangua        | St John          | Watson          | Wyatt          |
| Hayti Heights      | Lockwood      | Noel           | St Mary's        | Waverly         | Zalma          |
| Hayward            | Longtown      | Norborne       | Salem            |                 |                |
| Haywood City       | Louisburg     | Normandy       | Salisbury        |                 |                |
| Henrietta          | Louisiana     | North Lilbourn | Sarcoixie        |                 |                |
| Hermitage          | Lowry City    | Northmoor      | Schell City      |                 |                |
| Higbee             | Lucerne       | North Wardell  | Scott City       |                 |                |
| High Hill          | Ludlow        | Norwood        | Sedalia          |                 |                |
| Hillsdale          | Lupus         | Novinger       | Sedgewickville   |                 |                |
| Hoberg             | Luray         | Oakland Park   | Seligman         |                 |                |
| Holcomb            | Lutesville    | Oak Ridge      | Senath           |                 |                |
| Holden             | McFall        | Olean          | Seneca           |                 |                |
| Holland            | McKittrick    | Oran           | Seymour          |                 |                |
| Holliday           | Macks Creek   | Oronogo        | Shelbina         |                 |                |
| Holt               | Macon         | Osborn         | Shelbyville      |                 |                |
| Homestown          | Madison       | Osceola        | Sheldon          |                 |                |
| Hopkins            | Malden        | Osgood         | Sheridan         |                 |                |
| Hornersville       | Malta Bend    | Owensville     | Sikeston         |                 |                |
| Houston            | Mansfield     | Pagedale       | Silex            |                 |                |
| Houstonia          | Maplewood     | Palmyra        | Skidmore         |                 |                |
| Howardville        | Marble Hill   | Paris          | Slater           |                 |                |
| Humansville        | Marceline     | Parma          | South Gifford    |                 |                |
| Hume               | Marionville   | Parnell        | South Gorin      |                 |                |
| Humphrey's         | Marquand      | Pascola        | South Greenfield |                 |                |
| Hunnewell          | Marshfield    | Pattonsburg    | South Lineville  |                 |                |
| Huntsville         | Marston       | Paynesville    | South West City  |                 |                |
| Hurdland           | Marthasville  | Pennermon      | Sparta           |                 |                |
| Harley             | Martinsburg   | Perry          | Spickardville    |                 |                |
| Iberia             | Maryville     | Perryville     | Stanberry        |                 |                |
| Ionia              | Matthews      | Phillipsburg   | Stark City       |                 |                |
| Irondale           | Maysville     | Pickering      | Steele           |                 |                |
| Iron Gates         | Mayview       | Piedmont       | Steelville       |                 |                |
| Iron Mountain Lake | Meadville     | Pierce City    | Stella           |                 |                |
| Ironton            | Memphis       | Pilot Knob     | Stewartville     |                 |                |
| Jacksonville       | Mendon        | Pine Lawn      | Stockton         |                 |                |
| Jameson            | Mercer        | Pineville      | Stotesbury       |                 |                |
| Jamesport          | Meta          | Pleasant Hill  | Stotts City      |                 |                |
| Jamestown          | Metz          | Pocahontas     | Sioutland        |                 |                |
| Jerico Springs     | Mexico        | Pollock        | Stoutsville      |                 |                |
| Jonesburg          | Miami         | Popular Bluff  | Stover           |                 |                |
| Junction City      | Middletown    | Portageville   | Strasburg        |                 |                |
| Kahoka             | Midway        | Potosi         | Sugar Creek      |                 |                |
| Kelso              | Milan         | Powersville    | Sullivan         |                 |                |
| Kennett            | Millard       | Prairie Home   | Summersville     |                 |                |
| Keytesville        | Miller        | Prathersville  | Sumner           |                 |                |
| King City          | Mill Springs  | Preston        | Sunrise Beach    |                 |                |
| Kingston           | Mindenmines   | Princeton      | Sweet Springs    |                 |                |
| Kinloch            | Miner         | Purcell        | Syracuse         |                 |                |
| Kirksville         | Mineral Point | Purdin         | Tallapoosa       |                 |                |
| Knob Noster        | Missouri City | Purdy          | Taneyville       |                 |                |
| Knox City          | Moberly       | Puxico         | Tarkio           |                 |                |
| Koshkonong         | Monett        | Queen City     | Thayer           |                 |                |
| La Belle           | Monroe City   | Quitman        | Theodosia        |                 |                |
| L Clede            | Monticello    | Quin           | Tipton           |                 |                |
| Laddonia           | Montrose      | Raymondville   | Trenton          |                 |                |
| La Due             | Mooreville    | Rayville       | Triplett         |                 |                |
| La Grange          | Morehouse     | Rea            | Troy             |                 |                |
| Lake Annetta       | Morley        | Reeds          | Truesdail        |                 |                |
| Lakeview           | Morraison     | Reeds Spring   | Tuscumbia        |                 |                |
| Lamar              | Mosby         | Renick         | Union            |                 |                |
| La Monte           | Moscow Mills  | Revere         | Union Star       |                 |                |
| Lanagan            | Mound City    | Richards       | Unionville       |                 |                |

## Montana

|                     |                     |
|---------------------|---------------------|
| Alberton            | Kevin               |
| Anaconda-Deer Lodge | Lewistown           |
| Big Sandy           | Libby               |
| Boulder             | Lima                |
| Bozeman             | Livingston          |
| Bridger             | Lodge Grass         |
| Broadus             | Meistone            |
| Broadview           | Missoula            |
| Brockton            | Neihart             |
| Browning            | Outlook             |
| Butte-Silver Bow    | Phillipsburg        |
| Cascade             | Pinesdale           |
| Chinook             | Plains              |
| Cloteau             | Plentywood          |
| Circle              | Plevna              |
| Clyde Park          | Polson              |
| Columbus            | Poplar              |
| Cut Bank            | Red Lodge           |
| Darby               | Rexford             |
| Deer Lodge          | Richey              |
| Denton              | Ronan               |
| Dillon              | Ryegate             |
| Drummond            | Saco                |
| Dutton              | St Ignatius         |
| Ekalaka             | Scobey              |
| Eureka              | Shelby              |
| Flaxville           | Sheridan            |
| Forsyth             | Stevensville        |
| Fort Benton         | Sunburst            |
| Fromberg            | Superior            |
| Geraldine           | Terry               |
| Glasgow             | Thompson Falls      |
| Grass Range         | Three Forks         |
| Hamilton            | Troy                |
| Hardin              | Twin Bridges        |
| Harlem              | Walkerville         |
| Harlowton           | Westby              |
| Hingham             | Whitehall           |
| Hobson              | White Sulphur Sprgs |
| Hot Springs         | Wibaux              |
| Ismay               | Winifred            |
| Joliet              | Winnett             |
| Jordan              | Wolf Point          |
| Kalispell           |                     |

## Nebraska

|            |           |
|------------|-----------|
| Abie       | Arnold    |
| Adams      | Arthur    |
| Ainsworth  | Ashton    |
| Albion     | Atlanta   |
| Alexandria | Auburn    |
| Alma       | Ayr       |
| Amherst    | Bancroft  |
| Anselmo    | Barada    |
| Ansley     | Barneston |
| Arapahoe   | Bessett   |
| Arcadia    | Bayard    |



|                 |               |                 |              |                  |               |
|-----------------|---------------|-----------------|--------------|------------------|---------------|
| Beaver City     | Grafton       | Oconto          | Silver Creek | Phillipsburg     | Swedesboro    |
| Beaver Crossing | Graindon      | Odell           | Snyder       | Plainfield       | Tuckerton     |
| Belden          | Grant         | Ohio            | South Bend   | Pleasantville    | Union Beach   |
| Belgrade        | Greely Center | Ohiowa          | Spalding     | Prospect Park    | West Cape May |
| Belvidere       | Gresham       | Orchard         | Spencer      | Runnemede        | West New York |
| Benkelman       | Guide Rock    | Orleans         | Springfield  | Salem            | Westville     |
| Bennington      | Hadar         | Osceola         | Stamford     | Seaside Heights  | West Wildwood |
| Berwyn          | Hardy         | Oshkosh         | Staplehurst  | Shiloh           | Wildwood      |
| Bladen          | Harrison      | Osmond          | Stapleton    | South Amboy      | Woodbine      |
| Bloomfield      | Harvard       | Oxford          | Steinauer    | South Toms River | Woodlynne     |
| Bloomington     | Hastings      | Page            | Sterling     |                  |               |
| Blue Hill       | Hayes Center  | Palisade        | Stockham     |                  |               |
| Blue Springs    | Hay Springs   | Palmer          | Stockville   |                  |               |
| Boys Town       | Heartwell     | Palmyra         | Strang       | Alamogordo       | Lake Arthur   |
| Brady           | Hendley       | Pawnee City     | Stratton     | Artesia          | Las Vegas     |
| Bruinard        | Henry         | Paxton          | Stuart       | Aztec            | Lordsburg     |
| Brewster        | Herman        | Pender          | Superior     | Bayard           | Los Lunas     |
| Bristow         | Herahey       | Peru            | Surprise     | Belen            | Loving        |
| Broadwater      | Holbrook      | Petersburg      | Sutton       | Bernalillo       | Magdalena     |
| Brock           | Holstein      | Pilger          | Swanton      | Bloomfield       | Maxwell       |
| Brownville      | Howells       | Plainview       | Table Rock   | Capitan          | Melrose       |
| Bruning         | Hubbard       | Platte Center   | Tarnov       | Carlsbad         | Mesilla       |
| Brunswick       | Hubble        | Plattsmouth     | Tecumseh     | Carriazo         | Milan         |
| Burchard        | Humboldt      | Polk            | Tekamah      | Causey           | Mosquero      |
| Burr            | Humphrey      | Potter          | Terrytown    | Central          | Mountainair   |
| Burwell         | Huntley       | Prague          | Thayer       | Chama            | Pecos         |
| Bushnell        | Hyannis       | Primrose        | Thurston     | Cimarron         | Portales      |
| Butte           | Imperial      | Prosser         | Tilden       | Clayton          | Questa        |
| Callaway        | Indianola     | Ragan           | Ulysses      | Columbus         | Raton         |
| Campbell        | Inman         | Randolph        | Upland       | Corona           | Reserve       |
| Carlton         | Ithaca        | Ravenna         | Venango      | Deming           | Roy           |
| Carroll         | Jackson       | Red Cloud       | Verdel       | Des Moines       | San Jon       |
| Cedar Rapids    | Johnson       | Republican City | Verdigre     | Dexter           | Santa Rosa    |
| Chadron         | Johnstown     | Reynolds        | Verdon       | Eagle Nest       | San Ysidro    |
| Chambers        | Julian        | Richland        | Virginia     | Elida            | Silver City   |
| Chappell        | Kimball       | Riverton        | Waco         | Encino           | Socorro       |
| Chester         | Laurel        | Rockville       | Wakefield    | Espanola         | Springer      |
| Clarks          | Lawrence      | Rogers          | Wallace      | Estancia         | Sunlake Park  |
| Clarkson        | Lebanon       | Rosalie         | Walsh        | Floyd            | Tads          |
| Clay Center     | Leigh         | Royal           | Waterbury    | Folsom           | Tatum         |
| Clearwater      | Lewellen      | Rulo            | Wauneta      | Fort Sumner      | Tijeras       |
| Cody            | Liberty       | Rushville       | Wausa        | Gallup           | Tucumcari     |
| Coleridge       | Linwood       | St Edward       | Wayne        | Grady            | Tularosa      |
| Comstock        | Litchfield    | St Helena       | Western      | Grants           | Vaughn        |
| Concord         | Long Pine     | St Paul         | Weston       | Grenville        | Virden        |
| Cowles          | Lorton        | Salem           | Whitney      | Hagerman         | Wagon Mound   |
| Crawford        | Louisville    | Santee          | Wilsonville  | House            | Willard       |
| Creighton       | Loup City     | Sargent         | Winnebago    | Hurley           |               |
| Crookston       | Lushton       | Schuyler        | Winnetoan    |                  |               |
| Culbertson      | Lyman         | Scotia          | Winside      |                  |               |
| Curtis          | Lynch         | Scotts Bluff    | Wisner       |                  |               |
| Dannebrog       | Lyons         | Scribner        | Wolbach      |                  |               |
| Davenport       | McLean        | Seneca          | Wood Lake    |                  |               |
| David City      | Madrid        | Shelby          | Wynot        |                  |               |
| Dawson          | Magnet        | Sholes          |              |                  |               |
| Decatur         | Malmo         |                 |              |                  |               |
| Deweese         | Manley        |                 |              |                  |               |
| Dickens         | Martinsburg   |                 |              |                  |               |
| Diller          | Maskell       |                 |              |                  |               |
| Du Bois         | Mason City    |                 |              |                  |               |
| Dunbar          | Maxwell       |                 |              |                  |               |
| Dunning         | Meadow Grove  |                 |              |                  |               |
| Dwight          | Memphis       |                 |              |                  |               |
| Eddyville       | Merriman      |                 |              |                  |               |
| Edgar           | Milford       |                 |              |                  |               |
| Edison          | Miller        |                 |              |                  |               |
| Elgin           | Milligan      |                 |              |                  |               |
| Elk Creek       | Minatare      |                 |              |                  |               |
| Emerson         | Mullen        |                 |              |                  |               |
| Ericson         | Murdock       |                 |              |                  |               |
| Ewing           | Naponee       |                 |              |                  |               |
| Fairbury        | Nebraska City |                 |              |                  |               |
| Fairmont        | Nehawka       |                 |              |                  |               |
| Falls City      | Nelson        |                 |              |                  |               |
| Farnam          | Nemaha        |                 |              |                  |               |
| Farwell         | Nenzel        |                 |              |                  |               |
| Firth           | Newcastle     |                 |              |                  |               |
| Fordyce         | Newman Grove  |                 |              |                  |               |
| Foster          | Nora          |                 |              |                  |               |
| Fullerton       | Norman        |                 |              |                  |               |
| Gandy           | North Bend    |                 |              |                  |               |
| Garland         | North Loup    |                 |              |                  |               |
| Genoa           | Oak           |                 |              |                  |               |
| Gilead          | Oakdale       |                 |              |                  |               |
| Gordon          | Oakland       |                 |              |                  |               |



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| Cherry Creek    | Haverstraw      | Northville        | Sharon Springs    | Canton         | Denton          |
| Cherry Valley   | Hempstead       | Norwich           | Sherburne         | Carlioro       | Dillsboro       |
| Clayton         | Herkimer        | Norwood           | Sherman           | Carthage       | Dobson          |
| Clayville       | Heron           | Nunda             | Sidney            | Casar          | Dortches        |
| Cleveland       | Herrings        | Oakfield          | Silver Creek      | Castalia       | Dover           |
| Clifton Springs | Heuvelton       | Odessa            | Silver Springs    | Centerville    | Drexel          |
| Clyde           | Hobart          | Ogdensburg        | Sinclairville     | Cerro Gordo    | Dublin          |
| Cobleskill      | Holland Patent  | Olean             | Sloan             | Chadborn       | Dunn            |
| Cohocton        | Holley          | Oneida            | Smyrna            | Chocowinity    | Earl            |
| Cohoes          | Homer           | Oneonta           | Sodus             | Clarkton       | East Arcadia    |
| Cold Brook      | Hoosick Falls   | Oriskany          | Sodus Point       | Clayton        | East Laurinburg |
| Constableville  | Hornell         | Oriskany Falls    | South Corning     | Cleveland      | East Spencer    |
| Copenhagen      | Horseheads      | Oswego            | South Dayton      | Clinton        | Eden            |
| Corfu           | Hudson          | Ovid              | South Glens Falls | Clyde          | Edenton         |
| Corinth         | Hudson Falls    | Owego             | Speculator        | Coats          | Elizabeth City  |
| Corning         | Hunter          | Oxford            | Spencer           | Coffield       | Elizabethtown   |
| Cortland        | Ilion           | Palmyra           | Springville       | Colerain       | Elkin           |
| Coxsackie       | Interlaken      | Panama            | Stamford          | Columbia       | Elk Park        |
| Croghan         | Island Park     | Parish            | Sylvan Beach      | Como           | Ellenboro       |
| Dansville       | Ithaca          | Patchogue         | Tannersville      | Conetoe        | Ellerbe         |
| Deferiet        | Jamestown       | Peekskill         | Theresa           | Conway         | Elm City        |
| Delanson        | Jeffersonville  | Penn Yan          | Ticonderoga       | Cove City      | Elon College    |
| Delevan         | Johnson City    | Perry             | Tivoli            | Cramerton      | Enfield         |
| Delhi           | Johnstown       | Perryburg         | Tonawanda         | Creswell       | Erwin           |
| Depew           | Jordan          | Philadelphia      | Trumansburg       | Dallas         | Eureka          |
| Deposit         | Keeseville      | Philmont          | Tupper Lake       | Davidson       |                 |
| Dering Harbor   | Kingston        | Phoenix           | Turin             |                |                 |
| De Ruyter       | Kiryas Joel     | Pike              | Union Springs     | Everetts       | Lasker          |
| Dexter          | Lackawanna      | Pine Hill         | Unionville        | Fair Bluff     | Lattimore       |
| Dolgeville      | Lacona          | Plattsburgh       | Valley Falls      | Fairmont       | Laurinburg      |
| Dresden         | Lake George     | Poland            | Van Etten         | Faison         | Lawndale        |
| Dryden          | Lake Placid     | Port Byron        | Victory           | Faith          | Leggett         |
| Dundee          | Lancaster       | Port Henry        | Waddington        | Falcon         | Lenoir          |
| Donkirk         | Laurens         | Port Jervis       | Walden            | Falkland       | Lewiston        |
| Earlville       | Le Roy          | Port Leyden       | Walton            | Fallston       | Lexington       |
| East Randolph   | Liberty         | Portville         | Wappingers Falls  | Farmville      | Liberty         |
| East Syracuse   | Limestone       | Potsdam           | Warsaw            | Forest City    | Lilesville      |
| Edwards         | Lindenhurst     | Pulaski           | Waterford         | Fountain       | Lillington      |
| Elba            | Lisle           | Randolph          | Waterloo          | Four Oaks      | Lincolnton      |
| Ellenville      | Little Falls    | Red Creek         | Watertown         | Franklinton    | Linden          |
| Ellicottville   | Little Valley   | Red Hook          | Waterville        | Fremont        | Littleton       |
| Ellisburg       | Lockport        | Rensselaer        | Watervliet        | Fuquay-Varina  | Louisburg       |
| Elmira Heights  | Lodi            | Rensselaer Falls  | Watkins Glen      | Garland        | Love Valley     |
| Esperance       | Long Beach      | Richfield Springs | Waverly           | Garysburg      | Lowell          |
| Evans Mills     | Lowville        | Richmondville     | Wayland           | Gaston         | Lumber Bridge   |
| Fair Haven      | Lyndonville     | Richville         | Weedsport         | Gibson         | Lumberton       |
| Falconer        | Lyons           | Riverside         | Wellsburg         | Gibsonville    | McAdenville     |
| Farnham         | Lyons Falls     | Round Lake        | Wellsville        | Glen Alpine    | MacClesfield    |
| Filmore         | McCraw          | Rouses Point      | West Carthage     | Goldsboro      | McDonald        |
| Fleischmanns    | Malone          | Rushville         | Westfield         | Granite Falls  | McFarlan        |
| Fonda           | Mannsville      | Sackett's Harbor  | Westport          | Greeneville    | Macon           |
| Forestville     | Marathon        | St Johnsville     | West Winfield     | Greenville     | Madison         |
| Fort Ann        | Marcellus       | Salamanca         | Whitehall         | Gripton        | Magnolia        |
| Fort Edward     | Margaretville   | Salem             | Whitesboro        | Grimesland     | Maiden          |
| Fort Johnson    | Massena         | Saranac Lake      | Wilson            | Grover         | Marion          |
| Fort Plain      | Mayfield        | Saugerties        | Windsor           | Halifax        | Marshall        |
| Frankfort       | Mayville        | Savona            | Wolfcott          | Hamilton       | Marshallville   |
| Franklin        | Medina          | Schenevus         | Woodhull          | Hamlet         | Maxton          |
| Franklinville   | Meridian        | Schoharie         | Wyoming           | Harmony        | Maydian         |
| Fredonia        | Middleburgh     | Schuylerville     | Yorkville         | Harrells       | Maysville       |
| Freeport        | Middleport      |                   |                   | Hassell        | Mebane          |
| Freeville       | Middleville     |                   |                   | Haw River      | Mesic           |
| Fulton          | Milerton        |                   |                   | Hayesville     | Micro           |
| Fultonville     | Millport        |                   |                   | Hazelwood      | Middleburg      |
| Gainesville     | Mohawk          |                   |                   | Henderson      | Middlesex       |
| Galway          | Monticello      |                   |                   | Hendersonville | Milton          |
| Genesee         | Montour Falls   |                   |                   | Hertford       | Mocksville      |
| Geneva          | Moores          |                   |                   | Hildebran      | Monroe          |
| Glen Park       | Moravia         |                   |                   | Hillsborough   | Mooresboro      |
| Gloversville    | Morristown      |                   |                   | Hobgood        | Mooresville     |
| Gouverneur      | Morrisville     |                   |                   | Hoffman        | Morehead City   |
| Gowanda         | Mount Morris    |                   |                   | Holly Ridge    | Morganton       |
| Granville       | Munnsville      |                   |                   | Holly Springs  | Morven          |
| Greene          | Naples          |                   |                   | Hot Springs    | Mount Airy      |
| Green Island    | Nassau          |                   |                   | Jackson        | Mount Gilead    |
| Greenport       | Nelliston       |                   |                   | Jamesville     | Mount Holly     |
| Greenwich       | Newark          |                   |                   | Jefferson      | Mount Olive     |
| Hagaman         | New Berlin      |                   |                   | Jonesville     | Murfreesboro    |
| Hamilton        | New Paltz       |                   |                   | Kelford        | Murphy          |
| Hammond         | Newport         |                   |                   | Kenansville    | Nashville       |
| Hammondsport    | New Square      |                   |                   | Kenly          | Navassa         |
| Hancock         | Nichols         |                   |                   | Kings Mountain | New Bern        |
| Hannibal        | North Collins   |                   |                   | Kinston        | Newland         |
| Harriman        | North Hornell   |                   |                   | Kittrell       | New London      |
| Harrisville     | North Tonawanda |                   |                   | Lansing        | Newton Grove    |

## North Carolina

|                 |               |
|-----------------|---------------|
| Ahaskie         | Belwood       |
| Alamance        | Benson        |
| Alexander Mills | Bessemer City |
| Alliance        | Bethel        |
| Andrews         | Beulaville    |
| Angier          | Biscoe        |
| Ansonville      | Black Creek   |
| Arapahoe        | Bladenboro    |
| Askewville      | Bolivia       |
| Bolton          | Boone         |
| Aulander        | Bostic        |
| Aurora          | Brevard       |
| Autryville      | Bridgeton     |
| Ayden           | Brookford     |
| Bailey          | Brunswick     |
| Bakersville     | Bryson City   |
| Banner Elk      | Bunn          |
| Bath            | Burgaw        |
| Battleboro      | Burnsville    |
| Beagrass        | Calabash      |
| Beaufort        | Calypso       |
| Belhaven        | Cameron       |
| Belmont         |               |



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|------------------|----------------|--------------|--------------|-------------------|-----------------|
| Norlina          | Severn         | Deering      | Max          | Andover           | Catawba         |
| Norman           | Shallotte      | Dickey City  | Maxbass      | Anna              | Cecil           |
| North Wilkesboro | Sharpsburg     | Donnybrook   | Medina       | Ansonia           | Cedarville      |
| Norwood          | Shelby         | Douglas      | Merricourt   | Antwerp           | Celina          |
| Oak City         | S Ler City     | Drake        | Milton       | Apple Creek       | Centerburg      |
| Old Fort         | Simpson        | Drayton      | Minto        | Aquilla           | Centerville     |
| Oram             | Sims           | Dunseith     | Monango      | Arcadia           | Chatfield       |
| Oxford           | Smithfield     | Edgeley      | Montpelier   | Arcanum           | Chauncey        |
| Pantego          | Snow Hill      | Edinburg     | Mott         | Arlington Heights | Cherry Fork     |
| Parkton          | Southport      | Edmore       | Mountain     | Ashland           | Chesapeake      |
| Parmele          | Speed          | Elgin        | Mylo         | Ashtabula         | Cheshire        |
| Peaschland       | Spindale       | Ellendale    | Napoleon     | Athalia           | Chesterhill     |
| Pembroke         | Spring Hope    | Elliott      | Neché        | Athens            | Chesterville    |
| Pilot Mountain   | Spring Lake    | Esmond       | Newburg      | Attica            | Cheviot         |
| Pine Level       | Spruce Pine    | Fairdale     | New England  | Bainbridge        | Chillicothe     |
| Pinetops         | Staley         | Fessenden    | New Leipzig  | Bairdstown        | Chilo           |
| Pineville        | Stanfield      | Flasher      | New Rockford | Baltic            | Christiansburg  |
| Pink Hill        | Stantonsburg   | Flaxton      | New Town     | Baltimore         | Circleville     |
| Pittsboro        | Star           | Forbes       | Niagara      | Barnesville       | Clarington      |
| Plymouth         | Statesville    | Fordville    | Nome         | Barnhill          | Clarksburg      |
| Polkton          | Stedman        | Forest River | Noonan       | Batavia           | Clarksville     |
| Polkville        | Stoneville     | Fort Ransom  | Oakes        | Batesville        | Clay Center     |
| Pollocksville    | Stonewall      | Fortuna      | Palermo      | Bayview           | Clifton         |
| Powellsville     | Sunset Beach   | Fort Yates   | Park River   | Beach City        | Clinton         |
| Princeton        | Sylva          | Fredonia     | Parshall     | Beallsville       | Cloverdale      |
| Princeville      | Tabor City     | Fullerton    | Pekin        | Beaver            | Clyde           |
| Proctorville     | Tarboro        | Gardena      | Perth        | Beaverdam         | Coal Grove      |
| Ramseur          | Tar Heel       | Golva        | Petersburg   | Bellaire          | Coalton         |
| Randleman        | Taylorsville   | Goodrich     | Pettibone    | Belle Center      | College Corner  |
| Ranlo            | Teachey        | Grace City   | Pingree      | Bellefontaine     | Columbiana      |
| Raynham          | Thomasville    | Grafton      | Pisek        | Belle Valley      | Columbus Grove  |
| Red Oak          | Trenton        | Granville    | Plaza        | Bellevue          | Coneville       |
| Red Springs      | Troy           | Great Bend   | Portal       | Bellville         | Congress        |
| Reidsville       | Tryon          | Grenora      | Powers Lake  | Belmont           | Conneaut        |
| Rennert          | Turkey         | Hague        | Reeder       | Belmore           | Continental     |
| Rhodhiss         | Valdese        | Hamburg      | Regan        | Belpre            | Coolville       |
| Richlands        | Vanceboro      | Hankinson    | Robinson     | Bergholz          | Corning         |
| Rich Square      | Vandemere      | Hannah       | Rolette      | Berlin Heights    | Corwin          |
| Roanoke Rapids   | Vass           | Hansboro     | Rolla        | Bethel            | Coshocton       |
| Robbins          | Waco           | Harvey       | Ross         | Bethesda          | Covington       |
| Robbinsville     | Wade           | Hatton       | Ryder        | Bettsville        | Craig Beach     |
| Robersonville    | Wadesboro      | Havana       | St John      | Beverly           | Crestline       |
| Rockingham       | Wagram         | Hazelton     | Sanborn      | Blakeslee         | Creston         |
| Rocky Mount      | Wake Forest    | Hebron       | Sarles       | Blanchester       | Crooksville     |
| Ronda            | Wallace        | Hoople       | Selfridge    | Bloomdale         | Crown City      |
| Roper            | Walnut Cove    | Hope         | Sheldon      | Bloomington       | Cumberland      |
| Roseboro         | Walstonburg    | Hunter       | Shenenne     | Bloomingdale      | Custar          |
| Rose Hill        | Warrenton      | Hurdsfield   | Solen        | Bloomville        | Cygnat          |
| Rosman           | Warsaw         | Inkster      | Souris       | Bowerston         | Dalton          |
| Rowland          | Washington     | Jud          | Starkweather | Bowersville       | Danville        |
| Roxboro          | Wayneville     | Karlsruhe    | Steele       | Bradford          | Darbyville      |
| Roxobel          | Weldon         | Kathryn      | Strasburg    | Bradner           | Deersville      |
| Ruth             | West Jefferson | Kensal       | Streeter     | Brady Lake        | Defiance        |
| Rutherfordton    | Whitakers      | Kief         | Sykeston     | Bremen            | De Graff        |
| St. Pauls        | Whiteville     | Kramer       | Tappen       | Brewster          | Delphos         |
| Saluda           | Williamston    | Kulm         | Taylor City  | Brice             | Delta           |
| Sanford          | Wilson         | Lakota       | Tolley       | Bridgeport        | Dennison        |
| Saratoga         | Windsor        | Landa        | Tolna        | Brilliant         | Deshler         |
| Scotland neck    | Winfall        | Larimore     | Towner       | Brookside         | Dexter City     |
| Seaboard         | Winton         | Lawton       | Turtle Lake  | Brookville        | Dillonvale      |
| Seagrove         | Woodland       | Leal         | Tuttle       | Broughton         | Donnelsville    |
| Selma            | Youngsville    | Lehr         | Upham        | Bryan             | Dover           |
| Seven Springs    | Zebulon        | Leith        | Valley City  | Buchtel           | Dresden         |
|                  |                | Leonard      | Velva        | Buckeye           | Dunkirk         |
|                  |                | Lidgerwood   | Verona       | Buckland          | Dupont          |
|                  |                | Lignite      | Walhalla     | Bucyrus           | East Cleveland  |
|                  |                | Linton       | White Earth  | Burbank           | East Liverpool  |
|                  |                | Loma         | Willow City  | Burgoon           | East Palestine  |
|                  |                | McClusky     | Wilton       | Butler            | East Sparta     |
|                  |                | McVillie     | Wimbleton    | Butler            | Eaton           |
|                  |                | Makoti       | Wing         | Byesville         | Edgerton        |
|                  |                | Mantador     | Wishek       | Cadiz             | Edison          |
|                  |                | Manvel       | Woodworth    | Cairo             | Edon            |
|                  |                | Marion       | Wyndmere     | Caldwell          | Eldorado        |
|                  |                | Marmarth     | York         | Caledonia         | Elgin           |
|                  |                | Martin       | Zeeland      | Cambridge         | Elmwood Place   |
|                  |                |              |              | Camden            | Empire          |
|                  |                |              |              | Campbell          | Fairport Harbor |
|                  |                |              |              | Cardington        | Fairview        |
|                  |                |              |              | Carey             | Fayette         |
|                  |                |              |              | Carroll           | Fayetteville    |
|                  |                |              |              | Carrollton        | Felicity        |
|                  |                |              |              | Cassstown         | Findlay         |
|                  |                |              |              | Castalia          | Fletcher        |
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| Flushing           | Jerry City       | Millersport      | Pemberville     | Somerville        | Wakeman         |
| Forest             | Jewett           | Milton Center    | Perrysville     | South Charleston  | Walbridge       |
| Fort Jennings      | Johnstown        | Miltonsburg      | Philo           | South Lebanon     | Wapakoneta      |
| Fort Loramie       | Junction City    | Mineral City     | Pikeon          | South Salem       | Warsaw          |
| Fort Recovery      | Kelleys Island   | Minerva          | Pioneer         | South Solon       | Washington      |
| Fostoria           | Kenton           | Mingo Junction   | Piqua           | South Vienna      | Wauseon         |
| Frankfort          | Kettlersville    | Monroeville      | Pitsburg        | South Webster     | Waverly         |
| Franklin           | Kimbolton        | Montezuma        | Plain City      | South Zanesville  | Wayne           |
| Frazzysburg        | Kingston         | Montpelier       | Pleasant City   | Sparta            | Waynesburg      |
| Fredericksburg     | Kipton           | Morral           | Pleasant Hill   | Spencer           | Waynesfield     |
| Fredericktown      | Kirby            | Morristown       | Pleasant Plain  | Spencerville      | Waynesville     |
| Freeport           | Kirkersville     | Morrow           | Pleasantville   | Spring Valley     | Wellington      |
| Fremont            | Lafayette        | Mount Blanchard  | Plymouth        | Stafford          | Wellston        |
| Fulton             | Lagrange         | Mount Cory       | Polk            | Stockport         | Wellsville      |
| Fultonham          | Lakemore         | Mount Gilead     | Pomeroy         | Stone Creek       | West Alexandria |
| Galion             | Lakeview         | Mount Opab       | Portage         | Stoutsville       | West Elkton     |
| Gallipolis         | La Rue           | Mount Pleasant   | Port Clinton    | Strasburg         | West Farmington |
| Gann               | Latty            | Mount Vernon     | Port Jefferson  | Stratton          | West Lafayette  |
| Garfield Heights   | Laura            | Mount Victory    | Portsmouth      | Struthers         | West Leipsic    |
| Geneva             | Laurelville      | Mowrystown       | Port Washington | Sugarcreek        | West Manchester |
| Geneva-on-the-Lake | Lawrenceville    | Murray City      | Port William    | Sugar Grove       | West Mansfield  |
| Genoa              | Lebanon          | Mutual           | Potsdam         | Summerfield       | West Millgrove  |
| Georgetown         | Leesburg         | Nashville        | Powhatan Point  | Summitville       | Weston          |
| Gettysburg         | Laesville        | Navarre          | Proctorville    | Sycamore          | West Rushville  |
| Gibsonburg         | Leftonia         | Nellie           | Prospect        | Syracuse          | West Salem      |
| Gilboa             | Leipsic          | Nelsonville      | Put-In-Bay      | Tarleton          | West Union      |
| Girard             | Lewisburg        | Nevada           | Quaker City     | Tiffin            | West Unity      |
| Glandorf           | Lewisville       | Neville          | Quincy          | Tiltoonsville     | Wharton         |
| Glenford           | Liberty Center   | New Alexandria   | Racine          | Tiro              | Wilkesville     |
| Glenmont           | Limaville        | New Athens       | Rarden          | Tontogany         | Willard         |
| Gloria Glens Park  | Lincoln Heights  | New Bavaria      | Ravenna         | Toronto           | Williamsburg    |
| Glouster           | Lindale          | New Bloomington  | Rawson          | Tremont City      | Williamsport    |
| Gordon             | Lisbon           | New Boston       | Rayland         | Trimble           | Willshire       |
| Grand Rapids       | Lockbourne       | Newcomertown     | Rendville       | Troy              | Wilmington      |
| Granville          | Lockington       | New Concord      | Republic        | Tuscarawas        | Wilmot          |
| Gratiot            | Lockland         | New Holland      | Richmond        | Uhrichsville      | Winchester      |
| Gratis             | Lodi             | New Knoxville    | Richwood        | Union City        | Windham         |
| Graysville         | Logan            | New Lexington    | Ridgeway        | Unionville Center | Woodlawn        |
| Green Camp         | Loudonville      | New London       | Rio Grande      | Unionopolis       | Woodfield       |
| Greenfield         | Louisville       | New Madison      | Ripley          | Upper Sandusky    | Woodstock       |
| Greenville         | Lowell           | New Miami        | Rising Sun      | Urbana            | Wooster         |
| Greenwich          | Lowellville      | New Paris        | Rittman         | Urbancrest        | Wren            |
| Grover Hill        | Lower Salem      | New Philadelphia | Rock Creek      | Utica             | Xenia           |
| Hamden             | Lucas            | New Richmond     | Rockford        | Valley Hi         | Yellow Springs  |
| Hamersville        | Luckey           | New Riegel       | Rocky Ridge     | Vanlue            | Yorkshire       |
| Hamler             | Ludlow Falls     | New Straitsville | Rogers          | Van Wert          | Yorkville       |
| Hanging Rock       | Lynchburg        | Newton Falls     | Rome            | Venedocia         | Zaleski         |
| Hanoverton         | Lyons            | Newtonsville     | Roseville       | Verona            | Zanesfield      |
| Harbor View        | McArthur         | Newtonstown      | Rossburg        | Versailles        | Zanesville      |
| Harpster           | McClure          | New Vienna       | Roswell         | Vinton            |                 |
| Harrisburg         | McConnellsville  | New Washington   | Rushsylvania    |                   |                 |
| Harrisville        | McGuffey         | New Waterford    | Rushville       |                   |                 |
| Harrod             | Macksburg        | New Weston       | Russells Point  |                   |                 |
| Hartford           | Magnetic Springs | Ney              | Russellville    |                   |                 |
| Hartsville         | Maineville       | North Baltimore  | Russia          |                   |                 |
| Harveysburg        | Malinta          | North Bend       | Rutland         |                   |                 |
| Haskins            | Malta            | North Hampton    | Sabina          |                   |                 |
| Haviland           | Malvern          | North Robinson   | St Bernard      |                   |                 |
| Hebron             | Manchester       | North Star       | St Louisville   |                   |                 |
| Helena             | Mantua           | Norwalk          | St Martin       |                   |                 |
| Hemlock            | Marengo          | Norwich          | St Mary's       |                   |                 |
| Hicksville         | Marion           | Norwood          | St Paris        |                   |                 |
| Higginsport        | Marselles        | Oak Harbor       | Salem           |                   |                 |
| Highland           | Marshallville    | Oak Hill         | Salesville      |                   |                 |
| Hillsboro          | Martinsburg      | Oakwood          | Salineville     |                   |                 |
| Hiram              | Martins Ferry    | Oberlin          | Sandusky        |                   |                 |
| Holgate            | Martinsville     | Octa             | Sarahsville     |                   |                 |
| Holland            | Marysville       | Ohio City        | Sardinia        |                   |                 |
| Hollansburg        | Matamora         | Old Washington   | Savannah        |                   |                 |
| Holloway           | Mechanicsburg    | Orangeville      | Scio            |                   |                 |
| Hopedale           | Melrose          | Orient           | Scott           |                   |                 |
| Hoytville          | Mendon           | Orrville         | Seaman          |                   |                 |
| Hubbard            | Metamora         | Orwell           | Sebring         |                   |                 |
| Huntsville         | Middlefield      | Ottawa           | Seneca          |                   |                 |
| Irondale           | Middle Point     | Ottoville        | Shadyside       |                   |                 |
| Ironton            | Middleport       | Otway            | Shawnee         |                   |                 |
| Ithaca             | Midland          | Owensville       | Shelby          |                   |                 |
| Jackson            | Midvale          | Oxford           | Sherrodsville   |                   |                 |
| Jacksonburg        | Mifflin          | Painesville      | Shiloh          |                   |                 |
| Jackson Center     | Millford         | Palestine        | Shreve          |                   |                 |
| Jacksonville       | Millford Center  | Patterson        | Sidney          |                   |                 |
| Jamestown          | Milledgeville    | Paulding         | Silverton       |                   |                 |
| Jefferson          | Miller City      | Payne            | Sinking Spring  |                   |                 |
| Jeffersonville     | Millersburg      | Peebles          | Smithfield      |                   |                 |
|                    |                  |                  | Somerset        |                   |                 |

## Oklahoma

|            |             |
|------------|-------------|
| Achille    | Bowlegs     |
| Ada        | Boynton     |
| Adair      | Bradley     |
| Afton      | Briggs      |
| Agra       | Braman      |
| Albion     | Bridgeport  |
| Alderson   | Bristow     |
| Allen      | Broken Bow  |
| Altus      | Bromide     |
| Anadarko   | Brooksville |
| Antlers    | Bryant      |
| Apache     | Burbank     |
| Arkoma     | Burlington  |
| Armstrong  | Butler      |
| Asher      | Byars       |
| Ashland    | Byron       |
| Atoka      | Caddo       |
| Avant      | Calvin      |
| Avard      | Camargo     |
| Barnsdall  | Cameron     |
| Bearden    | Canadian    |
| Beggs      | Caney       |
| Bennington | Cardin      |
| Big Cabin  | Carmen      |
| Billings   | Carnegie    |
| Blackburn  | Carney      |
| Blackwell  | Carrier     |
| Blair      | Carter      |
| Boise City | Castle      |
| Bokchito   | Cement      |
| Bokoshe    | Centrahoma  |
| Boley      | Chandler    |
| Boxwell    | Chocotah    |



|              |               |               |               |                  |              |
|--------------|---------------|---------------|---------------|------------------|--------------|
| Chelsea      | Hollister     | Osage         | Stillwell     | Enterprise       | Nyssa        |
| Cherokee     | Hominy        | Paden         | Stonewall     | Falls City       | Oakland      |
| Clayton      | Howe          | Panama        | Strang        | Flotence         | Ontario      |
| Clearview    | Hugo          | Paoli         | Stringtown    | Fossil           | Paisley      |
| Cleveland    | Hulbert       | Pauls Valley  | Stroud        | Garibaldi        | Pendleton    |
| Coalgate     | Hydro         | Pawhuska      | Stuart        | Gaston           | Phoenix      |
| Comanche     | Idabel        | Pawnee        | Sugden        | Gearhart         | Port Orford  |
| Commerce     | Indianoma     | Peoria        | Sulphur       | Gervais          | Powers       |
| Cooperton    | Indianola     | Phillips      | Summit        | Glendale         | Prairie City |
| Cornish      | Jay           | Picher        | Taft          | Gold Hill        | Prescott     |
| Council Hill | Jennings      | Pittsburg     | Talihina      | Granite          | Rainier      |
| Coweta       | Kaw           | Pocola        | Tamaha        | Grants Pass      | Redmond      |
| Cowlingtown  | Kemp          | Pond Creek    | Tatums        | Grass Valley     | Richland     |
| Coyle        | Kendrick      | Porter        | Temple        | Haines           | Riddle       |
| Cromwell     | Kenefic       | Porum         | Terlton       | Halfway          | Rockaway     |
| Crowder      | Keota         | Poteau        | Terral        | Halsey           | Rogue River  |
| Custer City  | Ketchum       | Prague        | Texhoma       | Hammond          | Roseburg     |
| Dacoma       | Kinta         | Purcell       | Texola        | Helix            | St Helens    |
| Davenport    | Kiowa         | Putnam        | Thackerville  | Hines            | St Paul      |
| Davidson     | Knowles       | Quapaw        | Tipton        | Hood River       | Scio         |
| Davis        | Konawa        | Quay          | Tishomingo    | Hubbard          | Scotts Mills |
| Deer Creek   | Krebs         | Quinlan       | Tryon         | Huntington       | Seaside      |
| Delaware     | Lamar         | Quinton       | Tullahassee   | Idanha           | Seneca       |
| Depew        | Lamont        | Ralston       | Tupelo        | Imbler           | Shady Cove   |
| Devol        | Langley       | Ramona        | Tushka        | Independence     | Shaniko      |
| Dewar        | Langston      | Randlett      | Valley Brook  | Jacksonville     | Sheridan     |
| Dewey        | LeFlore       | Rattan        | Valliant      | Jefferson        | Silverton    |
| Dougherty    | Lehigh        | Redbird       | Vera          | Joseph           | Sisters      |
| Drumright    | Lenapah       | Red Oak       | Verden        | Junction City    | Sodaville    |
| Durant       | Leon          | Red Rock      | Vian          | Klamath Falls    | Spray        |
| Dustin       | Lexington     | Rentiesville  | Vinita        | La Grande        | Stanfield    |
| Earlsboro    | Lima          | Ringling      | Wagoner       | Lakeside         | Sumpter      |
| East Duke    | Loco          | Ripley        | Wainwright    | Lakeview         | Sutherlin    |
| Eldorado     | Locust Grove  | Roff          | Wakita        | Lebanon          | Sweet Home   |
| Elmer        | Lone Wolf     | Rosedale      | Walters       | Lincoln City     | Talent       |
| Elmore City  | Longdale      | Rush Springs  | Wanette       | Long Creek       | Tangent      |
| Erick        | Lookaba       | Ryan          | Wann          | Lowell           | Tillamook    |
| Erin Springs | Loveland      | St. Louis     | Wapanucka     | Madras           | Toledo       |
| Eufaula      | Luther        | Salina        | Wardville     | Malin            | Turner       |
| Fairfax      | McAlester     | Sallisaw      | Warwick       | Maupin           | Union        |
| Fairland     | McBride       | Sapulpa       | Washington    | Merrill          | Unity        |
| Fallis       | McCortain     | Sasakwa       | Watts         | Metolius         | Vale         |
| Fanshawe     | Madill        | Savanna       | Waurika       | Mill City        | Veneta       |
| Faxon        | Manchester    | Sayre         | Waynoka       | Milton Freewater | Vernonia     |
| Fort Gibson  | Mangum        | Seiling       | Webb City     | Mitchell         | Wallowa      |
| Fort Towson  | Manitou       | Seminole      | Webbers Falls | Monmouth         | Warrenton    |
| Foss         | Mannsville    | Sentinel      | Welch         | Monroe           | Weston       |
| Foyil        | Maramec       | Shady Grove   | Weleetka      | Monument         | Wheeler      |
| Francis      | Marble City   | Shamrock      | Wellston      | Mosler           | Willamina    |
| Frederick    | Marietta      | Sharon        | Westville     | Mount Angel      | Winston      |
| Gans         | Marland       | Shidler       | Wetumka       | Mt Vernon        | Woodburn     |
| Garber       | Marlow        | S. Edie       | Wewoka        | Myrtle Point     | Yachats      |
| Garvin       | Marshall      | Slick         | Whitefield    | North Bend       | Yamhill      |
| Gate         | Martha        | Smith Village | Wilburton     | North Powder     | Yoncalla     |
| Geary        | Maud          | Smithville    | Willow        |                  |              |
| Gene Autry   | May           | Snyder        | Wilson        |                  |              |
| Certy        | Maysville     | Soper         | Wister        |                  |              |
| Glencoe      | Medicine Park | Sparks        | Wright City   |                  |              |
| Goodwell     | Meeker        | Spavinaw      | Wyandotte     |                  |              |
| Core         | Meridian      | Spiro         | Wynnewood     |                  |              |
| Gotebo       | Miami         | Stidham       | Yale          |                  |              |
| Gould        | Millburn      | Stigler       | Yeager        |                  |              |
| Gracemont    | Mill Creek    |               |               |                  |              |
| Granfield    | Millerton     |               |               |                  |              |
| Granite      | Moffett       |               |               |                  |              |
| Grayson      | Morris        |               |               |                  |              |
| Guthrie      | Mountain Park |               |               |                  |              |
| Halleyville  | Mountain View |               |               |                  |              |
| Hallett      | Muldrow       |               |               |                  |              |
| Hammon       | Mulhall       |               |               |                  |              |
| Hanna        | Muskogee      |               |               |                  |              |
| Hartsborne   | Nash          |               |               |                  |              |
| Haskell      | New Alluwe    |               |               |                  |              |
| Hastings     | Norge         |               |               |                  |              |
| Haworth      | North Miami   |               |               |                  |              |
| Headrick     | Nowata        |               |               |                  |              |
| Heavener     | Okland        |               |               |                  |              |
| Helena       | Ouka          |               |               |                  |              |
| Hendrix      | Oakwood       |               |               |                  |              |
| Henryetta    | Olton         |               |               |                  |              |
| Hitchita     | Okeene        |               |               |                  |              |
| Hobart       | Okemah        |               |               |                  |              |
| Hoffman      | Okmulgee      |               |               |                  |              |
| Holdenville  | Oktaha        |               |               |                  |              |
| Hollis       | Olustee       |               |               |                  |              |

## Pennsylvania

|              |                 |
|--------------|-----------------|
| Adamsburg    | Beaver Meadows  |
| Addison      | Beavertown      |
| Albion       | Bedford         |
| Alxandria    | Bellefonte      |
| Aliquippa    | Belle Vernon    |
| Ambridge     | Bellevue        |
| Apollo       | Bellwood        |
| Applewold    | Benson          |
| Archbald     | Bentleyville    |
| Arnold       | Benton          |
| Arona        | Berlin          |
| Ashland      | Bernville       |
| Ashley       | Berrysburg      |
| Ashville     | Berwick         |
| Athens       | Bessemer        |
| Atwood       | Big Run         |
| Auburn       | Birmingham      |
| Austin       | Blain           |
| Avia         | Blairsville     |
| Avoca        | Blakely         |
| Avondale     | Blawnox         |
| Avonmore     | Bloomfield      |
| Baden        | Blooming Valley |
| Bangor       | Bloomsburg      |
| Barkeyville  | Blossburg       |
| Barnesboro   | Bonneauville    |
| Beallsville  | Boswell         |
| Bear Lake    | Bowmanstown     |
| Beaver Falls | Brackenridge    |

## Oregon

|              |                    |
|--------------|--------------------|
| Adams        | Cave Junction      |
| Adrian       | Chiloquin          |
| Albany       | City of the Dalles |
| Amity        | Clatskanie         |
| Ashland      | Coburg             |
| Astoria      | Condon             |
| Athens       | Coos Bay           |
| Aurora       | Coquille           |
| Baker        | Cottage Grove      |
| Bandon       | Cove               |
| Banks        | Creswell           |
| Bay City     | Dallas             |
| Bend         | Dayton             |
| Bonanza      | Dayville           |
| Brownsville  | Depoe Bay          |
| Burns        | Donald             |
| Butte Falls  | Drain              |
| Cannon Beach | Dufur              |
| Canyon City  | Echo               |
| Canyonville  | Elgin              |
| Carlton      | Elkton             |



|                   |                      |                 |                     |                   |                      |
|-------------------|----------------------|-----------------|---------------------|-------------------|----------------------|
| Braddock          | Deemston             | Gilberton       | Lock Haven          | New Brighton      | Railroad             |
| Bradford          | Delaware Water Gap   | Girard          | Loganton            | Newburg           | Rainsburg            |
| Briar Creek       | Delmont              | Girardville     | Lorain              | New Castle        | Ramey                |
| Bridgeport        | Delta                | Glasgow         | Loretto             | New Centerville   | Rankin               |
| Bridgewater       | Derry                | Glassport       | Lumber City         | New Columbus      | Red Lion             |
| Brisbin           | Dickson              | Glen Campbell   | Luzerne             | New Eagle         | Renovo               |
| Bristol           | City                 | Glendon         | Lykens              | Newell            | Reynoldsville        |
| Broad Top City    | Donegal              | Glenfield       | Lyons               | New Florence      | Rices Landing        |
| Brockway          | Donora               | Glen Hope       | McAdoo              | New Galilee       | Richland             |
| Brookville        | Dover                | Glen Rock       | McClure             | New Hope          | Ridgway              |
| Brownstown        | Driftwood            | Gordon          | McConnellsburg      | New Kensington    | Rimersburg           |
| Brownsville       | Du Bois              | Grampian        | McDonald            | New Lebanon       | Ringtown             |
| Bruin             | Duboisstown          | Great Bend      | McEwensville        | New Milford       | Roaring Spring       |
| Burgettstown      | Dudley               | Greensburg      | McKees Rocks        | New Oxford        | Rochester            |
| Burnham           | Dunbar               | Greensburg      | McSherrystown       | New Paris         | Rockhill Furnace     |
| Burnside          | Duncansville         | Greenville      | McVeytown           | New Phila         | Rockwood             |
| Butler            | Dunmore              | Grove City      | Mahaffey            | Newport           | Rome                 |
| California        | Du Pont              | Hallstead       | Mahandy City        | New Ringgold      | Roseto               |
| Callensburg       | Duquesne             | Hanover         | Manchester          | Newry             | Roseville            |
| Cambridge Springs | Durys                | Hartleton       | Manns Choice        | Newton Hamilton   | Rouseville           |
| Canonsburg        | Dushore              | Harveys Lake    | Manor               | Newville          | Royalton             |
| Canton            | East Bangor          | Hastings        | Manorville          | New Wilmington    | Saegertown           |
| Carbondale        | East Brady           | Hawley          | Mansfield           | Nicholson         | St Clair             |
| Carmichaels       | East Butler          | Hawthorne       | Mapleton            | North Apollo      | St Clairsville       |
| Carnegie          | East Conemaugh       | Haysville       | Marcus Hook         | North Braddock    | St Petersburg        |
| Carrolltown       | East Lansdowne       | Heidelberg      | Marianna            | North Catasauqua  | Salisbury            |
| Cassandra         | East McKeesport      | Herdon          | Marion Center       | North Charleroi   | Salladasburg         |
| Casselman         | East Pittsburg       | Holidaysburg    | Marion Heights      | North East        | Saltillo             |
| Cassville         | East Rochester       | Homestead       | Markesburg          | North Irwin       | Saltsburg            |
| Catasauqua        | East Side            | Hookstown       | Markleysburg        | Northumberland    | Sandy Lake           |
| Catawissa         | East Stroudsburg     | Hooversville    | Mars                | North York        | Sankertown           |
| Centerville       | Eastvale             | Hop Bottom      | Martinsburg         | Norwood           | Saxton               |
| Centerville       | East Vandergrift     | Hopewell        | Masontown           | Nuangola          | Sayer                |
| Central City      | East Washington      | Houston         | Matamoras           | Oakland           | Scalp Level          |
| Centralia         | Eau Claire           | Houtzdale       | Mayfield            | Oakmont           | Schellsburg          |
| Centre Hall       | Edinboro             | Hughesville     | Meadville           | Ohioville         | Schuylkill Haven     |
| Chalfant          | Edwardsville         | Hummelstown     | Mechanicsville      | Oil City          | Scottdale            |
| Chambersburg      | Ehrenfeld            | Huntingdon      | Mercer              | Oklahoma          | Selinsgrove          |
| Charleroi         | Elco                 | Hyde Park       | Mercersburg         | Old Forge         | Seward               |
| Cherry Valley     | Elderton             | Hydrotown       | Meshoppen           | Olyphant          | Sewickley            |
| Chester Hill      | Eldred               | Hyndman         | Meyersdale          | Orangeville       | Shade Gap            |
| Chest Springs     | Elgin                | Indiana         | Middleburg          | Orbisolia         | Shamokin             |
| Chicora           | Elizabeth            | Ingram          | Middleport          | Orstown           | Shanksville          |
| Clairton          | Elizabethville       | Irvona          | Midland             | Osceola Mills     | Sharon Hill          |
| Clarendon         | Elkland              | Jackson Center  | Mifflin             | Oswayo            | Sharpsburg           |
| Clarion           | Ellport              | Jamestown       | Mifflinburg         | Oxford            | Sharpville           |
| Clarks Summit     | Ellwood City         | Jeannette       | Mifflintown         | Paint             | Sheakleyville        |
| Clarksville       | Emmerton             | Jeddo           | Millford            | Palmerton         | Shenandoah           |
| Claysville        | Emporium Enon Valley | Jefferson       | Millbourne          | Palo Alto         | Shickshinny          |
| Clearfield        | Ernest               | Jermyn          | Mill Creek          | Parker            | Shinglehouse         |
| Clifton Heights   | Etna                 | Jersey Shore    | Millersburg         | Parkessburg       | Shippensburg         |
| Clintonville      | Evans City           | Jessup          | Millersville        | Parryville        | Shipperville         |
| Clymer            | Everett              | Jim Thorpe      | Mill Hall           | Patterson Heights | Shippingport         |
| Coal Center       | Everson              | Johnsonburg     | Millheim            | Patton            | Shirleyburg          |
| Coaldale          | Exeter               | Jonestown       | Millvale            | Penn Argyl        | Slattington          |
| Coaldale          | Export               | Juniata Terrace | Milton              | Penn              | Sligo                |
| Coalmont          | Factoryville         | Kane            | Minersville         | Petersburg        | Slippery Rock        |
| Coalport          | Fairchance           | Kingston        | Modena              | Petrolia          | Smethport            |
| Coatesville       | Falls Creek          | Kistler         | Monessen            | Philipsburg       | Smithfield           |
| Cochranston       | Fallston             | Kittanning      | Monongahela         | Phoenixville      | Smithton             |
| Collingdale       | Farrell              | Knox            | Monroe              | Picture Rocks     | Snow Shoe            |
| Columbia          | Fayette City         | Knoxville       | Montgomery          | Pillow            | Somerset             |
| Colwyn            | Ferndale             | Koppel          | Montrose            | Pine Grove        | South Bethlehem      |
| Confluence        | Flemington           | Kulpmont        | Mount Carbon        | Pitcairn          | South Coatsville     |
| Conneaut Lake     | Ford City            | Kutztown        | Mount Carmel        | Pittston          | South Connellsville  |
| Conneautville     | Ford Cliff           | Lake City       | Mount Holly Springs | Platea            | South Fork           |
| Connellsville     | Forest City          | Landsburg       | Mount Jewett        | Pleasantville     | South Greensburg     |
| Conshohocken      | Forksville           | Lanesboro       | Mount Oliver        | Pleasantville     | South New Castle     |
| Coraopolis        | Forty Fort           | Lansford        | Mount Pleasant      | Plumville         | South Philipsburg    |
| Corry             | Fountain Hill        | Larksville      | Mount Pocono        | Plymouth          | South Renovo         |
| Corsica           | Foxburg              | Lawrenceville   | Mount Union         | Point Marion      | South Waverly        |
| Coudersport       | Frackville           | Leachburg       | Mount Wolf          | Polk              | Southwest Greensburg |
| Courtdale         | Frankfort Springs    | Leetadale       | Muncy               | Portage           | South Williamsport   |
| Crafton           | Franklin             | Leighton        | Munhall             | Port Allegany     | Spangler             |
| Cranesville       | Franklintown         | Le Raysville    | Myerstown           | Port Carbon       | Spartansburg         |
| Creekside         | Fredonia             | Lewisberry      | Nanticoke           | Port Clinton      | Springboro           |
| Cresson           | Freeburg             | Lewisburg       | Nanty Glo           | Portersville      | Starrucca            |
| Cressona          | Freedom              | Lewis Run       | Nescopeck           | Portland          | Stillwater           |
| Cross Roads       | Freeland             | Lewistown       | Nequehoning         | Port Matilda      | Stockdale            |
| Curwensville      | Freemansburg         | Liberty         | New Albany          | Port Royal        | Stoneboro            |
| Dale              | Freeport             | Ligonier        | New Alexandria      | Port Vue          | Stoystown            |
| Dallastown        | Galeton              | Lilly           | New Baltimore       | Pottstown         | Strattanville        |
| Danville          | Gallitzin            | Linesville      | New Beaver          | Pottsville        | Strausstown          |
| Darby             | Garrett              | Littlestown     | New Berlin          | Pringle           | Stroudsburg          |
| Darlington        | Georgetown           | Liverpool       | New Bethlehem       | Punxsutawney      | Sugarcreek           |
| Dawson            | Gettysburg           |                 |                     |                   |                      |
| Dayton            |                      |                 |                     |                   |                      |



Sugar Grove  
 Sugar Notch  
 Summerhill  
 Summerville  
 Summit Hill  
 Sunbury  
 Susquehanna Depot  
 Suterville  
 Swiasvale  
 Swoyersville  
 Sykesville  
 Sylvania  
 Tamaqua  
 Tarentum  
 Taylor  
 Teiford  
 Thompson  
 Thompsonstown  
 Three Springs  
 Throop  
 Tidioute  
 Timblin  
 Tioga  
 Tionesta  
 Titusville  
 Towanda  
 Tower City  
 Townville  
 Tremont  
 Troutville  
 Troy  
 Trumbauersville  
 Tunkhannock  
 Tunnelhill  
 Turbotville  
 Turtle Creek  
 Tyrone  
 Ulysses  
 Union City  
 Union Dale  
 Uniontown  
 Unionville  
 Upland  
 Ursina  
 Valencia  
 Valley Hi  
 Vanderbilt  
 Vandergrift  
 Vandling  
 Verona  
 Vintondale  
 Volant  
 Wall  
 Wallaceeton  
 Walnutport

Wampum  
 Warren  
 Warrior Run  
 Washington  
 Waterford  
 Watsonstown  
 Wattsburg  
 Waynesboro  
 Waynesburg  
 Weissport  
 Wellersburg  
 Wellsboro  
 Wellsville  
 Wesleyville  
 West Alexander  
 West Brownsville  
 West Chester  
 West Easton  
 West Elizabeth  
 West Fairview  
 Westfield  
 West Grove  
 West Hazleton  
 West Homestead  
 West Leechburg  
 West Mayfield  
 West Middlesex  
 West Newton  
 Westover  
 West Pittston  
 West Reading  
 West Wyoming  
 West York  
 Wheatland  
 White Haven  
 Wilkesburg  
 Williamsburg  
 Wilmerding  
 Wilmore  
 Wilson  
 Windber  
 Wind Gap  
 Windsor  
 Winterstown  
 Woodbury  
 Woodcock  
 Worthington  
 Worthville  
 Wyalusing  
 Wyoming  
 York Haven  
 Youngstown  
 Youngsville  
 Youngwood  
 Zelienople

Dillon  
 Donalds  
 Due West  
 Edgefield  
 Ehrhardt  
 Elko  
 Elloree  
 Estill  
 Eutawville  
 Fairfax  
 Fort Lawn  
 Fort Mill  
 Fountain Inn  
 Furman  
 Gaffney  
 Georgetown  
 Gifford  
 Gilbert  
 Govan  
 Gray Court  
 Great Falls  
 Greeleyville  
 Greenwood  
 Greer  
 Hampton  
 Hardeeville  
 Harleyville  
 Hartsville  
 Heath Springs  
 Hickory Grove  
 Hilda  
 Hodges  
 Holly Hill  
 Hollywood  
 Honea Path  
 Inman  
 Iva  
 Jamestown  
 Jefferson  
 Johnsonville  
 Johnston  
 Jonesville  
 Kershaw  
 Kingstree  
 Kline  
 Lake City  
 Lake View  
 Lamar  
 Lancaster  
 Landrum  
 Lane  
 Latta  
 Laurens  
 Leesville  
 Liberty  
 Livingston  
 Lockhart  
 Lodge  
 Loris  
 Lowndesville  
 Lowrys  
 Luray  
 Lynchburg  
 McBee  
 McColl  
 McConnells  
 McCormick  
 Manning  
 Marion  
 Mayesville  
 Megget  
 Monetta  
 Mount Carmel  
 Mount Croghan  
 Mullins  
 Neeses  
 Newberry  
 Nichols

Ninety Six  
 Norris  
 North  
 Norway  
 Olanta  
 Olar  
 Orangeburg  
 Pacolet Mills  
 Pageland  
 Pamplico  
 Parksville  
 Patrick  
 Paxville  
 Peak  
 Pendleton  
 Perry  
 Pickens  
 Pinewood  
 Plum Branch  
 Pomaria  
 Ravenel  
 Reevesville  
 Richburg  
 Ridgeland  
 Ridge Spring  
 Ridgeville  
 Rowesville  
 Ruby  
 St George  
 St Matthews  
 St Stephen  
 Salem  
 Salley  
 Saluda  
 Santee  
 Scranton  
 Sellers  
 Seneca  
 Sharon  
 Six Mile  
 Smyrna  
 Snelling  
 Society Hill  
 Springfield  
 Starr  
 Summerton  
 Sumter  
 Swansea  
 Sycamore  
 Tatum  
 Timmonsville  
 Trenton  
 Troy  
 Turbeville  
 Ulmer  
 Union  
 Vance  
 Varnville  
 Wagener  
 Walhalla  
 Walterboro  
 Ward  
 Ware Shoals  
 Waterloo  
 Westminster  
 West Union  
 Whitmire  
 Williams  
 Williamston  
 Williston  
 Windsor  
 Winnsboro  
 Woodford  
 Woodruff  
 Woodside  
 Yemassee  
 York

## South Dakota

Aberdeen  
 Agar  
 Akaska  
 Albee  
 Alexandria

Aplena  
 Altamont  
 Andover  
 Arlington  
 Armour

Artas  
 Artesian  
 Ashton  
 Astoria  
 Avon  
 Baltic  
 Bancroft  
 Batesland  
 Belle Fourche  
 Belvidere  
 Blunt  
 Bonesteel  
 Bowdle  
 Bradley  
 Brandt  
 Brentford  
 Bridgewater  
 Bristol  
 Britton  
 Broadland  
 Brookings  
 Bryant  
 Buffalo  
 Buffalo Gap  
 Burke  
 Butler  
 Camp Crook  
 Canistota  
 Canova  
 Carthage  
 Castlewood  
 Cavour  
 Centerville  
 Chamberlain  
 Claire City  
 Claremont  
 Clark  
 Clear Lake  
 Colome  
 Colton  
 Columbia  
 Conde  
 Corona  
 Corsica  
 Custer  
 Dallas  
 Davis  
 Delmont  
 De Smet  
 Dolton  
 Draper  
 Dupree  
 Eagle Butte  
 Eden  
 Egan  
 Elkton  
 Emery  
 Erwin  
 Ethan  
 Eureka  
 Fairburn  
 Fairfax  
 Faith  
 Farmer  
 Faulkton  
 Frankfort  
 Frederick  
 Freeman  
 Garden City  
 Gary  
 Geddes  
 Gettysburg  
 Glenham  
 Goodwin  
 Gregory  
 Grenville  
 Harrold  
 Hayti  
 Hazel  
 Hermosa  
 Herreid  
 Herrick  
 Hetland  
 Highmore  
 Hill City

Hitchcock  
 Hosmer  
 Hot Springs  
 Hoven  
 Howard  
 Hudson  
 Hurley  
 Huron  
 Interior  
 Ipswich  
 Iroquois  
 Isabel  
 Java  
 Jefferson  
 Kadoka  
 Kimball  
 Kranzburg  
 Lake Andes  
 Lake City  
 Lake Norden  
 Lake Preston  
 Lane  
 Langford  
 Lemmon  
 Leola  
 Lesterville  
 Letcher  
 Long Lake  
 McIntosh  
 McLaughlin  
 Madison  
 Marion  
 Martin  
 Marvin  
 Mellette  
 Menno  
 Midland  
 Miller  
 Mission  
 Mission Hill  
 Mitchell  
 Mobridge  
 Montrose  
 Morristown  
 Mound City  
 Mount Vernon  
 Murdo  
 Naples  
 New Effington  
 Newell  
 New Underwood  
 New Witten  
 Nunda  
 Oacoma  
 Oldham  
 Olivet  
 Onida  
 Orient  
 Ortleigh  
 Parker  
 Parkston  
 Peever  
 Perpont  
 Platte  
 Pollock  
 Presho  
 Pringle  
 Pukwana  
 Quinn  
 Ravinia  
 Redfield  
 Reliance  
 Revillo  
 Rockham  
 Roscoe  
 Roslyn  
 Roswell  
 St Francis  
 St Lawrence  
 Salem  
 Scotland  
 Selby  
 Seneca  
 Sherman  
 Sisseton

## Rhode Island

Central Falls  
 Newport

## South Carolina

Abbeville  
 Allendale  
 Andrews  
 Atlantic Beach  
 Aynor  
 Bamberg  
 Barnwell  
 Batesburg  
 Belton  
 Bennettsville  
 Bethune  
 Bishopville  
 Blacksburg  
 Blackville  
 Blenheim  
 Bluffton  
 Blytheville  
 Bonneau  
 Bowman  
 Branchville  
 Brunson  
 Burnetown  
 Calhoun Falls

Camden  
 Cameron  
 Campobello  
 Carlisle  
 Central  
 Central Pacolet  
 Chappells  
 Cheraw  
 Chesnee  
 Chester  
 Chesterfield  
 City View  
 Clinton  
 Clio  
 Clover  
 Conway  
 Cope  
 Cottageville  
 Coward  
 Cowpens  
 Cross Hill  
 Darlington  
 Denmark

McBee  
 McColl  
 McConnells  
 McCormick  
 Manning  
 Marion  
 Mayesville  
 Megget  
 Monetta  
 Mount Carmel  
 Mount Croghan  
 Mullins  
 Neeses  
 Newberry  
 Nichols







|                |                  |                  |                |                       |                 |
|----------------|------------------|------------------|----------------|-----------------------|-----------------|
| Groom          | Marin            | Ranger           | Streetman      | Levan                 | Parowan         |
| Groveton       | Marquez          | Raymondville     | Sudan          | Loa                   | Portage         |
| Gustine        | Mart             | Refugio          | Taft           | Logan                 | Santaquin       |
| Hale Center    | Mason            | Richland         | Tahoka         | Lynndyl               | Scipio          |
| Hamilton       | Matador          | Richland Springs | Talco          | Manti                 | Scofield        |
| Hamlin         | Mathis           | Rio Hondo        | Taylor         | Marysville            | Snowville       |
| Happy          | Maud             | Rising Star      | Teague         | Mayfield              | Soldier Summit  |
| Haskell        | Maypearl         | Roaring Springs  | Tehuacana      | Meadow                | South Salt Lake |
| Hearne         | Meadow           | Robstown         | Tenaha         | Midway                | Spring City     |
| Hedley         | Megargel         | Roby             | Terrell        | Milford               | Springville     |
| Hemphill       | Melvin           | Rochester        | Texline        | Mona                  | Sterling        |
| Hico           | Memphis          | Rocksprings      | Thorndale      | Moroni                | Stockton        |
| Hidalgo        | Menard           | Rocky Mound      | Thornton       | Mount Pleasant        | Toquerville     |
| Hillsboro      | Mercedes         | Rogers           | Thrall         | Myton                 | Torrey          |
| Holland        | Meridian         | Roma             | Throckmorton   | Nephi                 | Trenton         |
| Hondo          | Merkel           | Ropesville       | Timpson        | Newton                | Vernon          |
| Honey Grove    | Mertens          | Rosebud          | Tira           | Oakley                | Virgin          |
| Hubbard        | Mertzon          | Rose City        | Toco           | Ophir                 | Wales           |
| Hughes Springs | Mexia            | Rotan            | Tolar          | Panguitch             | Wallsburg       |
| Huntington     | Milano           | Round Top        | Toyah          |                       |                 |
| Hutto          | Miles            | Roxton           | Trenton        |                       |                 |
| Hredell        | Milford          | Royce City       | Trinidad       |                       |                 |
| Italy          | Mineola          | Rule             | Trinity        |                       |                 |
| Itasca         | Mineral Wells    | Runge            | Troup          |                       |                 |
| Jacksonville   | Mingus           | Rusk             | Tulia          |                       |                 |
| Jasper         | Montgomery       | Sabinal          | Turkey         |                       |                 |
| Jayton         | Moody            | St Jo            | Tuscola        |                       |                 |
| Jefferson      | Moore Station    | San Augustine    | Uvalde         |                       |                 |
| Joaquin        | Moran            | San Diego        | Valentine      |                       |                 |
| Johnson City   | Morgan           | San Felipe       | Valley Mills   |                       |                 |
| Junction       | Morton           | San Juan         | Van Alstyne    |                       |                 |
| Karnes City    | Moulton          | San Marcos       | Van Horn       |                       |                 |
| Kauhtnan       | Mount Calm       | San Patricio     | Vernon         |                       |                 |
| Kemp           | Mount Enterprise | San Perlita      | Vinton         |                       |                 |
| Kendleton      | Mullin           | San Saba         | Waelder        |                       |                 |
| Kenedy         | Munday           | Santa Anna       | Waxahachie     |                       |                 |
| Kennard        | Murchison        | Santa Rosa       | Weatherford    |                       |                 |
| Kerens         | Naples           | Schulenburg      | Weimar         |                       |                 |
| Kingsville     | Natalie          | Seadrift         | Weinert        |                       |                 |
| Kirbyville     | Navasota         | Seagraves        | Wellington     |                       |                 |
| Kirvin         | Nesbitt          | Seven Oaks       | Wells          |                       |                 |
| Knox City      | Newcastle        | Seven Points     | Westaco        |                       |                 |
| Kosse          | New Summerfield  | Seymour          | Westbrook      |                       |                 |
| Kress          | Neylandville     | Shamrock         | Westminster    |                       |                 |
| La Coste       | Nixon            | Shepherd         | West Mountain  |                       |                 |
| Ladonia        | Nocona           | Shiner           | Whitewright    |                       |                 |
| La Feria       | Nome             | Silsbee          | Whitney        |                       |                 |
| La Grange      | Nordheim         | Silverton        | Wills Point    |                       |                 |
| La Grulla      | Normangeo        | Sinton           | Windom         |                       |                 |
| La Joya        | Novice           | Slaton           | Winnaboro      |                       |                 |
| Lakeview       | Oakhurst         | Smiley           | Winters        |                       |                 |
| Lampasas       | Oakwood          | Smithville       | Wolfe City     |                       |                 |
| La Villa       | O'Brien          | Somerset         | Woodsboro      |                       |                 |
| La Ward        | O'Donnell        | Southmayd        | Woodson        |                       |                 |
| Lenkey         | Olton            | Spofford         | Woodville      |                       |                 |
| Leary          | Omaha            | Springlake       | Wortham        |                       |                 |
| Leona          | Ore City         | Spur             | Yantis         |                       |                 |
| Leonard        | Paducah          | Stamford         | Yoakum         |                       |                 |
| Lexington      | Paint Rock       | Sterling City    | Yorktown       |                       |                 |
| Linden         | Palacios         | Stockdale        | Zavalla        |                       |                 |
| Lipan          | Palmview         | Strawn           |                |                       |                 |
| Livingston     | Paris            |                  |                |                       |                 |
| Llano          | Pearsall         |                  |                |                       |                 |
| Lockart        | Pecan Gap        |                  |                |                       |                 |
| Lockney        | Pecos            |                  |                |                       |                 |
| Lometa         | Penelope         |                  |                |                       |                 |
| Lone Oak       | Petersburg       |                  |                |                       |                 |
| Lorraine       | Pilot Point      |                  |                |                       |                 |
| Lorena         | Pineland         |                  |                |                       |                 |
| Los Fresnos    | Pittsburg        |                  |                |                       |                 |
| Lott           | Point Blank      |                  |                |                       |                 |
| Lueders        | Port Isabel      |                  |                |                       |                 |
| Lufkin         | Post             |                  |                |                       |                 |
| Luling         | Poteet           |                  |                |                       |                 |
| Lyford         | Powell           |                  |                |                       |                 |
| Mabank         | Premont          |                  |                |                       |                 |
| McCamy         | Presidio         |                  |                |                       |                 |
| McGregor       | Primera          |                  |                |                       |                 |
| McKinney       | Progreso Lakes   |                  |                |                       |                 |
| McLean         | Putnam           |                  |                |                       |                 |
| Malakoff       | Quanah           |                  |                |                       |                 |
| Malone         | Queen City       |                  |                |                       |                 |
| Manor          | Quilaque         |                  |                |                       |                 |
| Marfa          | Ralls            |                  |                |                       |                 |
| Marion         | Rancho Viejo     |                  |                |                       |                 |
|                |                  | Alton            | Fillmore       | Abingdon              | Craigsville     |
|                |                  | Antimony         | Fountain Green | Accomac               | Crewe           |
|                |                  | Ballard          | Genola         | Alberta               | Culpeper        |
|                |                  | Beaver           | Glendale       | Appalachia            | Damascus        |
|                |                  | Bicknell         | Glenwood       | Appomattox            | Dendron         |
|                |                  | Big Water        | Green River    | Bedford               | Dillwyn         |
|                |                  | Blanding         | Gunnison       | Belle Haven           | Drakes Branch   |
|                |                  | Boulder          | Hatch          | Big Stone Gap         | Dungannon       |
|                |                  | Centerfield      | Heber          | Blacksburg            | Edinburg        |
|                |                  | Charleston       | Henefer        | Blackstone            | Emporia         |
|                |                  | Circleville      | Holden         | Bloxom                | Exmore          |
|                |                  | Cleveland        | Hurricane      | Boone Mill            | Farmville       |
|                |                  | Cornish          | Junction       | Boyce                 | Floyd           |
|                |                  | Deweyville       | Kamas          | Boykins               | Franklin        |
|                |                  | Elsinore         | Kanab          | Branchville           | Fries           |
|                |                  | Enterprise       | Kanarraville   | Brodnax               | Front Royal     |
|                |                  | Ephraim          | Kanosh         | Brookneal             | Galax           |
|                |                  | Escalante        | Kingston       | Buchanan              | Gate City       |
|                |                  | Eureka           | Koosharem      | Buena Vista           | Glade Spring    |
|                |                  | Fairview         | Laketown       | Burkeville            | Glasgow         |
|                |                  | Farr West        | Leeds          | Cape Charles          | Glen Lyn        |
|                |                  | Fayette          |                | Capron                | Gordonsville    |
|                |                  |                  |                | Charlotte Court House | Goshen          |
|                |                  |                  |                | Chase City            | Gretna          |
|                |                  |                  |                | Chatham               | Grundy          |
|                |                  |                  |                | Cheriton              | Halifax         |
|                |                  |                  |                | Chilhowie             | Hallwood        |
|                |                  |                  |                | Chincoteague          | Harriaburg      |
|                |                  |                  |                | Claremont             | Hayti           |
|                |                  |                  |                | Clarksville           | Honaker         |
|                |                  |                  |                | Cleveland             | Hurt            |
|                |                  |                  |                | Clifton Forge         | Independence    |
|                |                  |                  |                | Clinchport            | Iron Gate       |
|                |                  |                  |                | Clintwood             | Ivor            |
|                |                  |                  |                | Clover                | Jarratt         |
|                |                  |                  |                | Coeburn               | Jonestville     |
|                |                  |                  |                | Colonial Beach        | Keller          |
|                |                  |                  |                | Columbia              | Kenbridge       |
|                |                  |                  |                | Covington             | Keyaville       |

## Vermont

|                |                   |
|----------------|-------------------|
| Albany         | Newbury           |
| Alburg         | Newport           |
| Barre          | Northfield        |
| Barton         | North Troy        |
| Bellows Falls  | North Westminster |
| Bradford       | Orleans           |
| Bristol        | Perkinsville      |
| Cabot          | Pittsford         |
| Cambridge      | Plainfield        |
| Derby Center   | Poultney          |
| Derby Line     | Proctorville      |
| Enosburg Falls | Richford          |
| Hardwick       | Rutland           |
| Jacksonville   | St Albans         |
| Jeffersonville | Saxtons River     |
| Johnson        | Stowe             |
| Ludlow         | Swanton           |
| Lyndonville    | Waterbury         |
| Marshfield     | Wells River       |
| Milton         | West Burke        |
| Montpelier     | Westminster       |
| Morrisville    | Winooski          |

## Virginia

|                       |               |
|-----------------------|---------------|
| Abingdon              | Craigsville   |
| Accomac               | Crewe         |
| Alberta               | Culpeper      |
| Appalachia            | Damascus      |
| Appomattox            | Dendron       |
| Bedford               | Dillwyn       |
| Belle Haven           | Drakes Branch |
| Big Stone Gap         | Dungannon     |
| Blacksburg            | Edinburg      |
| Blackstone            | Emporia       |
| Bloxom                | Exmore        |
| Boone Mill            | Farmville     |
| Boyce                 | Floyd         |
| Boykins               | Franklin      |
| Branchville           | Fries         |
| Brodnax               | Front Royal   |
| Brookneal             | Galax         |
| Buchanan              | Gate City     |
| Buena Vista           | Glade Spring  |
| Burkeville            | Glasgow       |
| Cape Charles          | Glen Lyn      |
| Capron                | Gordonsville  |
| Charlotte Court House | Goshen        |
| Chase City            | Gretna        |
| Chatham               | Grundy        |
| Cheriton              | Halifax       |
| Chilhowie             | Hallwood      |
| Chincoteague          | Harriaburg    |
| Claremont             | Hayti         |
| Clarksville           | Honaker       |
| Cleveland             | Hurt          |
| Clifton Forge         | Independence  |
| Clinchport            | Iron Gate     |
| Clintwood             | Ivor          |
| Clover                | Jarratt       |
| Coeburn               | Jonestville   |
| Colonial Beach        | Keller        |
| Columbia              | Kenbridge     |
| Covington             | Keyaville     |



La Crosse  
Lawrenceville  
Lexington  
Luray  
McKenney  
Marion  
Martinsville  
Melfa  
Middleburg  
Mineral  
Monterey  
Mount Crawford  
Mount Jackson  
Narrows  
Nassawadox  
New Castle  
Newsoma  
Nickelville  
Norton  
Onancock  
Onley  
Orange  
Painter  
Pamplin City  
Parkley  
Pearisburg  
Pembroke  
Pennington Gap  
Phenix  
Pocahontas  
Port Royal  
Pound  
Puaski  
Quantico

Radford  
Rich Creek  
Richlands  
Round Hill  
Rural Retreat  
St Charles  
St Paul  
Saltville  
Saxis  
Scottsburg  
Scottsville  
Shenandoah  
South Boston  
South Hill  
Stanardsville  
Stanley  
Stony Creek  
Strauburg  
Stuart  
Tangier  
Tazewell  
The Plains  
Toms Brook  
Troutdale  
Troutville  
Victoria  
Virginia  
Wachapreague  
Wakefield  
Waverly  
Waynesboro  
Whitestone  
Woodstock  
Wytheville

#### Washington

Aberdeen  
Airway Heights  
Arlington  
Asotin  
Battle Ground  
Bingen  
Black Diamond  
Brewster  
Bridgeport  
Buckley  
Bucoda  
Camas  
Carnation  
Cashmere  
Castle Rock  
Cathlamet  
Centralia  
Chehalis  
Chelan  
Cheney  
Chewelah  
Clarkston  
Cle Elum  
Colville  
Conconully  
Concrete  
Connell  
Cosmopolis  
Coulee City  
Cusick  
Darrington  
Dayton  
Deer Park  
Duvall  
East Wenatchee  
Eatonville  
Ellensburg  
Elma  
Entiat  
Everson  
Farmington  
George  
Gold Bar  
Goldendale  
Grand Coulee  
Grandview  
Granger  
Granite Falls  
Hamilton

Harrah  
Hartline  
Hoquiam  
Ilwaco  
Index  
Ione  
Kahlotus  
Kelso  
Kettle Falls  
Kittitas  
Krupp  
La Conner  
Langley  
Latah  
Lavenworth  
Lind  
Long Beach  
Lyman  
Lynden  
Mabton  
McCleary  
Malden  
Mansfield  
Marcus  
Mattawa  
Medical Lake  
Mesa  
Metaline  
Metaline Falls  
Millwood  
Monroe  
Montesano  
Morton  
Moses Lake  
Mosserock  
Mount Vernon  
Moxee City  
Naches  
Nespelem  
Newport  
Nooksack  
North Bonneville  
Northport  
Oakville  
Okanogan  
Omak  
Oroville  
Orting  
Othello

Pateros  
Port Angeles  
Port Orchard  
Port Townsend  
Prescott  
Pullman  
Puyallup  
Quincy  
Rainier  
Raymond  
Republic  
Ridgefield  
Ritzville  
Riverside  
Rockford  
Rock Island  
Roslyn  
Roy  
Royal City  
Sedro Woolley  
Sequim  
Shelton  
Skykomish  
Snohomish  
Soap Lake  
South Bend  
South Cle Elum  
South Prairie  
Sprague  
Springdale

#### West Virginia

Addison  
Albright  
Alderson  
Anawalt  
Anmoore  
Ansted  
Athens  
Auburn  
Bancroft  
Barboursville  
Barrackville  
Bath  
Bayard  
Beckley  
Beech Bottom  
Belington  
Benwood  
Bethany  
Beverly  
Blacksville  
Bluefield  
Bradshaw  
Bramwell  
Bruceton Mills  
Buckhannon  
Buffalo  
Burnsville  
Cairo  
Camden-on-Gauley  
Cameron  
Capon Bridge  
Cass  
Cedar Grove  
Ceredo  
Chapmanville  
Charles Town  
Chesapeake  
Chester  
Clarksburg  
Clay  
Clendenin  
Cowen  
Danville  
Davis  
Davy  
Delbarton  
Dunbar  
Durbin  
East Bank  
Eleanor  
Elizabeth  
Elk Garden  
Elkins

Stanwood  
Starbuck  
Sultan  
Sumas  
Sumner  
Sunnyside  
Tenino  
Tieton  
Toledo  
Tonasket  
Toppenish  
Twisp  
Union Gap  
Vader  
Walla Walla  
Wapato  
Warden  
Washougal  
Washtucna  
Wenatchee  
Westport  
Wilkeson  
Wilson Creek  
Winlock  
Winthrop  
Woodland  
Yacolt  
Yelm  
Zillah

Fairmont  
Fairview  
Falling Springs  
Farmington  
Fayetteville  
Flatwoods  
Flemington  
Follensbee  
Fort Gay  
Franklin  
Friendly  
Gary  
Gassaway  
Gauley Bridge  
Gilbert  
Glenville  
Crafton  
Grantsville  
Grant Town  
Granville  
Hambleton  
Hamlin  
Handley  
Harman  
Harrisville  
Hartford City  
Hedgesville  
Henderson  
Hendricks  
Hillsboro  
Hinton  
Hundred  
Huttonsville  
Jaeger  
Jane Lew  
Junior  
Kenova  
Kermit  
Keyser  
Keystone  
Kimball  
Kingwood  
Layopolis  
Leon  
Lester  
Lewisburg  
Littleton  
Logan  
Lost Creek  
Lumberport  
McMechen  
Man  
Mannington

Marlinton  
Marmet  
Martinsburg  
Mason  
Masantown  
Matewan  
Matoaka  
Meadow Bridge  
Middlebourne  
Mill Creek  
Milton  
Monongah  
Montgomery  
Montrose  
Moorefield  
Morgantown  
Moundsville  
Mount Hope  
Mullens  
Newburg  
New Cumberland  
New Haven  
New Martinsville  
Nitro  
Northfork  
Nutter Fort  
Oakvale  
Oceana  
Osage  
Paden City  
Parsons  
Paw Paw  
Pax  
Pennsboro  
Petersburg  
Petersburg  
Phillippi  
Piedmont  
Pine Grove  
Pineville  
Point Pleasant  
Princeton  
Pullman  
Rainelle  
Ranson  
Reedsville  
Reedy

Rhodell  
Richwood  
Ridgeley  
Rivesville  
Romney  
Roncove  
Rowlesburg  
Rupert  
St. Marys  
Salem  
Shepherdstown  
Shinnston  
Sistersville  
Smithers  
Smithfield  
Sophia  
South Charleston  
Spencer  
Star City  
Stonewood  
Summersville  
Sutton  
Terra Alta  
Thomas  
Thurmond  
Triadelphia  
Tunnelton  
Union  
Valley Grove  
Vienna  
War  
Wardensville  
Wayne  
Welch  
Wellsburg  
West Hamlin  
West Liberty  
West Logan  
West Milford  
Weston  
Westover  
West Union  
White Sulphur Springs  
Whitesville  
Williamson  
Womelsdorff  
Worthington

#### Wisconsin

Abbotsford  
Algoma  
Alma  
Alma Center  
Almond  
Amery  
Amherst Junction  
Aniwa  
Antigo  
Arcadia  
Arena  
Ashland  
Athens  
Augusta  
Avoca  
Bagley  
Balsam Lake  
Baraboo  
Barneveld  
Bayfield  
Bear Creek  
Beaver Dam  
Big Falls  
Birchwood  
Black Creek  
Black River Falls  
Blair  
Blanchardville  
Bloomer  
Bloomington  
Blue River  
Boscobel  
Bowler  
Boyceville  
Boyd  
Brandon

Brokaw  
Bruce  
Butternut  
Cable  
Cadott  
Cambria  
Cambridge  
Campbellsport  
Camp Douglas  
Cascade  
Castro  
Cashton  
Cassville  
Catawba  
Cazenovia  
Centuria  
Chaseburg  
Chilton  
Chippewa Falls  
Clayton  
Clear Lake  
Clinton  
Clintonville  
Clyman  
Cochrane  
Colby  
Coleman  
Coloma  
Conrath  
Coon Valley  
Cornell  
Coudray  
Crandon  
Crivitz  
Curtiss  
Dallas



Darlington  
Delavan  
De Soto  
Dodgeville  
Downing  
Doylestown  
Durand  
Eagle River  
Eastman  
Egg Harbor  
Elderon  
Eleva  
Elroy  
Endeavor  
Ettrick  
Exeland  
Fairchild  
Fairwater  
Fall River  
Fennimore  
Fenwood  
Fond Du Lac  
Footville  
Fountain City  
Fox Lake  
Francis Creek  
Frederic  
Fremont  
Galesville  
Gays Mills  
Genoa  
Gillett  
Gilman  
Glenbeulah  
Glenwood City  
Granton  
Grantsburg  
Gratiot  
Greenwood  
Hancock  
Hartford  
Hatley  
Hawkins  
Hayward  
Highland  
Hillsboro  
Hixton  
Hortonville  
Hurley  
Hustler  
Independence  
Ingram  
Iola  
Iron Ridge  
Ironton  
Jefferson  
Johnson Creek  
Juneau  
Kaukauna  
Kekoskee  
Kennan  
Kewaunee  
Kingston  
Lac La Belle  
Ladysmith  
La Farge  
Lake Delton  
Lake Mills  
Lancaster  
La Valle  
Lena  
Lime Ridge  
Linden  
Loganville  
Lohrville  
Lone Rock  
Lowell  
Loyal  
Lublin  
Luck  
Lyndon Station  
Maiden Rock  
Manawa  
Manitowoc  
Maribel

Marinette  
Marion  
Markesan  
Marquette  
Mason  
Mattoon  
Mauston  
Mellen  
Melrose  
Melvina  
Menomonie  
Merrill  
Merrillan  
Merrimac  
Milladore  
Milltown  
Mineral Point  
Minong  
Mondovi  
Montello  
Montreal  
Mosinee  
Mount Calvary  
Mount Hope  
Musceda  
Necedah  
Neillsville  
Nelson  
Nelsoville  
Neshkoro  
New Auburn  
New Lisbon  
New London  
Niagara  
Nichols  
North Freedom  
Norwalk  
Oconto  
Oconto Falls  
Ogdensburg  
Ontario  
Osseo  
Owen  
Oxford  
Park Falls  
Patch Grove  
Pepin  
Phillips  
Pittsville  
Platteville  
Poplar  
Portage  
Potosi  
Potter  
Pound  
Poynette  
Prairie Du Chien  
Prairie Farm  
Prentice  
Princeton  
Radisson  
Readstown  
Redgranite  
Reedsburg  
Reeseville  
Rewey  
Rhinelander  
Rib Lake  
Richland Center  
Ridgeway  
Rio  
Ripon  
River Falls  
Rockland  
Rock Springs  
Rosbalt  
St Nazianz  
Scandinavia  
Schofield  
Seymour  
Sheldon  
Shell Lake  
Shiocton  
Siren  
Slinger

Soldiers Grove  
Solon Springs  
South Wayne  
Sparta  
Spencer  
Spooner  
Stanley  
Steuben  
Stevens Point  
Stockholm  
Stoddard  
Stratford  
Strum  
Sullivan  
Superior  
Suring  
Taylor  
Thorp  
Tigerton  
Tomah  
Tony  
Trempealeau  
Turtle Lake  
Two Rivers  
Unity  
Viola

Afton  
Albin  
Big Piney  
Clearmont  
Cokeville  
East Thermopolis  
Fort Laramie  
Frannie  
Hulet

American Samoa  
Northern Marianas  
Islands

### Part III

The following list contains the names of those small cities which meet the current minimum standards of physical and economic distress but which did not meet the standards as of the February 13, 1984 Notice.

Belk  
Berry  
Brookside  
Decatur  
Fayette  
Foey  
Grimes  
Kinsey  
Millbrook  
Monroeville  
Morris

Fairbanks  
Hydaburg

Eagar  
Flagstaff

Cherry Valley  
Corinth  
Crossett  
Fifty Six  
Fountain Hill  
Fouchie  
Friendship  
Heber Springs

Viroqua  
Walworth  
Warrens  
Washburn  
Watertown  
Waupaca  
Wausaukee  
Wautoma  
Webster  
West Baraboo  
Westby  
Westfield  
Weyauwega  
Weyerhaeuser  
Wheeler  
Whitehall  
White Lake  
Whitewater  
Wild Rose  
Wilton  
Winter  
Withee  
Wonewoc  
Woodman  
Wyocena  
Yuba

### Wyoming

La Grange  
Laramie  
Meeteetse  
Riverside  
Rock River  
Thayne  
Van Tassel  
Yoder

### Outlying Areas

### Alabama

Riverview  
Robertsdale  
Shilo  
Silverhill  
Taylor  
Valley City  
Warrior  
Webb  
Wilmer  
Wilsonville

### Alaska

Kasaan  
King Cove

### Arizona

Predonia

### Arkansas

Hickory Ridge  
Jerome  
Jonesboro  
London  
Russellville  
Stuttgart  
Weiner  
Wynne

### California

Alturas  
Chino  
Colton  
Loma Linda  
Mount Shasta  
Yreka

### Colorado

Akron  
Bonanza City  
Cheraw  
Creede  
Crested Butte  
Fleming  
Genoa  
Grand Junction  
Hotchkiss  
Johnstown  
Manitou Springs  
Nucla  
Paonia  
Parachute  
Pitkin  
Poncha Springs  
Prospect Heights  
Red Cliff  
Silt  
Swink

### Delaware

New Castle

### Florida

Auburndale  
Belle Glade  
Grand Ridge  
Greenwood  
Marineland  
Opalocka  
Pomona Park  
Sebring  
Sneads  
Weeki Wachee Springs

### Georgia

Alston  
Blairsville  
Buford  
Calhoun  
Clarksburg  
Commerce  
Corinth  
Garfield  
Ila  
Jesup  
Jonesboro  
Milan  
Morgan  
Oak Park  
Pine Mountain  
Plainville  
Resaca  
Russell  
Sharpsburg  
Soperton  
Statesboro  
Swainsboro  
Tallulah Falls  
Turin  
Twin City  
Valdosta  
Vidalia  
Walnut Grove

### Idaho

American Falls  
Atomic City  
Blackfoot  
Bloomington  
Caldwell  
Cambridge  
Drummond  
Georgetown  
Greenleaf  
Hailey  
Juliaetta  
Malad City  
Middleton  
Montpelier  
Onaway  
Paris  
Pinehurst  
Placerville  
Pocatello  
Preston  
St Charles  
Spencer  
Twin Falls  
Warm River

### Illinois

Albers  
Allendale  
Alpha  
Allamont  
Amboy  
Andover  
Ashmore  
Ashton  
Assumption  
Atlanta  
Avison  
Basco  
Beardstown  
Bement  
Bethany  
Bigsville  
Blue Mound  
Bradford  
Braidwood  
Broadlands  
Bushnell  
Cambridge  
Canton  
Chester  
Cisco  
Cissna Park  
Coffeen  
Coleta  
Congerville  
Coulterville  
Dallas City  
Dalzell  
De Land  
Dixmoor  
Dixon  
Dunfermline  
East Dubuque  
Edinburg  
El Dara  
Elliott  
El Paso  
Elvaston  
Emden  
Ferris  
Forest Park  
Franklin Grove  
Gays  
Glasford



|               |                   |                |              |             |                |
|---------------|-------------------|----------------|--------------|-------------|----------------|
| Glasgow       | Parkersburg       | Lake View      | Redfield     | Grand Haven | New Lothrop    |
| Golf          | Paxton            | Lakota         | Riceville    | Holly       | Reese          |
| Hamilton      | Pearl City        | La Porte City  | Rolfe        | Howell      | Richmond       |
| Hammond       | Percy             | Malcom         | Rowan        | Kaleva      | Sand Lake      |
| Hanna City    | Perry             | Marengo        | Rowley       | Kent City   | South Rockwood |
| Hammon        | Pierro            | Marshalltown   | Ruthven      | Kingston    | Sparta         |
| Hartsburg     | Pittsfield        | Maurice        | Ryan         | Lowell      | Spring Lake    |
| Hindsboro     | Pold              | Maynard        | Sac          | Manchester  | Sturgis        |
| Hooppole      | Pontoonac         | Medapolis      | Schaller     | Mattawan    | Tecumseh       |
| Irvington     | Posen             | Melbourne      | Shambaugh    | Mayville    | Wayne          |
| Jacksonville  | Prairie Du Rocher | Menlo          | Sheldahl     | Melvindale  | Zeeland        |
| Kincaid       | Ransom            | Meservey       | Sherrill     | Montrose    | Zilwaukee      |
| Kingston Minn | Raritan           | Minburn        | Soldier      | Negaunee    |                |
| Lacon         | Rochelle          | Mitchell       | Stanley      |             |                |
| La Fayette    | Rock Falls        | Moorhead       | State Center |             |                |
| Lakemoor      | Roma              | Mount Pleasant | Stockport    |             |                |
| La Motte      | Ste Marie         | Nemaha         | Stockton     |             |                |
| Lanark        | Seaton            | New Hartford   | Sutherland   |             |                |
| La Rose       | Sibley            | North English  | Swea City    |             |                |
| Latham        | South Roxana      | Odebolt        | Walnut       |             |                |
| Lerna         | South Wilmington  | Olin           | Waukon       |             |                |
| Lincoln       | Sparta            | Oneida         | Wayland      |             |                |
| Little York   | Spring Valley     | Orchard        | Westgate     |             |                |
| Lumax         | Steward           | Osterdock      | West Union   |             |                |
| Long Creek    | Stickney          | Piano          | Winthrop     |             |                |
| Macon         | Stockton          | Quasqueton     | Woolstock    |             |                |
| Mansfield     | Stone Park        | Randall        |              |             |                |
| Marengo       | Stonington        |                |              |             |                |
| Marine        | Strasburg         |                |              |             |                |
| Markham       | Stronghurst       |                |              |             |                |
| Mattoon       | Sublette          |                |              |             |                |
| Medora        | Sullivan          |                |              |             |                |
| Melrose Park  | Sun River Terrace |                |              |             |                |
| Mendota       | Taylorville       |                |              |             |                |
| Meredosia     | Tilden            |                |              |             |                |
| Minonk        | Time              |                |              |             |                |
| Morrisonville | Toledo            |                |              |             |                |
| Mount Carmel  | Tovey             |                |              |             |                |
| Naples        | Troy Grove        |                |              |             |                |
| Nashville     | Villa Grove       |                |              |             |                |
| Nelson        | Wapella           |                |              |             |                |
| New Bedford   | Warren            |                |              |             |                |
| Niantic       | Warsaw            |                |              |             |                |
| North Utica   | Washburn          |                |              |             |                |
| Okawville     | Wenona            |                |              |             |                |
| Owaneco       | Winslow           |                |              |             |                |

|                      |                  |                  |              |                  |                |
|----------------------|------------------|------------------|--------------|------------------|----------------|
| <b>Indiana</b>       |                  | <b>Kansas</b>    |              | <b>Minnesota</b> |                |
| Akron                | North Manchester | Agra             | Kinsley      | Appleton         | Heidelberg     |
| Amo                  | Patoka           | Alma             | Liberty      | Becker           | Hendrum        |
| Bluffton             | Poneto           | Beloit           | Linwood      | Benson           | Hermantown     |
| Boswell              | Princeton        | Brookville       | Little River | Big Lake         | Hibbing        |
| Columbus             | Rocky Ripple     | Bunker Hill      | Long Island  | Bird Island      | Isanti         |
| Country Club Heights | Sheridan         | Burr Oak         | Manhattan    | Bricelyn         | Isle           |
| Elberfeld            | Spring Hills     | Cottonwood Falls | Neodesha     | Buhl             | Ivanhoe        |
| Fort Branch          | Spurgeon         | Culver           | Nickerson    | Butterfield      | Kasota         |
| Hazleton             | Stanton          | Dearing          | Olpe         | Clara City       | Keewatin       |
| Lakeville            | Vera Cruz        | Deerfield        | Osborne      | Cleveland        | LaFayette      |
| Millhouses           | Warren           | Emmett           | Quinter      | Climax           | Le Center      |
| New Richmond         | Westport         | Eskridge         | Raymond      | Coleraine        | Leitchfield    |
|                      |                  | Everest          | Rozel        | Crookston        | Lyle           |
|                      |                  | Garnett          | Rush Center  | Crosslake        | Milaca         |
|                      |                  | Goodland         | Sabetha      | Darwin           | Minnesota Lake |
|                      |                  | Gorham           | Salanta      | Dassel           | Montevideo     |
|                      |                  | Grinnell         | Scott City   | Easton           | Montgomery     |
|                      |                  | Gypsum           | Seward       | Elizabeth        | Morristown     |
|                      |                  | Hanston          | Sooth Haven  | Ellsworth        | Morton         |
|                      |                  | Harveyville      | Stark        | Elrosa           | New Prague     |
|                      |                  | Herndon          | Stockton     | Elysian          | Osakis         |
|                      |                  | Hoxie            | Syracuse     | Eveleth          | Pease          |
|                      |                  | Hatchinson       | Tipton       | Fountain         | Princeton      |
|                      |                  | Iola             | Tribuna      | Foxhome          | Sleepy Eye     |
|                      |                  | Jetmore          | Valley Falls | Franklin         | Storden        |
|                      |                  | Kensington       | Zenda        | Franklin         | Tenney         |
|                      |                  |                  |              | Good Thunder     | Utica          |
|                      |                  |                  |              | Grand Rapids     | Wahkon         |
|                      |                  |                  |              | Grove City       | Waterville     |
|                      |                  |                  |              | Hartland         | Winnebago      |
|                      |                  |                  |              | Hector           | Zumbro Falls   |

|                 |                   |                    |            |
|-----------------|-------------------|--------------------|------------|
| <b>Kentucky</b> |                   | <b>Mississippi</b> |            |
| Burnside        | Slaughterville    | Baldwyn            | Mendenhall |
| Caseyville      | Sturgis           | Booneville         | Oxford     |
| Centertown      | Uniontown         | Caledonia          | Pachuta    |
| Hanson          | Walton            | Enterprise         | Ripley     |
| Henderson       | Warfield          | Fulton             | Saltville  |
| Mackville       | Whitesville       | Hatley             | Sylarena   |
| Madisonville    | Williamstown      | Jumpertown         | Tillatoba  |
| Morganfield     | Wingo             | Lake               | Tremont    |
| Nortonville     | Woodburn          | Liberty            | Tupela     |
| Park Hills      | Woodlawn          | Magee              | Verona     |
| Powderly        | Worthington       | Marion             | Waveland   |
| Seabree         | Worthington Hills |                    |            |

|                  |                |                 |               |
|------------------|----------------|-----------------|---------------|
| <b>Louisiana</b> |                | <b>Missouri</b> |               |
| Athens           | Loreauville    | Bagnell         | Marceline     |
| Baldwin          | Mormontau      | Bosworth        | Mendon        |
| Breaux Bridge    | Mooringport    | Bourbon         | Missouri City |
| Covington        | Morgan City    | Brashear        | Monett        |
| Estherwood       | Norwood        | Cairo           | Monroe City   |
| Ida              | St Martinville | Cape Girardeau  | Monticello    |
|                  |                | Cave            | Mooresville   |
|                  |                | Center          | New London    |
|                  |                | Cowgill         | Palmyra       |
|                  |                | Deerfield       | Peasant Hill  |
|                  |                | Edgar Springs   | Renick        |
|                  |                | Ellington       | Rock Port     |
|                  |                | Frohna          | Rushville     |
|                  |                | Gibbs           | St Cloud      |
|                  |                | Hawk Point      | Salisbury     |
|                  |                | Hermitage       | Sugar Creek   |
|                  |                | High Hill       | Sunrise Beach |
|                  |                | Knob Noster     | Tipton        |
|                  |                | Laclede         | Troy          |
|                  |                | Leonard         | Upland Park   |
|                  |                | Lincoln         | Wheeling      |
|                  |                | McKittrick      | Wooldridge    |

|                 |              |
|-----------------|--------------|
| <b>Michigan</b> |              |
| Armada          | Coopersville |
| Brooklyn        | Elsie        |
| Burton          | Free Soil    |
| Chesaning       | Fruitport    |

|             |            |
|-------------|------------|
| <b>Iowa</b> |            |
| Allison     | Elgin      |
| Alta Vista  | Elkader    |
| Altam       | Emerson    |
| Ames        | Epworth    |
| Arthur      | Evansdale  |
| Audubon     | Farley     |
| Bancroft    | Farmington |
| Benton      | Ferguson   |
| Bernard     | Floyd      |
| Birmingham  | Galva      |
| Bristow     | George     |
| Bronson     | Gilman     |
| Cascade     | Greene     |
| Centralia   | Guernsey   |
| Chariton    | Hartley    |
| Clarksville | Hartwick   |
| Cleghorn    | Haverhill  |
| Coppock     | Hazleton   |
| Creston     | Ida Grove  |
| Dayton      | Kanawha    |
| Donnan      | Keosauqua  |
| Edgewood    | Kimballton |



**Montana**

Cot Bank  
Forsyth  
Fort Benton  
Glasgow

Livingston  
Plentywood  
Richey  
Superior

**Nebraska**

Amherst  
Anselmo  
Bassett  
Bloomfield  
Brainard  
Bruning  
Carroll  
Chester  
Davenport  
David City  
Dickens  
Edison  
Emerson  
Fairmont  
Fullerton  
Grafton  
Hayes Center  
Henry  
Lyons  
Magnet  
Meadow Grove  
Milligan

Nora  
North Bend  
Orchard  
Osceola  
Oshkosh  
Paxton  
Pender  
Petersburg  
Platte Center  
Rockville  
Rogers  
St Helena  
Schuyler  
Spencer  
Stamford  
Sutton  
Swanton  
Venango  
Verdon  
Virginia  
Waco  
Wood Lake

**Nevada**

North Las Vegas  
Winnemucca

**New Hampshire**

Claremont  
Concord

**New Jersey**

Hi-Nella

**New Mexico**

Alamogordo  
Eagle Nest

Grants

**New York**

Ames  
Arkport  
Bemus Point  
Brocton  
Cherry Valley  
Corning  
Dering Harbor  
Forestville  
Gainesville  
Lindenhurst

Lyndonville  
Mayville  
North Hornell  
Palmyra  
Panama  
Sinclairville  
Sodus Point  
South Corning  
Windsor  
Wyoming

**North Carolina**

Beargrass  
Canton  
Carthage  
Drexel  
Elon College  
Faith  
Fallston  
Gibsonville

Greenville  
Grifton  
Mesic  
Newton Grove  
Pantego  
Ramseur  
Seagrove  
Selma

**North Dakota**

Arthur  
Balfour  
Balta  
Bantry  
Bergen  
Bucyrus  
Cayuga  
Dazey  
Fullerton  
Hunter  
Larimore  
Marion  
Merricourt

Mountain  
Mylo  
New Rockford  
Niagara  
Oakes  
Palermo  
Perth  
Ross  
Steele  
Tolna  
Woodworth  
Wyndmere

**Ohio**

Aquilla  
Baltic  
Baltimore  
Bellevue  
Berlin Heights  
Blanchester  
Brookside  
Bryan  
Burbank  
Bulterville  
Carroll  
Castalia  
Cheviot  
Columbiana  
Creston  
Cygnet  
Dahon  
Defiance  
Delta  
East Sparta  
Eldorado  
Findlay  
Florida  
Fort Jennings  
Fort Laramie  
Frankfort  
Garfield Heights  
Genoa  
Gilboa  
Glandorf  
Gloria Glens Park  
Hamler  
Haskins  
Helena  
Jacksonville  
Johnstown  
Lebanon  
Leesville  
Lodi  
Loudonville  
Luckey

Marshallville  
Metamora  
Middlefield  
Milford  
Miller City  
Millersport  
Mingo Junction  
Mount Blanchard  
Mutual  
New Knoxville  
New Madison  
New Philadelphia  
New Vienna  
New Weston  
Ney  
North Bend  
Norwalk  
Oak Harbor  
Octa  
Ottawa  
Patterson  
Payne  
Pleasantville  
Prospect  
Rawson  
Rockford  
Rushville  
Russia  
Shadyside  
Spencer  
Sugar Grove  
Summitville  
Tontogany  
Walbridge  
42 West Salem  
West Unity  
Wilmington  
Woodstock  
Wooster  
Xenia  
Yorkshire

**Oklahoma**

Armstrong  
Blackburn  
Broken Bow  
Cherokee  
Cooperton  
Erin Springs  
Fallis  
Gans  
Garvin  
Locust Grove  
Loveland  
Meeker  
Millerton

Muldrow  
New Alluwe  
Pocola  
Sallisaw  
Shady Grove  
Sparks  
Sugden  
Summit  
Thackerville  
Tushka  
Valliant  
Verden

**Oregon**

Adrian  
Aurora  
Bandon  
Bend  
Burns  
Canyon City  
Clatskanie  
Depoe Bay  
Donald  
Dufur  
Granite  
Helix  
Hines  
Hubbard  
Independence  
Jacksonville  
Jefferson

Lakeside  
Lakeview  
Madras  
Metolius  
North Bend  
Ontario  
Pendleton  
Phoenix  
Roseburg  
St. Paul  
Silverton  
Sumpter  
Toledo  
Turner  
Veneta  
Woodburn

**Pennsylvania**

Beavertown  
Bloomfield  
Bonneauville  
Brisbin  
Brownstown

Bruin  
Chicora  
Dover  
East Butler  
East Washington

Evans City  
Hummelstown  
Lilly  
Mount Holly Springs  
Mount Wolf  
New Baltimore  
New Beaver  
New Bethlehem  
New Eagle  
New Oxford  
New Wilmington  
Picture Rocks  
Pillow

Richland  
Roseto  
Salingsgrove  
Somerset  
Summerhill  
Telford  
Townville  
Troutville  
Tunnelhill  
Valley Hi  
Wheatland  
Woodcock

**South Carolina**

Aynor  
Bonneau  
Conway  
Honea Path  
Landrum

Norris  
Santee  
Sharon  
Six Mile  
Smyrna

**South Dakota**

Albee  
Arlington  
Butler  
Cavour  
Corsica  
Farmer  
Freeman  
Harold  
Hudson  
Kodoka

Mound City  
Onida  
Redfield  
Roslyn  
Roswell  
Salem  
Sturgis  
Vilas  
Warner

**Tennessee**

Ardmore  
Cedar Hill  
Columbia  
Cross Plains  
Eagleville  
Gallatin  
Jackson  
Lakewood

Maryville  
Michie  
Millersville  
Portland  
Sevierville  
Townsend  
West Moreland

**Texas**

Aransas Pass  
Athens  
Bishop  
Blossom  
Bronson  
Burke  
Coffee City  
Corrigan  
Crystal Beach  
Diboll  
Eagle Lake  
El Campo  
Hale Center  
Huntington  
Jasper  
Johnson City  
Kenedy  
Kirbyville  
Livingston

Lufkin  
Mineola  
Murchison  
Nome  
O'Brien  
Pittsburg  
Point Blank  
Post  
San Felipe  
San Patricio  
Seadrift  
Seagraves  
Seven Points  
Silasbee  
Tuscola  
Weinert  
Wells  
Woodville

**Utah**

Blanding  
Farr West  
Glendale  
Henefer  
Kamas  
Kanab

Loa  
Marysvalle  
Oakley  
Soldier Summit  
Springville

**Vermont**

Saxtons River

**Virginia**

Belle Haven  
Big Stone Gap  
Bloxom  
Boyce  
Culpeper

Floyd  
Hurt  
Pound  
Rich Creek  
Stuart



|                      |                  |                 |                  |                    |                       |
|----------------------|------------------|-----------------|------------------|--------------------|-----------------------|
| <b>Washington</b>    |                  | <b>Colorado</b> |                  | <b>Mississippi</b> |                       |
| Langley              | Medical Lake     | Cheyenne Wells  |                  | Iuka               | Lake Center           |
| Leavenworth          | Mount Vernon     |                 | <b>Florida</b>   |                    | <b>Missouri</b>       |
| Lynden               | South Cle Elum   | Bell            | Tavares          | Foristell          | Lakeside              |
| McCleary             | Wenatchee        |                 |                  | Hermann            | East Kansas City      |
|                      |                  |                 | <b>Georgia</b>   | Lake Ozark         | West Line             |
|                      |                  |                 | Royston          |                    | <b>Montana</b>        |
| <b>West Virginia</b> |                  |                 |                  | Roundup            |                       |
| Bath                 | Kingwood         | Garden City     | <b>Idaho</b>     |                    | <b>Nebraska</b>       |
| Cairo                | Leon             | McIntyre        | Ferdinand        | Alvo               | Haigler               |
| Chesapeake           | Montrose         |                 |                  | Dixon              | Newport               |
| East Bank            | New Haven        |                 | <b>Illinois</b>  |                    | <b>Nevada</b>         |
| Eleanor              | New Martinsville |                 | Olney            | Carlin             |                       |
| Huttonsville         | West Logan       |                 | Phillipstown     |                    | <b>New Jersey</b>     |
| Jane Lew             |                  |                 | Sadorus          | Cape May Point     | Hackensack            |
|                      |                  |                 | Salem            | Collingswood       | Pitman                |
|                      |                  |                 |                  | Dover              | Victory Gardens       |
|                      |                  |                 | <b>Indiana</b>   | East Rutherford    | Woodbury              |
|                      |                  |                 | Rockville        | Fairview           |                       |
|                      |                  |                 | Sellersburg      |                    | <b>New Mexico</b>     |
|                      |                  |                 | Silver Lake      |                    |                       |
|                      |                  |                 | Pines            |                    | <b>New York</b>       |
|                      |                  |                 |                  | Jemez Springs      | Highland Falls        |
|                      |                  |                 | <b>Iowa</b>      |                    | Livonia               |
|                      |                  |                 | Macksburg        | Avon               | Mechanicville         |
|                      |                  |                 | Madrid           | Blasdel            | Millford              |
|                      |                  |                 | Marysville       | Brewster           | Morris                |
|                      |                  |                 | Missouri Valley  | Canandaigua        | New York Mills        |
|                      |                  |                 | Nichols          | Candor             | Port Chester          |
|                      |                  |                 | Oyens            | Cuba               | Seneca Falls          |
|                      |                  |                 | Pacific Junction | Dannemora          | Wampsville            |
|                      |                  |                 | Searsboro        | East Aurora        | Woodridge             |
|                      |                  |                 | South English    | Endicott           |                       |
|                      |                  |                 | Thurman          | Fabius             |                       |
|                      |                  |                 | Vail             |                    | <b>North Carolina</b> |
|                      |                  |                 | Valeria          |                    | Beech Mountain        |
|                      |                  |                 |                  |                    | Newton                |
|                      |                  |                 | <b>Kansas</b>    |                    | <b>North Dakota</b>   |
|                      |                  |                 | Moline           | Beach              | Kenmare               |
|                      |                  |                 | Olivet           | Bowbells           | Mayville              |
|                      |                  |                 | Pawnee Rock      | Crosby             | Oberon                |
|                      |                  |                 | Phillipsburg     |                    | <b>Ohio</b>           |
|                      |                  |                 | Protection       | Antioch            | North Fairfield       |
|                      |                  |                 | St John          | Commercial Point   | Ostrander             |
|                      |                  |                 | West Mineral     | Dellroy            | Rochester             |
|                      |                  |                 |                  | Fairborn           | Shawnee Hills         |
|                      |                  |                 |                  | Moscow             |                       |
|                      |                  |                 | <b>Kentucky</b>  |                    | <b>Oklahoma</b>       |
|                      |                  |                 | Washington       | Binger             | Fort Cobb             |
|                      |                  |                 | Whipps Milgate   | Canton             | Fort Supply           |
|                      |                  |                 |                  | Capron             | Lindsay               |
|                      |                  |                 |                  | Cheyenne           | Nicomar Park          |
|                      |                  |                 |                  | Collinsville       | Perry                 |
|                      |                  |                 |                  | Cyril              | Skiatook              |
|                      |                  |                 |                  | Dill City          | Sperry                |
|                      |                  |                 |                  | Eakly              | Wayne                 |
|                      |                  |                 |                  | Elk City           | Woodville             |
|                      |                  |                 |                  | Fletcher           |                       |
|                      |                  |                 |                  |                    | <b>Oregon</b>         |
|                      |                  |                 |                  |                    | Wasco                 |
|                      |                  |                 |                  |                    | <b>Pennsylvania</b>   |
|                      |                  |                 |                  |                    | Halifax               |
|                      |                  |                 |                  |                    | Gallery               |
|                      |                  |                 |                  |                    | Honesdale             |
|                      |                  |                 |                  |                    | Landingville          |
|                      |                  |                 |                  |                    | McKean                |
|                      |                  |                 |                  |                    |                       |
|                      |                  |                 |                  |                    | <b>South Carolina</b> |
|                      |                  |                 |                  |                    | Duncan                |
|                      |                  |                 |                  |                    | Lynan                 |

## Part IV

The following list contains the names of those small cities which met the minimum standards of physical and economic distress as of the February 13, 1984 Notice but which do not meet the current minimum standards. The final date for submission of an application by the cities listed below is May 31, 1986.

|                   |             |                      |  |                       |       |
|-------------------|-------------|----------------------|--|-----------------------|-------|
| <b>Alabama</b>    |             | <b>Massachusetts</b> |  | <b>South Carolina</b> |       |
| County line       | Ethelsville | Beverly              |  | Duncan                | Lynan |
| Douglas           |             |                      |  |                       |       |
|                   |             |                      |  |                       |       |
| <b>Alaska</b>     |             |                      |  |                       |       |
| Kiana             | Platinum    | Mayben               |  |                       |       |
| Newhalen          | St Mary's   |                      |  |                       |       |
| Nondalton         | Shungnak    |                      |  |                       |       |
|                   |             |                      |  |                       |       |
| <b>California</b> |             |                      |  |                       |       |
| Commerce          | Rio Vista   | Coates               |  |                       |       |
| Monrovia          |             | Florence             |  |                       |       |
|                   |             | Halma                |  |                       |       |
|                   |             | Madison Lake         |  |                       |       |



**South Dakota**

Dante Deadwood

**Tennessee**Celina Troy  
Parkers' Cross Roads**Texas**Arp Monahan  
Byson North Cleveland  
Byers Palm Valley  
Caldwell Rockport  
Decatur Scottsville  
Devers Somerville  
Forsan Sweetwater  
Hallettsville Wallis**Utah**

Clawson

**Vermont**

Richmond Vergennes

**Virginia**Boydton Williamsburg  
Middletown Winchester  
Stephens City**Washington**

Marysville Uniontown

**West Virginia**

Winfield

**Wisconsin**

Kellnersville Wisconsin Dells

**Wyoming**

Baggs Encampment

**Part V**

The following list contains the names of towns and townships which meet the minimum standards for physical and economic distress and which are in States where towns and townships generally have powers comparable to the powers of municipalities. Towns and townships in states which are not listed should apply to the local HUD field office for assistance in determining whether they have appropriate powers. The towns and townships are not listed with places in Section II because their eligibility as cities has not been fully determined under the criteria of 24 CFR 570.3(e), which require that they (1) have powers and perform functions comparable to municipalities, (2) are closely settled and (3) have corporation agreements with all incorporated places within their boundaries. Requests for waivers of the closely settled requirement from towns and townships which meet all other requirements may be waived by the Secretary on a case by case basis. The asterisk shown in front of the name of some towns or townships indicates that those towns or townships contain an incorporated place within their boundaries.

**Connecticut**East Haven Town, New Haven County  
\*Killingly Town, Windham CountyPutnam Town, Windham County  
Sterling Town, Windham County  
Thompson Town, Windham County  
Winchester Town, Litchfield County  
Windham Town, Windham County**Maine**

Abbot Town, Piscataquis County  
Addison Town, Washington County  
Albion Town, Kennebec County  
Alexander Town, Washington County  
Allagash Town, Aroostook County  
Alna Town, Lincoln County  
Amherst Town, Hancock County  
Amity Town, Aroostook County  
Andover Town, Oxford County  
Anson Town, Somerset County  
Appleton Town, Knox County  
Ashland Town, Aroostook County  
Athens Town, Somerset County  
Aurora Town, Hancock County  
Avon Town, Franklin County  
Baileysville Town, Washington County  
Baldwin Town, Cumberland County  
Bancroft Town, Aroostook County  
Baring Plantation, Washington County  
Beals Town, Washington County  
Beddington Town, Washington County  
Belmont Town, Waldo County  
Benedicta Town, Aroostook County  
Bethel Town, Oxford County  
Bingham Town, Somerset County  
Blaine Town, Aroostook County  
Blanchard Plantation, Piscataquis County  
Blue Hill Town, Hancock County  
Boothbay Harbor Town, Lincoln County  
Boothbay Town, Lincoln County  
Bradford Town, Penobscot County  
Bremen Town, Lincoln County  
Bridgewater Town, Aroostook County  
Brighton Plantation, Somerset County  
Bristol Town, Lincoln County  
Brooklin Town, Hancock County  
Brooks Town, Waldo County  
Brooksville Town, Hancock County  
Brownfield Town, Oxford County  
Brownville Town, Piscataquis County  
Buckfield Town, Oxford County  
Bucksport Town, Hancock County  
Burlington Town, Penobscot County  
Burnham Town, Waldo County  
Byron Town, Oxford County  
Cambridge Town, Somerset County  
Canaan Town, Somerset County  
Canton Town, Oxford County  
Caratunk Plantation, Somerset County  
Carmel Town, Penobscot County  
Carroll Plantation, Penobscot County  
Carthage Town, Franklin County  
Cary Plantation, Aroostook County  
Castle Hill Town, Aroostook County  
Caswell Plantation, Aroostook County  
Chapman Town, Aroostook County  
Charleston Town, Penobscot County  
Charlotte Town, Washington County  
Chelsea Town, Kennebec County  
Cherryfield Town, Washington County  
Chester Town, Penobscot County  
Chesterfield Town, Franklin County  
Clinton Town, Kennebec County  
Codyville Plantation, Washington County  
Columbia Falls Town, Washington County  
Columbia Town, Washington County  
Cooper Town, Washington County  
Corinna Town, Penobscot County  
Corinth Town, Penobscot County

Cornish Town, York County  
Cornville Town, Somerset County  
Cranberry Isles Town, Hancock County  
Crawford Town, Washington County  
Crystal Town, Aroostook County  
Cutler Town, Washington County  
Cyr Plantation, Aroostook County  
Dallas Plantation, Franklin County  
Damariscotta Town, Lincoln County  
Danforth Town, Washington County  
Deblois Town, Washington County  
Deer Isle Town, Hancock County  
Dennistown Plantation, Somerset County  
Dennysville Town, Washington County  
Detroit Town, Somerset County  
Dexter Town, Penobscot County  
Dixfield Town, Oxford County  
Dixmont Town, Penobscot County  
Dover Foxcroft Town, Piscataquis County  
Dresden Town, Lincoln County  
Drew Plantation, Penobscot County  
Durham Town, Androscoggin County  
Dyer Brook Town, Aroostook County  
E Plantation, Aroostook County  
Eagle Lake Town, Aroostook County  
East Machias Town, Washington County  
Easton Town, Aroostook County  
Edgecomb Town, Lincoln County  
Edinburg Town, Penobscot County  
Emden Town, Somerset County  
Etna Town, Penobscot County  
Eustis Town, Franklin County  
Exeter Town, Penobscot County  
Fairfield Town, Somerset County  
Farmington Town, Franklin County  
Fort Fairfield Town, Aroostook County  
Fort Kent Town, Aroostook County  
Frankfort Town, Waldo County  
Franklin Town, Hancock County  
Freedom Town, Waldo County  
Frenchboro Town, Hancock County  
Frenchville Town, Aroostook County  
Fryeburg Town, Oxford County  
Garfield Plantation, Aroostook County  
Garland Town, Penobscot County  
Georgetown Town, Sagadahoc County  
Gilead Town, Oxford County  
Gouldsboro Town, Hancock County  
Grand Isle Town, Aroostook County  
Grand Lake Stream Plantation, Washington County  
Great Pond Town, Hancock County  
Greene Town, Androscoggin County  
Greenfield Town, Penobscot County  
Greenville Town, Piscataquis County  
Greenwood Town, Oxford County  
Hamlin Town, Aroostook County  
Hammond Plantation, Aroostook County  
Hancock Town, Hancock County  
Hanover Town, Oxford County  
Harmony Town, Somerset County  
Harrington Town, Washington County  
Harrison Town, Cumberland County  
Hartford Town, Oxford County  
Hartland Town, Somerset County  
Haynesville Town, Aroostook County  
Hebron Town, Oxford County  
Hersey Town, Aroostook County  
Highland Plantation, Somerset County  
Hiram Town, Oxford County  
Hodgdon Town, Aroostook County  
Hollis Town, York County  
Hope Town, Knox County  
Houlton Town, Aroostook County  
Howland Town, Penobscot County



Hudson Town, Penobscot County  
 Industry Town, Franklin County  
 Island Falls Town, Aroostook County  
 Isle Au Haut Town, Knox County  
 Islesboro Town, Waldo County  
 Jackman Town, Somerset County  
 Jackson Town, Waldo County  
 Jefferson Town, Lincoln County  
 Jonesboro Town, Washington County  
 Jonesport Town, Washington County  
 Kenduskeag Town, Penobscot County  
 Kingfield Town, Franklin County  
 Knox Town, Waldo County  
 Lagrange Town, Penobscot County  
 Lebanon Town, York County  
 Lee Town, Penobscot County  
 Leeds Town, Androscoggin County  
 Levant Town, Penobscot County  
 Liberty Town, Waldo County  
 Limestone Town, Aroostook County  
 Limington Town, York County  
 Lincoln Plantation, Oxford County  
 Lincoln Town, Penobscot County  
 Lincolnville Town, Waldo County  
 Linneus Town, Aroostook County  
 Lisbon Town, Androscoggin County  
 Littleton Town, Aroostook County  
 Livermore Falls Town, Androscoggin County  
 Livestown Town, Androscoggin County  
 Lubec Town, Washington County  
 Ludlow Town, Aroostook County  
 Machias Town, Washington County  
 Machiasport Town, Washington County  
 Macwahoc Plantation, Aroostook County  
 Madawaska Town, Aroostook County  
 Madison Town, Somerset County  
 Madrid Town, Franklin County  
 Magalloway Plantation, Oxford County  
 Mapleton Town, Aroostook County  
 Mariaville Town, Hancock County  
 Mars Hill Town, Aroostook County  
 Marshfield Town, Washington County  
 Masardis Town, Aroostook County  
 Mattawamkeag Town, Penobscot County  
 Mechanic Falls Town, Androscoggin County  
 Meddybemps Town, Washington County  
 Medford Town, Piscataquis County  
 Mercer Town, Somerset County  
 Merrill Town, Aroostook County  
 Mexico Town, Oxford County  
 Milbridge Town, Washington County  
 Milo Town, Piscataquis County  
 Minot Town, Androscoggin County  
 Monhegan Plantation, Lincoln County  
 Monroe Town, Waldo County  
 Moonson Town, Piscataquis County  
 Monticello Town, Aroostook County  
 Montville Town, Waldo County  
 Moose River Town, Somerset County  
 Moro Plantation, Aroostook County  
 Morrill Town, Waldo County  
 Moscow Town, Somerset County  
 Mount Chase Plantation, Penobscot County  
 Mount Vernon Town, Kennebec County  
 Naples Town, Cumberland County  
 Nashville Plantation, Aroostook County  
 New Canada Plantation, Aroostook County  
 New Gloucester Town, Cumberland County  
 New Limerick Town, Aroostook County  
 New Portland Town, Somerset County  
 New Sweden Town, Aroostook County  
 New Vineyard Town, Franklin County  
 Newcastle Town, Lincoln County  
 Newfield Town, York County  
 Newport Town, Penobscot County  
 Nobleborough Town, Lincoln County

Norridgewock Town, Somerset County  
 Northfield Town, Washington County  
 Northport Town, Waldo County  
 Norway Town, Oxford County  
 Oakfield Town, Aroostook County  
 Oakland Town, Kennebec County  
 Old Orchard Beach Town, York County  
 Orient Town, Aroostook County  
 Orland Town, Hancock County  
 Orono Town, Penobscot County  
 Osborn Plantation, Hancock County  
 Otis Town, Hancock County  
 Otisfield Town, Oxford County  
 Owls Head Town, Knox County  
 Oxbow Plantation, Aroostook County  
 Oxford Town, Oxford County  
 Palermo Town, Waldo County  
 Palmyra Town, Somerset County  
 Paris Town, Oxford County  
 Parkman Town, Piscataquis County  
 Parsonsfield Town, York County  
 Patten Town, Penobscot County  
 Pembroke Town, Washington County  
 Penobscot Town, Hancock County  
 Perham Town, Aroostook County  
 Perry Town, Washington County  
 Peru Town, Oxford County  
 Phillips Town, Franklin County  
 Phippsburg Town, Sagadahoc County  
 Pittsfield Town, Somerset County  
 Pittston Town, Kennebec County  
 Plantation No. 14, Washington County  
 Pleasant Ridge Plantation, Somerset County  
 Plymouth Town, Penobscot County  
 Poland Town, Androscoggin County  
 Portage Lake Town, Aroostook County  
 Porter Town, Oxford County  
 Prentiss Plantation, Penobscot County  
 Princeton Town, Washington County  
 Prospect Town, Waldo County  
 Rangeley Town, Franklin County  
 Reed Plantation, Aroostook County  
 Richmond Town, Sagadahoc County  
 Ripley Town, Somerset County  
 Robbinston Town, Washington County  
 Rome Town, Kennebec County  
 Roque Bluffs Town, Washington County  
 Rumford Town, Oxford County  
 Sabattus Town, Androscoggin County  
 Sandy River Plantation, Franklin County  
 Sanford Town, York County  
 Sangerville Town, Piscataquis County  
 Searsport Town, Waldo County  
 Sebec Town, Piscataquis County  
 Sedgwick Town, Hancock County  
 Sherman Town, Aroostook County  
 Skowhegan Town, Somerset County  
 Smithfield Town, Somerset County  
 Smyrna Town, Aroostook County  
 Solon Town, Somerset County  
 Somerville Town, Lincoln County  
 South Bristol Town, Lincoln County  
 Southport Town, Lincoln County  
 Springfield Town, Penobscot County  
 St. Agatha Town, Aroostook County  
 St. Albans Town, Somerset County  
 St. Francis Town, Aroostook County  
 St. John Plantation, Aroostook County  
 Stacyville Town, Penobscot County  
 Starks Town, Somerset County  
 Stetson Town, Penobscot County  
 Steuben Town, Washington County  
 Stockholm Town, Aroostook County  
 Stockton Springs Town, Waldo County  
 Stoneham Town, Oxford County

Stonington Town, Hancock County  
 Strong Town, Franklin County  
 Sullivan Town, Hancock County  
 Sumner Town, Oxford County  
 Surry Town, Hancock County  
 Swans Island Town, Hancock County  
 Swanville Town, Waldo County  
 Sweden Town, Oxford County  
 Talmadge Town, Washington County  
 Temple Town, Franklin County  
 The Forks Plantation, Waldo County  
 Thorndike Town, Waldo County  
 Topsfield Town, Washington County  
 Tremont Town, Hancock County  
 Troy Town, Waldo County  
 Turner Town, Androscoggin County  
 Union Town, Knox County  
 Unity Town, Waldo County  
 Upton Town, Oxford County  
 Van Buren Town, Aroostook County  
 Vanceboro Town, Washington County  
 Vienna Town, Kennebec County  
 Vinalhaven Town, Knox County  
 Wade Town, Aroostook County  
 Waldo Town, Waldo County  
 Waldboro Town, Lincoln County  
 Wales Town, Androscoggin County  
 Wallagrass Plantation, Aroostook County  
 Waltham Town, Hancock County  
 Warren Town, Knox County  
 Washburn Town, Aroostook County  
 Washington Town, Knox County  
 Waterford Town, Oxford County  
 Webster Plantation, Penobscot County  
 Weld Town, Franklin County  
 Wellington Town, Piscataquis County  
 Wesley Town, Washington County  
 West Forks Plantation, Somerset County  
 West Paris Town, Oxford County  
 Westfield Town, Aroostook County  
 Westmanland Plantation, Aroostook County  
 Weston Town, Aroostook County  
 Westport Town, Lincoln County  
 Whitefield Town, Lincoln County  
 Whiting Town, Washington County  
 Whitneyville Town, Washington County  
 Willmantic Town, Piscataquis County  
 Windsor Town, Kennebec County  
 Winn Town, Penobscot County  
 Winter Harbor Town, Hancock County  
 Winterport Town, Waldo County  
 Winterville Plantation, Aroostook County  
 Wiscasset Town, Lincoln County  
 Woodland Town, Aroostook County  
 Woodstock Town, Oxford County

#### Massachusetts

Adams Town, Berkshire County  
 Amherst Town, Hampshire County  
 Ashburnham Town, Worcester County  
 Athol Town, Worcester County  
 Ayer Town, Middlesex County  
 Becket Town, Berkshire County  
 Berkley Town, Bristol County  
 Blackstone Town, Worcester County  
 Brookfield Town, Worcester County  
 Buckland Town, Franklin County  
 Charlemont Town, Franklin County  
 Charlton Town, Worcester County  
 Chester Town, Hampden County  
 Chesterfield Town, Hampshire County  
 Clinton Town, Worcester County  
 Cummington Town, Hampshire County  
 Erving Town, Franklin County  
 Fairhaven Town, Bristol County



Florida Town, Berkshire County  
 Gay Head Town, Dukes County  
 Goshen Town, Hampshire County  
 Great Barrington Town, Berkshire County  
 Greenfield Town, Franklin County  
 Hancock Town, Berkshire County  
 Hardwick Town, Worcester County  
 Heath Town, Franklin County  
 Hopedale Town, Worcester County  
 Hull Town, Plymouth County  
 Huntington Town, Hampshire County  
 Middlefield Town, Hampshire County  
 Millbury Town, Worcester County  
 Millville Town, Worcester County  
 Monroe Town, Franklin County  
 Montague Town, Franklin County  
 New Braintree Town, Worcester County  
 New Salem Town, Franklin County  
 Northbridge Town, Worcester County  
 Northfield Town, Franklin County  
 Orange Town, Franklin County  
 Oxford Town, Worcester County  
 Phillipston Town, Worcester County  
 Plainfield Town, Hampshire County  
 Provincetown Town, Barnstable County  
 Rockland Town, Plymouth County  
 Rowe Town, Franklin County  
 Royalston Town, Worcester County  
 Salisbury Town, Essex County  
 Sandisfield Town, Berkshire County  
 Savoy Town, Berkshire County  
 Southbridge Town, Worcester County  
 Sunderland Town, Franklin County  
 Truro Town, Barnstable County  
 Uxbridge Town, Worcester County  
 Ware Town, Hampshire County  
 Wareham Town, Plymouth County  
 Warwick Town, Franklin County  
 Webster Town, Worcester County  
 Wellfleet Town, Barnstable County  
 Wendell Town, Franklin County  
 West Springfield Town, Hampden County  
 Westport Town, Bristol County  
 Williamsburg Town, Hampshire County  
 Winchendon Town, Worcester County  
 Worthington Town, Hampshire County

#### Michigan

Adams Township, Arenac County  
 \*Adams Township, Hillsdale County  
 \*Adams Township, Houghton County  
 \*Addison Township, Oakland County  
 Adrian Township, Lenawee County  
 \*Aetna Township, Mecosta County  
 Aetna Township, Missaukee County  
 \*Akron Township, Tuscola County  
 Albee Township, Saginaw County  
 Albert Township, Montmorency County  
 Albion Township, Calhoun County  
 Alganssee Township, Branch County  
 Allegan Township, Allegan County  
 \*Allen Township, Hillsdale County  
 Allendale Township, Ottawa County  
 Allis Township, Presque Isle County  
 \*Allouez Township, Keweenaw County  
 \*Almer Township, Tuscola County  
 \*Almont Township, Lapeer County  
 Aloha Township, Cheboygan County  
 Amber Township, Mason County  
 Amboy Township, Hillsdale County  
 Antioch Township, Wexford County  
 Antrim Township, Shiawassee County  
 \*Antwerp Township, Van Buren County  
 Arcadia Township, Gratiot County  
 Arcadia Township, Lapeer County  
 Arcadia Township, Manistee County

Arenac Township, Arenac County  
 Argyle Township, Sanilac County  
 Arlington Township, Van Buren County  
 Arthur Township, Clare County  
 Arvon Township, Baraga County  
 \*Ash Township, Monroe County  
 Ashland Township, Newaygo County  
 \*Athens Township, Calhoun County  
 Attica Township, Lapeer County  
 Au Gres Township, Arenac County  
 Au Sable Township, Roscommon County  
 Au Train Township, Alger County  
 Austin Township, Mecosta County  
 Austin Township, Sanilac County  
 Avery Township, Montmorency County  
 Backus Township, Roscommon County  
 Bainbridge Township, Berrien County  
 Baldwin Township, Delta County  
 Baltimore Township, Barry County  
 Bangor Township, Van Buren County  
 \*Banks Township, Antrim County  
 \*Baraga Township, Baraga County  
 Bark River Township, Delta County  
 \*Baroda Township, Berrien County  
 Barton Township, Newaygo County  
 Batavia Township, Branch County  
 Bates Township, Iron County  
 Bay De Noc Township, Delta County  
 Bay Mills Township, Chippewa County  
 Bay Township, Charlevoix County  
 \*Bear Lake Township, Manistee County  
 Beaver Creek Township, Crawford County  
 Beaver Township, Newaygo County  
 Beaverton Township, Gladwin County  
 Belknap Township, Presque Isle County  
 \*Bellevue Township, Eaton County  
 Belvidere Township, Montcalm County  
 Benona Township, Oceana County  
 Bentley Township, Gladwin County  
 Benton Township, Berrien County  
 Benton Township, Eaton County  
 \*Benzonia Township, Benzie County  
 Bergland Township, Ontonagon County  
 Berlin Township, St. Clair County  
 \*Berrien Township, Berrien County  
 Bertrand Township, Berrien County  
 Bessemer Township, Gogebic County  
 Bethany Township, Gratiot County  
 Bethel Township, Branch County  
 Big Creek Township, Oscoda County  
 Big Prairie Township, Newaygo County  
 Big Rapids Township, Mecosta County  
 Bingham Township, Clinton County  
 \*Bingham Township, Huron County  
 Bismarck Township, Presque Isle County  
 Blaine Township, Benzie County  
 Bliss Township, Emmet County  
 Bloomer Township, Montcalm County  
 Bloomfield Township, Huron County  
 Bloomfield Township, Missaukee County  
 \*Bloomington Township, Van Buren County  
 Blue Lake Township, Kalkaska County  
 Blue Lake Township, Muskegon County  
 Bohemia Township, Ontonagon County  
 Bois Blanc Township, Mackinac County  
 \*Boon Township, Wexford County  
 \*Boston Township, Ionia County  
 Bourrett Township, Gladwin County  
 \*Boyne Valley Township, Charlevoix County  
 \*Brady Township, Saginaw County  
 Brampton Township, Delta County  
 Branch Township, Mason County  
 \*Brant Township, Saginaw County  
 Breen Township, Dickinson County  
 Breitung Township, Dickinson County  
 Brevoort Township, Mackinac County

\*Bridgehampton Township, Sanilac County  
 Bridgeton Township, Newaygo County  
 Briley Township, Montmorency County  
 Brockway Township, St. Clair County  
 Bronson Township, Branch County  
 \*Brookfield Township, Huron County  
 Brooks Township, Newaygo County  
 Brown Township, Maines County  
 Bruce Township, Chippewa County  
 \*Bruce Township, Macomb County  
 Buchanan Township, Berrien County  
 Buckeye Township, Gladwin County  
 Buel Township, Sanilac County  
 Buena Vista Township, Saginaw County  
 \*Burdell Township, Osceola County  
 Burleigh Township, Isosco County  
 \*Burlington Township, Calhoun County  
 \*Burlington Township, Lapeer County  
 Burnside Township, Lapeer County  
 \*Burr Oak Township, St. Joseph County  
 Burt Township, Alger County  
 Burt Township, Cheboygan County  
 \*Bushnell Township, Montcalm County  
 Butler Township, Branch County  
 Butman Township, Gladwin County  
 Butterfield Township, Missaukee County  
 Caldwell Township, Missaukee County  
 Caledonia Township, Alcona County  
 Caledonia Township, Shiawassee County  
 California Township, Branch County  
 \*Calumet Township, Houghton County  
 Calvin Township, Cass County  
 Cambria Township, Hillsdale County  
 \*Camden Township, Hillsdale County  
 \*Campbell Township, Ionia County  
 \*Carlton Township, Barry County  
 Carp Lake Township, Emmet County  
 Carrollton Township, Saginaw County  
 Casco Township, Allegan County  
 \*Case Township, Presque Isle County  
 Caseville Township, Huron County  
 \*Casnovia Township, Muskegon County  
 \*Castleton Township, Barry County  
 \*Cato Township, Montcalm County  
 Cedar Creek Township, Wexford County  
 Cedarville Township, Menominee County  
 Center Township, Emmet County  
 Centerville Township, Leelanau County  
 \*Central Lake Township, Antrim County  
 Champion Township, Marquette County  
 Chandler Township, Huron County  
 Chapin Township, Saginaw County  
 Charlton Township, Otsego County  
 Chase Township, Lake County  
 Chassell Township, Houghton County  
 Cherry Valley Township, Lake County  
 \*Chesaning Township, Saginaw County  
 Cheshire Township, Allegan County  
 Chester Township, Eaton County  
 Chester Township, Otsego County  
 Chester Township, Ottawa County  
 Chestonia Township, Antrim County  
 Chikaming Township, Berrien County  
 Chippewa Township, Chippewa County  
 Chippewa Township, Mecosta County  
 Churchill Township, Ogemaw County  
 Clam Union Township, Missaukee County  
 Clarence Township, Calhoun County  
 Clarendon Township, Calhoun County  
 Clark Township, Mackinac County  
 Clay Township, St. Clair County  
 Clayton Township, Arenac County  
 Clearwater Township, Kalkaska County  
 Clement Township, Gladwin County  
 \*Cleone Township, Manistee County



Cleveland Township, Leelanau County.  
 Clinton Township, Oscoda County.  
 Clyde Township, Allegan County.  
 Coldwater Township, Isabella County.  
 \*Colfax Township, Benzie County.  
 Colfax Township, Huron County.  
 Colfax Township, Mecosta County.  
 Colfax Township, Oceana County.  
 Colfax Township, Wexford County.  
 Coloma Township, Berrien County.  
 \*Colon Township, St Joseph County.  
 \*Columbia Township, Tuscola County.  
 \*Columbia Township, Van Buren County.  
 Columbus Township, Lapeer County.  
 Comins Township, Oscoda County.  
 \*Concord Township, Jackson County.  
 \*Constantine Township, St Joseph County.  
 Convis Township, Calhoun County.  
 Cornell Township, Delta County.  
 \*Corwith Township, Otsego County.  
 Cottrellville Township, St Clair County.  
 Covert Township, Van Buren County.  
 Covington Township, Baraga County.  
 Crockery Township, Ottawa County.  
 Cross Village Township, Emmet County.  
 Crystal Falls Township, Iron County.  
 Crystal Lake Township, Benzie County.  
 Crystal Township, Montcalm County.  
 Crystal Township, Oceana County.  
 Cumming Township, Ogemaw County.  
 Curtis Township, Alcona County.  
 Custer Township, Antrim County.  
 \*Custer Township, Mason County.  
 Custer Township, Sanilac County.  
 Dafer Township, Chippewa County.  
 \*Daggett Township, Menominee County.  
 \*Dallas Township, Clinton County.  
 \*Dalton Township, Muskegon County.  
 \*Day Township, Montcalm County.  
 Dayton Township, Newaygo County.  
 Dayton Township, Tuscola County.  
 \*De Tour Township, Chippewa County.  
 \*Decatur Township, Van Buren County.  
 \*Deep River Township, Arenac County.  
 \*Deerfield Township, Lenawee County.  
 Deerfield Township, Livingston County.  
 \*Deerfield Township, Mecosta County.  
 \*Delaware Township, Sanilac County.  
 Denver Township, Isabella County.  
 \*Denver Township, Newaygo County.  
 Dickson Township, Manistee County.  
 Douglas Township, Montcalm County.  
 Dover Township, Lake County.  
 \*Dover Township, Lenawee County.  
 Doyle Township, Schoolcraft County.  
 Drummond Township, Chippewa County.  
 Duncan Township, Houghton County.  
 \*Dundee Township, Monroe County.  
 \*Duplain Township, Clinton County.  
 \*Dwight Township, Huron County.  
 Eagle Harbor Township, Keweenaw County.  
 Easton Township, Ionia County.  
 Echo Township, Antrim County.  
 Eckford Township, Calhoun County.  
 Eden Township, Lake County.  
 Eden Township, Mason County.  
 Edwards Township, Ogemaw County.  
 \*Elba Township, Gratiot County.  
 Elbridge Township, Oceana County.  
 Elk Township, Lake County.  
 \*Elk Township, Sanilac County.  
 Ellington Township, Tuscola County.  
 Ellis Township, Cheboygan County.  
 \*Ellsworth Township, Lake County.  
 Elm River Township, Houghton County.  
 Elmer Township, Oscoda County.

Elmer Township, Sanilac County.  
 \*Elmwood Township, Tuscola County.  
 Emerson Township, Gratiot County.  
 Emmett Township, Calhoun County.  
 \*Emmett Township, St Clair County.  
 \*Empire Township, Leelanau County.  
 Ensign Township, Delta County.  
 Ensley Township, Newaygo County.  
 Enterprise Township, Missaukee County.  
 Erie Township, Monroe County.  
 Erwin Township, Gogebic County.  
 Eureka Township, Montcalm County.  
 Ewart Township, Osceola County.  
 Eveline Township, Charlevoix County.  
 Everett Township, Newaygo County.  
 \*Evergreen Township, Montcalm County.  
 Evergreen Township, Sanilac County.  
 Ewing Township, Marquette County.  
 Excelsior Township, Kalkaska County.  
 \*Exeter Township, Monroe County.  
 Fabius Township, St Joseph County.  
 Fairbanks Township, Delta County.  
 Fairfield Township, Lenawee County.  
 Fairfield Township, Shiawassee County.  
 \*Fairgrove Township, Tuscola County.  
 Fairhaven Township, Huron County.  
 \*Fairplain Township, Montcalm County.  
 Faithorn Township, Menominee County.  
 Fawn River Township, St Joseph County.  
 Felch Township, Dickinson County.  
 Ferris Township, Montcalm County.  
 Ferry Township, Oceana County.  
 \*Fife Lake Township, Grand Traverse County.  
 Florence Township, St Joseph County.  
 Flowerfield Township, St Joseph County.  
 Flynn Township, Sanilac County.  
 Ford River Township, Delta County.  
 Forest Township, Cheboygan County.  
 \*Forest Township, Genesee County.  
 Forester Township, Sanilac County.  
 \*Fork Township, Mecosta County.  
 Foster Township, Ogemaw County.  
 Franklin Township, Clare County.  
 Franklin Township, Houghton County.  
 \*Free Soil Township, Mason County.  
 Freeman Township, Clare County.  
 Fremont Township, Isabella County.  
 Fremont Township, Saginaw County.  
 Fremont Township, Sanilac County.  
 \*Fremont Township, Tuscola County.  
 Friendship Township, Emmet County.  
 Frost Township, Clare County.  
 \*Fulton Township, Gratiot County.  
 \*Gallen Township, Berrien County.  
 Ganges Township, Allegan County.  
 \*Garden Township, Delta County.  
 Garfield Township, Clare County.  
 Garfield Township, Kalkaska County.  
 Garfield Township, Mackinac County.  
 Garfield Township, Newaygo County.  
 Genesee Township, Genesee County.  
 Geneva Township, Midland County.  
 Geneva Township, Van Buren County.  
 Germfask Township, Schoolcraft County.  
 Gibson Township, Bay County.  
 Gilead Township, Branch County.  
 Gifford Township, Tuscola County.  
 \*Gilmore Township, Benzie County.  
 Gilmore Township, Isabella County.  
 Girard Township, Branch County.  
 Gladwin Township, Gladwin County.  
 Golden Township, Oceana County.  
 Goodar Township, Ogemaw County.  
 Goodwell Township, Newaygo County.  
 Gore Township, Huron County.

Gourley Township, Menominee County.  
 Grant Township, Cheboygan County.  
 Grant Township, Grand Traverse County.  
 Grant Township, Huron County.  
 Grant Township, Iosco County.  
 Grant Township, Keweenaw County.  
 Grant Township, Mason County.  
 Grant Township, Mecosta County.  
 Grant Township, Newaygo County.  
 \*Grant Township, Oceana County.  
 Grant Township, St Clair County.  
 Grattan Township, Kent County.  
 Green Township, Alpena County.  
 Green Township, Mecosta County.  
 Greenbush Township, Alcona County.  
 Greenbush Township, Clinton County.  
 Greendale Township, Midland County.  
 Greenland Township, Ontonagon County.  
 Greenleaf Township, Sanilac County.  
 Greenwood Township, Clare County.  
 Greenwood Township, Oceana County.  
 Greenwood Township, St Clair County.  
 Greenwood Township, Wexford County.  
 Grim Township, Gladwin County.  
 Grout Township, Gladwin County.  
 \*Gustin Township, Alcona County.  
 Hagar Township, Berrien County.  
 Haight Township, Ontonagon County.  
 Hamilton Township, Clare County.  
 Hamilton Township, Gratiot County.  
 Hamilton Township, Van Buren County.  
 Hancock Township, Houghton County.  
 \*Handy Township, Livingston County.  
 \*Hanover Township, Wexford County.  
 Harris Township, Menominee County.  
 Harrisville Township, Alcona County.  
 Hart Township, Oceana County.  
 Hartford Township, Van Buren County.  
 Hartwick Township, Osceola County.  
 Hastings Township, Barry County.  
 Hawes Township, Alcona County.  
 Hay Township, Gladwin County.  
 Hayes Township, Clare County.  
 Hanes Township, Alcona County.  
 Heath Township, Allegan County.  
 Hebron Township, Cheboygan County.  
 Hematite Township, Iron County.  
 Henderson Township, Wexford County.  
 Hendricks Township, Mackinac County.  
 Henrietta Township, Jackson County.  
 \*Hersey Township, Osceola County.  
 Highland Township, Osceola County.  
 Hill Township, Ogemaw County.  
 \*Hillman Township, Montmorency County.  
 Hinton Township, Mecosta County.  
 Holland Township, Missaukee County.  
 \*Holly Township, Oakland County.  
 Holmes Township, Menominee County.  
 Holton Township, Muskegon County.  
 \*Home Township, Montcalm County.  
 Home Township, Newaygo County.  
 \*Homer Township, Calhoun County.  
 \*Homestead Township, Benzie County.  
 Hope Township, Barry County.  
 Hope Township, Midland County.  
 \*Hopkins Township, Allegan County.  
 Horton Township, Ogemaw County.  
 Houghton Township, Keweenaw County.  
 Hudson Township, Charlevoix County.  
 \*Hudson Township, Lenawee County.  
 Hudson Township, Mackinac County.  
 Hulbert Township, Chippewa County.  
 Humboldt Township, Marquette County.  
 Huron Township, Huron County.  
 Imlay Township, Lapeer County.



\*Indianfields Township, Tuscola County  
 Inland Township, Benzie County  
 Interior Township, Ontonagon County  
 Inwood Township, Schoolcraft County  
 \*Ionia Township, Ionia County  
 Ira Township, St. Clair County  
 Iron River Township, Iron County  
 Ironwood Township, Gogebic County  
 \*Irving Township, Barry County  
 Jasper Township, Midland County  
 Jefferson Township, Cass County  
 Jefferson Township, Hillsdale County  
 \*Jonesfield Township, Saginaw County  
 Jordan Township, Antrim County  
 Joyfield Township, Benzie County  
 Juniata Township, Tuscola County  
 Kalama Township, Eaton County  
 \*Kalkaska Township, Kalkaska County  
 Kasson Township, Leelanau County  
 Kawkawlin Township, Bay County  
 \*Kearney Township, Antrim County  
 Keeler Township, Van Buren County  
 Keene Township, Ionia County  
 Kenockee Township, St. Clair County  
 Kimball Township, St. Clair County  
 \*Kingston Township, Tuscola County  
 Kinross Township, Chippewa County  
 Klacking Township, Ogemaw County  
 Koehler Township, Cheboygan County  
 \*Koylton Township, Tuscola County  
 Krakow Township, Presque Isle County  
 \*La Grange Township, Cass County  
 La Salle Township, Monroe County  
 Lafayette Township, Gratiot County  
 Laird Township, Houghton County  
 Lake Township, Benzie County  
 Lake Township, Berrien County  
 Lake Township, Lake County  
 Lake Township, Menominee County  
 Lake Township, Roscommon County  
 Lakefield Township, Luce County  
 Lakefield Township, Saginaw County  
 Lamotte Township, Sanilac County  
 \*Lansie Township, Baraga County  
 \*Lawrence Township, Van Buren County  
 \*Le Roy Township, Osceola County  
 \*Leavitt Township, Oceana County  
 \*Lebanon Township, Clinton County  
 Lee Township, Allegan County  
 Lee Township, Calhoun County  
 Lee Township, Midland County  
 Leelanau Township, Leelanau County  
 Leighton Township, Allegan County  
 \*Lenox Township, Macomb County  
 Leoni Township, Jackson County  
 Leonidas Township, St. Joseph County  
 \*Lexington Township, Sanilac County  
 Liberty Township, Wexford County  
 Lilley Township, Newaygo County  
 Limestone Township, Alger County  
 Lincoln Township, Arenac County  
 Lincoln Township, Clare County  
 \*Lincoln Township, Huron County  
 Lincoln Township, Isabella County  
 Lincoln Township, Newaygo County  
 Litchfield Township, Hillsdale County  
 Little Traverse Township, Emmet County  
 \*Littlefield Township, Emmet County  
 Logan Township, Mason County  
 Logan Township, Ogemaw County  
 London Township, Monroe County  
 Long Rapids Township, Alpena County  
 Loud Township, Montmorency County  
 Lovells Township, Crawford County  
 Lowell Township, Kent County  
 Lyon Township, Roscommon County

\*Lyons Township, Ionia County  
 \*Mackinaw Township, Cheboygan County  
 Macon Township, Lenawee County  
 Madison Township, Lenawee County  
 \*Marcellona Township, Antrim County  
 \*Manistee Township, Manistee County  
 Manistique Township, Schoolcraft County  
 Manlius Township, Allegan County  
 Mansfield Township, Iron County  
 Maple Forest Township, Crawford County  
 \*Maple Grove Township, Barry County  
 \*Maple Grove Township, Manistee County  
 Maple Ridge Township, Delta County  
 \*Maple River Township, Emmet County  
 Maple Valley Township, Montcalm County  
 Maple Valley Township, Sanilac County  
 \*Marathon Township, Lapeer County  
 \*Marcellus Township, Cass County  
 Marengo Township, Calhoun County  
 Marenisco Township, Gogebic County  
 Marilla Township, Manistee County  
 Marion Township, Charlevoix County  
 \*Marion Township, Osceola County  
 Marion Township, Saginaw County  
 \*Marion Township, Sanilac County  
 Markey Township, Roscommon County  
 \*Marlette Township, Sanilac County  
 Marquette Township, Mackinac County  
 \*Martin Township, Allegan County  
 Martiny Township, Mecosta County  
 \*Mason Township, Arenac County  
 Mason Township, Cass County  
 Masonville Township, Delta County  
 \*Mastodon Township, Iron County  
 Matchwood Township, Ontonagon County  
 Mathias Township, Alger County  
 Matteson Township, Branch County  
 Mayfield Township, Grand Traverse County  
 \*McKinley Township, Emmet County  
 McKinley Township, Huron County  
 \*McMillan Township, Luce County  
 McMillan Township, Ontonagon County  
 \*Meade Township, Huron County  
 Meade Township, Mason County  
 \*Mecosta Township, Mecosta County  
 Medina Township, Lenawee County  
 Mellen Township, Menominee County  
 Melrose Township, Charlevoix County  
 \*Mendon Township, St. Joseph County  
 Mentor Township, Cheboygan County  
 Mentor Township, Oscoda County  
 Merrill Township, Newaygo County  
 Merritt Township, Bay County  
 Metz Township, Presque Isle County  
 Meyer Township, Menominee County  
 Michigamme Township, Marquette County  
 Middle Branch Township, Osceola County  
 Mikado Township, Alcona County  
 Millbrook Township, Mecosta County  
 Millen Township, Alcona County  
 \*Millington Township, Tuscola County  
 Mills Township, Midland County  
 Mills Township, Ogemaw County  
 Milton Township, Antrim County  
 \*Minden Township, Sanilac County  
 Mitchell Township, Alcona County  
 Moffatt Township, Arenac County  
 Moltke Township, Presque Isle County  
 Monroe Township, Monroe County  
 Monroe Township, Newaygo County  
 Montcalm Township, Montcalm County  
 Monterey Township, Allegan County  
 Montmorency Township, Montmorency County  
 Moore Township, Sanilac County  
 Moorland Township, Muskegon County

\*Morton Township, Mecosta County  
 Moscow Township, Hillsdale County  
 Mottville Township, St. Joseph County  
 Mount Forest Township, Bay County  
 Mount Morris Township, Genesee County  
 Mueller Township, Schoolcraft County  
 Mullet Township, Cheboygan County  
 Munising Township, Alger County  
 Munro Township, Cheboygan County  
 \*Mussey Township, St. Clair County  
 \*Nadeau Township, Menominee County  
 Nahma Township, Delta County  
 Napoleon Township, Jackson County  
 \*Nelson Township, Kent County  
 Nester Township, Roscommon County  
 New Haven Township, Gratiot County  
 New Haven Township, Shiawassee County  
 Newark Township, Gratiot County  
 Newberg Township, Cass County  
 \*Newfield Township, Oceana County  
 \*Newkirk Township, Lake County  
 Newton Township, Mackinac County  
 Niles Township, Berrien County  
 Noble Township, Branch County  
 Norman Township, Manistee County  
 North Allis Township, Presque Isle County  
 \*North Branch Township, Lapeer County  
 \*North Plains Township, Ionia County  
 North Shade Township, Gratiot County  
 North Star Township, Gratiot County  
 Norvell Township, Jackson County  
 Norway Township, Dickinson County  
 Norwich Township, Missaukee County  
 Norwich Township, Newaygo County  
 Norwood Township, Charlevoix County  
 Nottawa Township, Isabella County  
 \*Nottawa Township, St. Joseph County  
 Novesta Township, Tuscola County  
 \*Nunda Township, Cheboygan County  
 Ocqueoc Township, Presque Isle County  
 \*Odessa Township, Ionia County  
 Ogden Township, Lenawee County  
 Ogemaw Township, Ogemaw County  
 \*Oliver Township, Huron County  
 \*Onkama Township, Manistee County  
 Onota Township, Alger County  
 \*Ontonagon Township, Ontonagon County  
 \*Ontwa Township, Cass County  
 Orange Township, Ionia County  
 Orange Township, Kalkaska County  
 Orangeville Township, Barry County  
 Orient Township, Osceola County  
 Orleans Township, Ionia County  
 \*Oronoko Township, Berrien County  
 Osceola Township, Houghton County  
 Osceola Township, Osceola County  
 Oscoda Township, Iosco County  
 Ossineke Township, Alpena County  
 Otisco Township, Ionia County  
 Otto Township, Oceana County  
 Ovid Township, Branch County  
 \*Ovid Township, Clinton County  
 Palmyra Township, Lenawee County  
 \*Paradise Township, Grand Traverse County  
 Paris Township, Huron County  
 Park Township, St. Joseph County  
 \*Parma Township, Jackson County  
 \*Paw Paw Township, Van Buren County  
 Penn Township, Cass County  
 Pentland Township, Luce County  
 Pickford Township, Chippewa County  
 \*Pierson Township, Montcalm County  
 Pinconning Township, Bay County  
 Pine Grove Township, Van Buren County  
 Pine River Township, Gratiot County



Pine Township, Montcalm County  
 Pinora Township, Lake County  
 Pioneer Township, Missaukee County  
 \*Pipestone Township, Berrien County  
 Pittsford Township, Hillsdale County  
 Plainfield Township, Iosco County  
 Platte Township, Benzie County  
 \*Pleasant Plains Township, Leelanau County  
 \*Pleasant Plains TWP, Lake County  
 Pleasanton Township, Manistee County  
 Pokagon Township, Cass County  
 Polkton Township, Ottawa County  
 \*Port Austin Township, Huron County  
 Port Huron Township, St Clair County  
 Portage Township, Houghton County  
 Portage Township, Mackinac County  
 Porter Township, Cass County  
 Porter Township, Midland County  
 Portsmouth Township, Bay County  
 \*Posen Township, Presque Isle County  
 Powell Township, Marquette County  
 Prairieville Township, Barry County  
 Pulaski Township, Jackson County  
 Pulawski Township, Presque Isle County  
 \*Putnam Township, Livingston County  
 \*Quincy Township, Branch County  
 Quincy Township, Houghton County  
 Ransom Township, Hillsdale County  
 \*Ravenna Township, Muskegon County  
 Reading Township, Hillsdale County  
 Readmond Township, Emmet County  
 Redding Township, Clare County  
 Reeder Township, Missaukee County  
 Reno Township, Iosco County  
 Republic Township, Marquette County  
 \*Reynolds Township, Montcalm County  
 Rich Township, Lapeer County  
 Richland Township, Missaukee County  
 Richland Township, Montcalm County  
 \*Richland Township, Ogemaw County  
 Richmond Township, Marquette County  
 Richmond Township, Osceola County  
 \*Ridgeway Township, Lenawee County  
 Riga Township, Lenawee County  
 Riverside Township, Missaukee County  
 Riverton Township, Mason County  
 \*Rock River Township, Alger County  
 Rockland Township, Ontonagon County  
 Rolland Township, Isabella County  
 \*Rollin Township, Lenawee County  
 Rome Township, Lenawee County  
 Ronald Township, Ionia County  
 Roscommon Township, Roscommon County  
 Rose Lake Township, Osceola County  
 Rose Township, Ogemaw County  
 Royal Oak Township, Oakland County  
 \*Rubicon Township, Huron County  
 Rudyard Township, Chippewa County  
 Rush Township, Shiawassee County  
 Rust Township, Montmorency County  
 Sage Township, Gladwin County  
 Sagola Township, Dickinson County  
 Sand Beach Township, Huron County  
 \*Sandstone Township, Jackson County  
 \*Sanilac Township, Sanilac County  
 Sauble Township, Lake County  
 \*Schoolcraft Township, Houghton County  
 Scipio Township, Hillsdale County  
 Sebewa Township, Ionia County  
 \*Sebewaing Township, Huron County  
 Selma Township, Wexford County  
 Seneca Township, Lenawee County  
 Seney Township, Schoolcraft County  
 Seville Township, Gratiot County  
 Sharon Township, Washtenaw County  
 \*Shelby Township, Oceana County

Sheridan Township, Calhoun County  
 Sheridan Township, Clare County  
 Sheridan Township, Huron County  
 Sheridan Township, Mason County  
 Sheridan Township, Mecosta County  
 Sheridan Township, Newaygo County  
 Sherman Township, Gladwin County  
 Sherman Township, Huron County  
 Sherman Township, Isabella County  
 Sherman Township, Keweenaw County  
 \*Sherman Township, Mason County  
 Sherman Township, Newaygo County  
 Sherman Township, Osceola County  
 \*Sherwood Township, Branch County  
 \*Shiawassee Township, Shiawassee County  
 \*Sidney Township, Montcalm County  
 Sigel Township, Huron County  
 Silver Creek Township, Cass County  
 Skandia Township, Marquette County  
 \*Slagle Township, Wexford County  
 Sodus Township, Berrien County  
 Solon Township, Leelanau County  
 Soo Township, Chippewa County  
 South Arm Township, Charlevoix County  
 South Branch Township, Crawford County  
 South Haven Township, Van Buren County  
 \*Spalding Township, Menominee County  
 \*Sparta Township, Kent County  
 Spaulding Township, Saginaw County  
 \*Speaker Township, Sanilac County  
 Spencer Township, Kent County  
 Springdale Township, Manistee County  
 Springfield Township, Kalkaska County  
 \*Springport Township, Jackson County  
 \*Springville Township, Wexford County  
 Spurr Township, Baraga County  
 \*St Charles Township, Saginaw County  
 St James Township, Charlevoix County  
 Stambaugh Township, Iron County  
 Standish Township, Arenac County  
 Stannard Township, Ontonagon County  
 Stanton Township, Houghton County  
 Star Township, Antrim County  
 Stephenson Township, Menominee County  
 Stronach Township, Manistee County  
 Sugar Island Township, Chippewa County  
 Summerfield Township, Monroe County  
 Summit Township, Mason County  
 Sumner Township, Gratiot County  
 \*Sunfield Township, Eaton County  
 Superior Township, Chippewa County  
 Sweetwater Township, Lake County  
 Sylvan Township, Osceola County  
 Tawas Township, Iosco County  
 \*Tekonsha Township, Calhoun County  
 Thompson Township, Schoolcraft County  
 \*Three Oaks Township, Berrien County  
 Tompkins Township, Jackson County  
 Torch Lake Township, Houghton County  
 Trout Lake Township, Chippewa County  
 Trowbridge Township, Allegan County  
 Troy Township, Newaygo County  
 Turin Township, Marquette County  
 \*Turner Township, Arenac County  
 Tuscarora Township, Cheboygan County  
 Tuscola Township, Tuscola County  
 \*Tyrone Township, Kent County  
 Unadilla Township, Livingston County  
 \*Union Township, Branch County  
 Valley Township, Allegan County  
 Vermontville Township, Eaton County  
 Vernon Township, Shiawassee County  
 Verona Township, Huron County  
 Victory Township, Mason County  
 Vienna Township, Montmorency County  
 Volinia Township, Cass County

Wakefield Township, Gogebic County  
 Wales Township, St Clair County  
 Walker Township, Cheboygan County  
 Walton Township, Eaton County  
 Warner Township, Antrim County  
 Washington Township, Gratiot County  
 \*Washington Township, Sanilac County  
 Waterloo Township, Jackson County  
 Watersmeet Township, Gogebic County  
 Watertown Township, Sanilac County  
 Watertown Township, Tuscola County  
 Watervliet Township, Berrien County  
 Watson Township, Allegan County  
 Waucedah Township, Dickinson County  
 Waverly Township, Cheboygan County  
 Waverly Township, Van Buren County  
 Wayland Township, Allegan County  
 Wayne Township, Cass County  
 Weare Township, Oceana County  
 \*Webber Township, Lake County  
 Weesaw Township, Berrien County  
 \*Weldon Township, Benzie County  
 Wellington Township, Alpena County  
 Wells Township, Delta County  
 Wells Township, Marquette County  
 Wells Township, Tuscola County  
 West Branch Township, Dickinson County  
 West Branch Township, Ogemaw County  
 Wexford Township, Wexford County  
 Wheatland Township, Hillsdale County  
 Wheatland Township, Mecosta County  
 Wheatland Township, Sanilac County  
 \*Wheeler Township, Gratiot County  
 \*White Pigeon Township, St Joseph County  
 White River Township, Muskegon County  
 Whitefish Township, Chippewa County  
 Whitney Township, Arenac County  
 Wilcox Township, Newaygo County  
 \*Wilmot Township, Cheboygan County  
 Wilson Township, Alpena County  
 Wilson Township, Charlevoix County  
 Winfield Township, Montcalm County  
 \*Winsor Township, Huron County  
 Winterfield Township, Clare County  
 Wise Township, Isabella County  
 Wisner Township, Tuscola County  
 Woodbridge Township, Hillsdale County  
 \*Woodland Township, Barry County  
 \*Woodstock Township, Lenawee County  
 Worth Township, Sanilac County  
 \*Wright Township, Hillsdale County  
 Wright Township, Ottawa County  
 Yates Township, Lake County

#### New Hampshire

Acworth Town, Sullivan County  
 Albany Town, Carroll County  
 Alexandria Town, Grafton County  
 Alstead Town, Cheshire County  
 Ashland Town, Grafton County  
 Bartlett Town, Carroll County  
 Bath Town, Grafton County  
 Benton Town, Grafton County  
 Bradford Town, Merrimack County  
 Campton Town, Grafton County  
 Canaan Town, Grafton County  
 Carroll Town, Coos County  
 Center Conway Town, Carroll County  
 Center Harbor Town, Belknap County  
 Chatham Town, Carroll County  
 Clarksville Town, Coos County  
 Colebrook Town, Coos County  
 Columbia Town, Coos County  
 Dalton Town, Coos County  
 Deering Town, Hillsborough County  
 Dorchester Town, Grafton County



Dublin Town, Cheshire County  
 Dummer Town, Coos County  
 Durham Town, Strafford County  
 Eaton Town, Carroll County  
 Effingham Town, Carroll County  
 Farmington Town, Strafford County  
 Franconia Town, Grafton County  
 Gorham Town, Coos County  
 Grafton Town, Grafton County  
 Greenfield Town, Hillsborough County  
 Groton Town, Grafton County  
 Henniker Town, Merrimack County  
 Hill Town, Merrimack County  
 Jefferson Town, Coos County  
 Lancaster Town, Coos County  
 Landaff Town, Grafton County  
 Lempster Town, Sullivan County  
 Lincoln Town, Grafton County  
 Lisbon Town, Grafton County  
 Littleton Town, Grafton County  
 Lyman Town, Grafton County  
 Middleton Town, Strafford County  
 Milan Town, Coos County  
 Nelson Town, Cheshire County  
 New Hampton Town, Belknap County  
 Newbury Town, Merrimack County  
 Northumberland Town, Coos County  
 Piermont Town, Grafton County  
 Pittsburg Town, Coos County  
 Plymouth Town, Grafton County  
 Springfield Town, Sullivan County  
 Stark Town, Coos County  
 Stewartstown Town, Coos County  
 Stratford Town, Coos County  
 Troy Town, Cheshire County  
 Unity Town, Sullivan County  
 Wakefield Town, Carroll County  
 Warner Town, Merrimack County  
 Warren Town, Grafton County  
 Wentworth Town, Grafton County  
 Whitefield Town, Coos County  
 Winchester Town, Cheshire County  
 Woodstock Town, Grafton County

#### New Jersey

City of Orange Township  
 Commercial Township, Cumberland County  
 Deerfield Township, Cumberland County  
 Dennis Township, Cape May County  
 Downe Township, Cumberland County  
 Fairfield Township, Cumberland County  
 Greenwich Township, Cumberland County  
 Hillside Township, Union County  
 Lakewood Township, Ocean County  
 Lawrence Township, Cumberland County  
 Lower Alloways Creek Township, Salem County  
 Mannington Township, Salem County  
 Maurice River Township, Cumberland County  
 Middle Township, Cape May County  
 Montclair Township, Essex County  
 Mount Holly Township, Burlington County  
 Mullica Township, Atlantic County  
 Neptune Township, Monmouth County  
 New Hanover Township, Burlington County  
 North Bergen Township, Hudson County  
 Oldmans Township, Salem County  
 Oxford Township, Warren County  
 Pilesgrove Township, Salem County  
 Pittsgrove Township, Salem County  
 Plumsted Township, Ocean County  
 Pohatcong Township, Warren County  
 Riverside Township, Burlington County  
 Shrewsbury Township, Monmouth County  
 Stow Creek Township, Cumberland County  
 Upper Deerfield Township, Cumberland County

Washington Township, Burlington County  
 Weehawken Township, Hudson County  
 Woolwich Township, Gloucester County

#### New York

\*Adams Town, Jefferson County  
 \*Addison Town, Steuben County  
 \*Afton Town, Chenango County  
 Alabama Town, Genesee County  
 \*Albion Town, Orleans County  
 \*Albion Town, Oswego County  
 \*Alden Town, Erie County  
 \*Alexander Town, Genesee County  
 \*Alexandria Town, Jefferson County  
 \*Alfred Town, Allegany County  
 \*Allegany Town, Cattaraugus County  
 Allen Town, Allegany County  
 Alma Town, Allegany County  
 \*Altamont Town, Franklin County  
 Altona Town, Clinton County  
 Amenia Town, Dutchess County  
 \*Amity Town, Allegany County  
 \*Amsterdam Town, Montgomery County  
 Ancram Town, Columbia County  
 \*Andes Town, Delaware County  
 \*Andover Town, Allegany County  
 \*Angelica Town, Allegany County  
 Annsville Town, Oneida County  
 \*Antwerp Town, Jefferson County  
 \*Arcadia Town, Wayne County  
 \*Argyle Town, Washington County  
 Arietta Town, Hamilton County  
 Arkwright Town, Chautauqua County  
 Ashford Town, Cattaraugus County  
 \*Ashland Town, Chemung County  
 Ashland Town, Greene County  
 \*Athens Town, Greene County  
 \*Attica Town, Wyoming County  
 \*Au Sable, Clinton County  
 \*Augusta Town, Oneida County  
 \*Aurelius Town, Cayuga County  
 \*Aurora Town, Erie County  
 Ava Town, Oneida County  
 \*Avoca Town, Steuben County  
 \*Avon Town, Livingston County  
 \*Bainbridge Town, Chenango County  
 Baldwin Town, Chemung County  
 Bangor Town, Franklin County  
 Barre Town, Orleans County  
 Barrington Town, Yates County  
 \*Barton Town, Tioga County  
 Batavia Town, Genesee County  
 \*Bath Town, Steuben County  
 Beekmantown Town, Clinton County  
 Belfast Town, Allegany County  
 Belmont Town, Franklin County  
 Benson Town, Hamilton County  
 \*Benton Town, Yates County  
 Berlin Town, Rensselaer County  
 Bethany Town, Genesee County  
 Bethel Town, Sullivan County  
 Birdsall Town, Allegany County  
 Black Brook Town, Clinton County  
 Bleecker Town, Fulton County  
 Blenheim Town, Schoharie County  
 \*Bolivar Town, Allegany County  
 Bolton Town, Warren County  
 Bombay Town, Franklin County  
 \*Boonville Town, Oneida County  
 Bovina Town, Delaware County  
 Boylston Town, Oswego County  
 Bradford Town, Steuben County  
 Brandon Town, Franklin County  
 \*Brant Town, Erie County  
 Brasher Town, St Lawrence County  
 \*Bridgewater Town, Oneida County  
 Brighton Town, Franklin County  
 Broadalbin Town, Fulton County  
 Brookfield Town, Madison County  
 \*Brownville Town, Jefferson County  
 \*Brutus Town, Cayuga County  
 \*Burke Town, Franklin County  
 Burlington Town, Otsego County  
 \*Burns Town, Allegany County  
 \*Butler Town, Wayne County  
 Cairo Town, Greene County  
 \*Callicoon Town, Sullivan County  
 \*Cambridge Town, Washington County  
 \*Camden Town, Oneida County  
 Cameron Town, Steuben County  
 Campbell Town, Steuben County  
 \*Canajoharie Town, Montgomery County  
 \*Candor Town, Tioga County  
 Caneadea Town, Allegany County  
 \*Canisteo Town, Steuben County  
 \*Canton Town, St Lawrence County  
 \*Cape Vincent Town, Jefferson County  
 Carlton Town, Orleans County  
 Caroga Town, Fulton County  
 Caroline Town, Tompkins County  
 \*Carrollton Town, Cattaraugus County  
 \*Castile Town, Wyoming County  
 \*Catharine Town, Schuyler County  
 Catlin Town, Chemung County  
 \*Cato Town, Cayuga County  
 Caton Town, Steuben County  
 \*Catskill Town, Greene County  
 Cayuta Town, Schuyler County  
 Centerville Town, Allegany County  
 \*Champion Town, Jefferson County  
 \*Champlain Town, Clinton County  
 Charleston Town, Montgomery County  
 \*Charlotte Town, Chautauqua County  
 \*Chateaugay Town, Franklin County  
 \*Chautauqua Town, Chautauqua County  
 Chazy Town, Clinton County  
 Chemung Town, Chemung County  
 \*Cherry Creek Town, Chautauqua County  
 \*Cherry Valley Town, Otsego County  
 Chester Town, Warren County  
 \*Chesterfield Town, Essex County  
 Cincinnati Town, Cortland County  
 Clare Town, St Lawrence County  
 \*Claverack Town, Columbia County  
 \*Clayton Town, Jefferson County  
 Clifton Town, St Lawrence County  
 Clinton Town, Clinton County  
 Clymer Town, Chautauqua County  
 \*Cobleskill Town, Schoharie County  
 \*Cohocton Town, Steuben County  
 Colchester Town, Delaware County  
 Cold Spring Township, Cattaraugus County  
 Colden Town, Erie County  
 \*Collins Town, Erie County  
 Colton Town, St Lawrence County  
 Columbia Town, Herkimer County  
 Columbus Town, Chenango County  
 \*Concord Town, Erie County  
 Conesville Town, Schoharie County  
 \*Conewango Town, Cattaraugus County  
 Conquest Town, Cayuga County  
 Constable Town, Franklin County  
 Copake Town, Columbia County  
 \*Corinth Town, Saratoga County  
 \*Corning Town, Steuben County  
 \*Cortlandville Town, Cortland County  
 Coventry Town, Chenango County  
 \*Covert Town, Seneca County  
 Covington Town, Wyoming County  
 \*Coxsackie Town, Greene County  
 \*Croghan Town, Lewis County  
 Crown Point Town, Essex County



Cuyler Town, Cortland County  
 \*Dannemora Town, Clinton County  
 Dansville Town, Steuben County  
 Danube Town, Herkimer County  
 Darien Town, Genesee County  
 Day Town, Saratoga County  
 \*Dayton Town, Cattaraugus County  
 \*De Kalb Town, St Lawrence County  
 De Peyster Town, St Lawrence County  
 Decatur Town, Otsego County  
 Deerpark Town, Orange County  
 Delaware Town, Sullivan County  
 \*Delhi Town, Delaware County  
 \*Denmark Town, Lewis County  
 Denning Town, Ulster County  
 \*Diana Town, Lewis County  
 Dickinson Town, Franklin County  
 \*Dix Town, Schuyler County  
 Dover Town, Dutchess County  
 Dresden Town, Washington County  
 Dunkirk Town, Chautauqua County  
 Durham Town, Greene County  
 Eagle Town, Wyoming County  
 East Otto Town, Cattaraugus County  
 \*Easton Town, Washington County  
 \*Eaton Town, Madison County  
 Eden Town, Erie County  
 Edinburg Town, Saratoga County  
 Edmeston Town, Otsego County  
 \*Edwards Town, St Lawrence County  
 \*Elba Town, Genesee County  
 Elizabethtown Town, Essex County  
 Ellenburg Town, Clinton County  
 \*Ellery Town, Chautauqua County  
 \*Ellicottville Town, Chautauqua County  
 Ellington Town, Chautauqua County  
 \*Ellisburg Town, Jefferson County  
 Enfield Town, Tompkins County  
 Ephratah Town, Fulton County  
 Erin Town, Chemung County  
 Esopus Town, Ulster County  
 Essex Town, Essex County  
 \*Evans Town, Erie County  
 Exeter Town, Otsego County  
 \*Fairfield Town, Herkimer County  
 \*Fallsburg Town, Sullivan County  
 Farmersville Town, Cattaraugus County  
 Fenner Town, Madison County  
 Fine Town, St Lawrence County  
 Florence Town, Oneida County  
 Fort Covington Town, Franklin County  
 \*Fort Edward Town, Washington County  
 Fowler Town, St Lawrence County  
 \*Frankfort Town, Herkimer County  
 \*Franklin Town, Delaware County  
 Franklin Town, Franklin County  
 \*Franklinville Town, Cattaraugus County  
 Freedom Town, Cattaraugus County  
 Freetown Town, Cortland County  
 Fremont Town, Steuben County  
 Fremont Town, Sullivan County  
 French Creek Town, Chautauqua County  
 Friendship Town, Allegany County  
 Fulton Town, Schoharie County  
 \*Gaines Town, Orleans County  
 \*Gainesville Town, Wyoming County  
 \*Galen Town, Wayne County  
 Genesee Falls Town, Wyoming County  
 Genesee Town, Allegany County  
 \*Genesee Town, Livingston County  
 Genoa Town, Cayuga County  
 Georgetown Town, Madison County  
 \*German Flatts Town, Herkimer County  
 German Town, Chenango County  
 \*Gerry Town, Chautauqua County  
 Gilboa Town, Schoharie County

\*Glen Town, Montgomery County  
 \*Gouverneur Town, St Lawrence County  
 Granger Town, Allegany County  
 \*Granville Town, Washington County  
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 \*Green Island Town, Albany County  
 \*Greene Town, Chenango County  
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 Greenville Town, Greene County  
 \*Greenwich Town, Washington County  
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 \*Hancock Town, Delaware County  
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 \*Hanover Town, Chautauqua County  
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 \*Harmony Town, Chautauqua County  
 \*Harpersfield Town, Delaware County  
 \*Harrietstown Town, Franklin County  
 Harrisburg Town, Lewis County  
 Hartford Town, Washington County  
 \*Hartland Town, Niagara County  
 Hartsville Town, Steuben County  
 Hartwick Town, Otsego County  
 Hebron Town, Washington County  
 \*Hector Town, Schuyler County  
 Henderson Town, Jefferson County  
 \*Herkimer Town, Herkimer County  
 \*Hermon Town, St Lawrence County  
 Highland Town, Sullivan County  
 Hillsdale Town, Columbia County  
 Hinsdale Town, Cattaraugus County  
 Holland Town, Erie County  
 \*Homer Town, Cortland County  
 \*Hoosick Town, Rensselaer County  
 Hope Town, Hamilton County  
 Hopkinton Town, St Lawrence County  
 Horicon Town, Warren County  
 Hornby Town, Steuben County  
 \*Hornells Ville Town, Steuben County  
 \*Horseheads Town, Chemung County  
 \*Hounsfield Town, Jefferson County  
 Howard Town, Steuben County  
 \*Hume Town, Allegany County  
 Humphrey Town, Cattaraugus County  
 \*Hunter Town, Greene County  
 Huron Town, Wayne County  
 Independence Town, Allegany County  
 Indian Lake Town, Hamilton County  
 \*Ira Town, Cayuga County  
 Ischua Town, Cattaraugus County  
 Italy Town, Yates County  
 Jasper Town, Steuben County  
 Java Town, Wyoming County  
 Jay Town, Essex County  
 Jefferson Town, Schoharie County  
 \*Jerusalem Town, Yates County  
 Jewett Town, Greene County  
 Johnsbury Town, Warren County  
 Junius Town, Seneca County  
 Keene Town, Essex County  
 \*Kingsbury Town, Washington County  
 Kortright Town, Delaware County  
 \*Lake George Town, Warren County  
 Lake Luzerne Town, Warren County  
 \*Lake Pleasant Town, Hamilton County

\*Lancaster Town, Erie County  
 Lapeer Town, Cortland County  
 Lawrence Town, St Lawrence County  
 \*Le Ray Town, Jefferson County  
 \*Le Roy Town, Genesee County  
 Lebanon Town, Madison County  
 \*Ledyard Town, Cayuga County  
 \*Lenox Town, Madison County  
 Leon Town, Cattaraugus County  
 Lewis Town, Essex County  
 Lewis Town, Lewis County  
 Lexington Town, Green County  
 \*Leyden Town, Lewis County  
 \*Liberty Town, Sullivan County  
 Lincklaen Town, Chenango County  
 Lindley Town, Steuben County  
 Lisbon Town, St Lawrence County  
 \*Lisle Town, Broome County  
 Litchfield Town, Herkimer County  
 Little Falls Town, Herkimer County  
 \*Little Valley Town, Cattaraugus County  
 Locke Town, Cayuga County  
 \*Lodi Town, Seneca County  
 Long Lake Town, Hamilton County  
 Lorraine Town, Jefferson County  
 \*Lowville Town, Lewis County  
 \*Lyme Town, Jefferson County  
 Lyndon Town, Cattaraugus County  
 \*Lyons Town, Wayne County  
 \*Lyonsdale Town, Lewis County  
 Machias Town, Cattaraugus County  
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 \*Madison Town, Madison County  
 Madrid Town, St Lawrence County  
 \*Malone Town, Franklin County  
 \*Mamakating Town, Sullivan County  
 \*Manheim Town, Herkimer County  
 Mansfield Town, Cattaraugus County  
 \*Marathon Town, Cortland County  
 Martinsburg Town, Lewis County  
 \*Maryland Town, Otsego County  
 Masonville Town, Delaware County  
 \*Massena Town, St Lawrence County  
 \*Mayfield Town, Fulton County  
 McDonough Town, Chenango County  
 \*Mentz Town, Cayuga County  
 Meredith Town, Delaware County  
 \*Mexico Town, Oswego County  
 \*Middlebury Town, Wyoming County  
 \*Middleton Town, Delaware County  
 \*Milford Town, Otsego County  
 \*Milo Town, Yates County  
 Mina Town, Chautauqua County  
 \*Minden Town, Montgomery County  
 Minerva Town, Essex County  
 \*Mohawk Town, Montgomery County  
 \*Moir Town, Franklin County  
 Montague Town, Lewis County  
 Montezuma Town, Cayuga County  
 \*Montour Town, Schuyler County  
 \*Moore Town, Clinton County  
 \*Moravia Town, Cayuga County  
 Morehouse Town, Hamilton County  
 \*Moriah Town, Essex County  
 \*Morristown Town, St Lawrence County  
 \*Mount Morris Town, Livingston County  
 \*Murray Town, Orleans County  
 Napoli Town, Cattaraugus County  
 \*New Albion Town, Cattaraugus County  
 New Baltimore Town, Greene County  
 \*New Berlin Town, Chenango County  
 \*New Brehem Town, Lewis County  
 New Lisbon Town, Otsego County  
 \*New Paltz Town, Ulster County  
 Newcomb Town, Essex County



- Newfane Town, Niagara County  
 \*Newport Town, Herkimer County  
 \*Newstead Town, Erie County  
 Niles Town, Cayuga County  
 \*Norfolk Town, St Lawrence County  
 \*North Collins Town, Erie County  
 \*North Dansville Town, Livingston County  
 \*North East Town, Dutchess County  
 \*North Elba Town, Essex County  
 North Harmony Town, Chautauqua County  
 North Hudson Town, Essex County  
 North Norwich Town, Chenango County  
 \*Northampton Town, Fulton County  
 Norway Town, Herkimer County  
 Norwich Town, Chenango County  
 \*Oakfield Town, Genesee County  
 Ohio Town, Herkimer County  
 Olean Town, Cattaraugus County  
 \*Oppenheim Town, Fulton County  
 Orange Town, Schuyler County  
 Orangeville Town, Wyoming County  
 Orleans Town, Jefferson County  
 Orwell Town, Oswego County  
 Osceola Town, Lewis County  
 \*Oswegatchie Town, St Lawrence County  
 Otselic Town, Chenango County  
 Otto Town, Cattaraugus County  
 \*Ovid Town, Seneca County  
 Owasco Town, Cayuga County  
 \*Oxford Town, Chenango County  
 \*Palatine Town, Montgomery County  
 \*Pamelia Town, Jefferson County  
 \*Paris Town, Oneida County  
 Parishville Town, St Lawrence County  
 Pavilion Town, Genesee County  
 \*Pembroke Town, Genesee County  
 \*Perry Town, Wyoming County  
 \*Perryburg Town, Cattaraugus County  
 \*Persia Town, Cattaraugus County  
 Peru Town, Clinton County  
 Pharsala Town, Chenango County  
 \*Phelps Town, Ontario County  
 \*Philadelphia Town, Jefferson County  
 Piercefield Town, St Lawrence County  
 Pierrepont Town, St Lawrence County  
 \*Pike Town, Wyoming County  
 Pinckney Town, Lewis County  
 Pitcairn Town, St Lawrence County  
 Pitcher Town, Chenango County  
 Pittsfield Town, Otsego County  
 Plainfield Town, Otsego County  
 Plattsburgh Town, Clinton County  
 Plymouth Town, Chenango County  
 Poland Town, Chautauqua County  
 \*Pomfret Town, Chautauqua County  
 Portage Town, Livingston County  
 \*Portland Town, Chautauqua County  
 \*Portville Town, Cattaraugus County  
 \*Potsdam Town, St Lawrence County  
 \*Potter Town, Yates County  
 Prattsburg Town, Steuben County  
 Prattsville Town, Greene County  
 Preble Town, Cortland County  
 Preston Town, Chenango County  
 Providence Town, Saratoga County  
 Pulteney Town, Steuben County  
 Putnam Town, Washington County  
 \*Randolph Town, Cattaraugus County  
 Rathbone Town, Steuben County  
 \*Reading Town, Schuyler County  
 Red House Town, Cattaraugus County  
 Redfield Town, Oswego County  
 Rensselaerville Town, Albany County  
 \*Richfield Town, Otsego County  
 Richford Town, Tioga County  
 \*Richland Town, Oswego County  
 \*Richmondville Town, Schoharie County  
 \*Ridgeway Town, Orleans County  
 Ripley Town, Chautauqua County  
 Rochester Town, Ulster County  
 Rockland Town, Sullivan County  
 Rodman Town, Jefferson County  
 Root Town, Montgomery County  
 Rose Town, Wayne County  
 Roseboom Town, Otsego County  
 Rossie Town, St Lawrence County  
 Roxbury Town, Delaware County  
 \*Royalton Town, Niagara County  
 Rushford Town, Allegany County  
 Russell Town, St Lawrence County  
 \*Russia Town, Herkimer County  
 \*Rutland Town, Jefferson County  
 Salamanca Town, Cattaraugus County  
 \*Salem Town, Washington County  
 Salisbury Town, Herkimer County  
 \*Sandy Creek Town, Oswego County  
 \*Sanford Town, Broome County  
 \*Sangerfield Town, Oneida County  
 \*Saranac Town, Clinton County  
 Sardinia Town, Erie County  
 Savannah Town, Wayne County  
 \*Schodack Town, Rensselaer County  
 \*Schoharie Town, Schoharie County  
 Schroon Town, Essex County  
 Schuyler Falls Town, Clinton County  
 Schuyler Town, Herkimer County  
 Scipio Town, Cayuga County  
 Scott Town, Cortland County  
 Sempronius Town, Cayuga County  
 \*Seneca Falls Town, Seneca County  
 Sennett Town, Cayuga County  
 Seward Town, Schoharie County  
 \*Shandaken Town, Ulster County  
 \*Sharon Town, Schoharie County  
 Shawangunk Town, Ulster County  
 Shelby Town, Orleans County  
 \*Sherburne Town, Chenango County  
 Sheridan Town, Chautauqua County  
 \*Sherman Town, Chautauqua County  
 \*Sidney Town, Delaware County  
 Smithfield Town, Madison County  
 Smithville Town, Chenango County  
 \*Smyrna Town, Chenango County  
 \*Sodus Town, Wayne County  
 Solon Town, Cortland County  
 \*Somerset Town, Niagara County  
 South Valley Town, Cattaraugus County  
 Southport Town, Chemung County  
 Springfield Town, Otsego County  
 \*Springport Town, Cayuga County  
 \*St. Armand Town, Essex County  
 \*St. Johnsville Town, Montgomery County  
 Stafford Town, Genesee County  
 \*Stamford Town, Delaware County  
 Stark Town, Herkimer County  
 \*Starkey Town, Yates County  
 Stephentown Town, Rensselaer County  
 \*Sterling Town, Cayuga County  
 Steuben Town, Oneida County  
 \*Stockbridge Town, Madison County  
 Stockholm Town, St. Lawrence County  
 \*Stockton Town, Chautauqua County  
 Stony Creek Town, Warren County  
 Stratford Town, Fulton County  
 Summerhill Town, Cayuga County  
 Summit Town, Schoharie County  
 \*Sweden Town, Monroe County  
 Taghkanic Town, Columbia County  
 Taylor Town, Cortland County  
 \*Theresa Town, Jefferson County  
 \*Thompson Town, Sullivan County  
 Throop Town, Cayuga County  
 Thurman Town, Warren County  
 Thurston Town, Steuben County  
 \*Ticonderoga Town, Essex County  
 Troupsburg Town, Steuben County  
 Truxton Town, Cortland County  
 \*Turin Town, Lewis County  
 Tuscarora Town, Steuben County  
 Tyrone Town, Schuyler County  
 \*Urbana Town, Steuben County  
 \*Van Etten Town, Chemung County  
 Venice Town, Cayuga County  
 \*Veteran Town, Chemung County  
 Victory Town, Cayuga County  
 \*Vienna Town, Oneida County  
 Villenva Town, Chautauqua County  
 Virgil Town, Cortland County  
 \*Waddington Town, St. Lawrence County  
 \*Walton Town, Delaware County  
 Warren Town, Herkimer County  
 Warrensburg Town, Warren County  
 \*Warsaw Town, Wyoming County  
 \*Waterloo Town, Seneca County  
 Watertown Town, Jefferson County  
 Watson Town, Lewis County  
 Waverly Town, Franklin County  
 \*Wawarsing Town, Ulster County  
 \*Wayland Town, Steuben County  
 Wayne Town, Steuben County  
 Webb Town, Herkimer County  
 Wells Town, Hamilton County  
 \*Wellsville Town, Allegany County  
 West Almond Town, Allegany County  
 West Sparta Town, Livingston County  
 \*West Turin Town, Lewis County  
 West Union Town, Steuben County  
 Western Town, Oneida County  
 \*Westfield Town, Chautauqua County  
 Westford Town, Otsego County  
 \*Westport Town, Essex County  
 Westville Town, Franklin County  
 Wethersfield Town, Wyoming County  
 Wheatfield Town, Niagara County  
 Wheeler Town, Steuben County  
 \*Whitehall Town, Washington County  
 Willet Town, Cortland County  
 Williamstown Town, Oswego County  
 Willing Town, Allegany County  
 Willsboro Town, Essex County  
 Wilmington Town, Essex County  
 \*Wilna Town, Jefferson County  
 \*Wilson Town, Niagara County  
 Windham Town, Greene County  
 \*Winfield Town, Herkimer County  
 \*Wirt Town, Allegany County  
 \*Wolcott Town, Wayne County  
 \*Woodhull Town, Steuben County  
 Worcester Town, Otsego County  
 Worth Town, Jefferson County  
 \*Yates Town, Orleans County  
 \*Yorkshire Town, Cattaraugus County

#### Pennsylvania

- Abbot Township, Potter County  
 Adams Township, Cambria County  
 Adams Township, Snyder County  
 Addison Township, Somerset County  
 Albany Township, Bradford County  
 Aleppo Township, Greene County  
 Allegany Township, Potter County  
 Allegheny Township, Butler County  
 Allegheny Township, Cambria County  
 Allegheny Township, Somerset County  
 Allegheny Township, Venango County  
 Allison Township, Clinton County  
 Amity Township, Erie County



Amwell Township, Washington County  
 Annin Township, McKean County  
 Annville Township, Lebanon County  
 Anthony Township, Lycoming County  
 Antis Township, Blair County  
 Apolaccon Township, Susquehanna County  
 Ararat Township, Susquehanna County  
 Armagh Township, Mifflin County  
 Armenia Township, Bradford County  
 Armstrong Township, Lycoming County  
 Asylum Township, Bradford County  
 Athens Township, Bradford County  
 Athens Township, Crawford County  
 Auburn Township, Susquehanna County  
 Ayr Township, Fulton County  
 Banks Township, Carbon County  
 Banks Township, Indiana County  
 Barnett Township, Forest County  
 Barnett Township, Jefferson County  
 Barr Township, Cambria County  
 Barree Township, Huntingdon County  
 Barry Township, Schuylkill County  
 Bart Township, Lancaster County  
 Bastress Township, Lycoming County  
 Beale Township, Juniata County  
 Beaver Township, Clarion County  
 Beaver Township, Columbia County  
 Beaver Township, Crawford County  
 Beaver Township, Jefferson County  
 Beaver Township, Snyder County  
 Beccaria Township, Clearfield County  
 Bedford Township, Bedford County  
 Beech Creek Township, Clinton County  
 Belfast Township, Fulton County  
 Bell Township, Clearfield County  
 Bell Township, Jefferson County  
 Bell Township, Westmoreland County  
 Benezeite Township, Elk County  
 Benton Township, Columbia County  
 Benton Township, Lackawanna County  
 Berlin Township, Wayne County  
 Bethel Township, Armstrong County  
 Bethel Township, Fulton County  
 Bethel Township, Lebanon County  
 Bigler Township, Clearfield County  
 Bingham Township, Potter County  
 Black Creek Township, Luzerne County  
 Black Lick Township, Indiana County  
 Black Township, Somerset County  
 Blacklick Township, Cambria County  
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 Blair Township, Blair County  
 Bloom Township, Clearfield County  
 Bloomfield Township, Bedford County  
 Bloomfield Township, Crawford County  
 Bloss Township, Tioga County  
 Blythe Township, Schuylkill County  
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 Bradford Township, Clearfield County  
 Bradford Township, McKean County  
 Brady Township, Butler County  
 Brady Township, Clearfield County  
 Brady Township, Huntingdon County  
 Brady Township, Lycoming County  
 Bradys Bend Township, Armstrong County  
 Braintrim Township, Wyoming County  
 Branch Township, Schuylkill County  
 Bratton Township, Mifflin County  
 Brecknock Township, Lancaster County  
 Broad Top Township, Bedford County  
 Brokenstraw Township, Warren County  
 Brookfield Township, Tioga County  
 Brooklyn Township, Susquehanna County  
 Brothersvalley Township, Somerset County

Brown Township, Lycoming County  
 Brown Township, Mifflin County  
 Brownsville Township, Fayette County  
 Brush Creek Township, Fulton County  
 Brush Valley Township, Indiana County  
 Buck Township, Luzerne County  
 Buckingham Township, Wayne County  
 Buffalo Township, Union County  
 Buffington Township, Indiana County  
 Bullskin Township, Fayette County  
 Burlington Township, Bradford County  
 Burnside Township, Centre County  
 Burnside Township, Clearfield County  
 Burrell Township, Armstrong County  
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 Butler Township, Adams County  
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 Butler Township, Schuylkill County  
 Cadogan Township, Armstrong County  
 Cambria Township, Cambria County  
 Cambridge Township, Crawford County  
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 Carbondale Township, Lackawanna County  
 Casade Township, Lycoming County  
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 Ceres Township, McKean County  
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 Chapman Township, Clinton County  
 Chapman Township, Snyder County  
 Charleston Township, Tioga County  
 Chatham Township, Tioga County  
 Cherry Grove Township, Warren County  
 Cherry Township, Sullivan County  
 Cherryhill Township, Indiana County  
 Cherrytree Township, Venango County  
 Chest Township, Cambria County  
 Chest Township, Clearfield County  
 Clara Township, Potter County  
 Clarion Township, Clarion County  
 Clay Township, Butler County  
 Clay Township, Huntingdon County  
 Clearfield Township, Butler County  
 Clearfield Township, Cambria County  
 Cleveland Township, Columbia County  
 Clinton Township, Lycoming County  
 Clifton Township, Wyoming County  
 Clover Township, Jefferson County  
 Clymer Township, Tioga County  
 Coal Township, Northumberland County  
 Cogan House Township, Lycoming County  
 Colebrook Township, Clinton County  
 Colerain Township, Bedford County  
 Colerain Township, Lancaster County  
 Colley Township, Sullivan County  
 Columbia Township, Bradford County  
 Concord Township, Butler County  
 Concord Township, Erie County  
 Conemaugh Township, Cambria County  
 Conemaugh Township, Indiana County  
 Conemaugh Township, Somerset County  
 Conewago Township, Adams County  
 Conewago Township, York County  
 Conewango Township, Warren County  
 Conneaut Township, Crawford County  
 Conneaut Township, Erie County

Conyngnam Township, Columbia County  
 Conyngham Township, Luzerne County  
 Coolbaugh Township, Monroe County  
 Coolspring Township, Mercer County  
 Cooper Township, Clearfield County  
 Corydon Township, McKean County  
 Covington Township, Clearfield County  
 Covington Township, Lackawanna County  
 Covington Township, Tioga County  
 Cowanshannock Township, Armstrong County  
 Cresson Township, Cambria County  
 Cromwell Township, Huntingdon County  
 Croyle Township, Cambria County  
 Cumberland Township, Greene County  
 Cumberland Valley Township, Bedford County  
 Cummings Township, Lycoming County  
 Curtin Township, Centre County  
 Cussewago Township, Crawford County  
 Damascus Township, Wayne County  
 Darlington Township, Beaver County  
 Davidson Township, Sullivan County  
 Dean Township, Cambria County  
 Decatur Township, Mifflin County  
 Deer Creek Township, Mercer County  
 Deerfield Township, Tioga County  
 Deerfield Township, Warren County  
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 Delaware Township, Juniata County  
 Delaware Township, Mercer County  
 Delaware Township, Northumberland County  
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 Derry Township, Mifflin County  
 Derry Township, Montour County  
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 Donegal Township, Butler County  
 Donegal Township, Washington County  
 Donegal Township, Westmoreland County  
 Dorrance Township, Luzerne County  
 Dreher Township, Wayne County  
 Dublin Township, Fulton County  
 Dublin Township, Huntingdon County  
 Dunbar Township, Fayette County  
 Duncan Township, Tioga County  
 Dunkard Township, Greene County  
 Dunnstable Township, Clinton County  
 Dyberry Township, Wayne County  
 Earl Township, Lancaster County  
 East Bethlehem Township, Washington County  
 East Cameron Township, Northumberland County  
 East Carroll Township, Cambria County  
 East Chillisquaque Township, Northumberland County  
 East Deer Township, Allegheny County  
 East Fairfield Township, Crawford County  
 East Fallowfield Township, Crawford County  
 East Finley Township, Washington County  
 East Franklin Township, Armstrong County  
 East Hanover Township, Lebanon County  
 East Hopewell Township, York County  
 East Huntingdon Township, Westmoreland County  
 East Mahoning Township, Indiana County  
 East Manchester Township, York County  
 East Mead Township, Crawford County  
 East Norwegian Township, Schuylkill County  
 East Nottingham Township, Chester County  
 East Penn Township, Carbon County  
 East Providence Township, Bedford County  
 East St. Clair Township, Bedford County  
 East Taylor Township, Cambria County



East Union Township, Schuylkill County  
 East Vincent Township, Chester County  
 East Wheatfield Township, Indiana County  
 Eden Township, Lancaster County  
 Elder Township, Cambria County  
 Eldred Township, Jefferson County  
 Eldred Township, McKean County  
 Eldred Township, Monroe County  
 Eldred Township, Schuylkill County  
 Eldred Township, Warren County  
 Elk Creek Township, Erie County  
 Elk Lick Township, Somerset County  
 Elk Township, Clarion County  
 Elk Township, Tioga County  
 Elkland Township, Sullivan County  
 Elkland Township, Tioga County  
 Exeter Township, Wyoming County  
 Fairfield Township, Crawford County  
 Fairfield Township, Westmoreland County  
 Fairhope Township, Somerset County  
 Fairmont Township, Luzerne County  
 Fairview Township, Butler County  
 Fairview Township, Mercer County  
 Followfield Township, Washington County  
 Falls Township, Wyoming County  
 Fannett Township, Franklin County  
 Farmington Township, Clarion County  
 Farmington Township, Tioga County  
 Farmington Township, Warren County  
 Fawn Township, York County  
 Fayette Township, Juniata County  
 Fell Township, Lackawanna County  
 Fermanagh Township, Juniata County  
 Findley Township, Mercer County  
 Fishing Creek Township, Columbia County  
 Forks Township, Sullivan County  
 Forward Township, Butler County  
 Foster Township, Luzerne County  
 Foster Township, Schuylkill County  
 Fox Township, Sullivan County  
 Frailey Township, Schuylkill County  
 Franklin Township, Adams County  
 Franklin Township, Bradford County  
 Franklin Township, Carbon County  
 Franklin Township, Columbia County  
 Franklin Township, Fayette County  
 Franklin Township, Greene County  
 Franklin Township, Huntingdon County  
 Franklin Township, Luzerne County  
 Franklin Township, Lycoming County  
 Franklin Township, Snyder County  
 Franklin Township, Susquehanna County  
 Frankstown Township, Blair County  
 Freedom Township, Adams County  
 Freedom Township, Blair County  
 Freehold Township, Warren County  
 Freeport Township, Greene County  
 French Creek Township, Mercer County  
 Gaines Township, Tioga County  
 Gallagher Township, Clinton County  
 Gallitzin Township, Cambria County  
 Gaskill Township, Jefferson County  
 Genesee Township, Potter County  
 Georges Township, Fayette County  
 German Township, Fayette County  
 Gibson Township, Cameron County  
 Gibson Township, Susquehanna County  
 Gilmore Township, Greene County  
 Gilpin Township, Armstrong County  
 Girard Township, Clearfield County  
 Girard Township, Erie County  
 Glade Township, Warren County  
 Goshen Township, Clearfield County  
 Graham Township, Clearfield County  
 Grant Township, Indiana County  
 Granville Township, Bradford County  
 Granville Township, Mifflin County  
 Gray Township, Greene County  
 Green Township, Forest County  
 Green Township, Indiana County  
 Greene Township, Clinton County  
 Greene Township, Greene County  
 Greene Township, Mercer County  
 Greenfield Township, Blair County  
 Greenfield Township, Erie County  
 Greenville Township, Somerset County  
 Greenwood Township, Clearfield County  
 Greenwood Township, Columbia County  
 Greenwood Township, Crawford County  
 Greenwood Township, Juniata County  
 Gregg Township, Centre County  
 Gregg Township, Union County  
 Grove Township, Cameron County  
 Gulich Township, Clearfield County  
 Haines Township, Centre County  
 Halfmoon Township, Centre County  
 Hamilton Township, Adams County  
 Hamilton Township, McKean County  
 Hamilton Township, Monroe County  
 Hamilton Township, Tioga County  
 Hamilton Township, Adams County  
 Hamlin Township, McKean County  
 Hanover Township, Luzerne County  
 Harford Township, Susquehanna County  
 Harmony Township, Forest County  
 Harmony Township, Susquehanna County  
 Harrison Township, Allegeny County  
 Harrison Township, Bedford County  
 Harrison Township, Potter County  
 Hartley Township, Union County  
 Hayfield Township, Crawford County  
 Hazle Township, Luzerne County  
 Hebron Township, Potter County  
 Hector Township, Potter County  
 Hegins Township, Schuylkill County  
 Heidelberg Township, Lebanon County  
 Heidelberg Township, Lehigh County  
 Hemlock Township, Columbia County  
 Henderson Township, Huntingdon County  
 Henderson Township, Jefferson County  
 Henry Clay Township, Fayette County  
 Herrick Township, Bradford County  
 Hickory Township, Forest County  
 Hickory Township, Lawrence County  
 Highland Township, Clarion County  
 Highland Township, Elk County  
 Hills Grove Township, Sullivan County  
 Hollenback Township, Luzerne County  
 Homer Township, Potter County  
 Hopewell Township, Bedford County  
 Hopewell Township, Cumberland County  
 Hopewell Township, Huntingdon County  
 Hopewell Township, Washington County  
 Hopewell Township, York County  
 Horton Township, Elk County  
 Hovey Township, Armstrong County  
 Howard Township, Centre County  
 Howe Township, Forest County  
 Hubley Township, Schuylkill County  
 Hunlock Township, Luzerne County  
 Huntington Township, Adams County  
 Huntington Township, Luzerne County  
 Huston Township, Blair County  
 Huston Township, Centre County  
 Independence Township, Washington County  
 Irwin Township, Venango County  
 Jackson Township, Butler County  
 Jackson Township, Cambria County  
 Jackson Township, Columbia County  
 Jackson Township, Greene County  
 Jackson Township, Huntingdon County  
 Jackson Township, Lebanon County  
 Jackson Township, Lycoming County  
 Jackson Township, Mercer County  
 Jackson Township, Northumberland County  
 Jackson Township, Perry County  
 Jackson Township, Snyder County  
 Jackson Township, Susquehanna County  
 Jackson Township, Tioga County  
 Jackson Township, Venango County  
 Jay Township, Elk County  
 Jefferson Township, Fayette County  
 Jefferson Township, Greene County  
 Jefferson Township, Lackawanna County  
 Jenkins Township, Luzerne County  
 Jenks Township, Forest County  
 Jenner Township, Somerset County  
 Jordan Township, Clearfield County  
 Jordan Township, Lycoming County  
 Jordan Township, Northumberland County  
 Juniata Township, Bedford County  
 Juniata Township, Blair County  
 Juniata Township, Huntingdon County  
 Karthaus Township, Clearfield County  
 Keating Township, McKean County  
 Keating Township, Potter County  
 Kelly Township, Union County  
 Kimmel Township, Bedford County  
 King Township, Bedford County  
 Kingsley Township, Forest County  
 Kingston Township, Luzerne County  
 Kiskiminetas Township, Armstrong County  
 Kline Township, Schuylkill County  
 Knox Township, Clearfield County  
 Knox Township, Jefferson County  
 La Plume Township, Lackawanna County  
 Lack Township, Juniata County  
 Lackawannock Township, Mercer County  
 Lake Township, Luzerne County  
 Lake Township, Mercer County  
 Lamar Township, Clinton County  
 Laporte Township, Sullivan County  
 Larimer Township, Somerset County  
 Lathrop Township, Susquehanna County  
 Lawrence Township, Clearfield County  
 Lawrence Township, Tioga County  
 Le Boeuf Township, Erie County  
 Leacock Township, Lancaster County  
 Lebanon Township, Wayne County  
 Lehigh Township, Carbon County  
 Lehigh Township, Lackawanna County  
 Lehman Township, Luzerne County  
 Leidy Township, Clinton County  
 Lemon Township, Wyoming County  
 Lenox Township, Susquehanna County  
 Leroy Township, Bradford County  
 Lewis Township, Lycoming County  
 Lewis Township, Northumberland County  
 Lewis Township, Union County  
 Liberty Township, Adams County  
 Liberty Township, Bedford County  
 Liberty Township, Centre County  
 Liberty Township, McKean County  
 Liberty Township, Susquehanna County  
 Liberty Township, Tioga County  
 Licking Creek Township, Fulton County  
 Licking Township, Clarion County  
 Limerick Township, Montgomery County  
 Limestone Township, Union County  
 Lincoln Township, Bedford County  
 Lincoln Township, Huntingdon County  
 Lincoln Township, Somerset County  
 Litchfield Township, Bradford County  
 Little Beaver Township, Lawrence County  
 Little Britain Township, Lancaster County  
 Little Mahanoy Township, Northumberland County



Locust Township, Columbia County  
 Logan Township, Blair County  
 Logan Township, Clinton County  
 Logan Township, Huntingdon County  
 Londonderry Township, Bedford County  
 Lower Augusta Township, Northumberland County  
 Lower Chanceford Township, York County  
 Lower Chichester Township, Delaware County  
 Lower Mahanoy Township, Northumberland County  
 Lower Mount Bethel Township, Northampton County  
 Lower Oxford Township, Chester County  
 Lower Towamensing Township, Carbon County  
 Lower Turkeyfoot Township, Somerset County  
 Lower Tyrone Township, Fayette County  
 Lower Windsor Township, York County  
 Lower Yoder Township, Cambria County  
 Loyalhanna Township, Westmoreland County  
 Loyalsock Township, Lycoming County  
 Lumber Township, Cameron County  
 Lurgan Township, Franklin County  
 Luzerne Township, Fayette County  
 Madison Township, Armstrong County  
 Madison Township, Clarion County  
 Madison Township, Columbia County  
 Madison Township, Lackawanna County  
 Mahanoy Township, Schuylkill County  
 Mahoning Township, Armstrong County  
 Mahoning Township, Carbon County  
 Mahoning Township, Lawrence County  
 Main Township, Columbia County  
 Manchester Township, Wayne County  
 Mann Township, Bedford County  
 Manor Township, Armstrong County  
 Marion Township, Butler County  
 Marion Township, Centre County  
 McCalmont Township, Jefferson County  
 McHenry Township, Lycoming County  
 McIntyre Township, Lycoming County  
 McKean Township, Erie County  
 McNett Township, Lycoming County  
 Mead Township, Warren County  
 Mehoopany Township, Wyoming County  
 Menallen Township, Adams County  
 Menallen Township, Fayette County  
 Menno Township, Mifflin County  
 Meshoppen Township, Wyoming County  
 Metal Township, Franklin County  
 Middle Taylor Township, Cambria County  
 Middlebury Township, Tioga County  
 Middlecreek Township, Snyder County  
 Middlecreek Township, Somerset County  
 Middletown Township, Susquehanna County  
 Mifflin Township, Columbia County  
 Mifflin Township, Lycoming County  
 Miles Township, Centre County  
 Milford Township, Juniata County  
 Milford Township, Somerset County  
 Mill Creek Township, Lycoming County  
 Mill Creek Township, Mercer County  
 Millcreek Township, Clarion County  
 Millcreek Township, Lebanon County  
 Miller Township, Huntingdon County  
 Miller Township, Perry County  
 Millstone Township, Elk County  
 Mineral Township, Venango County  
 Monongahela Township, Greene County  
 Monroe Township, Bedford County  
 Monroe Township, Bradford County  
 Monroe Township, Clarion County

Monroe Township, Juniata County  
 Monroe Township, Wyoming County  
 Montgomery Township, Franklin County  
 Montgomery Township, Indiana County  
 Montour Township, Columbia County  
 Moreland Township, Lycoming County  
 Morgan Township, Greene County  
 Morris Township, Clearfield County  
 Morris Township, Greene County  
 Morris Township, Huntingdon County  
 Morris Township, Tioga County  
 Morris Township, Washington County  
 Mount Carmel Township, Northumberland County  
 Mount Joy Township, Adams County  
 Mount Pleasant Township, Adams County  
 Mount Pleasant Township, Columbia County  
 Mount Pleasant Township, Wayne County  
 Mount Pleasant Township, Westmoreland County  
 Muncy Township, Lycoming County  
 Munster Township, Cambria County  
 Napier Township, Bedford County  
 Nelson Township, Tioga County  
 Nescopeck Township, Luzerne County  
 Neville Township, Allegheny County  
 New Castle Township, Schuylkill County  
 Newport Township, Luzerne County  
 Nicholson Township, Fayette County  
 Nicholson Township, Wyoming County  
 Nippenose Township, Lycoming County  
 North Bethlehem Township, Washington County  
 North Buffalo Township, Armstrong County  
 North Hopewell Township, York County  
 North Lebanon Township, Lebanon County  
 North Mahoning Township, Indiana County  
 North Shenango TWP, Crawford County  
 North Towanda Township, Bradford County  
 North Union Township, Fayette County  
 North Union Township, Schuylkill County  
 North Woodbury Township, Blair County  
 Northampton Township, Somerset County  
 Northeast Madison TWP, Perry County  
 Northmoreland Township, Wyoming County  
 Norwegian Township, Schuylkill County  
 Norwich Township, McKean County  
 Noxen Township, Wyoming County  
 Noyes Township, Clinton County  
 Oakland Township, Butler County  
 Oakland Township, Susquehanna County  
 Oil Creek Township, Crawford County  
 Oilcreek Township, Venango County  
 Oliver Township, Jefferson County  
 Oliver Township, Mifflin County  
 Oregon Township, Wayne County  
 Orwell Township, Bradford County  
 Osceola Township, Tioga County  
 Oswayo Township, Potter County  
 Otter Creek Township, Mercer County  
 Otto Township, McKean County  
 Overfield Township, Wyoming County  
 Overton Township, Bradford County  
 Oxford Township, Adams County  
 Paint Township, Somerset County  
 Paradise Township, Lancaster County  
 Parker Township, Butler County  
 Parks Township, Armstrong County  
 Patterson Township, Beaver County  
 Peach Bottom Township, York County  
 Penn Township, Centre County  
 Penn Township, Huntingdon County  
 Penn Township, Lycoming County  
 Penn Township, Snyder County  
 Perry Township, Armstrong County  
 Perry Township, Clarion County

Perry Township, Fayette County  
 Perry Township, Greene County  
 Perry Township, Jefferson County  
 Perry Township, Mercer County  
 Perry Township, Snyder County  
 Peters Township, Franklin County  
 Piatt Township, Lycoming County  
 Pike Township, Bradford County  
 Pike Township, Clearfield County  
 Pike Township, Potter County  
 Pine Creek Township, Clinton County  
 Pike Creek Township, Jefferson County  
 Pike Grove Township, Warren County  
 Pike Grove TWP, Schuylkill County  
 Pine Township, Armstrong County  
 Pine Township, Columbia County  
 Pine Township, Crawford County  
 Pine Township, Indiana County  
 Pine Township, Lycoming County  
 Pine Township, Mercer County  
 Piney Township, Clarion County  
 Pittsfield Township, Warren County  
 Pittston Township, Luzerne County  
 Plain Grove Township, Lawrence County  
 Plainfield Township, Northampton County  
 Plains Township, Luzerne County  
 Pleasant Valley Township, Potter County  
 Plumcreek Township, Armstrong County  
 Plunketts Creek Township, Lycoming County  
 Plymouth Township, Luzerne County  
 Point Township, Northumberland County  
 Polk Township, Jefferson County  
 Portage Township, Cambria County  
 Portage Township, Potter County  
 Porter Township, Clarion County  
 Porter Township, Clinton County  
 Porter Township, Huntingdon County  
 Porter Township, Jefferson County  
 Porter Township, Lycoming County  
 Porter Township, Schuylkill County  
 Potter Township, Centre County  
 Preston Township, Wayne County  
 Pulaski Township, Beaver County  
 Pulaski Township, Lawrence County  
 Putnam Township, Tioga County  
 Quemahoning Township, Somerset County  
 Quincy Township, Franklin County  
 Ralpho Township, Northumberland County  
 Randolph Township, Crawford County  
 Ransom Township, Lackawanna County  
 Rayburn Township, Armstrong County  
 Rayne Township, Indiana County  
 Reade Township, Cambria County  
 Reading Township, Adams County  
 Redbank Township, Armstrong County  
 Redbank Township, Clarion County  
 Redstone Township, Fayette County  
 Reilly Township, Schuylkill County  
 Rice Township, Luzerne County  
 Richhill Township, Greene County  
 Richland Township, Clarion County  
 Richmond Township, Crawford County  
 Richmond Township, Tioga County  
 Ridgebury Township, Bradford County  
 Ridgway Township, Elk County  
 Roaring Creek Township, Columbia County  
 Robinson Township, Washington County  
 Rockdale Township, Crawford County  
 Rockefeller Township, Northumberland County  
 Rome Township, Bradford County  
 Rome Township, Crawford County  
 Ross Township, Luzerne County  
 Ross Township, Monroe County  
 Roulette Township, Potter County



- Rush Township, Centre County  
 Rush Township, Northumberland County  
 Rush Township, Susquehanna County  
 Rutland Township, Tioga County  
 Ryan Township, Schuylkill County  
 Sadsbury Township, Lancaster County  
 Salem Township, Clarion County  
 Salem Township, Luzerne County  
 Salem Township, Mercer County  
 Salem Township, Wayne County  
 Salisbury Township, Lancaster County  
 Saltlick Township, Fayette County  
 Sandy Creek Township, Mercer County  
 Sandy Lake Township, Mercer County  
 Saville Township, Perry County  
 Schuylkill Township, Schuylkill County  
 Scott Township, Lackawanna County  
 Scott Township, Wayne County  
 Scrubgrass Township, Venango County  
 Sergeant Township, McKean County  
 Shade Township, Somerset County  
 Shamokin Township, Northumberland County  
 Sharon Township, Potter County  
 Sheffield Township, Warren County  
 Shenango Township, Lawrence County  
 Sheshequin Township, Bradford County  
 Shippen Township, Cameron County  
 Shippen Township, Tioga County  
 Shippensburg Township, Cumberland County  
 Shirley Township, Huntingdon County  
 Shrewsbury Township, Lycoming County  
 Shrewsbury Township, Sullivan County  
 Slippery Rock Township, Butler County  
 Slocum Township, Luzerne County  
 Smith Township, Washington County  
 Smithfield Township, Bradford County  
 Smithfield Township, Huntingdon County  
 Snake Spring Township, Bedford County  
 Snow Shoe Township, Centre County  
 Snyder Township, Blair County  
 Somerset Township, Somerset County  
 South Bend Township, Armstrong County  
 South Creek Township, Bradford County  
 South Fayette Township, Allegheny County  
 South Huntingdon Township, Westmoreland County  
 South Lebanon Township, Lebanon County  
 South Mahoning Township, Indiana County  
 South Manheim Township, Schuylkill County  
 South Pymatung Township, Mercer County  
 South Shenango Township, Crawford County  
 South Versailles Township, Allegheny County  
 South Woodbury Township, Bedford County  
 Southampton Township, Bedford County  
 Southampton Township, Somerset County  
 Southwest Township, Warren County  
 Sparta Township, Crawford County  
 Spring Creek Township, Elk County  
 Spring Creek Township, Warren County  
 Spring Township, Centre County  
 Spring Township, Crawford County  
 Spring Township, Snyder County  
 Springfield Township, Bradford County  
 Springfield Township, Erie County  
 Springfield Township, Fayette County  
 Springfield Township, Huntingdon County  
 Springfield Township, Mercer County  
 Springhill Township, Fayette County  
 Springhill Township, Greene County  
 Springville Township, Susquehanna County  
 Spruce Creek Township, Huntingdon County  
 Spruce Hill Township, Juniata County  
 St. Clair Township, Westmoreland County  
 St. Thomas Township, Franklin County  
 Standing Stone Township, Bradford County  
 Sterling Township, Wayne County  
 Steuben Township, Crawford County  
 Stevens Township, Bradford County  
 Stewart Township, Fayette County  
 Stonycreek Township, Somerset County  
 Stowe Township, Allegheny County  
 Straban Township, Adams County  
 Sugar Grove Township, Warren County  
 Sugarcreek Township, Armstrong County  
 Sugarloaf Township, Columbia County  
 Sullivan Township, Tioga County  
 Summerhill Township, Cambria County  
 Summerhill Township, Crawford County  
 Summit Township, Butler County  
 Summit Township, Potter County  
 Summit Township, Somerset County  
 Susquehanna Township, Cambria County  
 Susquehanna Township, Juniata County  
 Susquehanna Township, Lycoming County  
 Sweden Township, Potter County  
 Sylvania Township, Potter County  
 Taylor Township, Blair County  
 Taylor Township, Centre County  
 Taylor Township, Fulton County  
 Tell Township, Huntingdon County  
 Terry Township, Bradford County  
 Thompson Township, Fulton County  
 Thompson Township, Susquehanna County  
 Tinicum Township, Delaware County  
 Tioga Township, Tioga County  
 Tionesta Township, Forest County  
 Toboyne Township, Perry County  
 Toby Township, Clarion County  
 Tod Township, Huntingdon County  
 Todd Township, Fulton County  
 Towanda Township, Bradford County  
 Tremont Township, Schuylkill County  
 Troy Township, Bradford County  
 Troy Township, Crawford County  
 Turbett Township, Juniata County  
 Tuscarora Township, Bradford County  
 Tuscarora Township, Juniata County  
 Tyrone Township, Adams County  
 Tyrone Township, Blair County  
 Ulster Township, Bradford County  
 Ulysses Township, Potter County  
 Union Township, Bedford County  
 Union Township, Clearfield County  
 Union Township, Crawford County  
 Union Township, Erie County  
 Union Township, Fulton County  
 Union Township, Huntingdon County  
 Union Township, Lebanon County  
 Union Township, Luzerne County  
 Union Township, Mifflin County  
 Union Township, Schuylkill County  
 Union Township, Snyder County  
 Union Township, Tioga County  
 Union Township, Union County  
 Upper Mahanoy Township, Northumberland County  
 Upper Mahantonga Township, Schuylkill County  
 Upper Mifflin Township, Cumberland County  
 Upper Nazareth Township, Northampton County  
 Upper Turkeyfoot Township, Somerset County  
 Upper Tyrone Township, Fayette County  
 Valley Township, Armstrong County  
 Valley Township, Chester County  
 Vanport Township, Beaver County  
 Venango Township, Butler County  
 Venango Township, Crawford County  
 Venango Township, Erie County  
 Vernon Township, Crawford County  
 Victory Township, Venango County  
 Walker Township, Centre County  
 Walker Township, Huntingdon County  
 Walker Township, Juniata County  
 Walker Township, Schuylkill County  
 Warren Township, Bradford County  
 Warren Township, Franklin County  
 Warrior's Mark Township, Huntingdon County  
 Warsaw Township, Jefferson County  
 Washington Township, Armstrong County  
 Washington Township, Butler County  
 Washington Township, Cambria County  
 Washington Township, Clarion County  
 Washington Township, Fayette County  
 Washington Township, Greene County  
 Washington Township, Indiana County  
 Washington Township, Jefferson County  
 Washington Township, Lawrence County  
 Washington Township, Lycoming County  
 Washington Township, Northumberland County  
 Washington Township, Schuylkill County  
 Washington Township, Snyder County  
 Washington Township, Wyoming County  
 Washington Township, York County  
 Waterford Township, Erie County  
 Wayne Township, Armstrong County  
 Wayne Township, Clinton County  
 Wayne Township, Crawford County  
 Wayne Township, Erie County  
 Wayne Township, Greene County  
 Wayne Township, Lawrence County  
 Wayne Township, Mifflin County  
 Wayne Township, Schuylkill County  
 Wells Township, Bradford County  
 Wells Township, Fulton County  
 West Abington Township, Lackawanna County  
 West Beaver Township, Snyder County  
 West Bethlehem Township, Washington County  
 West Branch Township, Potter County  
 West Buffalo Township, Union County  
 West Burlington Township, Bradford County  
 West Cameron Township, Northumberland County  
 West Carroll Township, Cambria County  
 West Chillisquaque Township, Northumberland County  
 West Fallowfield Township, Crawford County  
 West Finley Township, Washington County  
 West Franklin Township, Armstrong County  
 West Keating Township, Clinton County  
 West Lebanon Township, Lebanon County  
 West Mahanoy Township, Schuylkill County  
 West Mahoning Township, Indiana County  
 West Penn Township, Schuylkill County  
 West Perry Township, Snyder County  
 West Pike Run Township, Washington County  
 West Providence Township, Bedford County  
 West Salem Township, Mercer County  
 West Shenango Township, Crawford County  
 West St. Clair Township, Bedford County  
 West Taylor Township, Cambria County  
 West Township, Huntingdon County  
 West Wheatfield Township, Indiana County  
 Westfield Township, Tioga County  
 Wetmore Township, McKean County  
 Wharton Township, Fayette County  
 Wharton Township, Potter County  
 White Township, Cambria County



Whitely Township, Greene County  
 Wilkes-Barre Township, Luzerne County  
 Wilmington Township, Lawrence County  
 Wilmington Township, Mercer County  
 Wilmot Township, Bradford County  
 Windham Township, Bradford County  
 Windham Township, Wyoming County  
 Winfield Township, Butler County  
 Winslow Township, Jefferson County  
 Wolf Creek Township, Mercer County  
 Wood Township, Huntington County  
 Woodbury Township, Bedford County  
 Woodbury Township, Blair County  
 Woodcock Township, Crawford County  
 Woodward Township, Lycoming County  
 Worth Township, Centre County  
 Worth Township, Mercer County  
 Wyalusing Township, Bradford County  
 Wysox Township, Bradford County  
 Young Township, Indiana County  
 Young Township, Jefferson County  
 Zerbe Township, Northumberland County

#### Rhode Island

Bristol Town, Bristol County  
 New Shoreham Town, Washington County  
 Warren Town, Bristol County  
 West Warwick Town, Kent County

#### Vermont

Addison Town, Addison County  
 \*Albany Town, Orleans County  
 \*Alburg Town, Grand Isle County  
 Athens Town, Windham County  
 Bakersfield Town, Franklin County  
 Barnet Town, Caledonia County  
 \*Barton Town, Orleans County  
 Belvidere Town, Lamoille County  
 Benson Town, Rutland County  
 Berkshire Town, Franklin County  
 Bethel Town, Windsor County  
 Bloomfield Town, Essex County  
 Braintree Town, Orange County  
 Brattleboro Town, Windham County  
 Bridport Town, Addison County  
 Brighton Town, Essex County  
 \*Bristol Town, Addison County  
 Brownington Town, Orleans County  
 Brunswick Town, Essex County  
 \*Burke Town, Caledonia County  
 \*Cabot Town, Washington County  
 \*Cambridge Town, Lamoille County  
 Canaan Town, Essex County  
 Castleton Town, Rutland County  
 Charleston Town, Orleans County  
 Chelsea Town, Orange County  
 Concord Town, Essex County  
 Corinth Town, Orange County  
 Coventry Town, Orleans County  
 Craftsbury Town, Orleans County  
 Danby Town, Rutland County  
 Danville Town, Caledonia County  
 \*Derby Town, Orleans County  
 Dover Town, Windham County  
 Duxbury Town, Washington County  
 East Haven Town, Essex County  
 Eden Town, Lamoille County  
 Elmore Town, Lamoille County  
 \*Enosburg Town, Franklin County  
 Fair Haven Town, Rutland County  
 Fairfield Town, Franklin County  
 Fairlee Town, Orange County  
 Franklin Town, Franklin County  
 Glover Town, Orleans County

Granby Town, Essex County  
 Greensboro Town, Orleans County  
 Groton Town, Caledonia County  
 Guildhall Town, Essex County  
 Halifax Town, Windham County  
 \*Hardwick Town, Caledonia County  
 Highgate Town, Franklin County  
 Holland Town, Orleans County  
 Hubbardston Town, Rutland County  
 \*Hyde Park Town, Lamoille County  
 Ira Town, Rutland County  
 Irasburg Town, Orleans County  
 Jamaica Town, Windham County  
 Jay Town, Orleans County  
 \*Johnson Town, Lamoille County  
 Leicester Town, Addison County  
 Lemington Town, Essex County  
 Lincoln Town, Addison County  
 Lowell Town, Orleans County  
 \*Ludlow Town, Windsor County  
 Lunenburg Town, Essex County  
 \*Lyndon Town, Caledonia County  
 Maidstone Town, Essex County  
 Marlboro Town, Windham County  
 \*Marshfield Town, Washington County  
 Montgomery Town, Franklin County  
 Moretown Town, Washington County  
 Morgan Town, Orleans County  
 \*Morristown Town, Lamoille County  
 Newark Town, Caledonia County  
 Newport Town, Orleans County  
 Norton Town, Essex County  
 Orange Town, Orange County  
 Orwell Town, Addison County  
 Pawlet Town, Rutland County  
 Peacham Town, Caledonia County  
 \*Plainfield Town, Washington County  
 Plymouth Town, Windsor County  
 \*Poultney Town, Rutland County  
 Reading Town, Windsor County  
 \*Richford Town, Franklin County  
 Ripton Town, Addison County  
 Rochester Town, Windsor County  
 \*Rockingham Town, Windham County  
 Roxbury Town, Washington County  
 Royalton Town, Windsor County  
 Ryegate Town, Caledonia County  
 Sandgate Town, Bennington County  
 Sharon Town, Windsor County  
 Sheffield Town, Caledonia County  
 Sheldon Town, Franklin County  
 St Johnsbury Town, Caledonia County  
 Stannard Town, Caledonia County  
 Starksboro Town, Addison County  
 \*Stowe Town, Lamoille County  
 Strafford Town, Orange County  
 Sudbury Town, Rutland County  
 Sutton Town, Caledonia County  
 \*Swanton Town, Franklin County  
 Topsham Town, Orange County  
 Townshend Town, Windham County  
 \*Troy Town, Orleans County  
 Tunbridge Town, Orange County  
 Vershire Town, Orange County  
 Victory Town, Essex County  
 Walden Town, Caledonia County  
 Walham Town, Addison County  
 Wardsboro Town, Windham County  
 Washington Town, Orange County  
 \*Waterbury Town, Washington County  
 Waterville Town, Lamoille County  
 Wells Town, Rutland County  
 West Fairlee Town, Orange County  
 West Haven Town, Rutland County

West Rutland Town, Rutland County  
 Westfield Town, Orleans County  
 \*Westminster Town, Windham County  
 Westmore Town, Orleans County  
 Weybridge Town, Addison County  
 Wheelock Town, Caledonia County  
 \*Whitingham Town, Windham County  
 Williamstown Town, Orange County  
 Windsor Town, Windsor County  
 Wolcott Town, Lamoille County  
 Woodbury Town, Washington County  
 Woodford Town, Bennington County  
 Worcester Town, Washington County

#### Puerto Rico

Adjuntas Municipio, Municipio  
 Aguada Municipio  
 Aguas Buenas Municipio  
 Aibonito Municipio  
 Anasco Municipio  
 Arroyo Municipio  
 Barceloneta Municipio  
 Barranquitas Municipio  
 Cabo Rojo Municipio  
 Camuy Municipio  
 Canovanas Municipio  
 Catano Municipio  
 Cayey Municipio  
 Ceiba Municipio  
 Ciales Municipio  
 Cidra Municipio  
 Coama Municipio  
 Comerio Municipio  
 Corozal Municipio  
 Culebra Municipio  
 Dorado Municipio  
 Florida Municipio  
 Guánica Municipio  
 Guayama Municipio  
 Guayanilla Municipio  
 Gurabo Municipio  
 Hatillo Municipio  
 Hormigueros Municipio  
 Humacao Municipio  
 Isabela Municipio  
 Jayuya Municipio  
 Juana Diaz Municipio  
 Juncos Municipio  
 Lajas Municipio  
 Las Marías Municipio  
 Las Piedras Municipio  
 Loíza Municipio  
 Luquillo Municipio  
 Manatí Municipio  
 Maricao Municipio  
 Maunabo Municipio  
 Moca Municipio  
 Morovis Municipio  
 Naguabo Municipio  
 Naranjito Municipio  
 Orocovis Municipio  
 Patillas Municipio  
 Penuelas Municipio  
 Quebradillas Municipio  
 Rincon Municipio  
 Rio Grande Municipio  
 Sabana Grande Municipio  
 Salinas Municipio  
 San German Municipio  
 San Lorenzo Municipio  
 San Sebastian Municipio  
 Santa Isabel Municipio  
 Toa Alta Municipio



Utuado Municipio  
Vega Alta Municipio  
Vega Baja Municipio  
Vieques Municipio  
Villalba Municipio  
Yabucoa Municipio  
Yauco Municipio

Dated: October 9, 1985.

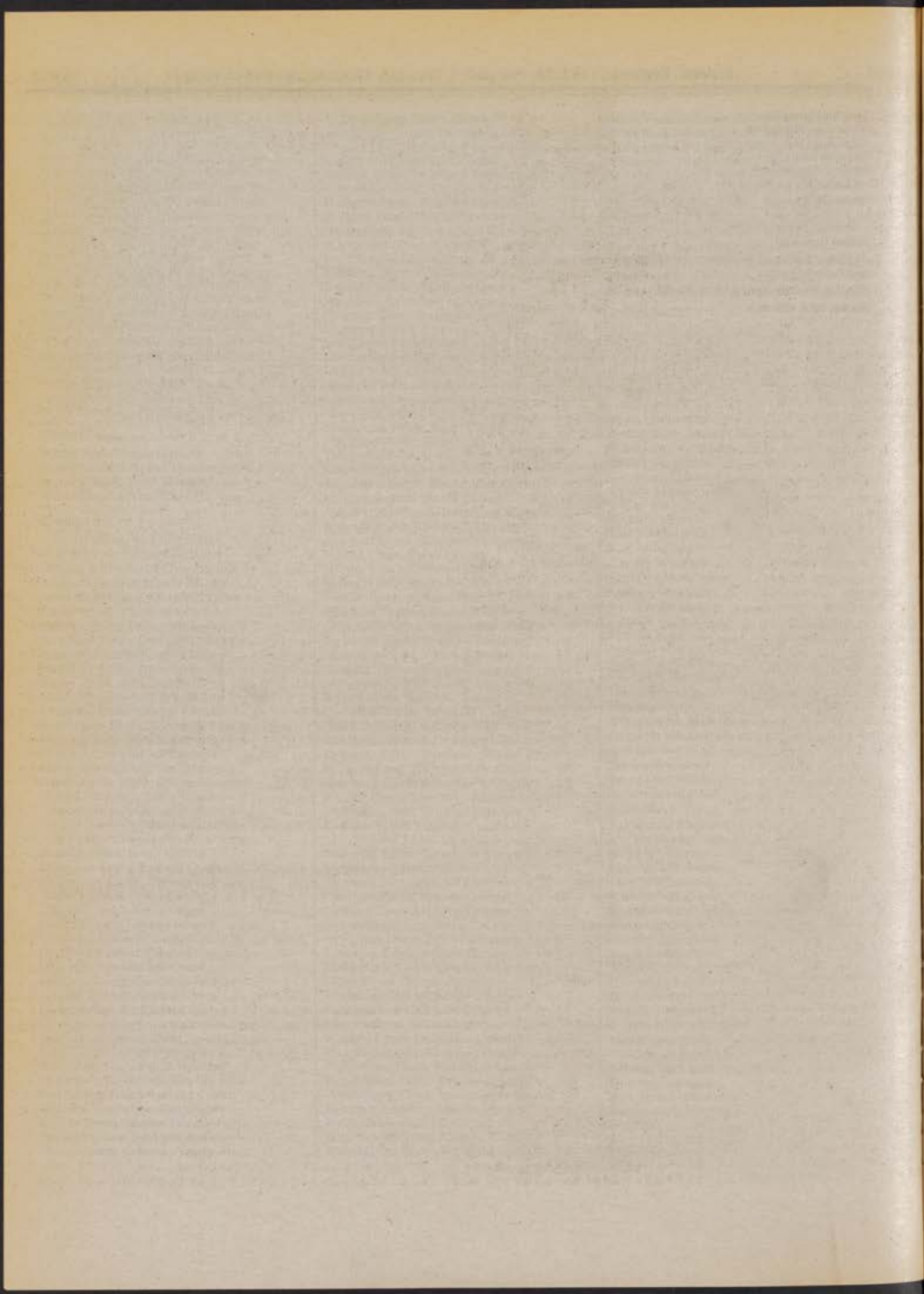
Alfred C. Moran,

*Assistant Secretary for Community Planning  
and Development.*

[FR Doc. 85-24991 Filed 10-21-85; 8:45 am]

BILLING CODE 4210-29-M







# Emergency Use of Unapproved Medical Devices

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Tuesday  
October 22, 1985

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## Part III

### Department of Health and Human Services

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Food and Drug Administration

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Guidance for the Emergency Use of  
Unapproved Medical Devices; Availability



## DEPARTMENT OF HEALTH AND HUMAN SERVICES

## Food and Drug Administration

[Docket No. 85D-0291]

## Guidance for the Emergency Use of Unapproved Medical Devices; Availability

**AGENCY:** Food and Drug Administration.  
**ACTION:** Notice.

**SUMMARY:** The Food and Drug Administration (FDA) is announcing guidance, developed by FDA's Center for Devices and Radiological Health (CDRH), with respect to those emergency situations in which the agency would not object to a physician's using a potentially life-saving medical device for a use for which the device ordinarily is required to have, but does not have, an approved application for premarket approval or an investigational device exemption. The guidance is contained in a document entitled "guidance for the Emergency Use of Unapproved Medical Devices."

**DATE:** Comments by December 23, 1985.

**ADDRESSES:** Requests for single copies of the guidance document should be sent to Tracy A. Summers, Center for Devices and Radiological Health (HFZ-84), Food and Drug Administration, 5600 Fishers Lane, Rockville, MD 20857. Written comments to the Dockets Management Branch (HFA-305), Food and Drug Administration, Rm. 4-62, 5600 Fishers Lane, Rockville, MD 20857.

**FOR FURTHER INFORMATION CONTACT:** Halyna Breslawec, Center for Devices and Radiological Health (HFZ-403), Food and Drug Administration, 8757 Georgia Ave., Silver Spring, MD 20910, 301-427-8162.

**SUPPLEMENTARY INFORMATION:** FDA is making available for comment guidance concerning the emergency use of an unapproved medical device. For the purpose of the guidance, an unapproved medical device is a device which, under section 501(f) of the Federal Food, Drug, and Cosmetic Act (the act) (21 U.S.C. 351(f)), is subject to premarket approval to provide reasonable assurance of its safety and effectiveness for the purpose, condition, or use for which it is intended but which does not have in effect for such purpose, condition, or use either (1) a premarket approval application (PMA) under section 515 of the act (21 U.S.C. 360e) or (2) an Application for an Investigational Device Exemption (IDE) under section 520(g) of the act (21 U.S.C. 360j(g)) and Part 812 of FDA's regulations (21 CFR Part 812). In short, an unapproved device is a device that is

utilized for a purpose, condition, or use for which the device ordinarily is required to have, but does not have, an approved PMA or IDE.

The guidance also concerns the emergency use by a physician of a device that is the subject of an approved IDE when the physician (i) is an investigator for the sponsor of the approved application but does not use the device in accordance with the terms and conditions of the application or (ii) is not an investigator.

An unapproved medical device may be used in human subjects only if it is approved for investigational use under an IDE and is used by an investigator for the sponsor in accordance with the terms and conditions of the application. IDE applications are reviewed by FDA promptly, and are deemed approved 30 days after their receipt by FDA, unless FDA notifies the sponsor that the investigation may not begin. FDA recognizes, however, that during the early phases of device design, development, and testing, an emergency may arise where, in a physician's judgment, an approved device would offer the only alternative for saving the life of a dying patient. Such a situation occurred recently with the use of an artificial heart. Realizing that there is a need for guidance on the use of unapproved devices in similar situations, CDRH developed a document that provides guidance to the physician with respect to emergency situations that require the use of such devices.

The guidance document discusses: (1) The criteria necessary for a situation to be considered an emergency; (2) the patient protection procedures the physician should follow before using an unapproved device in an emergency situation; (3) the procedures the physician should follow after using an unapproved device in an emergency situation; and (4) the situations in which use of an unapproved medical device is not justified, even though an emergency exists. The document also provides guidance to the sponsor of an approved IDE when the device that is the subject of the approved application is used in an emergency by a physician who is an investigator for the sponsor but who does not use the device in accordance with the terms and conditions of the application, or by a physician who is not an investigator. Finally, the document provides guidance to the physician in either of those circumstances.

FDA expects physicians to make the determination as to whether the criteria for emergency use of an unapproved medical device set forth in the guidance have been met. FDA will consider taking regulatory action if an unapproved

device is used in inappropriate situations.

For the convenience of interested persons, FDA is including in this notice the entire guidance document:

## Guidance for the Emergency Use of Unapproved Medical Devices

This guidance applies to the emergency use of an unapproved medical device. For the purpose of the guidance, an unapproved medical device is a device that is utilized for a purpose, condition, or use for which the device requires, but does not have, an approved application for premarket approval under section 515 of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 360e) or an approved Application for an Investigational Device Exemption (IDE) under section 520(g) of the act (21 U.S.C. 360j(g)) and Part 812 of FDA's regulations (21 CFR Part 812).

An unapproved device may be used in human subjects only if it is approved for clinical testing under an IDE. An emergency need to use an unapproved device may occur when an IDE for the device does not exist, when a physician wants to use the device in a way not approved under the IDE, or when a physician or institution is not approved under the IDE.

In an orderly developmental process, the device's developer—a physician, scientist, or manufacturer—anticipates the need to conduct clinical studies and uses the IDE to ensure that adequate preclinical testing has been done, that the appropriate subjects will be selected, that subjects participate only after providing informed consent, that the device will be used properly, that subjects will be monitored adequately after the device is used, and that complete scientific data will be collected promptly. These data form the basis for subsequent marketing approval of the device.

The Food and Drug Administration (FDA) recognizes that even during the earliest phases of device design, development, and testing, emergencies arise where an unapproved device offers the only alternative for saving the life of a dying patient, but an IDE has not yet been approved for the device or the use, or an IDE has been approved but the physician who wishes to use the device is not an investigator under the IDE. Using its enforcement discretion, FDA will not object if a physician chooses to use an unapproved device in such an emergency, provided that the physician later justifies to FDA that an emergency actually existed.



Each of the following conditions should exist for a situation to be considered an emergency:

1. The patient is in a life-threatening condition that needs immediate treatment;
2. No generally acceptable alternative for treating the patient is available; and
3. Because of the immediate need to use the device, there is no time to use existing procedures to get FDA approval for the use.

FDA expects the physician to determine whether these criteria have been met, to assess the potential for benefits from the unapproved use of the device, and to have substantial reason to believe that benefits will exist. FDA further expects the physician not to conclude that an "emergency" situation exists in advance of the time when treatment may be needed based solely on the expectation that IDE approval procedures may require more time than remains. Physicians should be aware that FDA expects them to exercise reasonable foresight with respect to potential emergencies and to make appropriate arrangements under the IDE procedures far enough in advance to avoid creating a situation in which such arrangements are impracticable.

In the event that a device is used in circumstances meeting the criteria listed above, FDA would expect the physician to follow as many patient protection procedures as possible. These include obtaining:

1. An independent assessment by an uninvolved physician;
2. Informed consent from the patient or a legal representative;
3. Institutional clearance as specified by institutional policies;
4. The Institutional Review Board (IRB) chairperson's concurrence, and

5. Authorization from the sponsor, if an approved IDE for the device exists.

FDA would not object if an unapproved device were shipped without FDA approval to a physician who claims to be faced with, and describes, the kind of emergency situation discussed above. The person shipping the device should notify FDA—by telephone (301-427-8162)—immediately after shipment is made. An unapproved device may not be shipped in anticipation of an emergency.

After an unapproved device is used in an emergency, the physician should:

1. Notify the IRB and otherwise comply with provisions of the IRB regulations (21 CFR Part 56) and the informed consent regulations (21 CFR Part 50);
2. Evaluate the likelihood of a similar need for the device in the future: If it is likely, immediately initiate efforts to obtain IRB approval and an approved IDE for the device's subsequent use;
3. If an IDE exists, notify the sponsor of the emergency use of the device: The sponsor must comply with the reporting requirements of the IDE regulations; and
4. If an IDE does not exist, notify FDA of the emergency use of the device and provide FDA with a written summary of the conditions constituting the emergency, patient protection measures, and any scientific results.

Subsequent use of the device in an emergency situation may not occur unless the physician or another person obtains approval of an IDE for the device and its use. If an IDE application for subsequent use has been filed with FDA and FDA disapproves the IDE application, the device may not be used even if the circumstances constituting an emergency exist. Developers of devices that could be used in emergencies should anticipate the likelihood of

emergency uses and should obtain an approved IDE. FDA will consider taking regulatory action if an unapproved device is used in inappropriate situations.

CDRH developed this guidance in response to a situation concerning the emergency use of an unapproved cardiovascular device. CDRH will apply this guidance to other types of potentially life-saving unapproved devices in emergency situations. In all situations in which the use of an unapproved device would not meet the criteria for emergency use under this guidance, such unapproved device may not be used without an approved IDE.

Interested persons may, on or before December 23, 1985, submit written comments to the Dockets Management Branch (address above). Two copies of any comments should be submitted, except that individuals may submit one copy. Comments are to be identified with the docket number found in brackets in the heading of this document. FDA will consider any comments received. The document and comments received may be seen in the Dockets Management Branch between 9 a.m. and 4 p.m., Monday through Friday.

Dated: October 12, 1985.

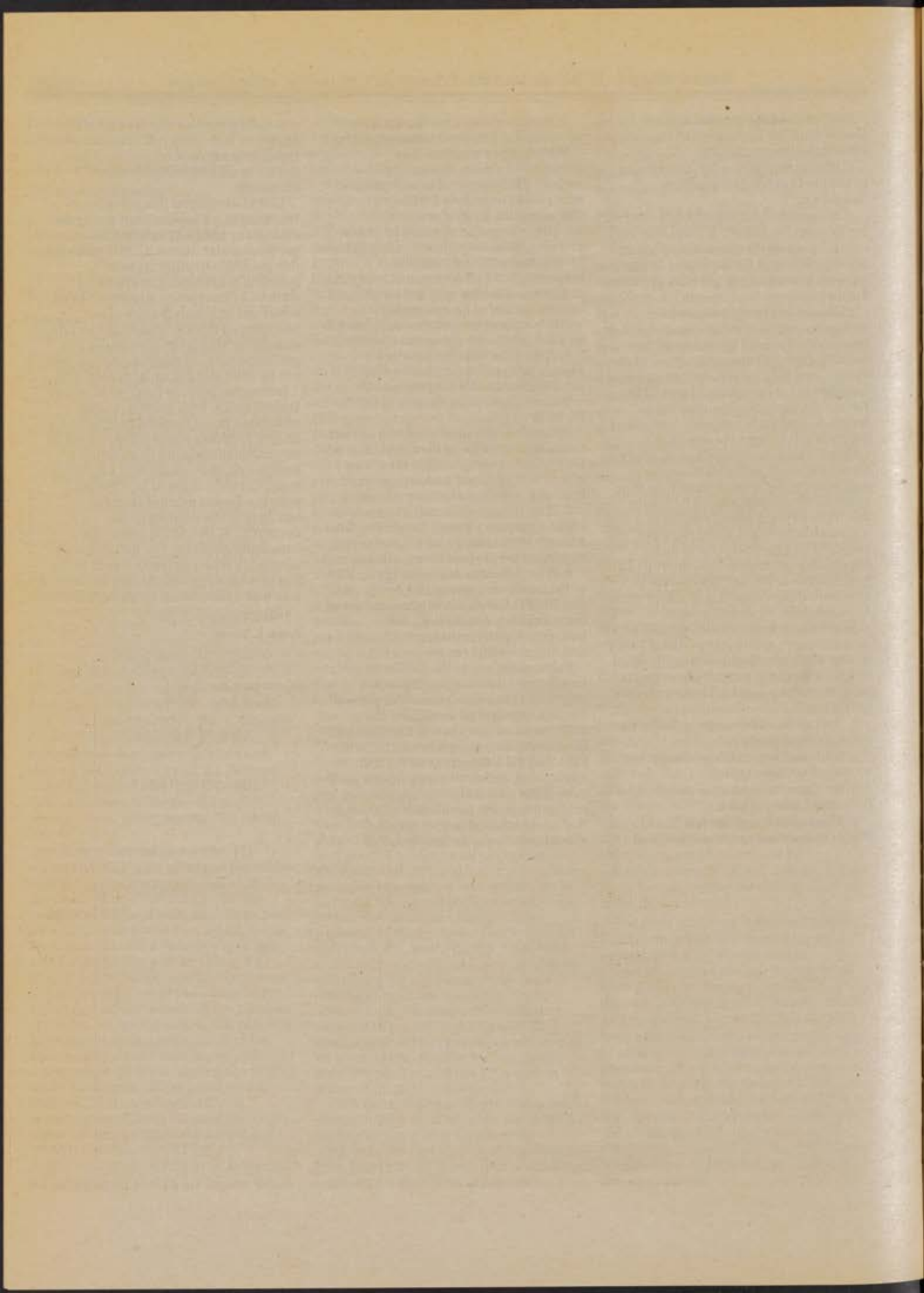
Frank E. Young,

Commissioner of Food and Drugs,

[FR Doc 85-25063 Filed 10-21-85; 8:45 am]

BILLING CODE 4160-01-M







# Federal Register

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Tuesday  
October 22, 1985

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## Part IV

### Department of Transportation

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Research and Special Programs  
Administration

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#### 14 CFR Part 241

Aviation Economic Regulations; Uniform  
System of Accounts and Reports for  
Large Certificated Air Carriers; Passenger  
Origin-Destination Survey



## DEPARTMENT OF TRANSPORTATION

## Office of the Secretary

## 14 CFR Part 241

[Docket No. 43473; Notice No. 85-15]

Aviation Economic Regulations;  
Passenger Origin-Destination SurveyAGENCY: Research and Special Programs  
Administration, DOT.

ACTION: Notice of Proposed Rulemaking.

**SUMMARY:** The Department of Transportation (DOT) in this proposed rule requests comments on the potential for reducing the burden on large certificated air carriers of collecting and reporting a standard systematic scientific sample size (10% of lifted tickets) to provide the Passenger Origin-Destination Survey data. The Department suggests reduction of the reporting burden of such large air carriers by more closely aligning the data collected with that needed to fulfill its aviation responsibilities under the Federal Aviation Act of 1958, as amended. The Department's analysis indicates that a lesser sample size may be appropriate for large domestic markets which are tentatively defined as 1,000 major city-pairs with directional origin-destination passengers in excess of 35,000 passengers per year.

**DATES:** Comments on the proposed rule must be received on or before December 23, 1985.

**ADDRESS:** Comments should be directed to the Docket Clerk, Docket 43473, Room 4107, Office of the Secretary, Department of Transportation, 400 Seventh Street, SW., Washington, DC 20590.

**FOR FURTHER INFORMATION CONTACT:** Jack M. Calloway or Donald Bright, Office of Aviation Information Management, Data Requirements and Public Reports Division, DA1-10, Research and Special Programs Administration, Department of Transportation, 400 Seventh Street, SW., Washington, DC 20590, (202) 426-7372.

**SUPPLEMENTARY INFORMATION:**

Executive Order 12291, Regulatory Flexibility Act, and Paperwork Reduction Act of 1980

This proposed action has been reviewed under Executive Order 12291, and it has been determined that this is not a major rule. It will not result in an annual effect on the economy of \$100 million or more. There will be no increase in production costs or prices for consumers, individual industries, Federal, State or local governments,

agencies or geographic regions. Furthermore, this proposed rule would not adversely affect competition, employment, investment, productivity, innovation, or the ability of United States-based enterprises to compete with foreign-based enterprises in domestic or export markets. These proposed regulations would result in a reduction in reporting burden for large certificated air carriers. Accordingly, a regulatory impact analysis is not required.

This proposed regulation is significant under the Department's Regulatory Policies and Procedures, dated February 26, 1979, because it involves important Departmental policies. Its economic impact should be minimal and a full regulatory evaluation is not required.

I certify that this rule will not have a significant economic impact on a substantial number of small entities.<sup>1</sup> The proposed amendments would affect only large certificated air carriers.

The collection of information requirements in this proposal are subject to the Paperwork Reduction Act, Pub. L. 96-511, 44 U.S.C. Chapter 35. These requirements will be submitted to the Office of Management and Budget (OMB) for review and comment. Persons may submit comments on the collection-of-information requirements to OMB. Comments should be directed to Sam Fairchild, Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503. It would be appreciated if a copy of any comments sent to OMB is also sent to the DOT rules docket.

**Comments Invited**

Interested persons are invited to participate in this rulemaking action by submitting such written data, views, or arguments as they may desire. Comments that provide the factual basis supporting the views and suggestions presented are particularly helpful in developing reasoned regulatory decisions. Communications should identify the regulatory docket number and be submitted in duplicate to the address listed above. Commenters wishing the Department to acknowledge receipt of their comments must submit with those comments a self-addressed stamped postcard on which the following statement is made: Comments on Docket No. 43473. The postcard will be date/time stamped and returned to the commenter. All communications

<sup>1</sup> For purposes of its aviation economic regulations, Departmental policy categorizes certificated air carriers operating small aircraft (60 seats or less or 19,000 pounds maximum payload or less) in strictly domestic service as small entities for purposes of the Regulatory Flexibility Act.

received between the specified opening and closing dates for comments will be considered by the Administrator before taking action on any further rulemaking. Also, this proposal may be changed in light of comments received. All comments submitted will be available for examination in the Rules Docket both before and after the closing date for comments. A report summarizing each substantive public contact with DOT personnel concerned with this rulemaking will be filed in the docket.

**Background**

The Civil Aeronautics Board Sunset Act of 1984 (Pub. L. 98-443) requires the Department of Transportation, under the amended authority of the Secretary of Transportation (49 U.S.C. 329(b)(1)), to "(1) collect and disseminate information on civil aeronautics (other than that collected and disseminated by the National Transportation Safety Board under Title VII of the Federal Aviation Act of 1958 (49 U.S.C. 1441, et seq.)) including, at a minimum information on (A) the origin and destination of passengers (as those terms are used in such Act), . . ." The Department is examining its need for passenger origin and destination data and tentatively proposes that, for certain large domestic city-pair markets, a sample size smaller than 10% of lifted tickets is sufficient to meet its legislative mandate. Based on this analysis, DOT suggests reduction of the data collection burden to the large certificated air carrier participants in the Passenger Origin-Destination (O & D) Survey by:

- (1) Eliminating the provision in 14 CFR Part 241, Sec. 19-7(c) that "A 10 percent sample of flight coupons shall be collected for reporting . . ." and requiring that O & D Survey data be based upon a statistically valid sample of lifted ticket passenger flight coupons—or an alternate procedure.<sup>2</sup>

<sup>2</sup> Regarding alternate procedures, the Department is open to consideration of new technology and more flexible modern approaches to providing the required O & D data. For instance, while no one has as yet suggested an alternate O & D data source, there may be other sources that are just as good as tickets, so the sampling procedures do not have to be forever tied to lifted tickets. With the rapid advances in automation of computer reservations system (CRS) data, it may in the future be possible for each large carrier to use the CRS data base for Origin-Destination sampling. Data validity problems may be a factor, since some carriers' CRS data may be distorted by no-shows and ticket reissuances unless the preliminary reservations data are conformed to actual experience (the final passenger counts and the related fare codes and monies paid for each ticket) including the flights of downline carriers. Since some major carriers do actively edit such data and use the results in their advance sales/seat inventory management programs, DOT

Continued



requested in writing by the carrier, that is approved by the Director, Office of Aviation Information Management, RSPA, pursuant to the authority in 14 CFR 385.27 and the established waiver procedures in section 1-2 of 14 CFR Part 241; and

(2) Defining (in the Department's opinion) a statistically valid sample, and requiring any deviations from this definition to be approved in writing, under the waiver procedures in section 1-2 of 14 CFR Part 241.

The Department has tentatively determined that a statistically valid sample of the passengers' lifted ticket flight coupons shall consist of at least 1% (one percent) of the lifted coupons in the largest domestic markets and 10% of others—including domestic, international and territorial markets. However, carriers may collect larger sample sizes, if such data are needed for their own internal purposes. If carriers want to continue to report the current 10% sample size, the provisions of 14 CFR 385.27 permit such a flexible response. Therefore, if a smaller sample size is ultimately adopted at the conclusion of this rulemaking process, and carriers wish to make no changes in their current procedures, they may do so by obtaining a waiver from the Director, Office of Aviation Information Management.

#### Description of Current Survey

Participation in the O & D Survey is mandatory for all large U.S. certificated air carriers operating scheduled passenger service, except helicopter and intra-Alaska carriers. As of March 31, 1985, fifty-one large certificated carriers<sup>3</sup> (about half of all certificated carriers) were required to report O & D Survey data. Those carriers excluded from the Survey include all-charter carriers and all-cargo carriers and small certificated carriers. The fifty-one large businesses in the Survey are all in the category of regional, national or major air carriers.

has no reason to believe that CRS data is an unlikely source for O & D data collection, if accuracy problems could be solved (including the communication of edit data from downline carriers to the originating carrier). However, the current system is working well, and provides useful data at relatively low costs to the carriers and the Government. The current system satisfies DOT's legal requirement to collect the O & D Survey. In summary, while open to new concepts, DOT will insist that any new approaches to the Survey be reasonably comparable alternatives, providing results that are as useful and statistically valid as the current Survey.

<sup>3</sup> The proposed participating O & D Survey carriers are listed in Attachments I and III.

O & D Survey data are collected based on a continuous 10% statistical sample of all lifted ticket flight coupons. Under current sampling procedures, carriers are to select for examination the following flight coupons:

1. All single passenger flight coupons with ticket serial numbers ending with the digit zero.
2. All group ticket flight coupons with 10 or fewer passengers with ticket serial numbers ending with the digit zero.
3. All group ticket flight coupons with 11 or more passengers without regard to serial number.

In certain special situations a 100 percent sample may be taken at the carrier's option with prior Departmental approval. Refer to Attachment IV for the Survey design, sampling, processing procedures, etc. A brief history of the O & D Survey is provided below.

The current procedures for a 10% sample of passenger's lifted ticket flight coupons have been in effect since 1968. It should be noted that while the 10% sample size of lifted ticket flight coupons is the primary sampling strategy in the current system, the O & R Survey "Instructions" in 1966 and thereafter do provide special handling procedures for certain mass movement tickets (such as the Eastern shuttle and group tickets). In such situations, other sampling strategies have been found to be appropriate, including a 100% sample. The focus of this proposal is on the primary or 10% sampling strategy.

The use of a 10% sample was first instituted on January 1, 1959; however, until January 1, 1968, the 10% sample size was essentially based upon the participating carriers' ticket "auditor" coupon rather than the lifted flight coupon (although the participating carriers did report some incomplete data on nonparticipating carriers, based upon the participating carriers' "ticket lifts" of the flight coupons of nonparticipating carriers). The switch from sampling the "auditor" coupon to the lifted flight coupon solved the data accuracy problem caused by passengers who reserved a flight on one itinerary routing, but then changed to a different itinerary routing. It also avoided

distortions caused by "no-shows" and reissued tickets. Because of this the current Survey shows how the passenger actually traveled, rather than an initial intent or official schedule. Prior to 1959, there were also various predecessor versions of the Survey that were collected and published at various intervals and in varying sample sizes. In the early years of the Survey it was common to sample twice a year in March and September for a whole month or fourteen day period. For instance, in 1946, when it was called the "AIRLINE TRAFFIC SURVEY," the Survey was based on all tickets issued during the month of September 1946. Then, as now, the sharing of the O & D data in cooperation with Canada was an important consideration of the Survey. Canada and the U.S. have exchanged such data for more than thirty years, and Article 14, Section B of the continuing 1966 Air Transportation Agreement between the U.S. and Canada reaffirms the established practice of exchanging Origin-Destination data.

While the prior Surveys had advantages of limited burden due to limited sampling, there also were disadvantages in interpreting the data and defining its reliability or precision. One obvious problem was how to project a sample for a brief period of time, such as one month, to a longer period, such as a year, because of traffic seasonality and the volatile nature of passenger traffic in the air traffic system as a whole.

Thus, a continuous sample, such as the current 10% sample size, is considered more representative of the passenger traffic population. Further, the precision of the estimates may not be defined, as they could not be in the 1930's and 1940's. Instead of just reporting an estimate in hypothetical case that 1,000 passengers comprise the market between hypothetical cities 00A and 00B, it is now possible to state that, on the basis of a systematic sample, data users are almost sure (with a confidence level of 95%) of the experience shown in the following table.

| Sample size           | Estimated annual passengers in a category in the population | Sampling error rate as a percent of population estimate | Therefore, the "True" number of passengers for the market is in the range below, with a 95 percent confidence level |
|-----------------------|---|---|---|
| 10 percent of tickets | 1,000 passengers 00A-00B                                    | 24.6  | Between 1,248 and 752 passengers  |
| 5 percent of tickets  | do  | 34.7  | Between 1,347 and 653 passengers  |
| 1 percent of tickets  | do  | 77.7  | Between 1,777 and 223 passengers  |



The impact of the loss of precision in estimating the total population is vividly demonstrated at the 5% and 1% sampling levels. These examples also demonstrate why the Department proposes to adopt stratified sampling and not disturb the current 10% sample size for markets as small as one thousand passengers per year—since data from such markets are already subject to significant sampling error. However, the Department's program staff have determined that the current sample size does produce tolerable sampling error rates in small markets above four passengers per day on an annual basis. As explained more fully in Attachment V, data with a large rate of sampling error, such as those from very tiny markets becomes too imprecise for most users. Such data must be used cautiously, with complete understanding of its lack of precision.

In the above calculations, with a 1% sample size, the passenger traffic flow 00A-00B has been identified as a significant market of interest; however, it is critical to note that the "true" total passenger count and other market characteristics fit within a much wider range than a larger sample would provide. Whatever the sample size selected, the ability to state such facts with precision is an important aspect of the current Survey. For more precise determinations of passenger counts and for dollar yield market valuation analyses, it is clear that a 10% sample size gives more precise market data than does a 1% sample size for small markets. In this proposal, small markets of 1,000 passengers or less per year are not affected. In fact, the Department's proposal retains the current 10% sample size for all markets except for domestic major markets of more than 35,000 passengers per year, as shown on Attachment II.

The decision to adopt the current Survey procedures in 1968, and to continue using a 10% sample size, was made in full coordination with the air carriers and data users, because participation in the Survey was completely voluntary in 1968. The Survey did not become mandatory until January 1, 1981, when Amendment No. 41 to 14 CFR Part 241 (Docket 37088) became effective. This action was taken in full compliance with the Administrative Procedures Act. A notice of proposed rulemaking was issued to consider the growing problems of voluntary compliance in a deregulated industry, and a mandatory reporting procedure was found necessary at the conclusion of the rulemaking process. Basically, the industry (which then was

represented by less than thirty carriers) and the Civil Aeronautics Board (which collected O & D data prior to January 1, 1985) and the user groups combined resources to develop the voluntary Survey. With the least burdensome sample size possible to provide the desired precision, it was designed to replicate, and continuously portray, what was happening in the whole air transport system—insofar as that could be ascertained from U.S. carriers, since no foreign carriers were sampled. As an aside, it is noted that foreign carrier data and data of other U.S. carriers not participating in the Survey (such as commuters and air taxis) are represented (incompletely) in the Survey, because their passengers often interline with (or share a portion of a trip itinerary on a ticket coupon) with a large U.S. carrier participating in the Survey.

The fact is that the current Survey procedures were designed so as to balance carrier burden against the quality of Survey data collected in order to provide useful data for both small and large markets. It is important to note that many carriers have insisted repeatedly (most recently in Docket 37088) that a 10% sample is necessary to provide valid data on the total population of "thin" markets, because even a 10% sample borders on the "too small" in terms of unacceptably large sampling error for passenger markets of about a thousand per year or several per day. A table of the approximate percentage of sampling error of the current Survey is included in Attachment V, which contains a mathematical description of the Survey. An important attraction of using a 10% sample is its simplicity of administration without requiring stratified sampling or other complex procedures.

In summary, any decision as to sample size in the context of scientific sampling is a judgment call or management decision that by its very definition prescribes the most efficient (or least burdensome) sample size necessary to meet certain prescribed objectives. Statisticians indicate that the number count of a sample when evaluated against the total population (rather than its percent relationship) is the better indicator of the sample's potential usefulness. A 10% sample of a small population of 1,000 may not be as meaningful as a 1% sample of a larger population such as 500,000. The important question that must be resolved in setting the objectives of the sample are the limits of precision that are necessary. Since the O & D Survey today, as in 1968, continues to have

among its objectives the need to obtain valid data within tolerable sampling error rates for very small markets, a broad sample size as 10% is required for smaller markets. In the Department's judgment, a 10% sample size is the smallest sample size that will provide useful data on the smaller markets *i.e.*, those down to the size of about four passengers per day.

In developing this notice of proposed rulemaking, the Department as the central government source for aviation data, conducted a comprehensive review of the data elements<sup>4</sup> currently reported by all carriers on the O & D Survey (RSPA Form 2787) in order to determine what data elements the Department needs to administer its various aviation responsibilities, including air carrier safety, airport development and planning, carrier fitness, international fares and routes, and forecasting. The review was conducted by RSPA's Office of Aviation Information Management (OAIM) whose staff members met with the senior aviation program managers in the Office of the Secretary, DOT, and the Federal Aviation Administration (FAA) in order to determine: (1) What O & D Survey data elements are relied on for decisionmaking in aviation related matters; (2) what DOT programs are benefitted; (3) what is the frequency of data use; (4) what data are needed by entity;<sup>5</sup> (5) what domestic entity data are needed; and (6) what alternative sources of data are available for meeting DOT information requirements.

#### Data Requirements

##### *Air Carrier Safety*

The Department is responsible for monitoring the safety levels and regulatory compliance disposition of individual air carrier operators. The financial and traffic results of individual air carriers are analyzed at least quarterly and the FAA Administrator is kept informed of the financial and operational health of carrier operators. Passenger traffic flow information is one of the factors that is used by the FAA in allocating its safety inspection program resources to the various inspection sites.

O & D data elements needed are domestic and international passengers<sup>6</sup>

<sup>4</sup> Data element is a distinct or single piece of information such as name, amount, terms, number, abbreviation, symbol, etc.

<sup>5</sup> Entity is a distinct geographical area. The entities are domestic and international with international being further broken down into Atlantic, Pacific and Latin America for scheduled operations.



origin and destination from a statistically valid sample size.

#### *International Negotiations*

The ten percent O & D Survey supports a wide range of international civil aviation negotiations, talks, and conferences and supports the United States in areas ranging from carrier market capacity to comprehensive economic "balance of benefits" positions.

The Survey is the basic source of scheduled passenger measurement in: a) Evaluations of need for proposed gateways or increased service at existing gateways as well as in the estimate of behind "feed" traffic from small and intermediate sized cities; b) estimates of Fifth Freedom<sup>6</sup> traffic moving on U.S. carriers in foreign markets (between foreign points) and an indication as to traffic carried by foreign carriers between the United States and third countries; and c) estimates of passenger revenue by fare class and fare category in major United States international markets.

These analyses combine information from the O & D Survey, Forms 41 and 217, INS Form I-92 and airborne trade data from the Bureau of Census.

Ongoing analysis of these information sources measure the benefits of existing agreements and provide warning of future problem areas.

O & D data elements needed are:

- Int. O & D data for international entity
- Dom. O & D data for domestic entity
- 1. Point of origin
- 2. Point of destination
- 3. Carrier on each flight coupon stage
- 4. Fare basis code, such as F or Y, FD or YD (up to six codes in a two position field)
- 5. Points of stopover or connection (intraline or interline)
- 6. Number of passengers
- 7. Total dollar value of ticket (fare plus tax)

#### *International Fares and Rates*

O & D Survey data are an important data source for evaluating pricing articles in bilateral aviation agreements. The Survey provides insight into the volume of traffic revenue moving on specific fare codes, and the yield (passenger revenue per revenue passenger-mile) in the community(s) of interest. The partial insight into fares used by foreign carriers (which are

reflected in the Survey to the extent that the foreign carriers interline with U.S. carriers) is also important to this International program area.

The O & D Survey data elements needed are:

- Int. O & D data on International entities
- 1. Point of origin
- 2. Point of destination
- 3. Carrier on each flight coupon stage
- 4. Fare basis code
- 6. Number of passengers
- 7. Total dollar value of ticket (fare plus tax)

#### *International Routes*

In carrier selection cases for international routes, the carriers include an operating plan containing proposed pricing and detailed costs. Current and historical cost data for carriers with the same aircraft type, type of service and length of haul are used to analyze the proposed operating plan. This review provides evidence concerning the reliability of management forecasts, including an evaluation of the proposed fare levels.

In reviewing an operating plan, the Department examines the carrier's revenue generation estimates by analyzing historical fare levels and projecting traffic patterns (seasonality). Also reviewed are the current and projected passenger traffic flows and the related yield (passenger revenues expressed in cents per revenue passenger-mile) as reported by the carriers participating in the O & D Survey. In a route case where a carrier proposes "primary service" and "behind gateway" service, by taking an existing flight and extending it from points A-B to C, O & D data are necessary to evaluate the projected volume of passenger traffic that will be carried over the primary (B-C) segment and revenue generated by that traffic. The timely, consistent, credible O & D data provide evidence to support a determination of whether there is enough "support" traffic or "beyond" traffic at adequate fares feeding into or flowing from the proposed route to generate the projected revenues.

The historical O & D data are also used to evaluate passenger flows and the dollar worth of passenger markets with respect to seasonal factors as well as long-term trend analyses. It is important to have O & D data available on a timely basis to respond to short-notice administrative proceedings (where procedural deadlines of several months are mandated by the Federal Aviation Act). The fact that O & D data are universally credible so as to be accepted as reliable evidence in court and administrative proceedings with a

minimum of legal debate as to the form, content and reliability of the data further enhances the usefulness of the Survey.

Reliable O & D data are needed for very small markets (as few as 1,000 passengers per year) in estimating traffic in both the primary and beyond markets. Fare basis coding and dollar amount of ticket data are also employed in estimating revenues likely to accrue to the various carrier route applicants. Such assessments are at the heart of the carrier selection process, indicating to DOT decision-makers whether the carrier applicant's proposed fare and service levels are reasonable in view of market conditions. Absent reliable O & D data, DOT's ability to select the carrier applicant that will best serve U.S. aviation interests would be severely undercut.

The O & D Survey data elements needed in route cases are:

- Int. O & D data on International entities
- Dom. O & D data on Domestic entities
- 1. Point of origin
- 2. Point of destination
- 3. Carrier on each flight stage (per the lifted ticket flight coupon)
- 4. Fare basis code
- 5. Points of stopover or connection (intraline and interline)
- 6. Number of passengers
- 7. Total dollar value of ticket

#### *Applications for Permits by Foreign Carriers*

Foreign carrier applications include a forecast of the total traffic and financial results of the proposed services for the first full year of normal operations as well as the supporting data employed to calculate the financial forecast. Data reported by certificated U.S. carriers with the same or similar aircraft type and characteristics such as fare levels, class of service and length of haul are used to analyze the validity of the foreign carrier's data submission.

O & D Survey data are important to this program area, because the Survey is a valuable source of partial information on foreign carriers' passenger markets (and the estimated value of those markets) and it also provides timely information on U.S. carriers' share of such communities of interest.

The O & D Survey is a basic tool used by the Department to monitor city-pair markets (communities of interest between the U.S. and foreign countries) and the monetary value (yield stated as cents per revenue passenger-mile) of the passengers in such markets.

The O & D data elements that are needed are:

- Int. O & D data on International entities

<sup>6</sup> The traffic "freedoms" are types of broad traffic rights relating to international air transportation that one country receives from the other when a bilateral agreement is made. For instance, the fifth freedom is the right to enplane traffic in one foreign country and deplane it in another foreign country.



**Dom. O & D data on Domestic entities**

1. Point of origin
2. Point of destination
3. Carrier on each flight coupon stage
4. Fare basis code
5. Points of stopover or connection (intraline and interline)
6. Number of passengers
7. Total dollar value of ticket

**Air Carrier Fitness****New Authority or Substantial Changes**

Fitness determinations are made for applicants for certificate authority that are not currently certificated and for established air carriers proposing a substantial change in operations.

Noncertificated applicants are required under 14 CFR Part 204 to file with the Department a balance sheet and income statement for their three most recent calendar or fiscal years. Also required are data on aircraft inventory and aircraft purchase plans. Filing requirements also include, among other things, the number of passengers and number of tons of mail and cargo carried and the estimated traffic that would be generated in each market receiving the proposed service. Evaluations of such service proposals and forecasts by DOT decisionmakers rely heavily on O & D Survey data because the Survey permits the market analyses that are discussed in the routes and negotiations areas.

The data submitted by the applicants are compared to the revenue, expense and operating data for a carrier or carriers with the same aircraft type and similar operating characteristics such as length of haul and available class of service and fares. This comparative review of the proposed operating plan indicates the reliability of the submitted forecasts and, at the same time, provides additional evidence concerning the competency of management.

Certificated air carriers that seek to substantially change their operations must also undergo fitness determination. Section 204.2 of the Department's Economic Regulations defines "substantial change in operations" as including, but not limited to, changes in operations from charter to scheduled service, cargo to passenger service, short-haul service to long-haul service, or a large increase in the number of markets served. Air carriers falling within this category are required under § 204.4(g) to provide a description of their current aircraft fleet and plans, including financing arrangements, for purchasing or leasing additional aircraft.

Section 204.4(f) provides for the submission of an operating forecast for the first normalized year of proposed

operations, including, among other things, the number of passengers and number of tons of mail and cargo carried and an estimate of the traffic which would be generated in each market receiving the proposed service.

As with noncertificated carriers undergoing a fitness determination, the data submitted by carriers proposing a significant change in operations are also compared to reported data by other carriers operating the same aircraft type and having similar operating characteristics.

It should be noted that the Department's regulations on the data to be filed in fitness determinations are formulated so as to minimize the data collection burden by providing that where the required data have been previously filed with the Department or another Federal agency (from which the data are available to the Department), the affected carrier need only identify the data and provide a citation for the date and place of filing.

O & D Survey data elements needed are:

- Int. O & D data for the International entity
- Dom. O & D data for the Domestic entity
1. Point of origin
  2. Point of destination
  3. Carrier on each flight coupon stage
  4. Fare basis code
  5. Points of stopover or connection (intraline and interline)
  6. Number of passengers
  7. Total dollar value of ticket (fare plus tax)

**Continuing Fitness**

Section 401(r) of the Act mandates that "the requirement that each applicant for a certificate or any other authority under this title must be found fit, willing and able to perform properly the transportation covered by its application, and to conform to the provisions of this Act and the rules, regulations, and requirements of the Board under this Act, shall be a continuing requirement applicable to each such air carrier with respect to the transportation authorized by the Board."

As indicated above, the continuing fitness requirement applies to all certificated air carriers. In evaluating an operating carrier's fitness to perform its authorized levels of transportation, the Department requires certain basic

passenger traffic flow data. O & D Survey data are used to establish trend lines that may be extended into the future to analyze the continued viability of the air transportation enterprise.

Certificated air carriers that have not begun operating within two years of certification are subject to a continuing fitness review before starting service.

O & D Survey data elements needed are:

- Int. O & D data for the International entity
- Dom. O & D data for the Domestic entity
1. Point of origin
  2. Point of destination
  3. Carrier on each flight coupon stage
  4. Fare basis code
  5. Points of stopover or connection (intraline and interline)
  6. Number of passengers
  7. Total dollar value of ticket (fare plus tax)

**Airport Programs**

The Department uses carrier data for: (1) Allocating Federal funds for airport development, (2) assessing the current level of airport security personnel and equipment and forecasting future requirements in this area, (3) projecting the anticipated level of activity for individual airports to forecast future requirements for airport facilities and staffing levels, and (4) assessing the level and frequency of service at individual airports in order to determine the environmental noise impact of carrier operations.

The Airport and Airway Improvement Act of 1982 (Pub. L. 97-248) specifies that fifty percent of the Federal funds allocated shall be distributed to primary airports on the basis of revenue passengers enplaned each calendar year immediately preceding the fiscal year in which the funds are appropriated. Revenue passenger enplanements by airport, which are reported by certificated air carriers, are used to both calculate the initial allocation of funds to the affected airports and resolve questions that may arise during the calendar year as to the level of enplanements used to disburse the Federal monies. O & D Survey data are used in this question resolution process in the event that reliable enplanements are not available and inputs from carriers or airports do not resolve the problem. It is critical that a reliable, certified, source of data be available for computing fund allocations since serious disruptions in individual airport planning, development and funding commitments could occur should funds be misallocated and the Department forced to seek recovery.

\* "Title" refers to Title IV "Air Carrier Economic Regulation" of the Federal Aviation Act of 1958, as amended. The authority of the "Board" to determine continuing fitness transferred to the Secretary of Transportation on January 1, 1985, under the provisions of the Civil Aeronautics Board Sunset Act of 1984.



The Airport planning program has a continuous need for O & D Survey data to determine the impact of true origin-destination passenger flows on airports and to spot trends for growth and development in specific markets. O & D data are used for airport planning analysis by enabling the Department to keep up with market developments such as the impact on particular airports of air carrier "hub and spoke" operations, and the impact of new or deemphasized hubs, and related operational realignments. It should be noted that some significant airports might not be able to survive on their own if they were relying only on passengers first enplaned at those facilities—as opposed to the much larger number of "through" passengers flowing through such airports. O & D data are necessary for DOT to ascertain where such passengers came from, where they are going, and the revenue from such passenger markets. A 10% sample of tickets is needed to ensure reliable data on small city-pair markets.

The Department uses O & D Survey data in its hub airports forecasting program. Reliable historical passenger counts are required to accurately forecast passenger flows between city-pairs for each hub airport. Once again a 10% sample of tickets is needed to obtain data that are reliable enough to use in forecasting many of the smaller city-pairs.

The O & D Survey data elements needed for airport forecasting and airport planning are:

- Int. O & D data for the International entity
- Dom. O & D data for the Domestic entity
- 1. Point of origin
- 2. Point of destination
- 3. Carrier on each flight coupon stage
- 5. Points of stopover or connection (intraline and interline)
- 6. Number of passengers

#### *Air Carrier Acquisitions and Mergers*

Air carrier acquisition and merger proposals are reviewed to determine if there are any potential anti-competitive effects applicable to a specific proposal. In determining potential anti-competitive or anti-trust implications, the degree of competition in the markets served by the affected carriers is analyzed. This analysis includes a review of the volume of traffic handled by each carrier at specific airports and in specific markets which would be affected by the proposed acquisition or merger.

In domestic markets, theoretically, no one carrier can indefinitely dominate a market where other competing carriers are free to enter the marketplace. In

acquisition and merger proposals, careful analyses of factors which may inhibit free competition are necessary. One of the primary tools of such market analyses is the O & D data which are necessary to establish the impact on specific markets of proposed air carrier combinations.

The 10% sample of tickets is necessary to analyze the potential loss of passenger traffic when the Department determines whether to approve airline mergers and acquisitions, because small city-pair markets are often key elements in such analyses. A smaller sample size has the potential for providing unreliable data in such small markets and would preclude an adequate competitive analysis.

O & D Survey data elements needed are:

- Int. O & D data for the International entity
- Dom. O & D data for the Domestic entity
- 1. Point of origin
- 2. Point of destination
- 3. Carrier on each flight coupon stage
- 4. Fare basis code
- 5. Points of stopover or connection (intraline and interline)
- 6. Number of passengers
- 7. Total dollar value of ticket (fare plus tax)

#### *War Air Service Program*

In a time of national emergency, the Survey would be used to define major or critical markets and minor markets, and to allocate mobilized U.S. air carrier aircraft resources accordingly.

The O & D Survey data elements needed:

- Int. O & D data for the International entity
- Dom. O & D data for the Domestic entity
- 1. Point of origin
- 2. Point of destination
- 3. Carrier on each flight coupon stage
- 5. Points of stopover or connection (intraline and interline)
- 6. Number of passengers

#### *Data Collection Alternatives*

In addition to analyzing various data collection alternatives, the Department reviewed existing data sources that might be used in lieu of passenger ticket lifted flight coupons to provide O & D-like data. An inherent problem that was discovered with data sources was that they do not provide a complete picture of the passenger traffic flows. In other words, when the passenger changes flights or changes carriers, you "lose" the passenger's true trip itinerary routing and are "blinded" as to where the passenger came from (origin) and the passenger's destination.

To illustrate this concept, if these potential alternate sources (such as Form 298-C, Form 41 Service Segment/T-9 Data or INS Form I-92, which are described in more detail below) were the only information available on airline passenger travel markets, one might conclude, erroneously, that the bulk of the air carriers' passengers were only interested in traveling between large cities, such as Los Angeles-Chicago and Chicago-New York. In fact, of course, the true passenger markets may be masked or obscured by the airlines "hub and spoke" operations—which group large banks of their flights at a major hub such as Chicago. A passenger who flies Los Angeles-Chicago-New York on two different carriers or two different flights may have only traveled that route since the flights operate that way; if the passenger really wanted to travel from Los Angeles to New York, that is the "true" O & D. Other potential data sources, such as Service Segment, are deficient in that they cannot discern this true O & D; only the Survey can.

The O & D Survey's continuous sampling of a few basic items from passengers' tickets is an efficient way to portray the intricate web of relationships in the extremely fluid, complex universe of constantly shifting passenger traffic flows and carrier service patterns. The following data sources do satisfy valid Departmental requirements for point-to-point traffic data, but are blind to true O & D and do not offer the market insight of O & D data, which the Department needs to administer its aviation responsibilities.

#### *Commuter Online O & D from Form 298-C, Schedule T-1*

This form contains for a particular carrier the online (which means data for that carrier's routes and flights) origin and destination of the passengers it transports on a 100% basis. It discloses, to use a fictitious example, that ATTLAIR AIR transported 1,000 passengers from Origin Airport Code ATL (Atlanta) to Destination Airport Code MYR (Myrtle Beach) during the September quarter. From the available technology, DOT would be unable to justify even considering this type of report for the large carriers, because it is a 100% sample. It is appropriate for the small commuters and for small certificated carriers, because of the small size of their operations, but would be totally impracticable for the large certificated carriers. Probably the most significant problem, however, (as discussed in the preceding paragraph) is the fact the Form 298-C, Schedule T-1 does not show true passenger origin-



destination data, so it cannot satisfy the Survey's objectives in that regard without placing significant new burdens on the carriers affected.

*Service Segment Data (SSD)/Form 41, Schedule T-9 "Nonstop Market Report"*

SSD disclose the passenger flows in terms of passengers enplaned and transported between a pair of airport points. The Form 41, Schedule T-9 is basically a non-automated version of the SSD. While the data available from these sources are superficially similar to the O & D Survey passenger count, there are very significant differences. These result from the same basic data flaw as afflicts the Form 298-C, Schedule T-1 data and the Immigration and Naturalization Service's Form I-92; namely, Service Segment/T-9 Data do not show true passenger origin-destination and trip itinerary routing.

For instance, a gross comparison on a carrier-by-carrier basis of the O & D Survey passenger count data with the passenger enplanements from the Form 41 "T" schedules reveals that the directional O & D passenger count data (when "blown up" by a factor of 10, because the "DOD" or directional O & D Survey data are stated as a ten percent sample) are about half (50%) or less of the Form 41 enplanement data. This is consistent with logic. The Form 41 "T" schedule passenger enplanements should be higher than the O & D Survey passenger count, because several enplanements may be accomplished in a single passenger movement (the origin-destination of a trip itinerary). This difference illustrates the unique nature of the Survey and its value in assessing and interpreting passenger traffic flows; such insights into "true" passenger traffic flows are not available from any other comparable source that would provide as reliable, cost-effective data.

*International Passenger Data from INS*

The passenger data collected by the Immigration and Naturalization Service of the Justice Department have some potential as alternate data sources providing apparently similar information on passenger traffic flows. However, these data suffer from the same basic deficiencies as Service Segment/T-9 Data, providing point-to-point city-pair data (for example, passengers from a "gateway" city such as Houston to a major foreign "gateway" such as London). The problem with these data is that the passengers may have come, in part from cities surrounding Houston, but the INS data may be "blind" as to where those passengers came from (the traffic "feed" to Houston from the surrounding communities of interest).

The INS data may also be "blind" as to where the passengers traveled beyond London. Since foreign gateways such as London and Paris are often only intermediate stops in passengers' trips, INS data, while useful, do not wholly meet the Department's needs. The primary origins and the ultimate destinations as well as the fare/dollars of the "beyond traffic" passengers are critical components in many international negotiations and route awards. Since INS data do not satisfy these DOT program needs in their present format, such data cannot substitute for the O & D Survey. INS data include the following data items:

Airline  
Flight number  
Port of arrival/departure  
Date of arrival/departure  
Last foreign port before arrival  
First foreign port before departure  
Passengers (U.S. Alien, Total)  
Airport where passenger boarded  
Type of transport (U.S. Military, Commercial-Scheduled or Chartered, and Foreign Military)

In order for the INS Forms I-94 and I-92 to supply O & D-like statistics (although the data would be on a 100% sample basis, which is inherently more burdensome than the O & D Survey's scientific statistical sampling techniques), the INS Forms would have to show data on:

The price or total dollar value of tickets (fare plus tax)  
The fare basis code (coach, first class, discount, military, etc.)  
The carrier(s) used, since more than one carrier is often used in a passenger's trip itinerary  
The passengers' city of origin  
The passengers' city of destination  
Intermediate stops (interline and intraline connections, and ground travel or break in the itinerary).

Among the most valuable data elements of the O & D Survey are the ticket dollar value and fare basis code items, which permit the Department to determine the passenger revenues related to particular markets, expressed as a passenger revenue yield (cents per revenue passenger-mile).

Basically, these data sources (INS data and O & D) are both useful for analysis purposes, but neither data system obviates the need for the other. Although these may be used to corroborate one another at certain points (passenger counts U.S. gateway to foreign gateway), this does not indicate that either may completely substitute for the other, because their basic design objectives are different. While the INS data are useful to the

Department, the data do not show true passenger origin-destination, passenger routings, and passenger trip/market value permitting yield analysis, which are all essential data for the Department's various programs, as documented under the DATA REQUIREMENTS caption. In summary, these INS data do not appear to offer any potential for replacing the O & D Survey.

*The Official Airline Guide (OAG) Flight Schedules*

Similarly, the Official Airline Guide data on the actual flight schedules that U.S. and foreign carriers offer to the public are extremely useful data—but flight schedules (that show available capacity that passengers may purchase) are completely different from actual passenger traffic flow data. Since passenger load factor (the percentage of the seats offered for sale on a flight segment that were actually purchased and occupied by passengers) may range from 100% in certain time slots on some heavily traveled routes (such as between major U.S. gateway cities and major foreign gateway cities) to only a fraction of available capacity (such as only 1/3 or fewer of the seats occupied) on some of the other city-pair markets, there may be a vast difference between capacity offered (the OAG flight schedules) and actual performance (the true passenger count and market value of a particular passenger trip stage of a flight itinerary). Many Departmental decisions hinge on precisely this issue—how balanced are the offerings of available capacity versus actual traffic in a particular international city-pair market, and what precisely are the economic contributions, or liabilities, of "feed" and "beyond" traffic to the city-pair market. Absent the O & D Survey's statistically valid data on actual passengers' origins, destinations, itinerary routings, and values of the markets, vital decisions either could not be made by the Department, or would be made in the vacuum created by the absence of reliable data.

Voluntary reporting through trade associations or data utility firms such as the Air Transport Association, I. P. Sharp, or STSC, Inc. has also been considered by the Department and tentatively rejected due to the problems that have been experienced in the past with carrier compliance under voluntary systems. The CAB previously attempted to use a private sector firm for collecting small air carrier financial data for fitness determinations. The program had to be dropped due to a very low compliance rate. As to large air carriers,



the Passenger Origin and Destination Survey was for years a voluntary system between the participating carriers and the Federal government until the advent of deregulation and freer market entry. New entrants balked or refused to participate in the Survey with the participating carriers threatening to drop out if the newly certificated carriers did not join. Consequently, the CAB made the system mandatory. Carriers have also expressed a preference for reporting to a governmental agency due to their concern over the confidentiality of their data in private sector hands.

As can be seen from the above discussion, none of these alternative data collection methods and data sources can be used to satisfy the Department's requirement for "true" passenger flow or trip itinerary data.

#### *Data Collection Frequency*

Among the alternatives considered by the Department was a change in the collection frequency of the O & D data. As noted previously, the most recent major revisions to the Survey (in 1959 and in 1968) provided for a continuous quarterly 10 percent sample in order to provide the ability to project the sample characteristics to the sampled population. The capability to project sample results is essential to meet the data requirements of the Department's aviation programs. The DOT review of these programs has also surfaced the need for retaining the current quarterly frequency of reporting to meet critical program requirements for timely data on passenger itineraries and dollar amount of fares. For example, quarterly reporting is especially critical in the international program area which is concerned with tracking changes in traffic flows due to seasonality, carrier route changes and passenger preferences.

#### *Alternative sampling strategies*

In a further effort to consider potential methods for reducing reporting burden, the Department has utilized the services of a consulting statistician from the Transportation Systems Center to review alternative sampling strategies. The statistician's review of the current Survey procedures is included in Attachment V. While the Department is considering alternative sampling strategies it also recognizes certain inherent drawbacks to changing the established procedures on an industry-wide basis, as opposed to the carrier-by-carrier exception basis that has been employed to date; namely,

(1) *Initial Implementation Costs:* One-shot initial procedural change costs and retraining of personnel to adopt the

revised practices as opposed to "sunk" (absorbed) costs for current system; and

(2) *Continuing Processing Costs:* Potential for alternative procedures to be more burdensome than the standard 10% sample size requirements that they replace. Some carriers may be concerned about the potential costs and complexities that they may face if stratified sampling techniques are employed, even though such strata might lead to a reduction in the overall sample size—i.e., fewer tickets to be selected, processed and reported.

Such information and public comments are among the input being requested to this notice of proposed rulemaking and this rulemaking gives the carriers the option of reducing burden—rather than forcing procedural changes on carriers.

Based on the DOT's (1) need for a statistically valid sampling strategy that provides a reasonable sampling error rate for both large and small markets and (2) desire to reduce carrier reporting burden in handling an increasing volume of passenger ticket records,<sup>6</sup> the Department is considering reduction of the sample size for Domestic major city-pair markets to 1%, based on the proposed stratified sampling procedures as defined in the revisions to O & D Survey reporting instructions provided as Attachment I.

However, the Department is willing to consider continuing procedures exactly as currently established, if the responses to the proposed rule indicate that is the most prudent and cost-effective course to preserve the quality of the O & D Survey data at an acceptable level of usefulness.

Further, the Department is willing to consider any other options proposed by the public to modify the current system, provided that:

(1) The suggested alternatives are not more burdensome than the current Survey system procedures, and

(2) the proposed alternative meets the Department's data/information accuracy and timeliness needs (as defined under the program areas included in this rule), and it satisfies the treaty and legislative requirements described in this proposed rule.

In considering reduction of the sampling of Domestic major city-pair

markets to the 1% level, the Department also reaffirms its continued need for a 10% sample of flight coupons for International markets and small to medium Domestic markets. The 10% sample would ensure the continued availability of the data the Department needs to effectively administer its mandated aviation responsibilities.

In its review of the current sampling procedures, the Department concluded that the International sample is already bordering on a "too-small" sample size, because it is only a partial sample (excludes foreign carriers). Since the U.S. carriers which do participate in the O & D Survey may have less than a dominant position in the International markets, a 10% sample is necessary to compensate for nonparticipating foreign carriers. Further, there are already data losses of from one to two percentage points for some carriers due to error filtering, which reduces the 10% sample to one that is approximately 9% or less. Users also expressed concerns as to the effects of seasonality and the current reliability problems regarding fare data and dollar value—which they expect would be further compounded by the larger sampling error attributable to a smaller International sample size. These indications of user concerns for reliable data dictate that a 10% sample size should be continued for International and Territorial markets.

For the Domestic markets, it appears that a 1% sample would be adequate for the larger markets (such as the top 1,000 city-pairs). The Transportation Systems Center (TSC) statistician has expressed the opinion that there is a certain size market where the issues of tolerable sampling error and data losses from error filtering are so minimal that a 1% sample would provide the Department with the degree of sample reliability it needs in order to properly administer its aviation responsibilities. Changing from a 10% sample size to a 1% for such major domestic markets may still provide valid information that is within the Department's tolerable sampling error range. In recognition of this fact, the Department has developed a proposal for a stratified, systematic sample, to reduce any oversampling of these markets.

In order to arrive at the proposed stratified systematic sample the TSC statistician converted the sampling error rates (SER) for the current 10% sample of class A and class B passenger data (see Attachment VII), to an SER for a projected 1% sample, (one-tenth of the 10% sample), at the 95% confidence level. This was done by using a multiplier of 3.2 to convert the 10% SERs

<sup>6</sup>Since 1975, the total number of flight coupon records reported in the O & D Survey has increased from 2.6 million to a 1985 projected 5 million coupons, representing a 92% increase in the number of reported industry records. The Department believes that as many as two-fifths of the five million ticket coupon records now reported to DOT each year could be eliminated by the proposed sample error reliability if all affected carriers adopt the stratified sample approach.



to the 1% SERs, since the square root (other things being equal) of the 10% sample size SERs is the 1% SERs. The reconstructed 1% sample SERs were then surveyed to determine a potential cutoff point below which markets would have an SER greater than the  $\pm 20\%$ , an estimate too imprecise for most users' purposes. The Department intends to establish the number of major markets to which a 1% sampling rate will apply (now estimated at approximately 1,000) based on sampling error rates which are acceptable to the users. The sampling error rates, however, must be based not only on passenger data, but on fare code and fare data, as well. The Department's designation of major markets will be based on acceptable SERs for passenger, fare and fare code data. The 1% sample size was selected to minimize carrier burden resulting from use of a stratified sampling plan. Major market ticket coupons ending in double-zero ("00"), rather than zero ("0") as now required, is the only alteration necessary to comply with the proposed sampling plan. Alternate proposals have not been advanced utilizing 5% and 7% sampling rates, because these proposals would inordinately complicate the carriers ticket selection process compared to the 10% versus 1% sampling rates.

The Department is proposing the following sampling strategy for the major Domestic markets:

- There will continue to be a review of all zero-ending tickets (a 10% review of all tickets, as required by the current Survey procedures).
- If the zero-ending ticket number is not a single coupon or two-coupon round-trip ticket, continue with current Survey procedures.
- If the O & N city-pair is not a Domestic major market, (which the Department would identify annually at December 31, based upon a June 30 evaluation of market size), the current procedures apply.
- If the O & D city-pair is a Domestic major market city-pair, the data from the lifted ticket flight coupon need not be reported unless it is a double-zero ending ticket number.

In summary, the Department proposes to collect essentially a 1% sample on Domestic major markets, while preserving intact the current 10% procedures for International markets and for Domestic small to medium markets.

#### Nonstandard Ticketing

In addition to providing that carriers may elect to retain their current sampling procedures, the proposed rule provides for continuing the current data

collection flexibility for carriers using nonstandard ticketing procedures, or those which do not interline, to report their passengers' actual on-line O & D data. For example, the Department currently permits carriers to use (1) source documents other than "standard" ticket stock (e.g., cash register receipts) and (2) procedures other than scientific sampling (e.g. a 100% tabulation of tickets or other DOT approved source documents). The proposed rule would also retain the current requirement that such departures from standard Survey practices be approved in writing by the Department.

#### Intra-Alaska Markets

Proposed for elimination is the outmoded restriction against reporting Intra-Alaska markets, when such are served by the large certificated carriers who are participating in the Survey. By removing this restriction, and permitting carriers to report these Intra-Alaska markets, such significant markets will gain representation in the Survey.

This adjustment to remove the prohibition against reporting Intra-Alaska markets should impose no new burdens on carriers. The Alaskan "bush" operators are already excluded from the burden of the Survey, because of their small size. Larger participating carriers may actually want to report the data to ensure proper representation of their markets in the Survey. Further, this proposed modification may actually reduce the reporting burden on the present carriers participating in the Survey, since such carriers will avoid a labor-intensive decision-point as to whether to report the ticket coupon.

#### Duplicate Reporting

To further simplify data collection (and to give the carrier personnel the option of eliminating one decision point) the Department has tentatively decided it could accept submission of all selected ticket coupons in the sample without any decision as to whether a particular coupon is "reportable" or not. Under this proposal, the Department could assume the total responsibility to edit the reported data so as to avoid duplicate reporting. We invite carrier comments in this area, because the downside of the carriers shifting the decision burden to DOT would be the reporting of more individual records than required by the current Survey procedures.

This proposal would also render moot the "preceding" carrier reporting requirement in the current system. For example, if TWA selects a zero-ending coupon that has the following fictitious data:

TUL — RC /Y — MEM — TW  
/Y — STL — 310

Origin City—Carrier/fare code—city—  
carrier/fare code—Destination City—  
Dollars of fare & tax

The data on the above zero-ending ticket coupon would be nonreportable for TWA, because the preceding carrier (Republic) is a participating carrier—and Republic would have already selected and reported the lifted flight coupon. This decision point could be eliminated, since the Department has the technology to screen such data duplications in its edit procedures. On the other hand, the carriers with established procedures may want to continue their current edits in order to avoid reporting excess data. The Department is proposing to accept data submissions under either alternative. Under this proposal, the O & D Instructions are being revised to include a current list of the participating carriers and give carriers the option of (a) reporting all 10% of the tickets selected for review, or (b) eliminating ticket coupon data where a prior segment of the flight was operated by a participating carrier.

#### Fare Codes

Comments are also requested on the usefulness and format of the currently reported fare code data. Among these, the Department requests comments on whether all fare basis codes should be summarized (either by the Department or the carriers) into one of four classes. These are First class full fare, First class Discount or restricted, Coach compartment full fare and Coach compartment discounted or restricted. If the carriers report all fare basis code data exactly as they are on the tickets, the Department could summarize them into four codes, or the carriers may reduce the fare code data. Retaining the current procedures is also an option, but the identification of restricted fares would improve the usefulness of the fare basis codes to the Department.

The Department needs fare code data for both International and Domestic markets. Domestically the fare codes and dollar values of tickets are needed for economic and antitrust analyses to determine the competitive aspects of markets. Internationally, these data elements are used to value markets and revenues in bilateral negotiations. These data elements, both domestic and international, are also used in carrier selection proceedings to estimate the revenues that will accrue to the carrier applicants if they are selected. Comments are specifically requested on



the need for and the usefulness of fare basis codes and the dollar values of tickets in purely domestic markets.

#### Program Impact if the O & D Survey Were Not Available

The elimination of the O & D Survey could result in the air transportation industry having to meet a myriad of reporting requirements that would be generated by DOT and other Federal, State, and local agencies to meet program data needs that now rely on the Department's data collection.

The greatest need for O & D data is in the International Program. Without this data the U.S. negotiating position would be severely compromised. Not only does the O & D provide itinerary data for those travelers flying on a U.S. carrier, but it also provides comparable data for passengers traveling on a foreign carrier that interlines with a U.S. carrier. Without O & D data we would not be in a reasonable position to foster trade between countries or seek access for U.S. carriers to international markets.

Other DOT programs would also be compromised since the Survey portrays the true origin and destination of the passenger. These programs could possibly use Service Segment Data but it only portrays on-flight O & D or where the passengers got on and off the reporting carrier's system. Another drawback to using Service Segment Data as an analytical tool is that it contains no interlining foreign carrier data.

As to the Department's aviation programs, the following list highlights the aviation program impact from the elimination of the Survey:

- Individual carrier passenger traffic flows in terms of true origin-destination, and the dollar worth of those market revenues expressed as a yield of cents per passenger mile would not be available to support those programs of the Department that have identified a need for such data in the caption, DATA REQUIREMENTS.

- International negotiations on the levels-of-service would be significantly disadvantaged due to the lack of carrier data that are needed for evaluating the United States' position in a market.

- Carrier selections to serve international routes may be delayed and undermined due to the lack of comparable industry data for evaluating carrier operating plan proposals.

- Market data would not be available to determine the level of air service needed in international markets.

- Market data (domestic and international) would not be available to evaluate the economic viability of carrier proposals to serve international

routes. Such carrier proposals often involve service "behind and beyond" the U.S. gateway. Thus, domestic data are needed to determine the domestic segment or segments which will feed traffic onto the international primary segment are economically viable.

- O & D passenger traffic flow data would not be available to satisfy the Department's needs for forecasting and analysis of airport facility, security, and safety requirements. O & D traffic are more useful and relevant than the enplanement data (such as city pair point A-B) reported on the Form 41 "T" schedules and Services Segment Data (SSD). For example, one O & D trip may include several passenger enplanements, and the Form 41 and SSD enplanement data do not adequately portray the "true" passenger traffic flow—the passenger routings "behind and beyond" the enplanement city-pair. The Department needs this "true" O & D data for both domestic and international entities.

- U.S. carrier data would not be as timely or as credible for use in evaluating foreign air carrier applications and service proposals, although some U.S. carrier data could be obtained in *ad hoc* reports.

- Individual carrier traffic data by airport and market would not be available for use in evaluating the potential competitive harm from carrier merger proposals. Both domestic and international O & D data are needed by the Department for this purpose, because merger cases are affected by all entities operated by the carrier applicants or other parties to the merger proposal.

- Carrier fitness reviews would be delayed due to the lack of comparable carrier or industry data that are used to assess carrier operations. Financial fitness is only one aspect of the fitness determination, and other aspects—such as management's operating plans—involve evaluations which require the revenue yield and passenger traffic projection capability provided by the O & D Survey.

- The Department would be required to establish an alternative data collection system to ensure the continued availability of the data elements it must submit to Canada in compliance with a bilateral obligation. Under this bilateral agreement, the Department exchanges transborder O & D information with the Canadian government. These transborder data include the data elements of fare basis codes, passengers, carriers, itineraries, and points of origin and destination; where the trip itineraries include:

- Both a U.S. point and a Canadian point.
- A U.S. carrier to a Canadian point.
- A Canadian carrier to a U.S. point.

#### Estimated Burden Reduction

The Survey procedures require a 10 percent sample of lifted tickets, which is defined as every zero ending ticket. For the fourth quarter of 1984, this meant that more than one million ticket coupons were reviewed (1.23 million coupons, precisely), in a ticket coupons-per-carrier range from less than 100 coupons to almost 200,000 coupons, with an average of 500 burden hours per participating carrier.

The staff has estimated the annual cost of the O & D Survey to the respondents (the participating carriers) to be about \$850,000 in addition to about \$265,000 to the Department for collecting, processing, compiling and publishing the Survey. While we do not have actual cost data for each carrier, we have made an informed estimate that the 500 burden hours for each participating carrier may be reduced by the use of a stratified systematic sampling approach. This is because we believe the overall number of coupons selected for the sample will be reduced by 20 to 50 percent depending on the number and size of the large domestic markets under the 1% sampling procedures. Although the proposed sampling procedures introduce a new selection criteria in determining which coupons are to be reported, the result is a lower number of coupons to be selected and processed for reporting, thereby yielding a net decrease in carrier reporting burden. The Department requests carrier input on the potential cost savings or burden reduction to them from the proposed stratified sample.

#### Other Federal Users of O & D Survey Data

While this rulemaking notice identifies only DOT's need for air carrier data, the Department recognizes that other Federal agencies have come to rely on former CAB, now DOT, data collections to administer various Federal programs that utilize air transportation data. A list of those agencies that have expressed a need for O & D Survey data, along with their affected programs, follows.

Department of Commerce—Bureau of Economic Analysis and Bureau of Census.

- Estimation of Gross National Product.

- Analysis of International Transactions Accounts.



- Compilation of Input-Output Tables of the United States.

Department of Labor—Bureau of Labor Statistics.

- Calculation of Consumer Price Index (CPI).

International Trade Administration.

- Office of Service Industries Service Sector Mission (to improve service industries' access to foreign markets).

The Department invites all Federal agencies, especially those listed above to carefully review the proposed changes to the O & D Survey. These changes are based on RSPA's zero based data element review of DOT's need for O & D Survey data and the Department would appreciate knowing whether such changes in the continued collection of aviation data would adversely affect their program administration. Conversely, we also seek comments reaffirming other Federal agency requirements for those data elements that are also needed for DOT programs.

#### List of Subjects in 14 CFR Part 241

Air carriers and Uniform system of accounts and reports.

#### Proposed Rule

#### PART 241—[AMENDED]

Accordingly, the Department of Transportation proposes to amend 14 CFR Part 241, *Uniform System of Accounts and Reports for Large Certificated Air Carriers* as follows:

1. The authority for Part 241 continues to read as follows:

Authority: Secs. 204, 401, 407, 416, 417, 901, 902, 1002, Pub. L. 85-726, as amended, 72 Stat. 743, 754, 766, 771, 783, 784, 788, 76 Stat. 145 49 U.S.C. 1324, 1371, 1377, 1386, 1387, 1471, 1472 and 1482.

2. Section 19-7 is revised to read as follows:

#### Sec. 19-7 Passenger Origin-Destination Survey.

(a) All U.S. large certificated air carriers conducting scheduled passenger operations (except helicopter carriers) shall participate in a Passenger Origin-Destination (O & D) Survey covering domestic and international operations, as described in the instruction manual entitled, *Instructions to Air Carriers for Collecting and Reporting Passenger Origin-Destination Survey Statistics*,

and in *Passenger Origin-Destination Directives* issued by the Department's Research and Special Programs Administration (RSPA), Office of Aviation Information Management (OAIM). Copies of these *Instructions* and *Directives* are provided to each large certificated carrier participating in the Survey. Copies are also available from the OAIM's Data Administration Division, DAI-20, Room 4123, RSPA, DOT, 400 Seventh Street, SW., Washington, DC 20590.

(b) Those participating air carriers having access to automatic data processing (ADP) services shall generally utilize magnetic computer tape for transmitting the prescribed data. However, those carriers who want to use alternative media, such as computer "floppy discs" or RSPA Form 2787 in typewritten form, shall coordinate these reporting formats with OAIM's Data Administration Division, which will accept practicable alternative media.

(c) A statistically valid sample of flight coupons shall be selected for reporting purposes. The sample shall consist of at least one percent (1%) of the total lifted ticket flight coupons for all large domestic markets listed in the *Instructions* and ten percent (10%) for all others—including domestic, international and territorial markets. The sample shall be selected and reported in accordance with the requirements of paragraph (a) of this section, except that the participating O & D carriers with nonstandard ticketing procedures, or other special operating characteristics, may propose alternative procedures, such departures from standard O & D Survey practices shall be approved in writing by the Director, OAIM under the procedures in sec. 1-2 of 14 CFR Part 241 and the authority assigned in 14 CFR 385.27. Carriers that elect to collect and report a larger sample may do so, upon their written application to and the approval of the Director, OAIM. The data to be recorded and reported from selected lifted ticket flight coupons, as stipulated in the *Instructions* and *Directives*, shall include the following data elements: point of origin; carrier on each flight-coupon stage; fare-basis code on each flight-coupon stage; points of stopover or connection (interline and intraline); point of destination; number of

passengers; and total dollar value of ticket (fare plus tax).

(d) Data covering the operations of non-U.S. carriers (that are similar to the information collected by the international Passenger Origin-Destination Survey) are generally not available to the Department, the U.S. carriers, or U.S. interests. Therefore, because of the damaging competitive impact on U.S. flag carriers and the adverse effect upon the public interest that would result from unilateral disclosure of the U.S. survey data, the Department has determined its policy to be that the international data in the Passenger Origin-Destination Survey shall be disclosed only as follows:

(1) To an air carrier directly participating in, and contributing input data to, the Survey or to a legal or consulting firm designated by an air carrier to use on its behalf O & D data in connection with a specific assignment by such carrier.

(2) To parties to any proceeding before the Department to the extent that such data are relevant and material to the issues in the proceeding upon a determination to this effect by the Administrative Law Judge or by the Department's decision-maker. Any data to which access is granted pursuant to this section may be introduced into evidence subject to the normal rules of admissibility of evidence.

(3) To agencies and other components of the U.S. Government.

(4) To other persons upon a showing that the release of the data will serve specifically identified needs of U.S. users which are consistent with U.S. interest.

(5) To foreign governments and foreign users as provided in formal reciprocal arrangements between the foreign and U.S. governments for the exchange of comparable O & D data.

(e) The Department reserves the right to make such other disclosures of the subject data as is consistent with its regulatory functions and responsibilities.

Issued in Washington, DC on October 9, 1985.

M. Cynthia Douglass,  
Administrator, Research and Special  
Programs Administration, DOT.

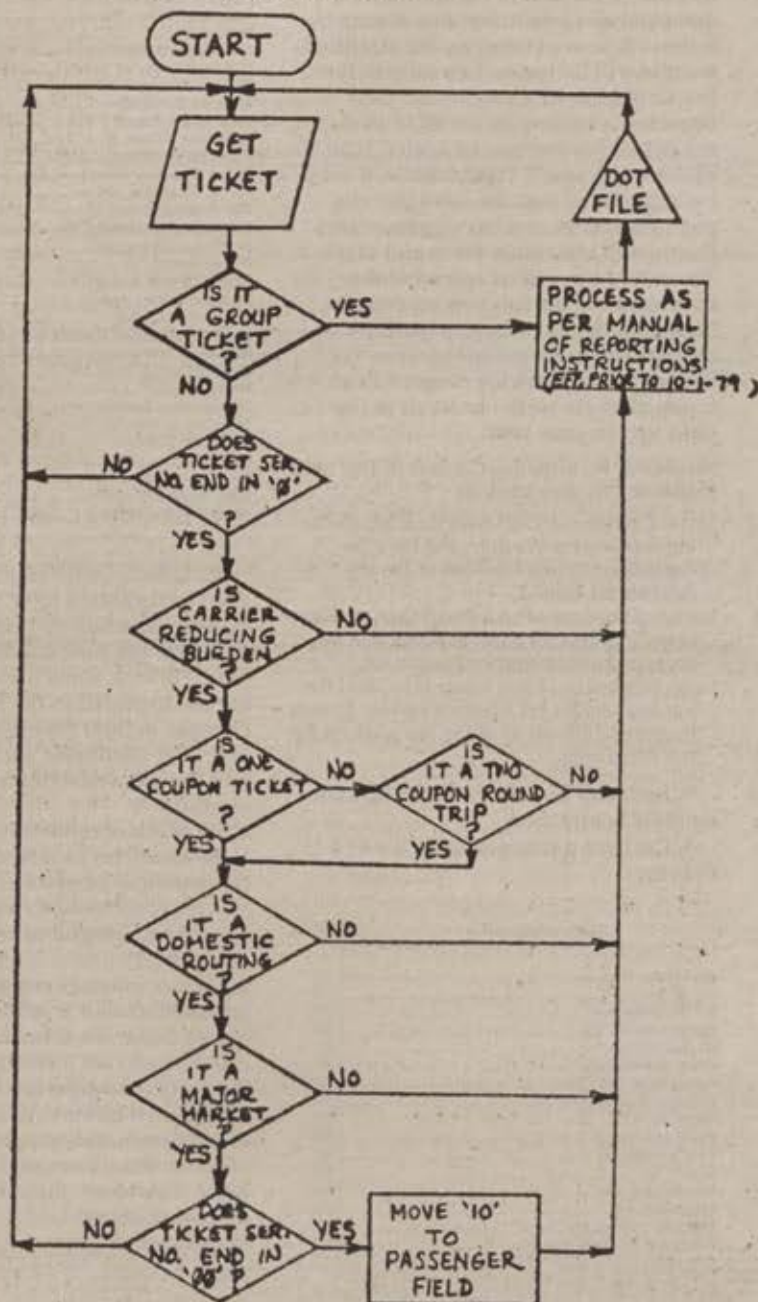
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Attachment I.—Instructions to Air Carriers for Collecting and Reporting Passenger Origin-Destination Survey Statistics—U.S. Department of Transportation, Research and Special Programs Administration

I. REPORTING INSTRUCTIONS FOR O & D SURVEY

A. GENERAL FLOW OF O & D REPORTING FROM TICKETS <sup>1/</sup>



<sup>1/</sup> The Department has proposed a 1% sample size for domestic major markets and chosen only two strata (major markets and other) because these procedures are considered to be most compatible with established air carrier procedures. Only minor changes (selecting major market ticket coupons ending in double-zero ("00") and zero-ending ("0") ticket coupons in all other markets) are anticipated as necessary to existing procedures-- minimizing costly computer reprogramming and retraining of personnel.



B. Proposed modifications to an existing manual of instructions to air carriers for collecting and reporting passenger origin and destination survey statistics are shown below. A revised "INSTRUCTIONS TO AIR CARRIERS FOR COLLECTING AND REPORTING PASSENGER ORIGIN-DESTINATION SURVEY STATISTICS" will be issued with the final rule.

1. Editorial corrections/modifications are as follows:

(a) Change all references to the "Civil Aeronautics Board" to the "Department of Transportation."

(b) Change "CAB Form" to "RSPA Form."

(c) Change "CAB" to "DOT" or "Board" to "DOT."

(d) Change "Distribution Section, OASO" to "Data Administration Division, RSPA."

(e) Change "Data Systems Management Division, Office of the Comptroller" to "Data Administration Division, RSPA."

2. Use the following address for communication with the Department of Transportation:

—Data Administration Division, DAI-20, Room 4125, Office of Aviation Information Management, Research and Special Programs Administration, DOT, 400 Seventh Street, SW., Washington, DC 20590

—Telephone (202) 426-8703

—Contents of the revised "INSTRUCTIONS" are proposed to be generally consistent with the current format (with only minor changes) that cover the following areas:

| Section                 | Reference       |
|-------------------------|-----------------|
| (a) Inquiries on manual | Inside cover    |
| (b) Forms               | IV-C            |
| (c) Filing Address      | IV-E            |
| (d) Optional reporting  | V-C-1 and V-C-2 |
| (e) Recording of data   | V-D-3-C         |
| (f) Control of sample   | VIII-A-1 and 2  |

3. References to the exclusion of "Intra-Alaska" tickets are to be deleted, and Intra-Alaska tickets will be handled like other routings by the large carrier participants in the Survey. Small

carriers which are not participants (such as the Alaskan bush operators) are not affected by this change to the "INSTRUCTIONS."

4. Section IX.C. should be amended to include "a revised list of the major domestic markets to be used during the following year in creating the stratified sample will be issued annually in the fourth quarter of the calendar year based on a review by the DOT of data submitted for the quarter ended June 30 of the same year." For example, it may be determined that the top 1,000 city pairs (based on number of passengers flown) will constitute the major markets. The initial list will be issued at the conclusion of the rulemaking process. Significantly, not all participating carriers are in all major markets. For instance, the following ranges of carriers in top markets were observed in the data for the year 1984:

#### Number of Participating Carriers in Top 1,000 Domestic City-Pair Markets

Major Carriers—From fewer than 100 of the top markets for Western and for Pan American to more than 300 of the top markets for United.

National Carriers—From fewer than 20 of the major markets for New York Air and for Braniff to almost 100 for Southwest.

Large Regionals—From fewer than 20 of the top markets for Jet America and for Florida Express to almost 50 of the top markets for Air Wisconsin.

A tentative list of the domestic major markets is attached.

5. Carriers participating in the O & D Survey:

| Participating carrier         | Code |
|-------------------------------|------|
| Air Atlanta, Inc.             | CC   |
| AirCal, Inc.                  | OC   |
| Air Wisconsin, Inc.           | ZW   |
| Alaska Airlines, Inc.         | AS   |
| All Star Airlines, Inc.       | EP   |
| Aloha Airlines, Inc.          | AQ   |
| American Airlines, Inc.       | AA   |
| America West Airlines, Inc.   | HP   |
| Arrow Air, Inc.               | JW   |
| Aspen Airways, Inc.           | AP   |
| Best Airlines, Inc.           | WV   |
| Braniff, Inc.                 | BN   |
| Britt Airways, Inc.           | RU   |
| Capitol Air, Inc.             | CL   |
| Cascade Airways, Inc.         | CZ   |
| Challenge Air Transport, Inc. | 3C   |

| Participating carrier              | Code |
|------------------------------------|------|
| Continental Air Lines, Inc.        | CO   |
| Delta Air Lines, Inc.              | DL   |
| Eastern Air Lines, Inc.            | EA   |
| Empire Airlines                    | UR   |
| Florida Express, Inc.              | ZO   |
| Frontier Airlines                  | FL   |
| Hawaiian Airlines, Inc.            | HA   |
| Horizon Air                        | QX   |
| Jet America Airlines, Inc.         | SI   |
| Midway Airlines, Inc.              | ME   |
| Midwest Express Airlines, Inc.     | YX   |
| Muse Air Corporation               | MC   |
| New York Air Lines, Inc.           | NY   |
| Northwest Airlines, Inc.           | NW   |
| Ozark Air Lines, Inc.              | OZ   |
| Pacific Interstate Airlines        | OT   |
| Pacific Southwest Airlines         | PS   |
| Pan American World Airways, Inc.   | PA   |
| People Express Airlines, Inc.      | PE   |
| Piedmont Aviation, Inc.            | PI   |
| Pilgrim Airlines, Inc.             | PN   |
| Reeve Aleutian Airways, Inc.       | RV   |
| Republic Airlines, Inc.            | RC   |
| Samoan Airlines, Inc.              | MS   |
| South Pacific Island Airways, Inc. | HK   |
| Southwest Airlines                 | WN   |
| Sunworld Int'l Airways, Inc.       | JK   |
| Tower Air, Inc.                    | FF   |
| Transamerica Airlines, Inc.        | TV   |
| Trans World Airlines, Inc.         | TW   |
| United Air Lines, Inc.             | UA   |
| US Air, Inc.                       | AL   |
| Western Air Lines, Inc.            | WA   |
| Wion Air Alaska, Inc.              | WC   |
| World Airways, Inc.                | WO   |

Note that carriers such as Emerald and Northeastern have in the past been classified as Survey participants, but these are not now submitting O & D data. Further, some prior participants are not included in the list, because of changes in their reporting requirements and their small size, including Sky West and Royale, which operate only small aircraft.

5. Length of reported routings (Section V-D-4) will be as follows: References to twenty-three stages and twenty-third city and carrier and twenty-fourth city should be changed to seven stages and seventh city and carrier and eighth city. However, carriers reporting the Survey prior to October 1, 1979 may continue to report under the former requirements.

6. The current "INSTRUCTIONS" and the most recent pertinent "PASSENGER ORIGIN-DESTINATION DIRECTIVE" have been widely disseminated to carriers, data users and the public at large. Therefore, these INSTRUCTIONS are not included here.

BILLING CODE 4910-62-M



DOMESTIC CITY PAIR SAMPLES SORTED BY 10 PCT. PASSENGERS  
YEAR 1984, FIRST 1000 OF 51,330 DOMESTIC MARKETS  
THAT INCLUDES 22,377,211 PASSENGERS

ATTACHMENT II  
DOMESTIC CITY PAIR SAMPLES SORTED BY 10 PCT. PASSENGERS  
YEAR 1984, FIRST 1000 OF 51,330 DOMESTIC MARKETS  
THAT INCLUDES 22,377,211 PASSENGERS

| RANK<br>NUMBER | CITY<br>PAIR | 10 PCT.<br>SAMPLE<br>OF PASSENGERS<br>IN MARKET | PCT.<br>OF TOTAL<br>PASSENGERS | SAMPLING ERROR RATE<br>WITH A SAMPLE SIZE<br>OF 10 PCT. OF 1 PCT. |      |
|----------------|--------------|---|--------------------------------|---|------|
|                |              |   |                                | 0.45  | 1.25 |
| 1              | BOS JFK      | 422110  | 1.89                           |   |      |
| 2              | JFK DCA      | 324430  | 1.55                           |   |      |
| 3              | ORD JFK      | 285940  | 1.28                           |   |      |
| 4              | LAX JFK      | 272955  | 1.22                           |   |      |
| 5              | DFW IAH      | 228889  | 1.02                           |   |      |
| 6              | LAX SFO      | 206531  | .98                            |   |      |
| 7              | MIA JFK      | 156215  | .88                            |   |      |
| 8              | BUR JFK      | 162465  | .73                            |   |      |
| 9              | FLL LGA      | 159260  | .71                            |   |      |
| 10             | NYC PUI      | 157750  | .70                            |   |      |
| 11             | JFK PIT      | 149463  | .67                            |   |      |
| 12             | JFK SFO      | 135673  | .61                            |   |      |
| 13             | IAH JFK      | 129588  | .58                            |   |      |
| 14             | MNL OGG      | 128340  | .57                            |   |      |
| 15             | JFK TPA      | 117656  | .53                            |   |      |
| 16             | ORD MSP      | 114267  | .51                            |   |      |
| 17             | MNL LAX      | 110944  | .50                            |   |      |
| 18             | DTW JFK      | 108315  | .48                            |   |      |
| 19             | JFK DFW      | 102656  | .46                            |   |      |
| 20             | JFK MCO      | 130278  | .45                            |   |      |
|                | CUMULATIVE   | 331449  | 19.15                          |   |      |
| 21             | CHW JFK      | 98144   | .44                            |   |      |
| 22             | DFW JFK      | 95757   | .43                            |   |      |
| 23             | ATL JFK      | 95020   | .42                            |   |      |
| 24             | SAN SFO      | 93438   | .42                            |   |      |
| 25             | LAS LAX      | 89005   | .40                            |   |      |
| 26             | IAH MSY      | 86854   | .39                            |   |      |
| 27             | LAX PHX      | 86365   | .39                            |   |      |
| 28             | MNL LIA      | 86204   | .39                            |   |      |
| 29             | JFK SFO      | 85723   | .38                            |   |      |
| 30             | BOS UCA      | 80764   | .36                            |   |      |
| 31             | DFW SAT      | 80360   | .36                            |   |      |
| 32             | ORD DTW      | 80111   | .36                            |   |      |
| 33             | GRD IAD      | 76312   | .34                            |   |      |
| 34             | AUS DFW      | 75823   | .33                            |   |      |
| 35             | ORD DFW      | 71826   | .32                            |   |      |
| 36             | DEN JFK      | 71463   | .32                            |   |      |
| 37             | ORD LAX      | 70435   | .31                            |   |      |
| 38             | JAX JFK      | 69616   | .31                            |   |      |
| 39             | MSP JFK      | 69004   | .30                            |   |      |
| 40             | CLE JFK      | 67419   | .30                            |   |      |
|                | CUMULATIVE   | 524185  | 21.43                          |   |      |
| 41             | IAH LAA      | 65571   | .29                            |   |      |
| 42             | MCO MCO      | 64413   | .28                            |   |      |
| 43             | MIA MCO      | 62235   | .28                            |   |      |
| 44             | MNL SFO      | 62204   | .28                            |   |      |
| 45             | LAX SJC      | 60540   | .27                            |   |      |
| 46             | BUR SFO      | 60416   | .27                            |   |      |
| 47             | BOS ORD      | 60004   | .27                            |   |      |

| RANK<br>NUMBER | CITY<br>PAIR | 10 PCT.<br>SAMPLE<br>OF PASSENGERS<br>IN MARKET | PCT.<br>OF TOTAL<br>PASSENGERS | SAMPLING ERROR RATE<br>WITH A SAMPLE SIZE<br>OF 10 PCT. OF 1 PCT. |      |
|----------------|--------------|---|--------------------------------|---|------|
|                |              |   |                                | 0.45  | 1.25 |
| 48             | MIA TPA      | 60000   | .27                            |   |      |
| 49             | SFO SFO      | 58132   | .26                            |   |      |
| 50             | ORD STL      | 58027   | .26                            |   |      |
| 51             | LAX IAD      | 57396   | .26                            |   |      |
| 52             | LAX GAX      | 56853   | .25                            |   |      |
| 53             | LAX SEA      | 56555   | .25                            |   |      |
| 54             | SFO PHX      | 55419   | .25                            |   |      |
| 55             | JFK SFO      | 54754   | .24                            |   |      |
| 56             | ORD CLE      | 53404   | .24                            |   |      |
| 57             | ORD DEN      | 52352   | .23                            |   |      |
| 58             | MIA JFK      | 51918   | .23                            |   |      |
| 59             | MNL MCO      | 51805   | .23                            |   |      |
| 60             | DEN LAX      | 51730   | .23                            |   |      |
|                | CUMULATIVE   | 6393793   | 29.57                          |   |      |
| 61             | JFK PHX      | 51569   | .23                            |   |      |
| 62             | ORD PHX      | 51200   | .23                            |   |      |
| 63             | ORD SFO      | 50585   | .23                            |   |      |
| 64             | BTW JFK      | 50297   | .22                            |   |      |
| 65             | DTW LAX      | 49584   | .22                            |   |      |
| 66             | DEN PHX      | 49364   | .22                            |   |      |
| 67             | DFW MSY      | 49036   | .22                            |   |      |
| 68             | DFW TUL      | 48875   | .22                            |   |      |
| 69             | LAX SFO      | 48124   | .22                            |   |      |
| 70             | SNA SFO      | 47859   | .21                            |   |      |
| 71             | IAH SAT      | 47841   | .21                            |   |      |
| 72             | PHX SAT      | 47556   | .21                            |   |      |
| 73             | LAX SAT      | 46426   | .21                            |   |      |
| 74             | BOS LAX      | 46409   | .21                            |   |      |
| 75             | AUS IAH      | 46236   | .21                            |   |      |
| 76             | JFK ORD      | 45868   | .20                            |   |      |
| 77             | ATL ORD      | 45422   | .20                            |   |      |
| 78             | DFW DEN      | 44555   | .20                            |   |      |
| 79             | ORD PHX      | 44186   | .20                            |   |      |
| 80             | ORD MIA      | 44049   | .20                            |   |      |
|                | CUMULATIVE   | 7349236   | 32.84                          |   |      |
| 81             | MSY JFK      | 43905   | .20                            |   |      |
| 82             | JFK STL      | 43837   | .20                            |   |      |
| 83             | DFW MAF      | 43717   | .20                            |   |      |
| 84             | DFW LBB      | 43334   | .19                            |   |      |
| 85             | ORD MCI      | 43184   | .19                            |   |      |
| 86             | BOS MCO      | 42946   | .19                            |   |      |
| 87             | JFK SJC      | 42571   | .19                            |   |      |
| 88             | ORD IAH      | 42457   | .19                            |   |      |
| 89             | SFO IAD      | 41875   | .19                            |   |      |
| 90             | MIA DCA      | 41228   | .18                            |   |      |
| 91             | DFW LAX      | 40215   | .18                            |   |      |
| 92             | BOS SFO      | 39764   | .18                            |   |      |
| 93             | DEN IAH      | 39607   | .18                            |   |      |
| 94             | DFW DCA      | 39520   | .18                            |   |      |



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TO PCT.  
SAMPLE  
OF PASSENGERS  
IN MARKET  
PCT.  
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OF 10 PCT. OF 1 PCT.  
SAMPLING ERROR RATE  
WITH A SAMPLE SIZE

RANK  
NUMBER

CITY  
PAIR

142 IAR SFO 21582 .12

143 CSO JFK 27549 .12

144 DEN MSP 27540 .12

145 LAX MSP 27514 .12

146 BOS FLL 27492 .12

147 DEN LAS 27445 .12

148 ABQ DEN 27170 .12

149 ORD PHL 27027 .12

150 BOS TPA 26814 .12

151 CPH IAH 26575 .12

152 ORD FLL 26436 .12

153 DEN LIT 26359 .12

154 ATL SCS 26217 .12

155 ATL LAX 25991 .11

156 MIA SFO 25540 .11

157 MCI JFK 25344 .11

158 IAH MIA 25344 .11

159 ORD CVO 25257 .11

160 ABQ PHX 24939 .11

CUMULATIVE 9585409 4.13

161 DEN WAS 24650 .11

162 SAN SJC 24650 .11

163 MSP DCA 24381 .11

164 BOS DEN 24228 .11

165 ATL PHX 23822 .11

166 MCO PHX 23662 .11

167 LAX PDX 23609 .11

168 LAS JFK 23577 .11

169 LAX TUS 23354 .10

170 DEN LAS 23261 .10

171 IAH IAD 23193 .10

172 MSP SFO 22749 .10

173 DEN DCA 22524 .10

174 MIA IAH 22365 .10

175 DEN MCI 22336 .10

176 MIA PHX 22046 .10

177 ORD DEN 22021 .10

178 DEN PHX 21954 .10

179 IAH DCA 21935 .10

180 IAH MAF 21838 .10

CUMULATIVE 1047748 4.09

181 DEN MCI 21631 .10

182 DEN SFO 21382 .10

183 ATL SFO 21340 .10

184 IND JFK 21253 .10

185 ORD SFO 21261 .10

186 DEN PHX 21127 .09

187 DEN PHX 21024 .09

188 ATL IAH 20910 .09

ATTACHMENT II  
DOMESTIC CITY PAIR SAMPLES SORTED BY 10 PCT. PASSENGERS  
YEAR 1984, FIRST 1,000 OF 51,330 DOMESTIC  
MARKETS  
THAT INCLUDES 22,377,211 PASSENGERS

TO PCT.  
SAMPLE  
OF PASSENGERS  
IN MARKET  
PCT.  
OF TOTAL  
PASSENGERS  
OF 10 PCT. OF 1 PCT.  
SAMPLING ERROR RATE  
WITH A SAMPLE SIZE

RANK  
NUMBER

CITY  
PAIR

95 ORD LAS 38461 .17

96 SCL JFK 38208 .17

97 LAX MIA 38140 .17

98 ORD LGS 38124 .17

99 PDX SEA 38039 .17

100 ATL IAD 37568 .17

CUMULATIVE 816010 1.35

101 JFK PHX 37164 .17

102 ATL DEN 37117 .17

103 DEN SCL 37117 .17

104 ABQ LAX 36947 .16

105 LAS PHX 36015 .16

106 ORD TPA 35822 .16

107 SNA SJC 35683 .16

108 DEN IAD 35423 .15

109 BOK SJC 34222 .15

110 ONT SFO 34066 .15

111 MSL DEN 33239 .15

112 PDX SFO 33200 .15

113 DEN SFO 33164 .15

114 DEN SFO 32962 .15

115 SMI SCS 32375 .14

116 LAS SAN 32266 .14

117 CVO JFK 32178 .14

118 LAS SFO 31916 .14

119 ATL TPA 31854 .14

120 ANA DEN 31854 .14

CUMULATIVE 894912 3.97

121 ORD MCO 31407 .14

122 LAX PHX 31374 .14

123 DEN STL 31357 .14

124 JFK SEA 31080 .14

125 ATL MIA 31074 .14

126 BUR LAS 30851 .14

127 ATL MCO 30719 .14

128 BUR OAK 30686 .14

129 CLT JFK 30026 .13

130 JFK LAX 29967 .13

131 IAH IAH 29911 .13

132 JFK SAN 29753 .13

133 BOS DEN 28669 .13

134 PHX SFO 28336 .13

135 DEN SCL 28929 .13

136 HAL JFK 28828 .13

137 BOS MIA 28750 .13

138 PHX PIT 28588 .13

139 BND SFO 28231 .13

140 DEN IAD 28036 .13

CUMULATIVE 9455071 4.24

141 ABQ DEN 27582 .13



DOMESTIC CITY PAIR SAMPLES SORTED BY 10 PCT. PASSENGERS  
YEAR 1984: FIRST 1,000 OF 51,330 DOMESTIC MARKETS  
THAT INCLUDES 22,377,211 PASSENGERS

DOMESTIC CITY PAIR SAMPLES SORTED BY 10 PCT. PASSENGERS  
YEAR 1984: FIRST 1,000 OF 51,330 DOMESTIC MARKETS  
THAT INCLUDES 22,377,211 PASSENGERS

| RANK<br>NUMBER | CITY<br>PAIR | 10 PCT.<br>SAMPLE<br>OF PASSENGERS<br>IN MARKET | PCT.<br>OF TOTAL<br>PASSENGERS | SAMPLING ERROR RATE<br>WITH A SAMPLE SIZE<br>OF 10 PCT. OF 1 PCT. |        |
|----------------|--------------|---|--------------------------------|---|--------|
|                |              |   |                                | 10 PCT.   | 1 PCT. |
| 189            | SEA GEG      | 20822   | .09                            |   |        |
| 190            | IAM LAS      | 20820   | .09                            |   |        |
| 191            | ORD MSP      | 20716   | .09                            |   |        |
| 192            | STL DCA      | 20611   | .09                            |   |        |
| 193            | LAX MSY      | 20519   | .09                            |   |        |
| 194            | SNA PHX      | 20485   | .09                            |   |        |
| 195            | DEN SEA      | 20483   | .09                            |   |        |
| 196            | ATL MSY      | 20394   | .09                            |   |        |
| 197            | MSP PHX      | 20374   | .09                            |   |        |
| 198            | SUR PHX      | 20211   | .09                            |   |        |
| 199            | DCA LGA      | 20081   | .09                            |   |        |
| 200            | DTW MSP      | 19795   | .09                            |   |        |
| 201            | CUMULATIVE   | 10,63212  | 4.55                           |   |        |
| 202            | ORD CMH      | 19808   | .09                            |   |        |
| 203            | DTW TPA      | 19800   | .09                            |   |        |
| 204            | BNA JFK      | 19658   | .09                            |   |        |
| 205            | ATL DCA      | 19774   | .09                            |   |        |
| 206            | PHL SFO      | 19603   | .09                            |   |        |
| 207            | LAX SLC      | 19573   | .09                            |   |        |
| 208            | ATL JAX      | 19542   | .09                            |   |        |
| 209            | MCI STL      | 19509   | .09                            |   |        |
| 210            | DTW IAH      | 19498   | .09                            |   |        |
| 211            | GRD MIA      | 19354   | .09                            |   |        |
| 212            | LGB SFO      | 19304   | .09                            |   |        |
| 213            | CRJ SCL      | 19235   | .09                            |   |        |
| 214            | FLY PHL      | 19213   | .09                            |   |        |
| 215            | SMI ORD      | 19169   | .09                            |   |        |
| 216            | DFW MSP      | 19103   | .09                            |   |        |
| 217            | ATL CLT      | 18932   | .08                            |   |        |
| 218            | ONT SMF      | 18884   | .08                            |   |        |
| 219            | DEN STL      | 18859   | .08                            |   |        |
| 220            | SWI LAX      | 18802   | .08                            |   |        |
| 221            | ELP LAX      | 18735   | .08                            |   |        |
| 222            | DFW SMF      | 18692   | .08                            |   |        |
| 223            | DTA SFO      | 18637   | .08                            |   |        |
| 224            | CRJ DFW      | 18615   | .08                            |   |        |
| 225            | ANC SEA      | 18607   | .08                            |   |        |
| 226            | LAX RDU      | 18466   | .08                            |   |        |
| 227            | DFW RIA      | 18447   | .08                            |   |        |
| 228            | BOS DEN      | 18429   | .08                            |   |        |
| 229            | PHL TPA      | 18355   | .08                            |   |        |
| 230            | MKE JAX      | 18340   | .08                            |   |        |
| 231            | DFW PHX      | 18023   | .08                            |   |        |
| 232            | DEN DTW      | 17947   | .08                            |   |        |
| 233            | BOS CLE      | 17916   | .08                            |   |        |
| 234            | OKA SNA      | 17902   | .08                            |   |        |
| 235            | MIL SEA      | 17875   | .08                            |   |        |

| RANK<br>NUMBER | CITY<br>PAIR | 10 PCT.<br>SAMPLE<br>OF PASSENGERS<br>IN MARKET | PCT.<br>OF TOTAL<br>PASSENGERS | SAMPLING ERROR RATE<br>WITH A SAMPLE SIZE<br>OF 10 PCT. OF 1 PCT. |        |
|----------------|--------------|---|--------------------------------|---|--------|
|                |              |   |                                | 10 PCT.   | 1 PCT. |
| 236            | MIA MSY      | 17868   | .08                            |   |        |
| 237            | IAM STL      | 17843   | .08                            |   |        |
| 238            | ATL RDU      | 17673   | .08                            |   |        |
| 239            | MCO DCA      | 17663   | .08                            |   |        |
| 240            | FAT LAX      | 17617   | .08                            |   |        |
| 241            | CUMULATIVE   | 11,612,484                                      | 51.89                          |   |        |
| 242            | BOS MSP      | 17607   | .08                            |   |        |
| 243            | ORD IAD      | 17460   | .08                            |   |        |
| 244            | SAN SEA      | 17351   | .08                            |   |        |
| 245            | PHL TPA      | 17246   | .08                            |   |        |
| 246            | SAN IAD      | 17158   | .08                            |   |        |
| 247            | SMF SAN      | 17150   | .08                            |   |        |
| 248            | OKA SAN      | 17187   | .08                            |   |        |
| 249            | DCA LAX      | 16923   | .08                            |   |        |
| 250            | PHX SLC      | 16887   | .08                            |   |        |
| 251            | BOS PHX      | 16846   | .08                            |   |        |
| 252            | IAM MCI      | 16844   | .08                            |   |        |
| 253            | DTW PHX      | 16569   | .07                            |   |        |
| 254            | SEA IAD      | 16420   | .07                            |   |        |
| 255            | ATL FLL      | 16324   | .07                            |   |        |
| 256            | DTW MIA      | 16304   | .07                            |   |        |
| 257            | CLE IAD      | 16166   | .07                            |   |        |
| 258            | ATL DEN      | 16136   | .07                            |   |        |
| 259            | CLE PHL      | 16122   | .07                            |   |        |
| 260            | ONT SJC      | 16057   | .07                            |   |        |
| 261            | RDU SEA      | 16007   | .07                            |   |        |
| 262            | CUMULATIVE   | 11,647,168                                      | 53.39                          |   |        |
| 263            | TPA IAD      | 15922   | .07                            |   |        |
| 264            | JFK SLC      | 15901   | .07                            |   |        |
| 265            | PHX TUS      | 15866   | .07                            |   |        |
| 266            | OKA UNT      | 15773   | .07                            |   |        |
| 267            | ATL SNA      | 15728   | .07                            |   |        |
| 268            | CLE LAX      | 15720   | .07                            |   |        |
| 269            | RDU DCA      | 15662   | .07                            |   |        |
| 270            | IAM PHL      | 15621   | .07                            |   |        |
| 271            | ATL MEM      | 15503   | .07                            |   |        |
| 272            | ATL CLE      | 15341   | .07                            |   |        |
| 273            | MEN JFK      | 15321   | .07                            |   |        |
| 274            | CRD OAK      | 15249   | .07                            |   |        |
| 275            | MCI LAX      | 15206   | .07                            |   |        |
| 276            | DEN PHL      | 15165   | .07                            |   |        |
| 277            | DTW MCO      | 15132   | .07                            |   |        |
| 278            | FAA RIC      | 15037   | .07                            |   |        |
| 279            | IAM MCO      | 14908   | .07                            |   |        |
| 280            | DTW LAS      | 14764   | .07                            |   |        |
| 281            | LAX SAT      | 14750   | .07                            |   |        |
| 282            | CUMULATIVE   | 122,950,13                                      | 54.77                          |   |        |
| 283            | DAY JFK      | 14654   | .07                            |   |        |
| 284            | SNA SNA      | 14679   | .07                            |   |        |

ATTACHMENT II

ATTACHMENT II



ATTACHMENT II  
DOMESTIC CITY PAIR SAMPLES SORTED BY 10 PCT. PASSENGERS  
YEAR 1984, FIRST 1,000 OF 51,330 DOMESTIC MARKETS  
THAT INCLUDES 22,377,211 PASSENGERS

| RANK<br>NUMBER | CITY<br>PAIR | 10 PCT.<br>SAMPLE<br>OF PASSENGERS<br>IN MARKET | PCT.<br>OF TOTAL<br>PASSENGERS | SAMPLING ERROR RATE<br>WITH A SAMPLE SIZE<br>OF 10 PCT. OF 1 PCT. |
|----------------|--------------|---|--------------------------------|---|
| 283            | IAH PHX      | 14615   | .07                            |   |
| 284            | MSP STL      | 14607   | .07                            |   |
| 285            | LAS SNA      | 14510   | .06                            |   |
| 286            | ATL GSO      | 14241   | .06                            |   |
| 287            | SJC SFO      | 14233   | .06                            |   |
| 288            | JFK SAT      | 14228   | .06                            |   |
| 289            | SOF JFK      | 14147   | .06                            |   |
| 290            | LAS STL      | 14134   | .06                            |   |
| 291            | ATL STL      | 13963   | .06                            |   |
| 292            | DEN DCA      | 13908   | .06                            |   |
| 293            | CLE IAH      | 13873   | .06                            |   |
| 294            | DEN MIA      | 13850   | .06                            |   |
| 295            | LAS DCA      | 13838   | .06                            |   |
| 296            | FAT SFO      | 13759   | .06                            |   |
| 297            | ORD PBI      | 13718   | .06                            |   |
| 298            | LAS RNO      | 13605   | .06                            |   |
| 299            | PBI IAD      | 13572   | .06                            |   |
| 300            | BOS PIT      | 13529   | .06                            |   |
| 301            | CUMULATIVE   | 12536721  | 50.02                          | 2.28  |
| 302            | LAX SJC      | 13480   | .06                            | 7.08  |
| 303            | DFW MCO      | 13283   | .06                            |   |
| 304            | DTW STL      | 13254   | .06                            |   |
| 305            | ABQ ELP      | 13214   | .06                            |   |
| 306            | DTW FLL      | 13203   | .06                            |   |
| 307            | ORD DCA      | 13186   | .06                            |   |
| 308            | MDL MCO      | 13181   | .06                            |   |
| 309            | MCI IAD      | 13157   | .06                            |   |
| 310            | ELP IAH      | 13077   | .06                            |   |
| 311            | DEN SNA      | 13070   | .06                            |   |
| 312            | LAX MCO      | 13018   | .06                            |   |
| 313            | MCI PHX      | 13011   | .06                            |   |
| 314            | CLE DCA      | 12959   | .06                            |   |
| 315            | MSP DCA      | 12958   | .06                            |   |
| 316            | LAS SJC      | 12951   | .06                            |   |
| 317            | ABQ LAS      | 12844   | .06                            |   |
| 318            | FHY JFK      | 12833   | .06                            |   |
| 319            | MKE MSP      | 12817   | .06                            |   |
| 320            | ATL PIT      | 12789   | .06                            |   |
| 321            | DEN IAH      | 1275950   | 50.19                          |   |
| 322            | CUMULATIVE   | 1275950   | 50.19                          |   |
| 323            | ORD DCA      | 12753   | .06                            |   |
| 324            | ORD FMY      | 12770   | .06                            |   |
| 325            | DTW MCO      | 12530   | .06                            |   |
| 326            | BOS IAH      | 12340   | .06                            |   |
| 327            | GSP FMY      | 12317   | .06                            |   |
| 328            | MCI DCA      | 12301   | .06                            |   |
| 329            | BOS RNO      | 12173   | .06                            |   |
| 330            | IAH LAX      | 12171   | .06                            |   |
| 331            | MSP MCO      | 12119   | .06                            |   |

ATTACHMENT II  
DOMESTIC CITY PAIR SAMPLES SORTED BY 10 PCT. PASSENGERS  
YEAR 1984, FIRST 1,000 OF 51,330 DOMESTIC MARKETS  
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| RANK<br>NUMBER | CITY<br>PAIR | 10 PCT.<br>SAMPLE<br>OF PASSENGERS<br>IN MARKET | PCT.<br>OF TOTAL<br>PASSENGERS | SAMPLING ERROR RATE<br>WITH A SAMPLE SIZE<br>OF 10 PCT. OF 1 PCT. |
|----------------|--------------|---|--------------------------------|---|
| 330            | MSP SFO      | 12087   | .05                            |   |
| 331            | ELP PHX      | 12084   | .05                            |   |
| 332            | MFL DCA      | 12020   | .05                            |   |
| 333            | FLL SNA      | 12008   | .05                            |   |
| 334            | LAX PIT      | 11972   | .05                            |   |
| 335            | DCA LIR      | 11885   | .05                            |   |
| 336            | MSP SEA      | 11831   | .05                            |   |
| 337            | LAX TPA      | 11820   | .05                            |   |
| 338            | MFL MCO      | 11801   | .05                            |   |
| 339            | MCI LAS      | 11789   | .05                            |   |
| 340            | ORD MIA      | 11715   | .05                            |   |
| 341            | CUMULATIVE   | 13340423  | 50.28                          |   |
| 342            | ORD SJC      | 11653   | .05                            |   |
| 343            | MFL SNA      | 11651   | .05                            |   |
| 344            | IAH TPA      | 11649   | .05                            |   |
| 345            | DFW SEA      | 11628   | .05                            |   |
| 346            | CLE MIA      | 11596   | .05                            |   |
| 347            | ATL SAV      | 11570   | .05                            |   |
| 348            | DEN TPA      | 11540   | .05                            |   |
| 349            | ATL PBI      | 11497   | .05                            |   |
| 350            | IND LAX      | 11445   | .05                            |   |
| 351            | CLE DEN      | 11438   | .05                            |   |
| 352            | PHX SJC      | 11436   | .05                            |   |
| 353            | EMR SAV      | 11364   | .05                            |   |
| 354            | JFK POK      | 11343   | .05                            |   |
| 355            | MFL DCA      | 11266   | .05                            |   |
| 356            | DEN POK      | 11265   | .05                            |   |
| 357            | MFL LAS      | 11227   | .05                            |   |
| 358            | IAH MSP      | 11156   | .05                            |   |
| 359            | IAH MFL      | 11079   | .05                            |   |
| 360            | MSP PHX      | 11073   | .05                            |   |
| 361            | CUMULATIVE   | 13269041  | 50.30                          |   |
| 362            | DEN MIA      | 11037   | .05                            |   |
| 363            | ORD SFO      | 11028   | .05                            |   |
| 364            | ORD DCA      | 10975   | .05                            |   |
| 365            | MCI MSP      | 10975   | .05                            |   |
| 366            | MSP DCA      | 10969   | .05                            |   |
| 367            | ATL MCO      | 10956   | .05                            |   |
| 368            | MCO PIT      | 10939   | .05                            |   |
| 369            | SJC SEA      | 10887   | .05                            |   |
| 370            | PHX IAH      | 10883   | .05                            |   |
| 371            | BOS PHX      | 10813   | .05                            |   |
| 372            | ORD TPA      | 10805   | .05                            |   |
| 373            | ABQ SFO      | 10769   | .05                            |   |
| 374            | PHX PBI      | 10738   | .05                            |   |
| 375            | BOS RNO      | 10728   | .05                            |   |
| 376            | RCS DCA      | 10659   | .05                            |   |



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ATTACHMENT II

| RANK<br>NUMBER | CITY<br>PAIR | 10 PCT.<br>SAMPLE<br>OF PASSENGERS<br>IN MARKET | PCT.<br>OF TOTAL<br>PASSENGERS | SAMPLING ERROR RATE<br>WITH A SAMPLE SIZE<br>OF 10 PCT. OF 1 PCT. |  |
|----------------|--------------|---|--------------------------------|---|--|
|                |              |   |                                | REFER TO NOTE #1  |  |
| 377            | BWI PBI      | 10626   | .05                            |   |  |
| 378            | BWI DEN      | 10608   | .05                            |   |  |
| 379            | BOS SEA      | 10544   | .05                            |   |  |
| 380            | ORD SAT      | 10480   | .05                            |   |  |
|                | CUMULATIVE   | 13485166  | 67.26                          |   |  |
| 381            | ORD POR      | 10472   | .05                            |   |  |
| 382            | LAX SAN      | 10377   | .05                            |   |  |
| 383            | JFK PHL      | 10375   | .05                            |   |  |
| 384            | LAX TPA      | 10331   | .05                            |   |  |
| 385            | DEN DCA      | 10263   | .05                            |   |  |
| 386            | DFW SAN      | 10239   | .05                            |   |  |
| 387            | CLT ORD      | 10237   | .05                            |   |  |
| 388            | BOS ORD      | 10231   | .05                            |   |  |
| 389            | BOS SAN      | 10195   | .05                            |   |  |
| 390            | ORD BNA      | 10150   | .05                            |   |  |
| 391            | BOS STL      | 10115   | .05                            |   |  |
| 392            | MSP SAN      | 10089   | .05                            |   |  |
| 393            | PIT TPA      | 10020   | .04                            |   |  |
| 394            | DTW IND      | 10019   | .04                            |   |  |
| 395            | PDX SJC      | 10011   | .04                            |   |  |
| 396            | PHL STL      | 9980  | .04                            |   |  |
| 397            | AUS MIA      | 9921  | .04                            |   |  |
| 398            | FLL LAX      | 9886  | .04                            |   |  |
| 399            | PDX RDU      | 9886  | .04                            |   |  |
| 400            | ATL RIC      | 9878  | .04                            |   |  |
|                | CUMULATIVE   | 13087831  | 61.17                          |   |  |
| 401            | FLL MCO      | 9872  | .04                            |   |  |
| 402            | CLE TPA      | 9862  | .04                            |   |  |
| 403            | DTW PIT      | 9860  | .04                            |   |  |
| 404            | BOS SYR      | 9857  | .04                            |   |  |
| 405            | AMA IAH      | 9849  | .04                            |   |  |
| 406            | IND TPA      | 9825  | .04                            |   |  |
| 407            | PHX SEA      | 9782  | .04                            |   |  |
| 408            | BWI TPA      | 9778  | .04                            |   |  |
| 409            | STR DFW      | 9749  | .04                            |   |  |
| 410            | LAS DCA      | 9729  | .04                            |   |  |
| 411            | ABQ JTK      | 9727  | .04                            |   |  |
| 412            | DEN ICT      | 9716  | .04                            |   |  |
| 413            | DAY IAD      | 9692  | .04                            |   |  |
| 414            | ATL GAE      | 9666  | .04                            |   |  |
| 415            | ATL BNA      | 9677  | .04                            |   |  |
| 416            | BOS RDU      | 9676  | .04                            |   |  |
| 417            | BOS LAS      | 9640  | .04                            |   |  |
| 418            | MSP TPA      | 9640  | .04                            |   |  |
| 419            | CNH IAD      | 9593  | .04                            |   |  |
| 420            | AUS LAX      | 9588  | .04                            |   |  |
|                | CUMULATIVE   | 13882609  | 62.04                          |   |  |
| 421            | DEN MCO      | 9583  | .04                            |   |  |
| 422            | CLE FLL      | 9560  | .04                            |   |  |
| 423            | DFW SLC      | 9459  | .04                            |   |  |

DOMESTIC CITY PAIR SAMPLES SORTED BY 10 PCT. PASSENGERS  
YEAR 1984, FIRST 1,000 OF 51,330 DOMESTIC MARKETS  
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ATTACHMENT II

| RANK<br>NUMBER | CITY<br>PAIR | 10 PCT.<br>SAMPLE<br>OF PASSENGERS<br>IN MARKET | PCT.<br>OF TOTAL<br>PASSENGERS | SAMPLING ERROR RATE<br>WITH A SAMPLE SIZE<br>OF 10 PCT. OF 1 PCT. |  |
|----------------|--------------|---|--------------------------------|---|--|
|                |              |   |                                | REFER TO NOTE #1  |  |
| 424            | ATL MCI      | 9493  | .04                            |   |  |
| 425            | STL SFO      | 9462  | .04                            |   |  |
| 426            | DFW BNA      | 9456  | .04                            |   |  |
| 427            | ATL CVG      | 9449  | .04                            |   |  |
| 428            | MIA STL      | 9424  | .04                            |   |  |
| 429            | PVD DCA      | 9419  | .04                            |   |  |
| 430            | DFW PIT      | 9406  | .04                            |   |  |
| 431            | CLE SFO      | 9404  | .04                            |   |  |
| 432            | ATL CHS      | 9387  | .04                            |   |  |
| 433            | ORD SJC      | 9380  | .04                            |   |  |
| 434            | AUS LBB      | 9351  | .04                            |   |  |
| 435            | OMA PHX      | 9338  | .04                            |   |  |
| 436            | ATL BDL      | 9318  | .04                            |   |  |
| 437            | BOS CVG      | 9298  | .04                            |   |  |
| 438            | JFK OKC      | 9296  | .04                            |   |  |
| 439            | LAX MRY      | 9249  | .04                            |   |  |
| 440            | MSP SAT      | 9238  | .04                            |   |  |
|                | CUMULATIVE   | 14070515  | 62.88                          |   |  |
| 441            | DEN SAT      | 9230  | .04                            |   |  |
| 442            | DTW PBI      | 9159  | .04                            |   |  |
| 443            | JFK TUS      | 9158  | .04                            |   |  |
| 444            | PHL MSP      | 9153  | .04                            |   |  |
| 445            | DFW PHX      | 9133  | .04                            |   |  |
| 446            | DTW SAN      | 9134  | .04                            |   |  |
| 447            | DEN SJC      | 9136  | .04                            |   |  |
| 448            | IAD PBI      | 9133  | .04                            |   |  |
| 449            | CVG DTW      | 9126  | .04                            |   |  |
| 450            | ATL CAH      | 9119  | .04                            |   |  |
| 451            | DFW PDX      | 9094  | .04                            |   |  |
| 452            | JFK TUL      | 9093  | .04                            |   |  |
| 453            | ABQ IAH      | 9085  | .04                            |   |  |
| 454            | LAX OKC      | 9072  | .04                            |   |  |
| 455            | ATL ORF      | 9062  | .04                            |   |  |
| 456            | ATL SDF      | 9054  | .04                            |   |  |
| 457            | ORD RDU      | 9011  | .04                            |   |  |
| 458            | MIA MSP      | 8968  | .04                            |   |  |
| 459            | BWI MIA      | 8945  | .04                            |   |  |
| 460            | MCI TUL      | 8942  | .04                            |   |  |
|                | CUMULATIVE   | 14252583  | 63.69                          |   |  |
| 461            | DTW MCI      | 8916  | .04                            |   |  |
| 462            | SFO SEA      | 8894  | .04                            |   |  |
| 463            | UGG SFO      | 8881  | .04                            |   |  |
| 464            | ELP SAT      | 8828  | .04                            |   |  |
| 465            | ATL SEA      | 8823  | .04                            |   |  |
| 466            | SAT IAD      | 8822  | .04                            |   |  |
| 467            | BOS MRY      | 8801  | .04                            |   |  |
| 468            | MIA PIT      | 8796  | .04                            |   |  |
| 469            | OAK SEA      | 8780  | .04                            |   |  |
| 470            | BWI DTW      | 8763  | .04                            |   |  |



ATTACHMENT II  
DOMESTIC CITY PAIR SAMPLES SORTED BY 10 PCT. PASSENGERS  
YEAR 1984, FIRST 1000 OF 51,330 DOMESTIC  
MARKETS  
THAT INCLUDES 22,377,211 PASSENGERS

| RANK<br>NUMBER | CITY<br>PAIR | 10 PCT.<br>SAMPLE<br>OF PASSENGERS<br>IN MARKET | PCT.<br>OF TOTAL<br>PASSENGERS | SAMPLING ERROR RATE<br>WITH A SAMPLE SIZE<br>OF 10 PCT. OF 1 PCT. |
|----------------|--------------|---|--------------------------------|---|
| 516            | ATL IND      | 7842  | .04                            |   |
| 517            | PHL SAN      | 7835  | .04                            |   |
| 520            | PHL PDX      | 7823  | .03                            |   |
|                | CUMULATIVE   | 1475465   | 65.94                          |   |
| 521            | IAH SEA      | 7815  | .03                            |   |
| 522            | LAS SAT      | 7814  | .03                            |   |
| 523            | PIT SFO      | 7806  | .03                            |   |
| 524            | LGA OMA      | 7798  | .03                            |   |
| 525            | DEN ELP      | 7755  | .03                            |   |
| 526            | DEN MNL      | 7759  | .03                            |   |
| 527            | FLL ISP      | 7757  | .03                            |   |
| 528            | LAS OAK      | 7757  | .03                            |   |
| 529            | LTO OGG      | 7727  | .03                            |   |
| 530            | PDX SAN      | 7714  | .03                            |   |
| 531            | BHM NYC      | 7674  | .03                            |   |
| 532            | CLE MSP      | 7647  | .03                            |   |
| 533            | CLE PHX      | 7629  | .03                            |   |
| 534            | CGG KOA      | 7625  | .03                            |   |
| 535            | COS PHX      | 7619  | .03                            |   |
| 536            | MSY PHL      | 7556  | .03                            |   |
| 537            | SOL PBI      | 7587  | .03                            |   |
| 538            | SFO TUS      | 7584  | .03                            |   |
| 539            | CLE STL      | 7565  | .03                            |   |
| 540            | CVG LAX      | 7538  | .03                            |   |
|                | CUMULATIVE   | 14508491  | 66.62                          |   |
| 541            | DFW SJC      | 7527  | .03                            |   |
| 542            | IAH PIT      | 7520  | .03                            |   |
| 543            | MEM BNA      | 7513  | .03                            |   |
| 544            | IAH SLC      | 7456  | .03                            |   |
| 545            | MIL IAD      | 7488  | .03                            |   |
| 546            | MSY STL      | 7472  | .03                            |   |
| 547            | BUF DCA      | 7462  | .03                            |   |
| 548            | MIL MEX      | 7456  | .03                            |   |
| 549            | ORD SJC      | 7450  | .03                            |   |
| 550            | DFW ICT      | 7425  | .03                            |   |
| 551            | RDC OCA      | 7408  | .03                            |   |
| 552            | DJI SFO      | 7406  | .03                            |   |
| 553            | IAH LIT      | 7351  | .03                            |   |
| 554            | MCO SFO      | 7384  | .03                            |   |
| 555            | AOA LAX      | 7382  | .03                            |   |
| 556            | MEM DCA      | 7374  | .03                            |   |
| 557            | SMF SEA      | 7355  | .03                            |   |
| 558            | LAS SEA      | 7344  | .03                            |   |
| 559            | RNO SJC      | 7344  | .03                            |   |
| 560            | BOL PHL      | 7337  | .03                            |   |
|                | CUMULATIVE   | 15057024  | 67.29                          |   |
| 561            | ATL JAN      | 7283  | .03                            |   |
| 562            | FAR MSP      | 7277  | .03                            |   |
| 563            | DFW FLL      | 7268  | .03                            |   |
| 564            | MIA TLH      | 7229  | .03                            |   |

ATTACHMENT II  
DOMESTIC CITY PAIR SAMPLES SORTED BY 10 PCT. PASSENGERS  
YEAR 1984, FIRST 1000 OF 51,330 DOMESTIC  
MARKETS  
THAT INCLUDES 22,377,211 PASSENGERS

| RANK<br>NUMBER | CITY<br>PAIR | 10 PCT.<br>SAMPLE<br>OF PASSENGERS<br>IN MARKET | PCT.<br>OF TOTAL<br>PASSENGERS | SAMPLING ERROR RATE<br>WITH A SAMPLE SIZE<br>OF 10 PCT. OF 1 PCT. |
|----------------|--------------|---|--------------------------------|---|
| 471            | DFW MNL      | 6760  | .04                            |   |
| 472            | MCI SFO      | 6737  | .04                            |   |
| 473            | LGB STL      | 6716  | .04                            |   |
| 474            | STL IPA      | 6703  | .04                            |   |
| 475            | SLC DCA      | 6684  | .04                            |   |
| 476            | GRD TUL      | 6678  | .04                            |   |
| 477            | BLL LAX      | 6630  | .04                            |   |
| 478            | ORD CNT      | 6563  | .04                            |   |
| 479            | DEN CNT      | 6550  | .04                            |   |
| 480            | CLE MCO      | 6549  | .04                            |   |
|                | CUMULATIVE   | 14427459  | 64.47                          |   |
| 481            | SFO SEA      | 6504  | .04                            |   |
| 482            | JAX MIA      | 6483  | .04                            |   |
| 483            | CLT DCA      | 6463  | .04                            |   |
| 484            | BNA DCA      | 6449  | .04                            |   |
| 485            | IND DCA      | 6445  | .04                            |   |
| 486            | MCO STL      | 6440  | .04                            |   |
| 487            | LAS MSP      | 6406  | .04                            |   |
| 488            | BUF PHL      | 6356  | .04                            |   |
| 489            | ORD DCA      | 6395  | .04                            |   |
| 490            | ORD TUS      | 6351  | .04                            |   |
| 491            | OSM PHX      | 6343  | .04                            |   |
| 492            | DNT SEA      | 6331  | .04                            |   |
| 493            | MNL PDX      | 6330  | .04                            |   |
| 494            | ORD DEF      | 6329  | .04                            |   |
| 495            | SNA SEA      | 6329  | .04                            |   |
| 496            | FLL IAH      | 6289  | .04                            |   |
| 497            | FLL IAD      | 6282  | .04                            |   |
| 498            | FLL PIT      | 6241  | .04                            |   |
| 499            | ORD RDC      | 6233  | .04                            |   |
| 500            | CVG MSL      | 6202  | .04                            |   |
|                | CUMULATIVE   | 14597754  | 65.22                          |   |
| 501            | ORD DAY      | 6177  | .04                            | 2.85  |
| 502            | DFW IND      | 6155  | .04                            | 8.65  |
| 503            | STL SAN      | 6134  | .04                            |   |
| 504            | BUR DEN      | 6104  | .04                            |   |
| 505            | CMS JFK      | 6103  | .04                            |   |
| 506            | IND MCO      | 6073  | .04                            |   |
| 507            | BWI BDL      | 6063  | .04                            |   |
| 508            | LAX TUL      | 6052  | .04                            |   |
| 509            | AUS ELP      | 6018  | .04                            |   |
| 510            | CLT PHL      | 6011  | .04                            |   |
| 511            | GRS JFK      | 5984  | .04                            |   |
| 512            | DEN TUS      | 5983  | .04                            |   |
| 513            | CGG SEA      | 5961  | .04                            |   |
| 514            | BOS MNL      | 5949  | .04                            |   |
| 515            | AUS JFK      | 5916  | .04                            |   |
| 516            | PHL PHX      | 5857  | .04                            |   |
| 517            | MSY MCO      | 5851  | .04                            |   |



ATTACHMENT II  
DOMESTIC CITY PAIR SAMPLES SORTED BY 10 PCT. PASSENGERS  
YEAR 1984, FIRST 1,000 OF 51,330 DOMESTIC MARKETS  
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| RANK<br>NUMBER | CITY<br>PAIR | 10 PCT.<br>SAMPLE<br>OF PASSENGERS<br>IN MARKET | PCT.<br>OF TOTAL<br>PASSENGERS | SAMPLING ERROR RATE<br>WITH A SAMPLE SIZE<br>OF 10 PCT. OF 1 PCT. | 10 PCT.<br>SAMPLE<br>OF PASSENGERS<br>IN MARKET | PCT.<br>OF TOTAL<br>PASSENGERS | SAMPLING ERROR RATE<br>WITH A SAMPLE SIZE<br>OF 10 PCT. OF 1 PCT. |
|----------------|--------------|---|--------------------------------|---|---|--------------------------------|---|
|                |              |   |                                |   |   |                                |   |
| 612            | MKE STL      | 6509  | .03                            |   |   | .03                            |   |
| 613            | JAK DCA      | 6490  | .03                            |   |   | .03                            |   |
| 614            | CMH DFW      | 6467  | .03                            |   |   | .03                            |   |
| 615            | EWI IAH      | 6466  | .03                            |   |   | .03                            |   |
| 616            | ELP LAS      | 6417  | .03                            |   |   | .03                            |   |
| 617            | ABQ IAD      | 6401  | .03                            |   |   | .03                            |   |
| 618            | BOL SFO      | 6375  | .03                            |   |   | .03                            |   |
| 619            | LAS PIT      | 6374  | .03                            |   |   | .03                            |   |
| 620            | DEN IND      | 6370  | .03                            |   |   | .03                            |   |
|                | CUMULATIVE   | 15467424  | 67.12                          |   |   |                                |   |
| 621            | AUS DEN      | 6352  | .03                            |   |   | .03                            |   |
| 622            | LAX DCA      | 6347  | .03                            |   |   | .03                            |   |
| 623            | BOS CLT      | 6335  | .03                            |   |   | .03                            |   |
| 624            | DFW DAI      | 6312  | .03                            |   |   | .03                            |   |
| 625            | ORD RND      | 6310  | .03                            |   |   | .03                            |   |
| 626            | BWI MSY      | 6309  | .03                            |   |   | .03                            |   |
| 627            | MCI PHL      | 6260  | .03                            |   |   | .03                            |   |
| 628            | DTW SEA      | 6227  | .03                            |   |   | .03                            |   |
| 629            | DEN PIT      | 6225  | .03                            |   |   | .03                            |   |
| 630            | AUS PHX      | 6213  | .03                            |   |   | .03                            |   |
| 631            | POR DCA      | 6189  | .03                            |   |   | .03                            |   |
| 632            | ATL SBT      | 6188  | .03                            |   |   | .03                            |   |
| 633            | SAT SFO      | 6188  | .03                            |   |   | .03                            |   |
| 634            | LAX MCO      | 6182  | .03                            |   |   | .03                            |   |
| 635            | ANC MFL      | 6142  | .03                            |   |   | .03                            |   |
| 636            | ATL LAS      | 6115  | .03                            |   |   | .03                            |   |
| 637            | DUL PIT      | 6109  | .03                            |   |   | .03                            |   |
| 638            | ALB ORD      | 6087  | .03                            |   |   | .03                            |   |
| 639            | MCI SEA      | 6070  | .03                            |   |   | .03                            |   |
| 640            | ORD JAX      | 6061  | .03                            |   |   | .03                            |   |
|                | CUMULATIVE   | 15591642  | 69.68                          |   |   |                                |   |
| 641            | DEN MKE      | 6060  | .03                            |   |   | .03                            |   |
| 642            | ATL SAN      | 6046  | .03                            |   |   | .03                            |   |
| 643            | MKE PHX      | 6046  | .03                            |   |   | .03                            |   |
| 644            | ATL FMY      | 6031  | .03                            |   |   | .03                            |   |
| 645            | IND PHL      | 6025  | .03                            |   |   | .03                            |   |
| 646            | MIA DFF      | 6025  | .03                            |   |   | .03                            |   |
| 647            | DEN CMF      | 6007  | .03                            |   |   | .03                            |   |
| 648            | PIT STL      | 6004  | .03                            |   |   | .03                            |   |
| 649            | CWA STL      | 6000  | .03                            |   |   | .03                            |   |
| 650            | MSP PDX      | 5996  | .03                            |   |   | .03                            |   |
| 651            | JFK SJC      | 5982  | .03                            |   |   | .03                            |   |
| 652            | EUS SFO      | 5966  | .03                            |   |   | .03                            |   |
| 653            | PHX SAE      | 5963  | .03                            |   |   | .03                            |   |
| 654            | TYS LGA      | 5951  | .03                            |   |   | .03                            |   |
| 655            | MKE DCA      | 5950  | .03                            |   |   | .03                            |   |
| 656            | AUS MSY      | 5949  | .03                            |   |   | .03                            |   |
| 657            | ATL DAB      | 5930  | .03                            |   |   | .03                            |   |
| 658            | BAL DEN      | 5925  | .03                            |   |   | .03                            |   |

ATTACHMENT II  
DOMESTIC CITY PAIR SAMPLES SORTED BY 10 PCT. PASSENGERS  
YEAR 1984, FIRST 1,000 OF 51,330 DOMESTIC MARKETS  
THAT INCLUDES 22,377,211 PASSENGERS

| RANK<br>NUMBER | CITY<br>PAIR | 10 PCT.<br>SAMPLE<br>OF PASSENGERS<br>IN MARKET | PCT.<br>OF TOTAL<br>PASSENGERS | SAMPLING ERROR RATE<br>WITH A SAMPLE SIZE<br>OF 10 PCT. OF 1 PCT. | 10 PCT.<br>SAMPLE<br>OF PASSENGERS<br>IN MARKET | PCT.<br>OF TOTAL<br>PASSENGERS | SAMPLING ERROR RATE<br>WITH A SAMPLE SIZE<br>OF 10 PCT. OF 1 PCT. |
|----------------|--------------|---|--------------------------------|---|---|--------------------------------|---|
|                |              |   |                                |   |   |                                |   |
| 565            | ABQ SAN      | 7213  | .03                            |   |   | .03                            |   |
| 566            | SLC SEA      | 7213  | .03                            |   |   | .03                            |   |
| 567            | DAY LAX      | 7158  | .03                            |   |   | .03                            |   |
| 568            | GRF IAD      | 7149  | .03                            |   |   | .03                            |   |
| 569            | CVG DFW      | 7143  | .03                            |   |   | .03                            |   |
| 570            | DAB JFK      | 7137  | .03                            |   |   | .03                            |   |
| 571            | MEL SAT      | 7086  | .03                            |   |   | .03                            |   |
| 572            | BOS MCI      | 7074  | .03                            |   |   | .03                            |   |
| 573            | ATL MCO      | 7073  | .03                            |   |   | .03                            |   |
| 574            | FLL SFO      | 7066  | .03                            |   |   | .03                            |   |
| 575            | DFW DUT      | 7062  | .03                            |   |   | .03                            |   |
| 576            | GRF PHL      | 7056  | .03                            |   |   | .03                            |   |
| 577            | ATL TYS      | 7028  | .03                            |   |   | .03                            |   |
| 578            | LAS MSY      | 7028  | .03                            |   |   | .03                            |   |
| 579            | DEW RND      | 7022  | .03                            |   |   | .03                            |   |
| 580            | CLE LAS      | 6955  | .03                            |   |   | .03                            |   |
|                | CUMULATIVE   | 15195642  | 67.92                          |   |   |                                |   |
| 581            | BUR ANJ      | 6951  | .03                            |   |   | .03                            |   |
| 582            | AUS ORD      | 6972  | .03                            |   |   | .03                            |   |
| 583            | LIM LAX      | 6962  | .03                            |   |   | .03                            |   |
| 584            | IAH MEX      | 6952  | .03                            |   |   | .03                            |   |
| 585            | PHX SFL      | 6927  | .03                            |   |   | .03                            |   |
| 586            | ORD SVE      | 6907  | .03                            |   |   | .03                            |   |
| 587            | ORD GSO      | 6903  | .03                            |   |   | .03                            |   |
| 588            | MSY TPA      | 6900  | .03                            |   |   | .03                            |   |
| 589            | ATL PHA      | 6857  | .03                            |   |   | .03                            |   |
| 590            | CLT MIA      | 6873  | .03                            |   |   | .03                            |   |
| 591            | SFO TPA      | 6856  | .03                            |   |   | .03                            |   |
| 592            | SYR DCA      | 6837  | .03                            |   |   | .03                            |   |
| 593            | BWI PIT      | 6816  | .03                            |   |   | .03                            |   |
| 594            | CAE JFK      | 6804  | .03                            |   |   | .03                            |   |
| 595            | MNL PHL      | 6785  | .03                            |   |   | .03                            |   |
| 596            | GRQ GRX      | 6772  | .03                            |   |   | .03                            |   |
| 597            | PHX SEA      | 6742  | .03                            |   |   | .03                            |   |
| 598            | DEN CLT      | 6720  | .03                            |   |   | .03                            |   |
| 599            | BOS CMH      | 6657  | .03                            |   |   | .03                            |   |
| 600            | CUMULATIVE   | 15336658  | 69.54                          |   |   |                                |   |
| 601            | CVG PM       | 6696  | .03                            |   |   | .03                            |   |
| 602            | ALB BUF      | 6679  | .03                            |   |   | .03                            |   |
| 603            | SDF DCA      | 6656  | .03                            |   |   | .03                            |   |
| 604            | GRF SFO      | 6653  | .03                            |   |   | .03                            |   |
| 605            | BUR SEA      | 6648  | .03                            |   |   | .03                            |   |
| 606            | SNA SLC      | 6645  | .03                            |   |   | .03                            |   |
| 607            | DFW JAX      | 6617  | .03                            |   |   | .03                            |   |
| 608            | DFW SHV      | 6613  | .03                            |   |   | .03                            |   |
| 609            | CLT MFL      | 6605  | .03                            |   |   | .03                            |   |
| 610            | ALB DCA      | 6560  | .03                            |   |   | .03                            |   |
| 611            | PIT PSI      | 6535  | .03                            |   |   | .03                            |   |



ATTACHMENT II  
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YEAR 1984, FIRST 1,000 OF 51,330 DOMESTIC MARKETS  
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| RANK<br>NUMBER | CITY<br>PAIR | 10 PCT.<br>SAMPLE<br>OF PASSENGERS<br>IN MARKET | PCT.<br>OF TOTAL<br>PASSENGERS | SAMPLING ERROR RATE<br>WITH A SAMPLE SIZE<br>OF 10 PCT. OF 1 PCT. |           |
|----------------|--------------|---|--------------------------------|---|-----------|
|                |              |   |                                | REFER TO NCIE /1  | OF 1 PCT. |
| 659            | MOT PIT      | 5920  | .03                            |   |           |
| 660            | CUMULATIVE   | 5914  | .03                            |   |           |
| 661            | CUMULATIVE   | 15711315  | 70.21                          |   |           |
| 662            | BMI MCI      | 5906  | .03                            |   |           |
| 663            | CLT DFW      | 5903  | .03                            |   |           |
| 664            | BAL DCA      | 5873  | .03                            |   |           |
| 665            | PHX SAT      | 5839  | .03                            |   |           |
| 666            | MCI MCO      | 5810  | .03                            |   |           |
| 667            | AEQ ORD      | 5805  | .03                            |   |           |
| 668            | ONT PDX      | 5800  | .03                            |   |           |
| 669            | MCI SAN      | 5736  | .03                            |   |           |
| 670            | BOL MIA      | 5754  | .03                            |   |           |
| 671            | ORD PVD      | 5765  | .03                            |   |           |
| 672            | SLC SAN      | 5784  | .03                            |   |           |
| 673            | BMI CLE      | 5783  | .03                            |   |           |
| 674            | LAS IAD      | 5763  | .03                            |   |           |
| 675            | MEM MSY      | 5744  | .03                            |   |           |
| 676            | ATL MKE      | 5743  | .03                            |   |           |
| 677            | MIA JFA      | 5717  | .03                            |   |           |
| 678            | LAS TUS      | 5711  | .03                            |   |           |
| 679            | CVS MIA      | 5707  | .03                            |   |           |
| 680            | PHL RDJ      | 5659  | .03                            |   |           |
| 681            | CUMULATIVE   | 15827005  | 73.73                          |   |           |
| 682            | BMI SFO      | 5654  | .03                            |   |           |
| 683            | AUS MFL      | 5673  | .03                            |   |           |
| 684            | SNA PDX      | 5641  | .03                            |   |           |
| 685            | MCI MIA      | 5649  | .03                            |   |           |
| 686            | DFW SNA      | 5628  | .03                            |   |           |
| 687            | MIA SEA      | 5628  | .03                            |   |           |
| 688            | ANC SFO      | 5623  | .03                            |   |           |
| 689            | ATL DAY      | 5610  | .03                            |   |           |
| 690            | DFW BNA      | 5602  | .03                            |   |           |
| 691            | CMF PHL      | 5577  | .02                            |   |           |
| 692            | MKE MCO      | 5575  | .02                            |   |           |
| 693            | OKC SAT      | 5572  | .02                            |   |           |
| 694            | ELP SFO      | 5565  | .02                            |   |           |
| 695            | LAS PHL      | 5558  | .02                            |   |           |
| 696            | DFW SDC      | 5550  | .02                            |   |           |
| 697            | CVG TPA      | 5549  | .02                            |   |           |
| 698            | DFW PDX      | 5510  | .02                            |   |           |
| 699            | ELP MAF      | 5482  | .02                            |   |           |
| 700            | AUS IAD      | 5478  | .02                            |   |           |
| 701            | CUMULATIVE   | 15598866  | 71.23                          |   |           |
| 702            | SAN TUS      | 5473  | .02                            |   |           |
| 703            | IND MSP      | 5470  | .02                            |   |           |
| 704            | IAH BNA      | 5452  | .02                            |   |           |
| 705            | PDX SLC      | 5438  | .02                            |   |           |
|                | GSC DCA      | 5405  | .02                            |   |           |

ATTACHMENT II  
DOMESTIC CITY PAIR SAMPLES SORTED BY 10 PCT. PASSENGERS  
YEAR 1984, FIRST 1,000 OF 51,330 DOMESTIC MARKETS  
THAT INCLUDES 22,377,211 PASSENGERS

| RANK<br>NUMBER | CITY<br>PAIR | 10 PCT.<br>SAMPLE<br>OF PASSENGERS<br>IN MARKET | PCT.<br>OF TOTAL<br>PASSENGERS | SAMPLING ERROR RATE<br>WITH A SAMPLE SIZE<br>OF 10 PCT. OF 1 PCT. |           |
|----------------|--------------|---|--------------------------------|---|-----------|
|                |              |   |                                | REFER TO NCIE /1  | OF 1 PCT. |
| 706            | MKE TPA      | 5367  | .02                            |   |           |
| 707            | BNA MCO      | 5329  | .02                            |   |           |
| 708            | FAY JFK      | 5323  | .02                            |   |           |
| 709            | ATL PHS      | 5308  | .02                            |   |           |
| 710            | MEM STL      | 5306  | .02                            |   |           |
| 711            | BMI FLL      | 5303  | .02                            |   |           |
| 712            | IND MIA      | 5301  | .02                            |   |           |
| 713            | DFW RND      | 5300  | .02                            |   |           |
| 714            | DTW MSY      | 5279  | .02                            |   |           |
| 715            | DEN FLL      | 5261  | .02                            |   |           |
| 716            | IND STL      | 5257  | .02                            |   |           |
| 717            | ACY JFA      | 5251  | .02                            |   |           |
| 718            | ATL TUL      | 5246  | .02                            |   |           |
| 719            | SLC SAC      | 5221  | .02                            |   |           |
| 720            | PHX PIT      | 5219  | .02                            |   |           |
|                | CUMULATIVE   | 16049375  | 71.70                          |   |           |
| 721            | IAH LFT      | 5210  | .02                            |   |           |
| 722            | DFW DAY      | 5209  | .02                            |   |           |
| 723            | CLE RJA      | 5194  | .02                            |   |           |
| 724            | COS LAA      | 5187  | .02                            |   |           |
| 725            | DFW BDL      | 5187  | .02                            |   |           |
| 726            | MSP MSY      | 5180  | .02                            |   |           |
| 727            | ORD ICT      | 5178  | .02                            |   |           |
| 728            | BOS IND      | 5169  | .02                            |   |           |
| 729            | STL SAT      | 5168  | .02                            |   |           |
| 730            | DTW FAY      | 5154  | .02                            |   |           |
| 731            | LAS TUL      | 5154  | .02                            |   |           |
| 732            | CLE DTW      | 5153  | .02                            |   |           |
| 733            | MIA BNA      | 5153  | .02                            |   |           |
| 734            | BMI MFL      | 5146  | .02                            |   |           |
| 735            | LAX MEN      | 5146  | .02                            |   |           |
| 736            | ISP MCO      | 5145  | .02                            |   |           |
| 737            | MCI DCA      | 5119  | .02                            |   |           |
| 738            | RSW MSP      | 5103  | .02                            |   |           |
| 739            | OKC IAD      | 5080  | .02                            |   |           |
| 740            | BUF DTW      | 5062  | .02                            |   |           |
|                | CUMULATIVE   | 16149872  | 72.16                          |   |           |
| 741            | AMA AUS      | 5051  | .02                            |   |           |
| 742            | RND SAT      | 5041  | .02                            |   |           |
| 743            | DFW MKE      | 5037  | .02                            |   |           |
| 744            | CVG SFO      | 5031  | .02                            |   |           |
| 745            | OKC PHX      | 5016  | .02                            |   |           |
| 746            | LEX JFA      | 4997  | .02                            |   |           |
| 747            | DTW SBO      | 4964  | .02                            |   |           |
| 748            | IAH DNT      | 4952  | .02                            |   |           |
| 749            | BNA PHL      | 4871  | .02                            |   |           |
| 750            | LAS MIA      | 4862  | .02                            |   |           |
| 751            | ORD RIC      | 4861  | .02                            |   |           |
| 752            | CLE BDL      | 4953  | .02                            |   |           |

10.65

3.48



ATTACHMENT II  
DOMESTIC CITY PAIR SAMPLES SORTED BY 10 PCT. PASSENGERS  
YEAR 1984, FIRST 1,000 OF 51,330 DOMESTIC MARKETS  
THAT INCLUDES 22,377,211 PASSENGERS

| RANK<br>NUMBER | CITY<br>PAIR | 10 PCT.<br>SAMPLE<br>OF PASSENGERS<br>IN MARKET | PCT.<br>OF TOTAL<br>PASSENGERS | SAMPLING ERROR RATE<br>WITH A SAMPLE SIZE<br>OF 10 PCT. OR 1 PCT. |           |
|----------------|--------------|---|--------------------------------|---|-----------|
|                |              |   |                                | OF 10 PCT.  | OF 1 PCT. |
| 753            | MCI MSY      | 4953  | .02                            |   |           |
| 754            | BOS BOS      | 4944  | .02                            |   |           |
| 755            | IND PHX      | 4937  | .02                            |   |           |
| 756            | MIL IAH      | 4916  | .02                            |   |           |
| 757            | DCA DCA      | 4915  | .02                            |   |           |
| 758            | STL IAH      | 4913  | .02                            |   |           |
| 759            | ORD TOL      | 4895  | .02                            |   |           |
| 760            | DFW SWF      | 4888  | .02                            |   |           |
|                | CUMULATIVE   | 16247819  | 72.61                          |   |           |
| 761            | BUF BDL      | 4872  | .02                            |   |           |
| 762            | ISP DCA      | 4818  | .02                            |   |           |
| 763            | MSY DCA      | 4816  | .02                            |   |           |
| 764            | LAX GES      | 4800  | .02                            |   |           |
| 765            | ORD SWF      | 4789  | .02                            |   |           |
| 766            | STL SEA      | 4780  | .02                            |   |           |
| 767            | ELP SAN      | 4769  | .02                            |   |           |
| 768            | LGB SEA      | 4750  | .02                            |   |           |
| 769            | DFW DCA      | 4744  | .02                            |   |           |
| 770            | SFO TPA      | 4743  | .02                            |   |           |
| 771            | FLL IND      | 4710  | .02                            |   |           |
| 772            | MIL PHX      | 4707  | .02                            |   |           |
| 773            | SVA RDU      | 4705  | .02                            |   |           |
| 774            | OSM LAS      | 4702  | .02                            |   |           |
| 775            | CVG SLE      | 4680  | .02                            |   |           |
| 776            | MIA RDU      | 4678  | .02                            |   |           |
| 777            | ATL LIT      | 4673  | .02                            |   |           |
| 778            | CVG CLE      | 4673  | .02                            |   |           |
| 779            | JFK MIA      | 4672  | .02                            |   |           |
| 780            | ONT SLC      | 4670  | .02                            |   |           |
|                | CUMULATIVE   | 16343590  | 73.03                          |   |           |
| 781            | ORD FWA      | 4652  | .02                            |   |           |
| 782            | MFR SFO      | 4650  | .02                            |   |           |
| 783            | CMH TPA      | 4649  | .02                            |   |           |
| 784            | DFW RDU      | 4620  | .02                            |   |           |
| 785            | MSY DCA      | 4613  | .02                            |   |           |
| 786            | ELP JFK      | 4607  | .02                            |   |           |
| 787            | MCI TPA      | 4607  | .02                            |   |           |
| 788            | BWI STL      | 4602  | .02                            |   |           |
| 789            | CPH DEY      | 4601  | .02                            |   |           |
| 790            | AUS LAS      | 4558  | .02                            |   |           |
| 791            | OSM LGA      | 4597  | .02                            |   |           |
| 792            | ITH JFK      | 4586  | .02                            |   |           |
| 793            | AUC RDU      | 4574  | .02                            |   |           |
| 794            | ACV SFO      | 4573  | .02                            |   |           |
| 795            | MSP SLC      | 4571  | .02                            |   |           |
| 796            | JFK SWF      | 4565  | .02                            |   |           |
| 797            | IND LAS      | 4567  | .02                            |   |           |
| 798            | CLT PIT      | 4555  | .02                            |   |           |
| 799            | TPA PBI      | 4552  | .02                            |   |           |

ATTACHMENT II  
DOMESTIC CITY PAIR SAMPLES SORTED BY 10 PCT. PASSENGERS  
YEAR 1984, FIRST 1,000 OF 51,330 DOMESTIC MARKETS  
THAT INCLUDES 22,377,211 PASSENGERS

| RANK<br>NUMBER | CITY<br>PAIR | 10 PCT.<br>SAMPLE<br>OF PASSENGERS<br>IN MARKET | PCT.<br>OF TOTAL<br>PASSENGERS | SAMPLING ERROR RATE<br>WITH A SAMPLE SIZE<br>OF 10 PCT. OR 1 PCT. |           |
|----------------|--------------|---|--------------------------------|---|-----------|
|                |              |   |                                | OF 10 PCT.  | OF 1 PCT. |
| 800            | MIL MCI      | 4527  | .02                            |   |           |
|                | CUMULATIVE   | 16434461  | 73.44                          |   |           |
| 801            | PHL ROC      | 4511  | .02                            |   |           |
| 802            | MSY PIT      | 4509  | .02                            |   |           |
| 803            | CLE SAN      | 4504  | .02                            |   |           |
| 804            | BOS DAY      | 4492  | .02                            |   |           |
| 805            | BOS JAX      | 4494  | .02                            |   |           |
| 806            | CVC IAH      | 4476  | .02                            |   |           |
| 807            | BIL GTF      | 4470  | .02                            |   |           |
| 808            | DTM GRR      | 4460  | .02                            |   |           |
| 809            | JNU SEA      | 4460  | .02                            |   |           |
| 810            | ATL DCA      | 4449  | .02                            |   |           |
| 811            | ATL MFL      | 4448  | .02                            |   |           |
| 812            | OND LIT      | 4448  | .02                            |   |           |
| 813            | SJC IAD      | 4435  | .02                            |   |           |
| 814            | BIL DEN      | 4432  | .02                            |   |           |
| 815            | ORD LEX      | 4432  | .02                            |   |           |
| 816            | LAS MKE      | 4430  | .02                            |   |           |
| 817            | LAS SLE      | 4428  | .02                            |   |           |
| 818            | BOS PDX      | 4415  | .02                            |   |           |
| 819            | BUF MCO      | 4412  | .02                            |   |           |
| 820            | BHM OKO      | 4411  | .02                            |   |           |
|                | CUMULATIVE   | 16523564  | 73.84                          |   |           |
| 821            | SDF MCO      | 4411  | .02                            |   |           |
| 822            | CVG DEN      | 4392  | .02                            |   |           |
| 823            | TLH TPA      | 4391  | .02                            |   |           |
| 824            | LAX BNA      | 4389  | .02                            |   |           |
| 825            | IAH IND      | 4389  | .02                            |   |           |
| 826            | ATL SLC      | 4382  | .02                            |   |           |
| 827            | TPS IAD      | 4380  | .02                            |   |           |
| 828            | DTM MEM      | 4362  | .02                            |   |           |
| 829            | PHL RIC      | 4361  | .02                            |   |           |
| 830            | AUS DKL      | 4360  | .02                            |   |           |
| 831            | ORD MDT      | 4358  | .02                            |   |           |
| 832            | CMH DNT      | 4358  | .02                            |   |           |
| 833            | STL TOL      | 4351  | .02                            |   |           |
| 834            | CMH MIA      | 4346  | .02                            |   |           |
| 835            | CLT RDU      | 4338  | .02                            |   |           |
| 836            | DFW MCO      | 4334  | .02                            |   |           |
| 837            | MCI SAT      | 4333  | .02                            |   |           |
| 838            | CMH MCO      | 4320  | .02                            |   |           |
| 839            | CLT TPA      | 4317  | .02                            |   |           |
| 840            | BUF TPA      | 4315  | .02                            |   |           |
|                | CUMULATIVE   | 16610743  | 74.23                          |   |           |
| 841            | SAT TOL      | 4310  | .02                            |   |           |
| 842            | PHL SWF      | 4309  | .02                            |   |           |
| 843            | CLE MCI      | 4304  | .02                            |   |           |
| 844            | BUR PDX      | 4301  | .02                            |   |           |
| 845            | MIL STL      | 4259  | .02                            |   |           |
| 846            | BIS MSP      | 4266  | .02                            |   |           |



ATTACHMENT II

DOMESTIC CITY PAIR SAMPLES SORTED BY 10 PCT. PASSENGERS  
YEAR 1984, FIRST 1,000 OF 51,330 DOMESTIC  
THAT INCLUDES 22,377,211 PASSENGERS

| RAW<br>NUMBER | CITY<br>PAIR | 10 PCT.<br>SAMPLE<br>OF PASSENGERS<br>IN MARKET | PCT.<br>OF TOTAL<br>PASSENGERS | SAMPLING ERROR RATE<br>WITH A SAMPLE SIZE<br>OF 10 PCT. OF 1 PCT. |                                |
|---------------|--------------|---|--------------------------------|---|--------------------------------|
|               |              |   |                                | 10 PCT.<br>SAMPLE<br>IN MARKET                                    | PCT.<br>OF TOTAL<br>PASSENGERS |
| 894           | MEM PHL      | 4010  | .02                            |   |                                |
| 895           | CMH STL      | 4015  | .02                            |   |                                |
| 896           | PHL SAT      | 4014  | .02                            |   |                                |
| 897           | BOL DAL      | 4008  | .02                            |   |                                |
| 898           | ATL MIA      | 4007  | .02                            |   |                                |
| 899           | ATL MIA      | 3996  | .02                            |   |                                |
| 900           | JAX CRP      | 3995  | .02                            |   |                                |
|               | CUMULATIVE   | 1689522   | 75.34                          |   |                                |
| 901           | MSW MSP      | 3993  | .02                            | 3.9%  | 12.5%                          |
| 902           | JFK RND      | 3986  | .02                            |   |                                |
| 903           | CPM JFK      | 3971  | .02                            |   |                                |
| 904           | ORD RST      | 3949  | .02                            |   |                                |
| 905           | LAM LIT      | 3909  | .02                            |   |                                |
| 906           | ISP PHL      | 3907  | .02                            |   |                                |
| 907           | CLE PHL      | 3906  | .02                            |   |                                |
| 908           | MCO RDC      | 3959  | .02                            |   |                                |
| 909           | LAS PDX      | 3957  | .02                            |   |                                |
| 910           | MIA SAN      | 3948  | .02                            |   |                                |
| 911           | DAY PHL      | 3943  | .02                            |   |                                |
| 912           | LAX VAF      | 3939  | .02                            |   |                                |
| 913           | ACO SEA      | 3932  | .02                            |   |                                |
| 914           | MKE PHL      | 3932  | .02                            |   |                                |
| 915           | ONT LAD      | 3928  | .02                            |   |                                |
| 916           | CRW DCA      | 3926  | .02                            |   |                                |
| 917           | DAC STL      | 3926  | .02                            |   |                                |
| 918           | SDF MIA      | 3923  | .02                            |   |                                |
| 919           | DFW OAK      | 3915  | .02                            |   |                                |
| 920           | DUS GSN      | 3914  | .02                            |   |                                |
|               | CUMULATIVE   | 16918454  | 75.70                          |   |                                |
| 921           | LAX LIT      | 3909  | .02                            |   |                                |
| 922           | LAM RND      | 3908  | .02                            |   |                                |
| 923           | LAX GAT      | 3905  | .02                            |   |                                |
| 924           | CLT BNA      | 3902  | .02                            |   |                                |
| 925           | CLT DCA      | 3894  | .02                            |   |                                |
| 926           | MNL PIT      | 3889  | .02                            |   |                                |
| 927           | ONT PVD      | 3883  | .02                            |   |                                |
| 928           | LIT STL      | 3866  | .02                            |   |                                |
| 929           | CRW GAT      | 3862  | .02                            |   |                                |
| 930           | CVG MCO      | 3840  | .02                            |   |                                |
| 931           | ALB PHL      | 3835  | .02                            |   |                                |
| 932           | FIL STL      | 3834  | .02                            |   |                                |
| 933           | BUR OFW      | 3826  | .02                            |   |                                |
| 934           | MIA RDC      | 3824  | .02                            |   |                                |
| 935           | ORD SFO      | 3823  | .02                            |   |                                |
| 936           | LAS SDF      | 3820  | .02                            |   |                                |
| 937           | MSP FSO      | 3818  | .02                            |   |                                |
| 938           | MSP PIT      | 3816  | .02                            |   |                                |
| 939           | ROC SLC      | 3802  | .02                            |   |                                |
| 940           | LAS DCA      | 3802  | .02                            |   |                                |
|               | CUMULATIVE   | 17015330  | 75.04                          |   |                                |

ATTACHMENT II

DOMESTIC CITY PAIR SAMPLES SORTED BY 10 PCT. PASSENGERS  
YEAR 1984, FIRST 1,000 OF 51,330 DOMESTIC  
THAT INCLUDES 22,377,211 PASSENGERS

| RAW<br>NUMBER | CITY<br>PAIR | 10 PCT.<br>SAMPLE<br>OF PASSENGERS<br>IN MARKET | PCT.<br>OF TOTAL<br>PASSENGERS | SAMPLING ERROR RATE<br>WITH A SAMPLE SIZE<br>OF 10 PCT. OF 1 PCT. |                                |
|---------------|--------------|---|--------------------------------|---|--------------------------------|
|               |              |   |                                | 10 PCT.<br>SAMPLE<br>IN MARKET                                    | PCT.<br>OF TOTAL<br>PASSENGERS |
| 897           | IND SFO      | 4269  | .02                            |   |                                |
| 898           | AUS SFO      | 4268  | .02                            |   |                                |
| 899           | MSY TUL      | 4259  | .02                            |   |                                |
| 900           | MEM MIA      | 4258  | .02                            |   |                                |
| 901           | BOL LAX      | 4254  | .02                            |   |                                |
| 902           | BOL SFO      | 4254  | .02                            |   |                                |
| 903           | MKE SFO      | 4253  | .02                            |   |                                |
| 904           | ATL LEX      | 4240  | .02                            |   |                                |
| 905           | BOS MKE      | 4237  | .02                            |   |                                |
| 906           | SNA TPA      | 4237  | .02                            |   |                                |
| 907           | ATL BTR      | 4236  | .02                            |   |                                |
| 908           | MSW STL      | 4236  | .02                            |   |                                |
| 909           | PHX ICT      | 4226  | .02                            |   |                                |
| 910           | MIA PHL      | 4225  | .02                            |   |                                |
|               | CUMULATIVE   | 16894004  | 74.91                          |   |                                |
| 911           | DFW SDF      | 4220  | .02                            |   |                                |
| 912           | MSW JFK      | 4210  | .02                            |   |                                |
| 913           | DFW JAX      | 4194  | .02                            |   |                                |
| 914           | TUL LAD      | 4194  | .02                            |   |                                |
| 915           | BOL LAX      | 4189  | .02                            |   |                                |
| 916           | ORD PSL      | 4184  | .02                            |   |                                |
| 917           | LAS TPA      | 4174  | .02                            |   |                                |
| 918           | BOS RSM      | 4173  | .02                            |   |                                |
| 919           | CLT LAX      | 4165  | .02                            |   |                                |
| 920           | ATL TUL      | 4162  | .02                            |   |                                |
| 921           | MIA MKE      | 4152  | .02                            |   |                                |
| 922           | MEN MCO      | 4149  | .02                            |   |                                |
| 923           | MIA LIT      | 4148  | .02                            |   |                                |
| 924           | OAK RND      | 4103  | .02                            |   |                                |
| 925           | LAM SFO      | 4101  | .02                            |   |                                |
| 926           | DSM STL      | 4094  | .02                            |   |                                |
| 927           | ATL PDX      | 4085  | .02                            |   |                                |
| 928           | DSM MSP      | 4084  | .02                            |   |                                |
| 929           | BOS DCA      | 4082  | .02                            |   |                                |
| 930           | BOL DEN      | 4081  | .02                            |   |                                |
|               | CUMULATIVE   | 16778755  | 74.98                          |   |                                |
| 931           | DFW ISP      | 4081  | .02                            |   |                                |
| 932           | MIA SLC      | 4080  | .02                            |   |                                |
| 933           | ATL AUS      | 4077  | .02                            |   |                                |
| 934           | DFW DSM      | 4073  | .02                            |   |                                |
| 935           | LIT LGA      | 4071  | .02                            |   |                                |
| 936           | CLE MKE      | 4070  | .02                            |   |                                |
| 937           | CVG PIT      | 4066  | .02                            |   |                                |
| 938           | BOS BNA      | 4037  | .02                            |   |                                |
| 939           | DFW DSM      | 4036  | .02                            |   |                                |
| 940           | DFW TUS      | 4029  | .02                            |   |                                |
| 941           | SJC TUS      | 4027  | .02                            |   |                                |
| 942           | PDX GAT      | 4025  | .02                            |   |                                |
| 943           | GRB TPA      | 4022  | .02                            |   |                                |



ATTACHMENT II  
DOMESTIC CITY PAIR SAMPLES SORTED BY 10 PCT. PASSENGERS  
YEAR 1984, FIRST 1000 OF 51,330 DOMESTIC MARKETS  
THAT INCLUDES 22,377,211 PASSENGERS

| RANK<br>NUMBER | CITY<br>PAIR | 10 PCT.<br>SAMPLE<br>OF PASSENGERS<br>IN MARKET | PCT.<br>OF TOTAL<br>PASSENGERS | SAMPLING ERROR RATE<br>WITH A SAMPLE SIZE<br>OF 10 PCT. OF 1 PCT. |
|----------------|--------------|---|--------------------------------|---|
| 988            | DFW ORF      | 3556  | .02                            |   |
| 989            | GSO MIA      | 3555  | .02                            |   |
| 990            | DTW ONT      | 3553  | .02                            |   |
| 991            | TYS MEM      | 3553  | .02                            |   |
| 992            | PVD TPA      | 3552  | .02                            |   |
| 993            | CDL LGA      | 3584  | .02                            |   |
| 994            | MEN TPA      | 3577  | .02                            |   |
| 995            | IAH OMA      | 3571  | .02                            |   |
| 996            | AMA SAT      | 3569  | .02                            |   |
| 997            | GSP IAD      | 3549  | .02                            |   |
| 998            | TVL LAX      | 3542  | .02                            |   |
| 999            | LAS ICT      | 3535  | .02                            |   |
| 1000           | ORF TPA      | 3535  | .02                            |   |
|                | CUMULATIVE   | 1723854   | 77.02                          | 4.25  |
|                | GRAND TOTAL  | 22377211  |                                | 13.38   |

NOTE: 1/ These passenger data are stated as a 10% sample and must be multiplied by 10 to obtain the total estimated population of passengers. In illustration, the total number of passengers in the sample, versus the passenger population is as follows:

| PASSENGERS PER ANNUM, YEAR 1984 | 10%<br>Sample Size | Estimated Total Passg.<br>in Population |
|---------------------------------|--------------------|---|
| Market #1, BOS-JFK              | 422,110            | 4,221,100                               |
| Mkt. #1,000, ORF-TPA            | 3,535              | 35,350                                  |
| 1,000 Mkts., SUM                | 17,238,534         | 172,385,340                             |
| 51,330 Mkts., SUM               | 22,377,211         | 223,772,110                             |

The source of these data are the Directional Origin-Destination (or "OD") data, such as those in Table 6 of the Output Tables for the year 1984.

ATTACHMENT II  
DOMESTIC CITY PAIR SAMPLES SORTED BY 10 PCT. PASSENGERS  
YEAR 1984, FIRST 1000 OF 51,330 DOMESTIC MARKETS  
THAT INCLUDES 22,377,211 PASSENGERS

| RANK<br>NUMBER | CITY<br>PAIR | 10 PCT.<br>SAMPLE<br>OF PASSENGERS<br>IN MARKET | PCT.<br>OF TOTAL<br>PASSENGERS | SAMPLING ERROR RATE<br>WITH A SAMPLE SIZE<br>OF 10 PCT. OF 1 PCT. |
|----------------|--------------|---|--------------------------------|---|
| 941            | PAL PVD      | 3801  | .02                            |   |
| 942            | SAN DCA      | 3801  | .02                            |   |
| 943            | ORD SAV      | 3790  | .02                            |   |
| 944            | CLE MFL      | 3780  | .02                            |   |
| 945            | CVS MSP      | 3789  | .02                            |   |
| 946            | OSL LAX      | 3787  | .02                            |   |
| 947            | ABE ORD      | 3782  | .02                            |   |
| 948            | AMA LAS      | 3774  | .02                            |   |
| 949            | PDX TOL      | 3772  | .02                            |   |
| 950            | OGG PDX      | 3771  | .02                            |   |
| 951            | BOS SAT      | 3768  | .02                            |   |
| 952            | SAT SAT      | 3754  | .02                            |   |
| 953            | MSV PHA      | 3751  | .02                            |   |
| 954            | ANC LAX      | 3748  | .02                            |   |
| 955            | TOS IAH      | 3737  | .02                            |   |
| 956            | CLT MCO      | 3732  | .02                            |   |
| 957            | JAX PHL      | 3725  | .02                            |   |
| 958            | DFW SVA      | 3723  | .02                            |   |
| 959            | CLT RIC      | 3717  | .02                            |   |
| 960            | AZL AUS      | 3708  | .02                            |   |
|                | CUMULATIVE   | 1702540   | 76.37                          |   |
| 961            | IAH SVA      | 3702  | .02                            |   |
| 962            | BUR TOL      | 3698  | .02                            |   |
| 963            | EAL CLT      | 3694  | .02                            |   |
| 964            | LBB SAT      | 3694  | .02                            |   |
| 965            | BNA STL      | 3694  | .02                            |   |
| 966            | DFW MEM      | 3690  | .02                            |   |
| 967            | BHM OCA      | 3689  | .02                            |   |
| 968            | GSO PHL      | 3677  | .02                            |   |
| 969            | ATL BUF      | 3676  | .02                            |   |
| 970            | DAY TPA      | 3669  | .02                            |   |
| 971            | IND PIT      | 3666  | .02                            |   |
| 972            | LSP TPA      | 3659  | .02                            |   |
| 973            | CLT IAH      | 3653  | .02                            |   |
| 974            | BOS SJC      | 3651  | .02                            |   |
| 975            | SAT SAV      | 3650  | .02                            |   |
| 976            | GFK MSP      | 3648  | .02                            |   |
| 977            | MIA SAT      | 3642  | .02                            |   |
| 978            | LAX PUC      | 3640  | .02                            |   |
| 979            | ARQ SAT      | 3635  | .02                            |   |
| 980            | MSV SEA      | 3634  | .02                            |   |
|                | CUMULATIVE   | 1718590   | 76.70                          |   |
| 981            | DFW LGB      | 3632  | .02                            |   |
| 982            | ORD TYS      | 3631  | .02                            |   |
| 983            | PDX SFO      | 3631  | .02                            |   |
| 984            | CAC SFO      | 3618  | .02                            |   |
| 985            | GRF PIT      | 3614  | .02                            |   |
| 986            | KOA SFO      | 3609  | .02                            |   |
| 987            | SFO PHL      | 3608  | .02                            |   |



## FLIGHT SCHEDULE DATA FOR CARRIERS REPORTING THE 0 &amp; D SURVEY

| Carrier Code | Number of the First 1,000 Domestic Markets Served by Each Participating 0 & D Survey Carrier as of December 1984** | Carriers in # of Markets: |        |         |         |
|--------------|--|---------------------------|--------|---------|---------|
|              |  | 0-50                      | 51-150 | 151-250 | 251-350 |
| QZ           | Ozark Air Lines, Inc.  |                           | X      |         |         |
| QT           | Pacific Interstate Airlines*   |                           | X      |         |         |
| PS           | Pacific Southwest Airlines   |                           |        | X       |         |
| PA           | Pan American World Airways, Inc.   |                           |        | X       |         |
| PE           | People Express Airlines, Inc.  |                           | X      |         |         |
| PI           | Piedmont Aviation, Inc.  |                           |        | X       |         |
| PN           | Pilgrim Airlines, Inc.*  |                           | X      |         |         |
| PV           | Reeve Aleutian Airways, Inc.*  |                           | X      |         |         |
| RC           | Republic Airlines, Inc.  |                           |        | X       |         |
| MB           | Semco Airlines, Inc.*  |                           | X      |         |         |
| HK           | South Pacific Island Airways, Inc.*  |                           | X      |         |         |
| WN           | Southwest Airlines   |                           |        | X       |         |
| JK           | Schworld International Airways, Inc.   |                           |        | X       |         |
| FF           | Tower Air, Inc.  |                           | X      |         |         |
| TV           | Transamerica Airlines, Inc.  |                           | X      |         |         |
| TW           | Trans World Airlines, Inc.   |                           |        | X       |         |
| UA           | United Air Lines, Inc.   |                           |        | X       |         |
| AL           | US Air, Inc.   |                           |        | X       |         |
| WA           | Western Air Lines, Inc.  |                           |        | X       |         |
| MC           | Wien Air Alaska, Inc.  |                           |        | X       |         |
| WO           | World Airways, Inc.  |                           |        | X       |         |

\* Carrier is not in these major Domestic markets (shown on Attachment II) at December 1984.

\*\* No individual carrier is in more than 350 of these 1,000 major domestic markets at December 1984.

Note: The Official Airline Guide, or OAG, is the basic source of these data. These flight schedule data are published by Official Airline Guides, Inc., 2000 Clearwater Drive, Oak Brook, Illinois 60521.

BILLING CODE 4910-62-C

## FLIGHT SCHEDULE DATA FOR CARRIERS REPORTING THE 0 &amp; D SURVEY

| Carrier Code | Number of the First 1,000 Domestic Markets Served by Each Participating 0 & D Survey Carrier as of December 1984** | Carriers in # of Markets: |        |         |         |
|--------------|--|---------------------------|--------|---------|---------|
|              |  | 0-50                      | 51-150 | 151-250 | 251-350 |
| DC           | Air Atlanta, Inc.  | X                         |        |         |         |
| OC           | AirCal, Inc.   | X                         |        |         |         |
| ZW           | Air Wisconsin, Inc.  | X                         |        |         |         |
| AS           | Alaska Airlines, Inc.  | X                         |        |         |         |
| EP           | All Star Airlines, Inc.*   | X                         |        |         |         |
| AQ           | Aloha Airlines, Inc.   | X                         |        |         |         |
| AA           | American Airlines, Inc.  |                           |        |         | X       |
| HP           | America West Airlines, Inc.  |                           |        |         |         |
| JW           | Arrow Air, Inc.*   | X                         |        |         |         |
| AP           | Aspen Airways, Inc.*   | X                         |        |         |         |
| IW           | Best Airlines, Inc.*   | X                         |        |         |         |
| BN           | Braniff, Inc.  | X                         |        |         |         |
| RU           | Britt Airways, Inc.*   | X                         |        |         |         |
| CL           | Capitol Air, Inc.  | X                         |        |         |         |
| CZ           | Cascade Airways, Inc.*   | X                         |        |         |         |
| 3C           | Challenge Air Transport, Inc.*   | X                         |        |         |         |
| CO           | Continental Air Lines, Inc.  |                           | X      |         |         |
| DL           | Delta Air Lines, Inc.  |                           |        | X       |         |
| EA           | Eastern Air Lines, Inc.  |                           |        | X       |         |
| UR           | Empire Airlines  |                           |        | X       |         |
| ZO           | Florida Express, Inc.  |                           |        | X       |         |
| FL           | Frontier Airlines  |                           |        | X       |         |
| HA           | Hawaiian Airlines, Inc.  |                           |        | X       |         |
| QX           | Horizon Air*   |                           |        | X       |         |
| SI           | Jet America Airlines, Inc.   |                           |        | X       |         |
| ML           | Midway Airlines, Inc.  |                           |        | X       |         |
| YX           | Midwest Express Airlines, Inc.*  |                           |        | X       |         |
| MC           | Muse Air Corporation   |                           |        | X       |         |
| NY           | New York Air Lines, Inc.   |                           |        | X       |         |
| NW           | Northwest Airlines, Inc.   |                           |        | X       |         |

\* Carrier is not in these major Domestic markets (shown on Attachment II) at December 1984.

\*\* No individual carrier is in more than 350 of these 1,000 major domestic markets at December 1984.

Note: The Official Airline Guide, or OAG, is the basic source of these data. These flight schedule data are published by Official Airline Guides, Inc., 2000 Clearwater Drive, Oak Brook, Illinois 60521.



#### Attachment IV.—O & D Survey— History, Background and Output Tables

The following information has over the years since 1968 been incorporated into and published with the O & D Survey output tables, so that users would be aware of the scientific sampling techniques used to obtain the O & D Survey data.

#### Sample Design and Reliability

##### Introduction

Beginning January 1, 1959, the twice-a-year sample of domestic passenger origin and destination data was replaced by a 10 percent continuous sample spread over the full year. This shift to a scientifically designed probability sample constitutes a major improvement in the method of O & D data collection, in line with modern methods of scientific management and administration. This Survey was implemented through the joint efforts of the air carriers, the Air Transport Association of America, and the Civil Aeronautics Board, which collected these O & D data prior to the Department of Transportation.

Data collection for the domestic O & D Surveys of airline passenger traffic from 1939 through 1958 was of the census type, covering a relatively short, specified time period. This involved analysis and recording of (1) all domestic airline tickets sold and (2) all domestic tickets lifted, where these tickets were issued by an airline not reporting traffic for the CAB domestic traffic Survey. At first, data were collected for a full month, twice a year. Later, as the volume of airline travel increased, the sample was reduced to a two-week period twice a year.

The twice-a-year census-type of O & D data collection likewise represented a sampling of airline passenger traffic for the full year. However, with such samples, the sampling errors involved in expanding passenger totals for two months or two 14-day periods into totals for a quarter, a half year, or a full year could not be determined. On the other hand, with the use of a probability sample, the sampling errors of estimated totals for any desired time period can be mathematically determined.

##### Survey Population

The population from which the sample of airline tickets is selected after January 1, 1968, is the ticket flight coupons "lifted" by air carriers when the passengers board the flight. From 1959 to 1968, participating carriers sampled all zero ending ticket coupons they sold (from the "auditor" coupon) as well as the ticket flight coupons they

"lifted" that were issued by carriers not participating in the Survey.

##### Sample Design

The sample design was put into operation on January 1, 1959. It was basically reaffirmed on January 1, 1968—although from 1968 to date the Survey population has been limited to lifted tickets. It provides for a systematic selection of airline tickets on a continuous basis throughout the year. It is noted that when the Survey was changed to lifted tickets in 1968, it was also combined for all entities (Domestic, International, Territorial) rather than providing a separate Survey for the various entities. More specifically, each ticket having a serial number ending in "0" (zero), and which is included in the population, is selected for the sample. This results in a continuous 10-percent sample of the population of airline tickets. This compares with, roughly, a 17-percent sample when two complete months of data were collected in the previous census-type Survey, and an 8 percent sample when two 14-day periods were included.

##### Survey Reliability

Sample surveys, if properly designed and carried out, provide useful estimates relating to the population. Since these estimates are based on a sample, they can be expected to differ to a certain extent from the results that would have been obtained if a complete census had been taken using the same methods, efficiency, and diligence in data collection, analysis, and compilation. These differences are called sampling errors.

Errors, other than those due to sampling, may occur whether a full census of sample type of Survey is carried out. These involve errors in data collection, analysis, and compilation. Such errors, to the extent that they exist, introduce discrepancies, even if a complete census-type of Survey were carried out.

##### Sampling Errors: Basic

Certain simplifications were employed as a basis for computation of sampling errors. Therefore, the sampling errors computed for number of passengers, by category, are to be considered only approximations.

It was assumed that tickets were selected for the sample by random selection from the population of airline tickets, ignoring the systematic nature of the sample design. The use of this approximation may lead to overstatement in the computed sampling errors.

It is important to note that there are two classes of data in the O & D Survey.

Class B data are the OUTBOUND PLUS INBOUND directional O & D or "DOD's" data that are included in Output Tables, 1, 3, 4, 5, 6, 7, 8, 10, 11, 12 and 15, whereas Class A data are the ticket O & D Data on the ADP Magnetic tape files, i.e. one ticket origin-destination record may include multiple "DOD's", as in the example shown on page 3.

The following schematic demonstrates the type of O & D data generated from flight coupon data.

Ticket originated at DCA (Roundtrip)

| DEN | CO | ORD | NW | PIT | NW | DCA |
|-----|----|-----|----|-----|----|-----|
| 0   | 0  | 0   | 0  | 0   | 0  | 0   |
| DEN | CO | ORD | NW | PIT | NW | DCA |
| 0   | 0  | 0   | 0  | 0   | 0  | 0   |

##### Origins and destinations generated from above ticket:

|                                       | Passengers |
|---------------------------------------|------------|
| 1. Ticket O & D: DCA NW PIT NW ORD CO | 1          |
| DEN UA DCA                            | 1          |
| 2. Directional O & D's:               |            |
| DCA NW PIT NW ORD CO DEN              | 1          |
| DEN UA DCA                            | 1          |
| 3. On-line O & D's:                   |            |
| DCA NW ORD                            | 1          |
| ORD CO DEN                            | 1          |
| DEN UA DCA                            | 1          |
| 4. Coupon O & D's:                    |            |
| DCA NW PIT                            | 1          |
| PIT NW ORD                            | 1          |
| ORD CO DEN                            | 1          |
| DEN UA DCA                            | 1          |

From the above examples, it is clear that different analyses of the same ticket will produce different constructions of useful data. These should help further clarify the differences between Class A and Class B data as well as to differentiate between the various ways the outputs may be compiled. Without such examples, it is difficult for the average user to appreciate the distinctions between coupon O & D, for instance, and directional O & D (or "DOD" as it is commonly referenced by experienced users of the data).

##### Output

The various current output tables, which may be subject to change based upon the outcome of this proposed rule, are described below. The various issues deferred pending outcome of the O & D rule are:

- content, form, and media of end products.
- end product distribution.



- user charges to offset processing costs.
- user charges to offset data collection costs.
- private sector versus Government processing.

Each quarterly tabulation of Survey outputs shows moving 12-month-to-date totals, and all tables except Tables 3, 4, 6 and 7 also show the amounts for the current quarter, and some tables contain data on average traffic per day per quarter and/or twelve months.

The passenger and passenger-mile figures in all output tables are 10-percent sample amounts, and should be multiplied by 10 to estimate the population in the Survey. Domestic Tables 1, 3 through 8, and 10 are currently accessible as either hardcopy or microfilm format. Tables 2, 9 and 14 have been eliminated or consolidated into other tables. Territorial Tables 15, 16 and 17 are accessible in hardcopy format, whereas, International Tables 15, 16 and 17 are restricted as to availability due to the sensitive nature of the data, as explained more fully in section 19-7 of 14 CFR Part 241.

The following O & D Data Banks and O & D Data Tables are generated from carrier submissions:

#### *O&D data bank number and contents*

- 1—Ticket origin-destination data (Domestic/International territorial)
- 1A—Flight coupon dollar amount of fare data
- 2A—Directional origin-destination data (International/territorial)
- 2B—Directional origin-destination data (Domestic)
- 3A—Flight coupon origin-destination data (International/territorial)
- 3B—Flight coupon origin-destination data (Domestic)
- 4—On-line origin-destination data (Domestic)
- 5—O&D Survey city/airport nomenclature file
- 6—Directional origin-destination city-pair summary file (Domestic)
- 7—U.S.-Canadian transborder operations

#### *O&D output table number and contents*

**Domestic:**  
Table 1—Domestic city summary, based on directional origin-destination, with cities arranged alphabetically.

Table 3—Domestic city summary, based on directional origin-destination, cities arranged in rank order of number of passengers.

Table 4—Domestic city summary, based on directional origin-destination, cities arranged in rank order of number of passenger-miles.

Table 5—Domestic summary by length of passenger trip, based on directional origin-destination.

Table 6—Domestic city-pair summary, based on directional origin-destination: Top-ranked 1,000 city pairs in terms of number of passengers.

Table 7—Domestic city-pair summary, based on directional origin-destination: Top-

ranked 1,000 city pairs in terms of number of passenger-miles.

Table 8—Domestic city-pair summary, based on directional origin-destination; city pairs arranged alphabetically (All city pairs, without routings.)

Table 10—Domestic city-pair summary by on-line origin and destination.

Table 11—Domestic city-pair summary, based on directional origin-destination, city pairs arranged alphabetically (All city-pairs, with traffic generation, without routings.)

Table 12—Traffic between domestic cities, based on directional origin-destination, with routings.

Table 13—Total passenger-stage movements (coupon origin-destination) by domestic market, by carrier and fare-basis category.

#### *International/Territorial:*

Table 15—Summary of international/territorial traffic between cities, based on directional origin and destination.

Table 16—International/territorial traffic between cities, by routing, based on directional origin/destination.

Table 17—International/territorial passenger stage movements between cities, by carrier and fare basis, based on flight coupon origin-destination.

### **Statistical Characteristics of the Passenger Origin and Destination Survey**

#### *Design Variables*

Although many factors shape the overall design of a large-scale survey such as the Passenger Origin and Destination Survey (O & D), the most important are the informational requirements, for they determine the statistical sample design. The one or two key variables to be estimated from the survey are known as the design variables, which, in the O & D, are the true seasonal origins, destinations and routings of air passengers who travel on some part of their journey on a U.S. certificated air carrier. In quantitative terms, the survey is designed to measure the number of air passengers traveling from origin O to destination D via route R (traveling via ODR) each quarter, where O is not the same city as D, and at least one segment of the trip is via a U.S. scheduled air carrier. Quite often, however, a survey designed for accurately estimating the design variables will yield valuable information on variables of secondary importance as a by-product. In the case of the O & D, the same sample design produces reasonably good estimates of yields paid per flight segment (cents per revenue mile flown by passengers).

#### *Description of Design*

In statistical terms, the sample design is a one-stage continuous 10% systematic sample of clusters of unequal sizes from a non-random start taken

from a sampling frame ordered by carrier and date. This produces a proportional to size sample by carrier and date, and since carriers and markets (ODRs) are closely related, the design approximates a proportional sample of markets.

This design can be explained in simpler terms. In the O & D survey, the clusters are the lifted ticket flight coupons themselves because each can represent one or more ODR combinations. Since the numbers of ODR combinations varies from ticket to ticket, the clusters have different numbers of elements, hence are of unequal sizes.

The sample is systematic because the coupons are essentially ordered by number, and every tenth one chosen. Since zero-ending tickets are specified, the selection is made with a pre-determined, and not a random, starting point. All ODR combinations are sampled, hence a 100% sample of elements from each flight coupon cluster.

The sample frame is the entirety of all elements from which the sample is chosen. Ideally the sample frame should be the population of interest. In the case of the O & D survey, the sample frame and population of interest are one and the same, that is, every ODR combination with at least one segment occurring on a U.S. scheduled air carrier is eligible and available to be sampled within the existing sample design. Sampling is performed on a continuous basis and reported on a quarterly basis. This procedure results in a sample that evenly represents each quarter's activity, and allows for seasonal activity comparisons.

The list of data items below includes all of the elements collected from the airlines in the survey:

Name of Carrier  
Reporting Period  
For each flight segment on coupon:  
Carrier Name  
Origin City  
Destination City  
Fare Code  
Dollar value of ticket  
Number of passengers on ticket

As in any efficient sample design, the items of data collected are all essential to the processing and calculation of the estimates for which the survey was designed; no extraneous items are collected.

Sample size is determined by a number of factors, most importantly the level of detail for which estimates are desired, and the accuracy required for those estimates. When the survey was



designed, the domestic airline industry was under regulation by the CAB, and the CAB felt it needed reasonably accurate estimates of traffic for even the less traveled passenger routings, say those with as few as 2-4 passengers a day. Thus the 10% level of sampling was chosen. The following table is a rough approximation of sampling error for the various levels of traffic encountered on an ODR.

ACCURACY OF ANNUAL ESTIMATES FROM THE 10 PERCENT O & D

| Estimate of #Pass/ODR/day | Approximate percent sampling error <sup>1</sup> |
|---------------------------|---|
| 1 or less                 | 41 and higher.                                  |
| 2                         | 29  |
| 3                         | 24  |
| 4                         | 21  |
| 5                         | 19  |
| 6 to 10                   | 17 to 13.                                       |
| 11 to 20                  | 12 to 9.  |
| 21 to 50                  | 9 to 6.   |
| 51 to 100                 | 6 to 4.   |
| 101 to 500                | 4 to 2.   |
| 501 to 3,000              | 2 to 0.8.                                       |
| More than 3,000           | Less than 0.8.                                  |

<sup>1</sup> These are approximate sampling error rates based on O & D Survey Class B data. Attachment IV describes the difference between Class A and Class B O & D data.

The estimates plus and minus the sampling error are computed at a 95% confidence limit for the estimate, which can be interpreted as the range in which the true value for the estimate lies 95% of the time the sample is performed according to the same design. The narrower the interval, the better idea one has of the true value, i.e., the higher the accuracy of the estimate. When the interval becomes wider than  $\pm 20\%$  of the estimate, or 40% of the estimate, the estimate becomes too imprecise for most users' purposes.

The O & D sample design has a number of attributes that make it especially effective in its environment. First, a 10% sample of all the applicable air passenger traffic is a good approach for gaining an accurate overall picture of the air system. The 10% sampling system is simple in concept, making feasible the enormity of the task of estimating the

passenger traffic on the large number of ODR combinations in the air system. The simplicity of design makes it easier to execute by the numerous parties involved than a more complex design. A more rigid stratified design, for instance, that might vary the sampling percentage depending on the ODR, would not only make the implementation of the O & D more difficult, but it would not naturally adjust to changes in the marketplace, as the current design does. In addition, the sample design distributes the reporting burden proportionally among the participating carriers, so that no one carrier is asked to report a greater percentage of its flights than another.

#### Design Performance

The O & D survey has a history dating back to November 1939, when the first survey was taken. The survey has undergone a number of changes in sampling methods, data content, and type of output over the years. The latest major revision in sampling methods and data content was implemented with the 1968 survey, although output tables were modified in 1972.

Therefore, the O & D in its current design has been in operation about 18 years, and has proven to be a valuable, reliable and accurate source of data for its users. It yields a statistically valid sample that effectively portrays the passenger traffic on the U.S. air carrier system, as well as satisfies the secondary objective of producing airfare information. The sample is unbiased to the extent that there is no bias in the use of tickets whose numbers end in zero. As far as DOT has been informed by the airlines, no distinction is made between the issuance of zero and non-zero ending tickets. The survey satisfies its design objectives. In the past, Government staff representatives have visited carriers' offices to assess the operations and performance of the O & D survey, as implemented by the carriers, and such reviews have confirmed that O & D survey system

procedures are generally operating as designed.

The only deficiencies in the survey estimates result not from the sample design per se, but from non-sampling errors arising from its implementation. Non-sampling errors are largely uncontrollable and are caused by a number of factors such as non-response, misinterpretation of instructions by respondents, coding and data-entry errors, and others. In the O & D these errors have resulted in the loss of data, causing the estimates reported as 10% of the traffic to be in reality somewhat less.

Some of the error can be attributed to specific sources. DOT editing of airline inputs eliminates as much as 1% to 2% of the data, because of coding errors. It is not practical for DOT to go through the costly and time-consuming process of confirming minor corrections with each airline and re-entering the data. Only in cases of gross errors on the part of the carrier does DOT request the carrier to correct the data or alert users of defects in the available data that cannot be corrected.

Other sources are more difficult to identify and may be due simply to the lack of control DOT has over the carriers in their implementation of the guidelines for sampling and reporting the raw data. The DOT must rely on each airline to interpret instructions and develop its own procedures. The consequences may be differences in what is reported among the airlines, and from what is actually intended to be reported.

A comparison of selected O & D results with 100% data in the ER-586 Service Segment reports where the selected data items coincide reveals that the O & D does under-report traffic by as much as one or two percentage points per carrier, depending on the ODR involved, so that the overall sample per carrier is actually 9% or less, rather than a 10% sample of the population.

BILLING CODE 4910-62-M



Attachment VI

DOMESTIC ORIGIN-DESTINATION DATA  
FOR YEAR ENDED DECEMBER 31, 1984ESTIMATED PASSENGERS IN THE TOTAL POPULATION FOR FIVE CATEGORIES OF MARKET SIZE  
BASED UPON SAMPLE SIZES OF TEN AND ONE PERCENT, RESPECTIVELY

- I. A. ESTIMATED PASSENGERS IN THE TOTAL POPULATION  
 I. B. MARKETS IDENTIFIED BY THE SAMPLES OF 10% AND 1% OF THE TOTAL PASSENGER POPULATION  
 I. C. SAMPLING ERROR (S.E.) RATES AS A PERCENT OF TOTAL POPULATION PASSENGERS %/

| Sample Size | Item           | Markets of from<br>-0- to 999<br>psgrs./yr. | 1,000-9,999  | 10,000-99,999 | 100,000-999,999 | Markets of<br>1,000,000<br>and more<br>psgrs./yr. | SUM           |
|-------------|----------------|---|--------------|---------------|-----------------|---|---------------|
| A.          | 10% Passengers | 5.8 million                                 | 20.6 million | 61.0 million  | 100.2 million   | 36.1 million                                      | 223.7 million |
| B.          | 10% Markets    | 42,595                                      | 6,389        | 1,951         | 375             | 20  | 51,330        |
| C.          | 10% S.E.       | 247.1-24.7                                  | 24.7-7.8     | 7.8-2.5       | 2.5-0.8         | 0.8-0.1   | 0.1           |
| C.          | 1% S.E.        | 247.1-78.1                                  | 78.1-24.7    | 24.7-7.8      | 7.8-2.5         | 2.5-0.1   | 0.1           |
| A.          | 1% Passengers  | 5.8 million                                 | 20.6 million | 61.0 million  | 100.2 million   | 36.1 million                                      | 223.7 million |
| B.          | 1% Markets     | 17,504                                      | 6,389        | 1,951         | 375             | 20  | 26,239        |

- II. A. PASSENGERS AS A PERCENT OF TOTAL  
 II. B. MARKET DATA AS A PERCENT OF TOTAL

|    |                |       |       |       |       |       |        |
|----|----------------|-------|-------|-------|-------|-------|--------|
| A. | 10% Passengers | 2.5%  | 9.2%  | 27.3% | 44.8% | 16.2% | 100.0% |
| A. | 1% Passengers  | 2.5%  | 9.2%  | 27.3% | 44.8% | 16.2% | 100.0% |
| B. | 10% Markets    | 83.0% | 12.5% | 3.8%  | 0.7%  | - %   | 100.0% |
| B. | 1% Markets     | 66.7% | 24.3% | 7.4%  | 1.5%  | 0.1%  | 100.0% |

\*/ These sampling error rates are based upon the Class 8 type of O & D Survey data. The distinction between Class A and Class B data is explained in Attachment IV.

## III. DISCUSSION OF SAMPLING ERROR RATES

Passenger estimates of the total population based upon the two sample sizes (10% and 1%) are for convenience shown above as a concrete number (such as 36.1 million passengers in 20 of the largest markets). However, these must be understood to be estimates, stated as an assumed midpoint of a range. This range increases (estimates become less precise) as the sample size decreases, and the range becomes more narrow (for more precise and accurate estimates) as the sample size is increased. For example, the "true" number of passengers in the 20 largest domestic markets would be the midpoint estimate of 36.1 million passengers plus or minus (+) a sampling error factor. Sampling error rates are listed above as I.C. -The Department has tentatively selected only two strata (major markets and all other markets) and a 1% sample size for domestic major markets, instead of a larger size (such as 5 or 7%), because a 1% sample size is considered to be the most compatible with the established air carrier procedures, i.e., only minor changes (selecting major market tickets ending in "00" and zero-ending tickets in all other markets) will be necessary to existing procedures--minimizing costly computer reprogramming and retraining of personnel.



ATTACHMENT VII  
Page 1 of 2

APPROXIMATE SAMPLING ERRORS OF ESTIMATED NUMBER OF PASSENGERS  
IN A CATEGORY FROM A 10% SAMPLE (CLASS A DATA) 1/

| Number of Passengers<br>in a Category<br>Sample | In the<br>Population | 12-Month Data |            |            |            | Range |
|---|----------------------|---------------|------------|------------|------------|-------|
|   |                      | Number 2/     | Percent 3/ | Percent 4/ | Percent 4/ |       |
| 1   | 10                   | 19            | 185.9      |            |            | 1     |
| 5   | 50                   | 42            | 83.2       |            |            | 1     |
| 10  | 100                  | 59            | 58.8       |            |            | 1     |
| 20  | 200                  | 83            | 41.6       |            |            | 1     |
| 30  | 300                  | 102           | 33.9       |            |            | 1     |
| 40  | 400                  | 118           | 29.4       |            |            | 1     |
| 50  | 500                  | 131           | 26.3       |            |            | 1     |
| 60  | 600                  | 144           | 24.0       |            |            | 1     |
| 70  | 700                  | 156           | 22.2       |            |            | 1     |
| 80  | 800                  | 166           | 20.8       |            |            | 1     |
| 90  | 900                  | 176           | 19.6       |            |            | 1     |
| 100   | 1000                 | 186           | 18.6       |            |            | 1     |
| 200   | 2000                 | 263           | 13.1       |            |            | 1     |
| 300   | 3000                 | 322           | 10.7       |            |            | 1     |
| 400   | 4000                 | 372           | 9.3        |            |            | 1     |
| 500   | 5000                 | 416           | 8.3        |            |            | 1     |
| 600   | 6000                 | 455           | 7.6        |            |            | 1     |
| 700   | 7000                 | 492           | 7.0        |            |            | 1     |
| 800   | 8000                 | 526           | 6.6        |            |            | 1     |
| 900   | 9000                 | 558           | 6.2        |            |            | 1     |
| 1000  | 10000                | 588           | 5.9        |            |            | 1     |
| 2000  | 20000                | 831           | 4.2        |            |            | 1     |

- 1/ See Attachment IV for an explanation of Class A and Class B data.  
2/ The chances are 95 out of 100 that the difference between the number of passengers in the population estimated from the sample and the number that would have been obtained from a complete census (using the same methods, efficiency, and diligence in data collection, analysis, and compilation) is less than the sampling error number shown.  
3/ Sampling error number as a percentage of the estimated number of passengers in the population computed from unrounded data.  
4/ Approximate sampling error rates for a 1% sample size may be calculated by multiplying 3.2 times the larger sample size data. These approximate sampling error rates (SER's) are very conservative, because they were calculated using a formula assuming a random sample, rather than the more efficient systematic sample that is the N x D data. Therefore, the actual sampling errors may be smaller (more precise) than the conservative SER's shown above. Also, these calculations were made about eighteen years ago, when the volume of the 10% sample was much smaller.

12-Month Data

| Number of Passengers<br>in a Category<br>Sample | In the<br>Population | Approximate Sampling Error |            |            |            | Range    |
|---|----------------------|----------------------------|------------|------------|------------|----------|
|   |                      | Number 2/                  | Percent 3/ | Percent 4/ | Percent 4/ |          |
| 3000  | 30000                | 1019                       | 3.4        | 10.9       |            | 26082    |
| 4000  | 40000                | 1176                       | 2.9        | 9.3        |            | 38824    |
| 5000  | 50000                | 1314                       | 2.6        | 8.3        |            | 49636    |
| 6000  | 60000                | 1440                       | 2.4        | 7.7        |            | 59560    |
| 7000  | 70000                | 1555                       | 2.2        | 7.0        |            | 68445    |
| 8000  | 80000                | 1662                       | 2.1        | 6.7        |            | 78338    |
| 9000  | 90000                | 1763                       | 2.0        | 6.4        |            | 88237    |
| 10000   | 100000               | 1858                       | 1.9        | 6.1        |            | 98142    |
| 20000   | 200000               | 2626                       | 1.3        | 4.1        |            | 197374   |
| 30000   | 300000               | 3214                       | 1.1        | 3.5        |            | 296786   |
| 40000   | 400000               | 3709                       | 0.9        | 2.9        |            | 396291   |
| 50000   | 500000               | 4144                       | 0.8        | 2.6        |            | 495856   |
| 60000   | 600000               | 4537                       | 0.8        | 2.6        |            | 595463   |
| 70000   | 700000               | 4897                       | 0.7        | 2.2        |            | 695103   |
| 80000   | 800000               | 5232                       | 0.7        | 2.2        |            | 794768   |
| 90000   | 900000               | 5546                       | 0.6        | 1.9        |            | 894454   |
| 100000  | 1000000              | 5842                       | 0.6        | 1.9        |            | 994158   |
| 200000  | 2000000              | 8209                       | 0.4        | 1.2        |            | 1991791  |
| 300000  | 3000000              | 9987                       | 0.3        | 1.0        |            | 2990013  |
| 400000  | 4000000              | 11455                      | 0.3        | 1.0        |            | 3988545  |
| 500000  | 5000000              | 12721                      | 0.3        | 1.0        |            | 4987279  |
| 600000  | 6000000              | 13940                      | 0.2        | 0.6        |            | 5986160  |
| 700000  | 7000000              | 14945                      | 0.2        | 0.6        |            | 6985155  |
| 800000  | 8000000              | 15758                      | 0.2        | 0.6        |            | 7984242  |
| 900000  | 9000000              | 16594                      | 0.2        | 0.6        |            | 8983406  |
| 1000000   | 10000000             | 17365                      | 0.2        | 0.6        |            | 9982635  |
| 1500000   | 15000000             | 20474                      | 0.1        | 0.3        |            | 14979526 |

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APPROXIMATE SAMPLING ERRORS OF ESTIMATED NUMBER OF PASSENGERS  
IN A CATEGORY FROM A 10% SAMPLE (CLASS B DATA) 1/

| Number of Passengers<br>In the<br>Sample | In the<br>Population | 12-Month Data              |            |            |  | Range |       |
|--|----------------------|----------------------------|------------|------------|--|-------|-------|
|  |                      | Approximate Sampling Error |            |            |  | From  |       |
|  |                      | Number 2/                  | Percent 3/ | Percent 4/ |  | From  | To    |
| 1  | 10                   | 25                         | 247.1      | 35         |  | 1     | 35    |
| 5  | 50                   | 55                         | 110.5      | 1          |  | 1     | 105   |
| 10                                       | 100                  | 78                         | 78.1       | 22         |  | 22    | 138   |
| 20                                       | 200                  | 110                        | 55.2       | 90         |  | 90    | 310   |
| 30                                       | 300                  | 135                        | 45.1       | 165        |  | 165   | 435   |
| 40                                       | 400                  | 156                        | 39.1       | 244        |  | 244   | 555   |
| 50                                       | 500                  | 175                        | 34.9       | 325        |  | 325   | 675   |
| 60                                       | 600                  | 191                        | 31.9       | 409        |  | 409   | 791   |
| 70                                       | 700                  | 207                        | 29.5       | 507        |  | 507   | 907   |
| 80                                       | 800                  | 221                        | 27.6       | 619        |  | 619   | 1021  |
| 90                                       | 900                  | 234                        | 26.0       | 744        |  | 744   | 1134  |
| 100                                      | 1000                 | 247                        | 24.7       | 881        |  | 881   | 1247  |
| 200                                      | 2000                 | 349                        | 17.5       | 1651       |  | 1651  | 2349  |
| 300                                      | 3000                 | 428                        | 14.3       | 2572       |  | 2572  | 3428  |
| 400                                      | 4000                 | 494                        | 12.4       | 3506       |  | 3506  | 4494  |
| 500                                      | 5000                 | 552                        | 11.0       | 4488       |  | 4488  | 5552  |
| 600                                      | 6000                 | 605                        | 10.1       | 5395       |  | 5395  | 6605  |
| 700                                      | 7000                 | 654                        | 9.3        | 6346       |  | 6346  | 7854  |
| 800                                      | 8000                 | 699                        | 8.7        | 7301       |  | 7301  | 8699  |
| 900                                      | 9000                 | 741                        | 8.2        | 8259       |  | 8259  | 9741  |
| 1000                                     | 10000                | 781                        | 7.8        | 9219       |  | 9219  | 10781 |
| 2000                                     | 20000                | 1105                       | 5.5        | 18895      |  | 18895 | 21105 |

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12-Month Data

| Number of Passengers<br>In the<br>Sample | In the<br>Population | Approximate Sampling Error |            |            |  | Range    |          |
|--|----------------------|----------------------------|------------|------------|--|----------|----------|
|  |                      | Number 2/                  | Percent 3/ | Percent 4/ |  | From     | To       |
| 3000                                     | 30000                | 1353                       | 4.5        | 14.4       |  | 28647    | 31353    |
| 4000                                     | 40000                | 1562                       | 3.9        | 12.5       |  | 38434    | 41562    |
| 5000                                     | 50000                | 1747                       | 3.5        | 11.2       |  | 48253    | 51747    |
| 6000                                     | 60000                | 1913                       | 3.2        | 10.2       |  | 55687    | 61913    |
| 7000                                     | 70000                | 2067                       | 3.0        | 9.4        |  | 67833    | 73067    |
| 8000                                     | 80000                | 2209                       | 2.8        | 9.0        |  | 77791    | 82209    |
| 9000                                     | 90000                | 2343                       | 2.6        | 8.3        |  | 87557    | 92343    |
| 10000                                    | 100000               | 2470                       | 2.5        | 8.0        |  | 97530    | 102470   |
| 20000                                    | 200000               | 3491                       | 1.7        | 5.4        |  | 194509   | 203491   |
| 30000                                    | 300000               | 4275                       | 1.4        | 4.4        |  | 235725   | 304275   |
| 40000                                    | 400000               | 4934                       | 1.2        | 3.8        |  | 395265   | 404934   |
| 50000                                    | 500000               | 5514                       | 1.1        | 3.5        |  | 494498   | 505514   |
| 60000                                    | 600000               | 6039                       | 1.0        | 3.2        |  | 593951   | 606039   |
| 70000                                    | 700000               | 6520                       | 0.9        | 2.9        |  | 693480   | 706520   |
| 80000                                    | 800000               | 6958                       | 0.9        | 2.9        |  | 793032   | 806958   |
| 90000                                    | 900000               | 7388                       | 0.8        | 2.6        |  | 892612   | 907388   |
| 100000                                   | 1000000              | 7784                       | 0.8        | 2.6        |  | 992215   | 1007784  |
| 200000                                   | 2000000              | 10969                      | 0.5        | 1.6        |  | 1999031  | 2010969  |
| 300000                                   | 3000000              | 13384                      | 0.4        | 1.3        |  | 2986616  | 3013384  |
| 400000                                   | 4000000              | 15348                      | 0.4        | 1.3        |  | 3944632  | 4015348  |
| 500000                                   | 5000000              | 17151                      | 0.3        | 1.0        |  | 4922849  | 5017151  |
| 600000                                   | 6000000              | 18717                      | 0.3        | 0.9        |  | 5941253  | 6018717  |
| 700000                                   | 7000000              | 20140                      | 0.3        | 0.9        |  | 6979850  | 7020140  |
| 800000                                   | 8000000              | 21448                      | 0.3        | 0.9        |  | 7978552  | 8021448  |
| 900000                                   | 9000000              | 22652                      | 0.3        | 0.9        |  | 8977339  | 9022652  |
| 1000000                                  | 10000000             | 23795                      | 0.2        | 0.6        |  | 9976205  | 10023795 |
| 1500000                                  | 15000000             | 28568                      | 0.2        | 0.6        |  | 14971432 | 15028568 |
| 2000000                                  | 20000000             | 32311                      | 0.2        | 0.6        |  | 19947689 | 20032311 |
| 2500000                                  | 25000000             | 35352                      | 0.1        | 0.3        |  | 2494648  | 25035352 |

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To designate October 16, 1985, as "World Food Day". (Oct. 16, 1985; 99 Stat. 515) Price: \$1.00

**S.J. Res. 183/Pub. L. 99-123**

To provide for the designation of the week of October 6 through October 12, 1985, as "Myasthenia Gravis Awareness Week". (Oct. 16, 1985; 99 Stat. 517) Price: \$1.00

**S.J. Res. 197/Pub. L. 99-124**

To designate the week of October 6, 1985 through October 13, 1985 as "National Housing Week". (Oct. 16, 1985; 99 Stat. 518) Price: \$1.00

**S.J. Res. 155/Pub. L. 99-125**

To designate the month of November 1985 as "National Hospice Month". (Oct. 18, 1985; 99 Stat. 519) Price: \$1.00

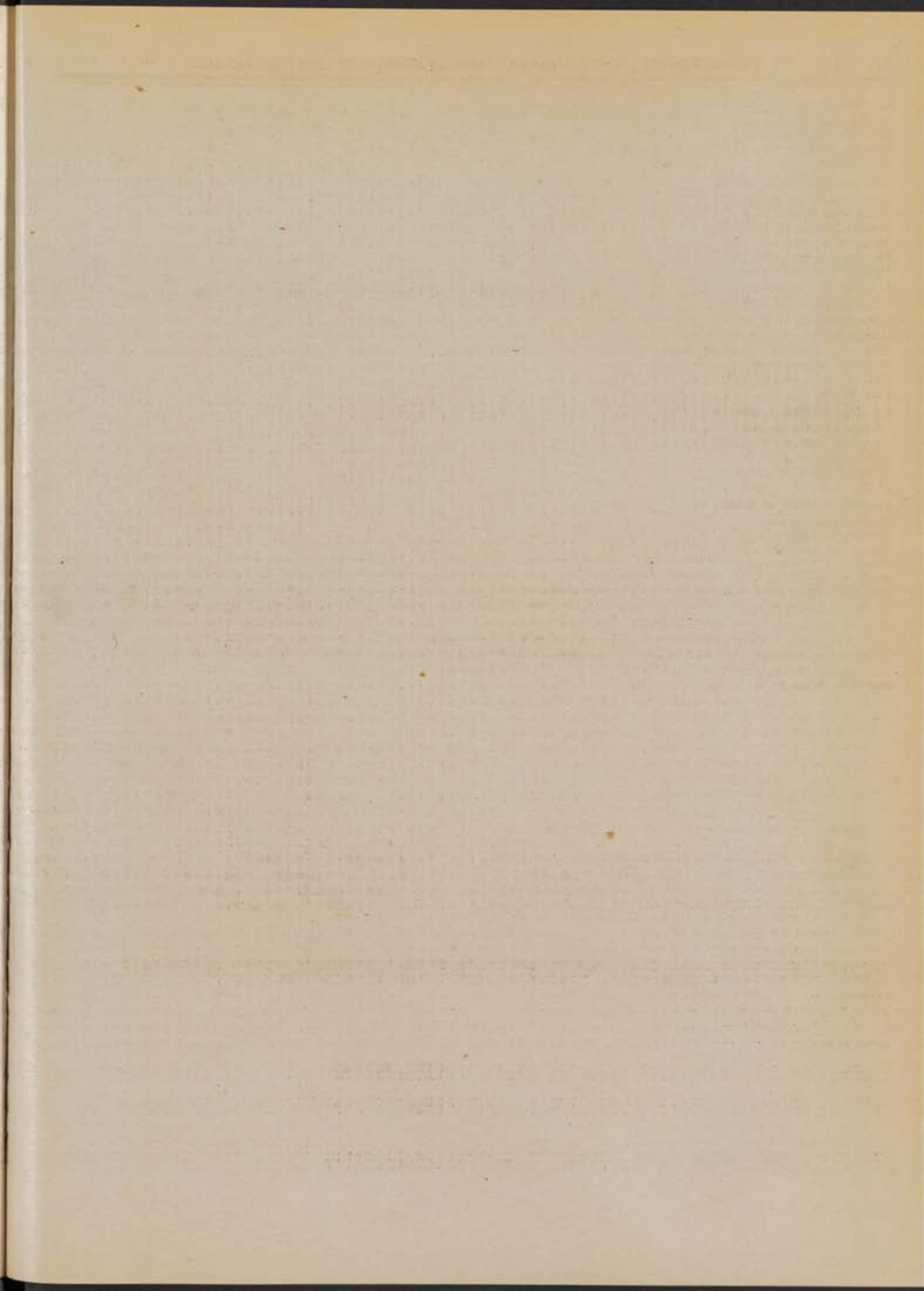
**S.J. Res. 175/Pub. L. 99-126**

To designate the week of October 20, 1985, through October 26, 1985, as "National CPR Awareness Month". (Oct. 18, 1985; 99 Stat. 520) Price: \$1.00

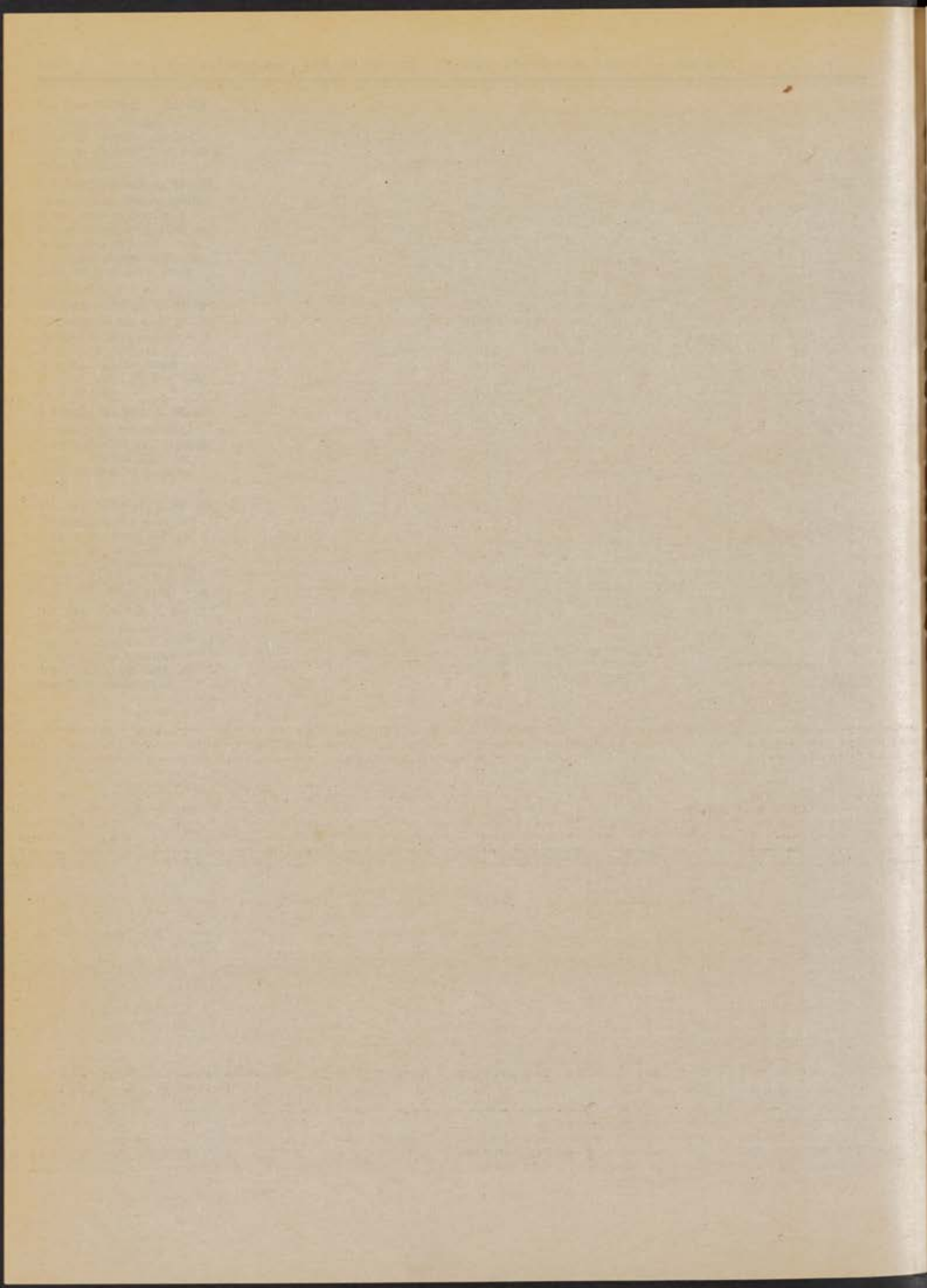
**S.J. Res. 194/Pub. L. 99-127**

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